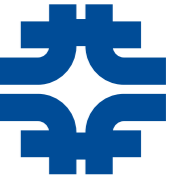




# **Particle Flow at 40 MHz with the CMS L1 Trigger**

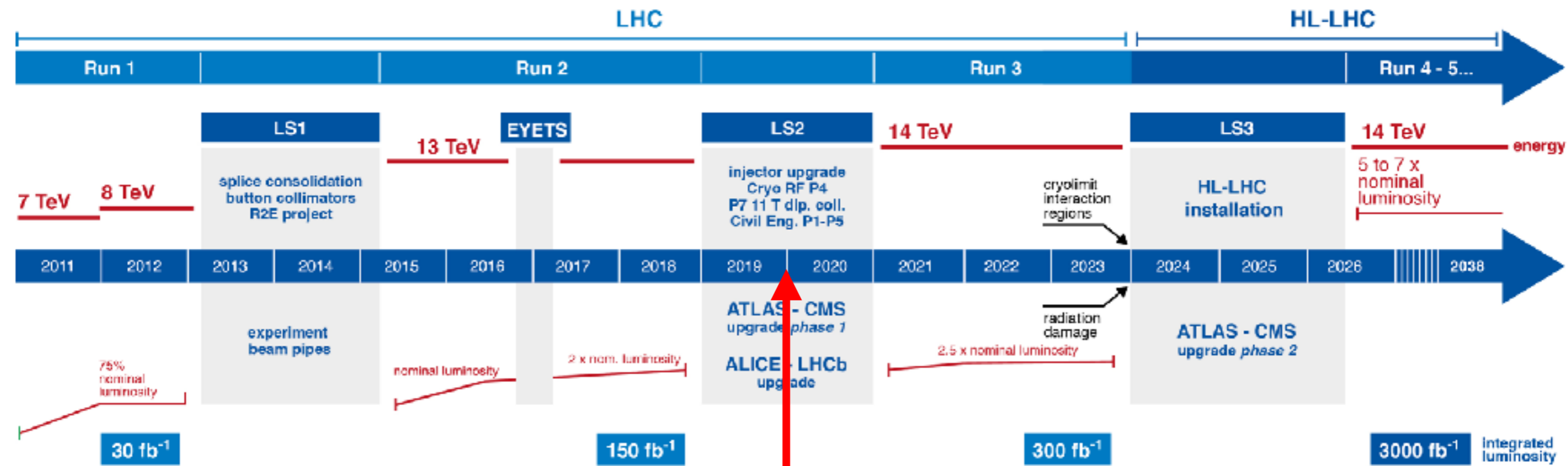
Christian Herwig, for the CMS L1PF Team  
CPAD Instrumentation Frontier Workshop  
December 8-10, 2019



# Outline

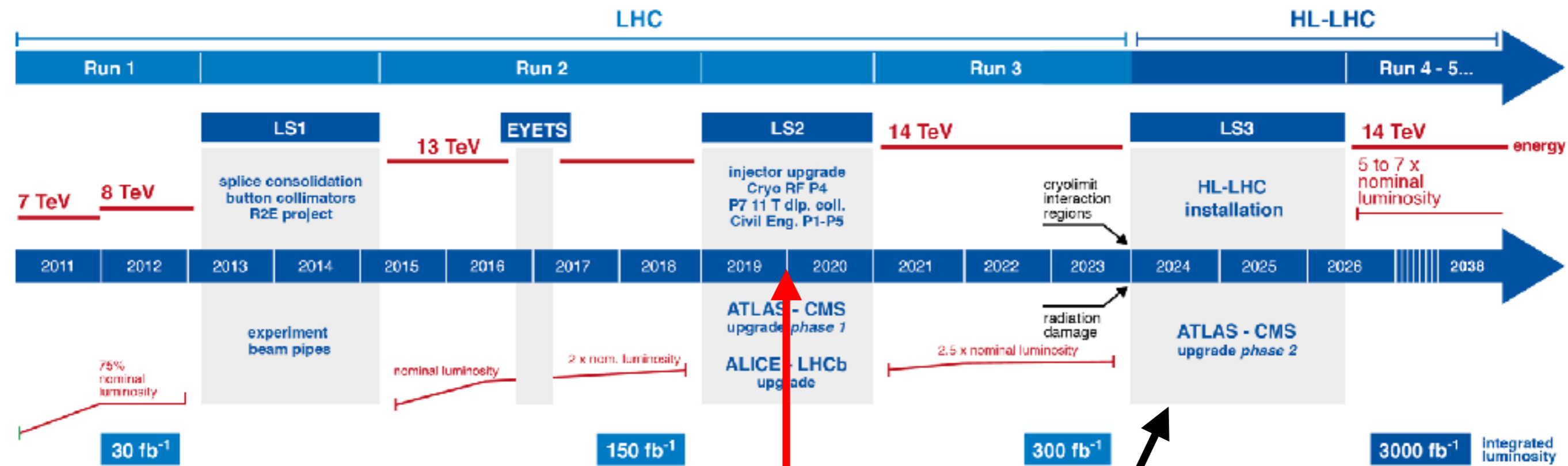
- Motivation and the High-luminosity LHC
- Particle Flow reconstruction
  - PUPPI Pileup subtraction
- The Phase-II Upgrade to the L1 CMS Trigger
- Progress of PF+PUPPI implementation

# LHC / HL-LHC Plan



We are here

# LHC / HL-LHC Plan

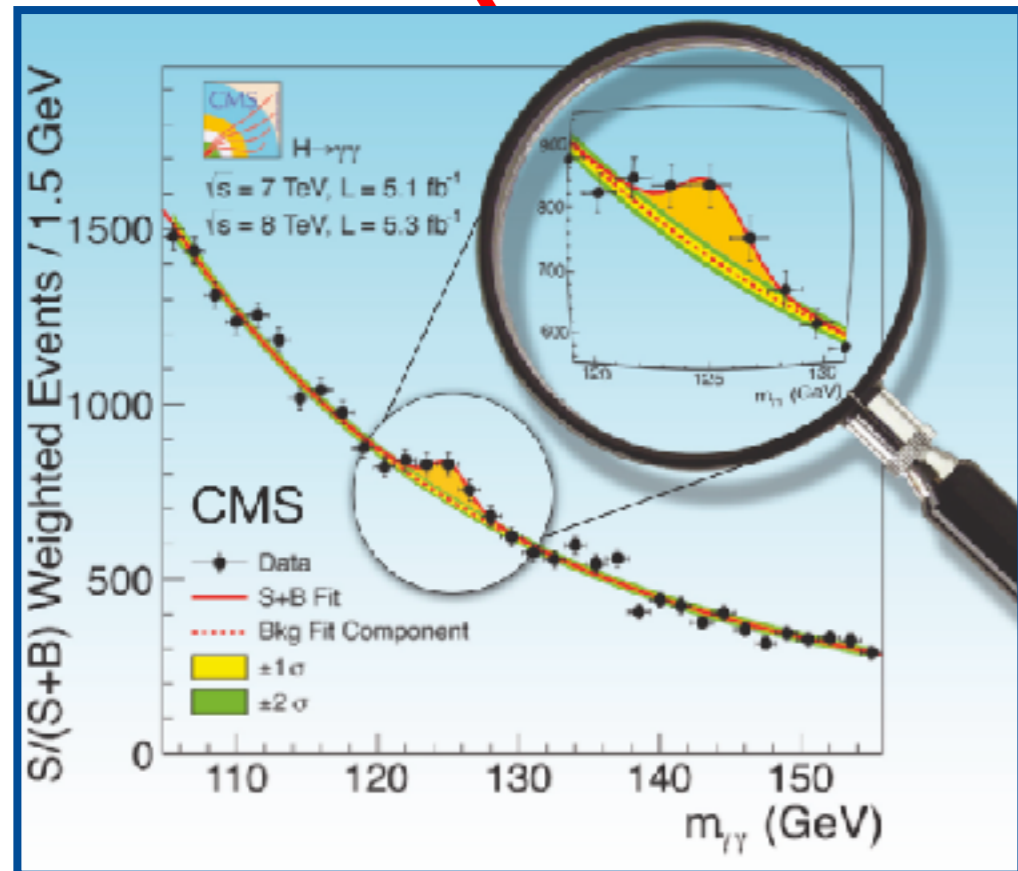
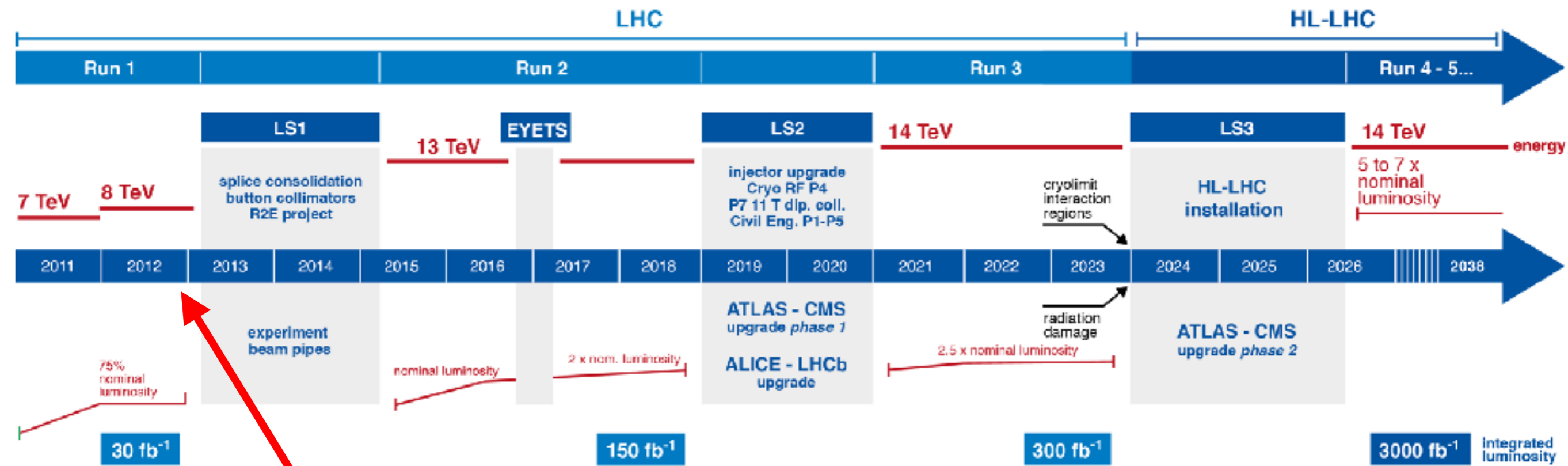


We are here

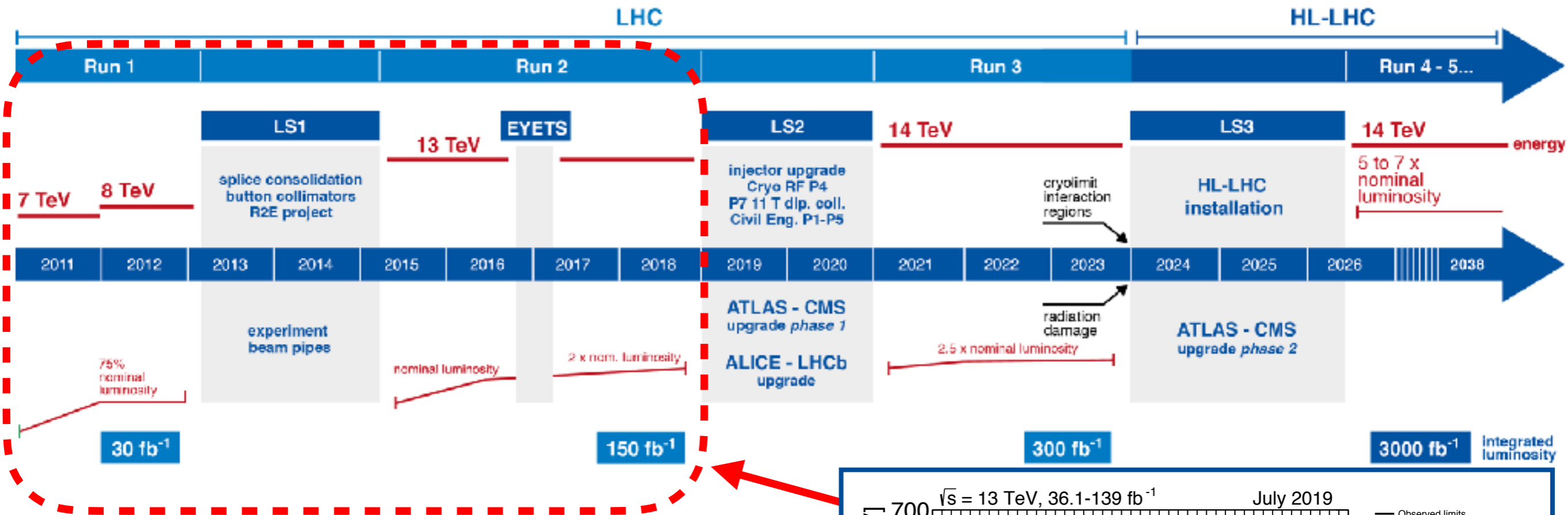
Phase-II upgrades

10x dataset increase

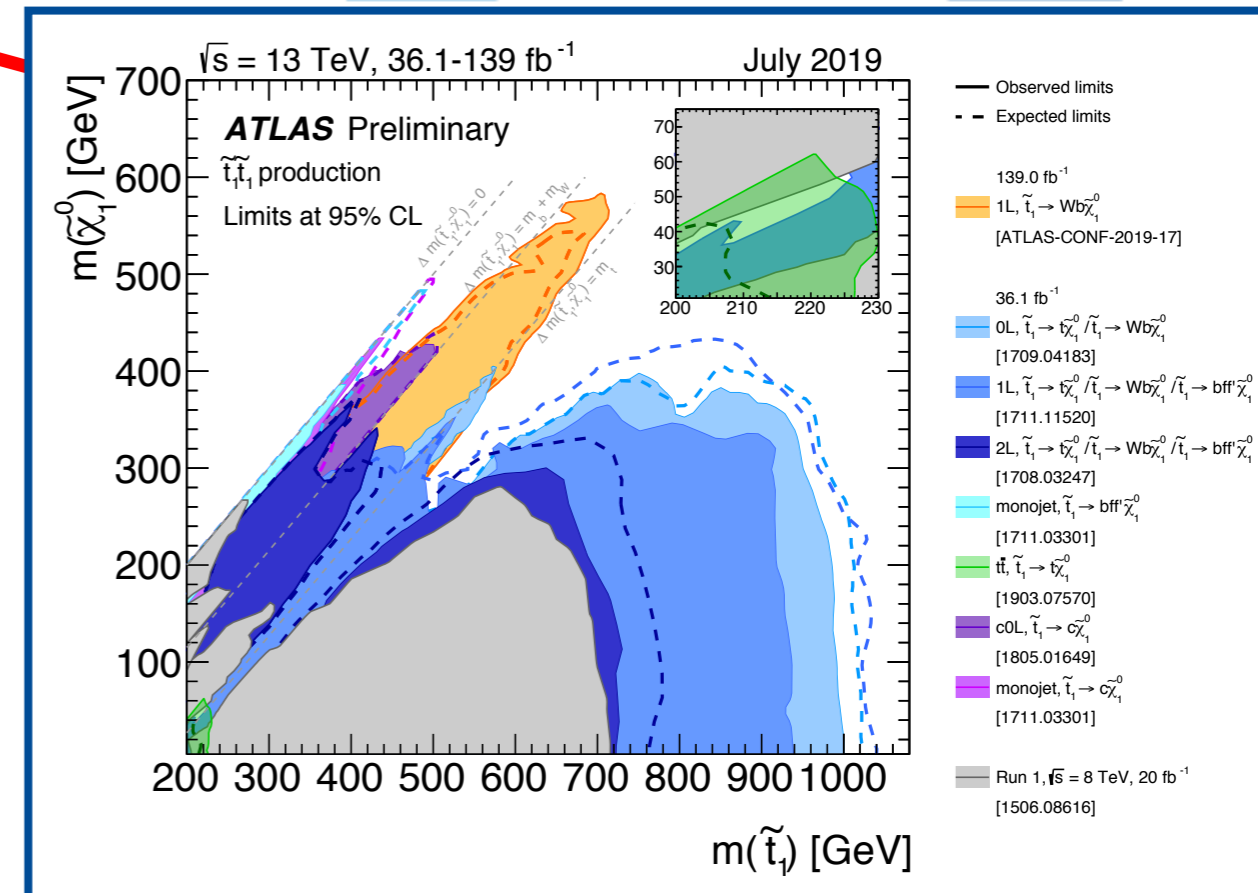
# LHC / HL-LHC Plan



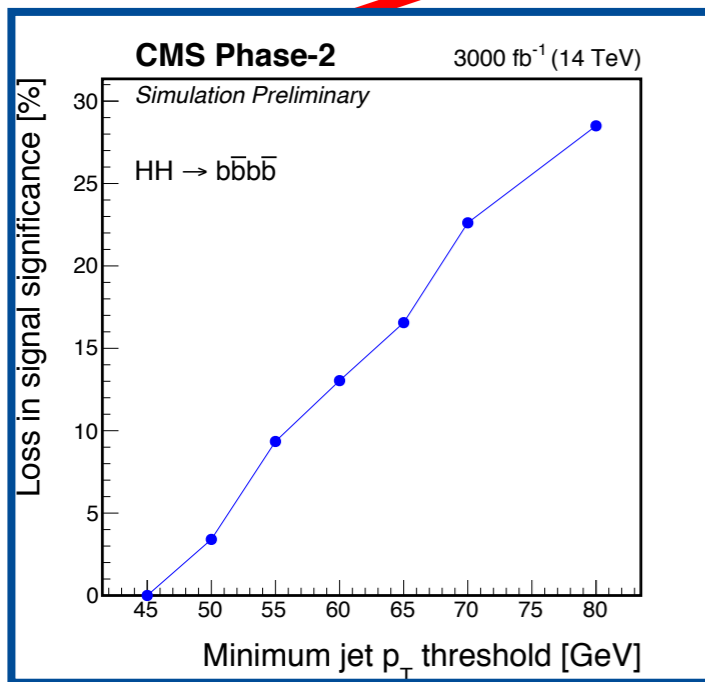
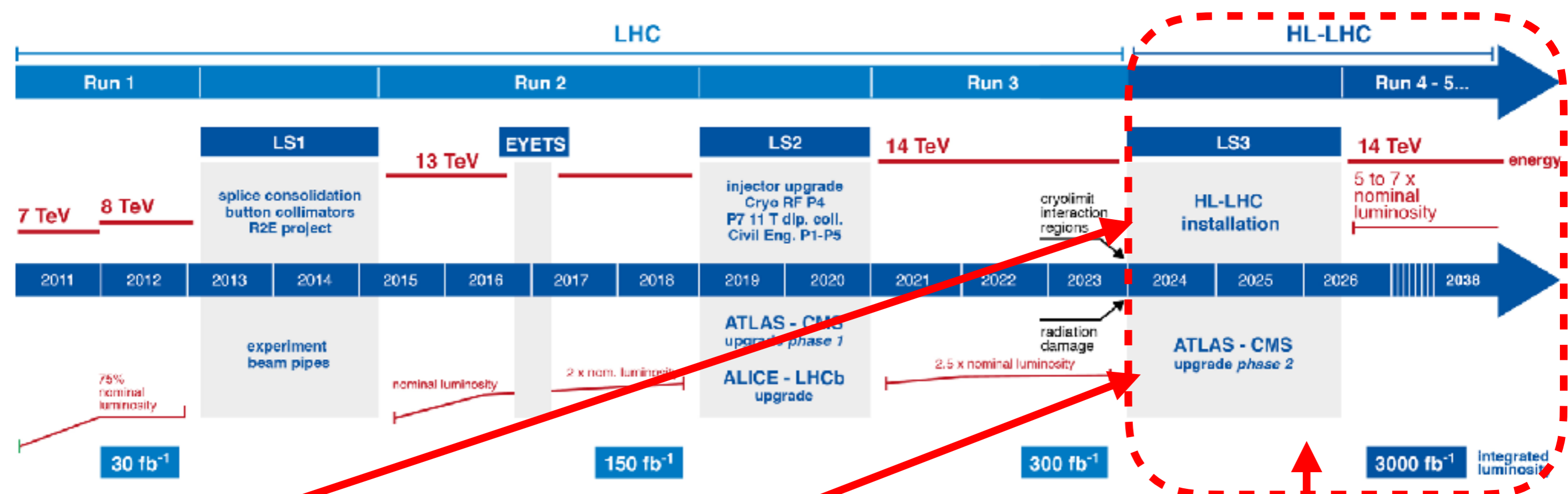
Discover Higgs!



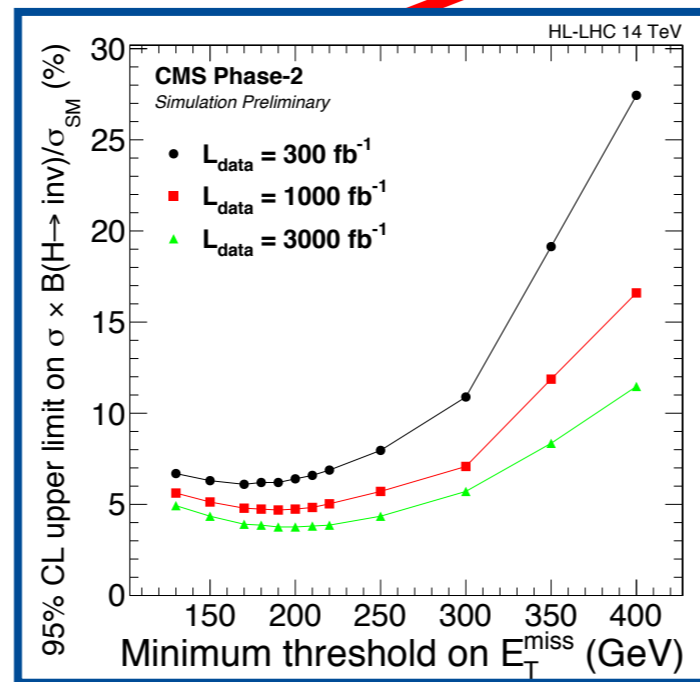
## Constraints on BSM Physics (especially strongly produced)



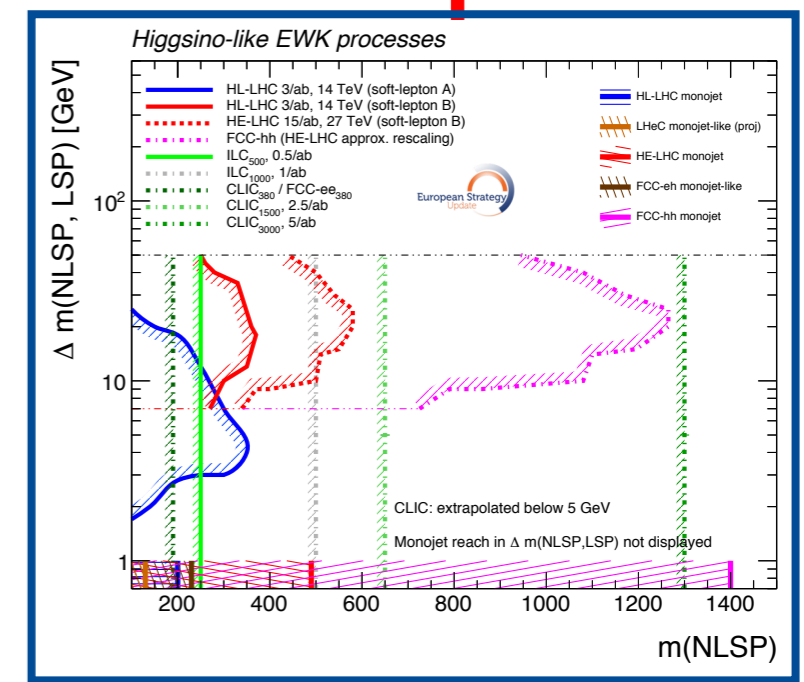
# LHC / HL-LHC Plan



SM hh

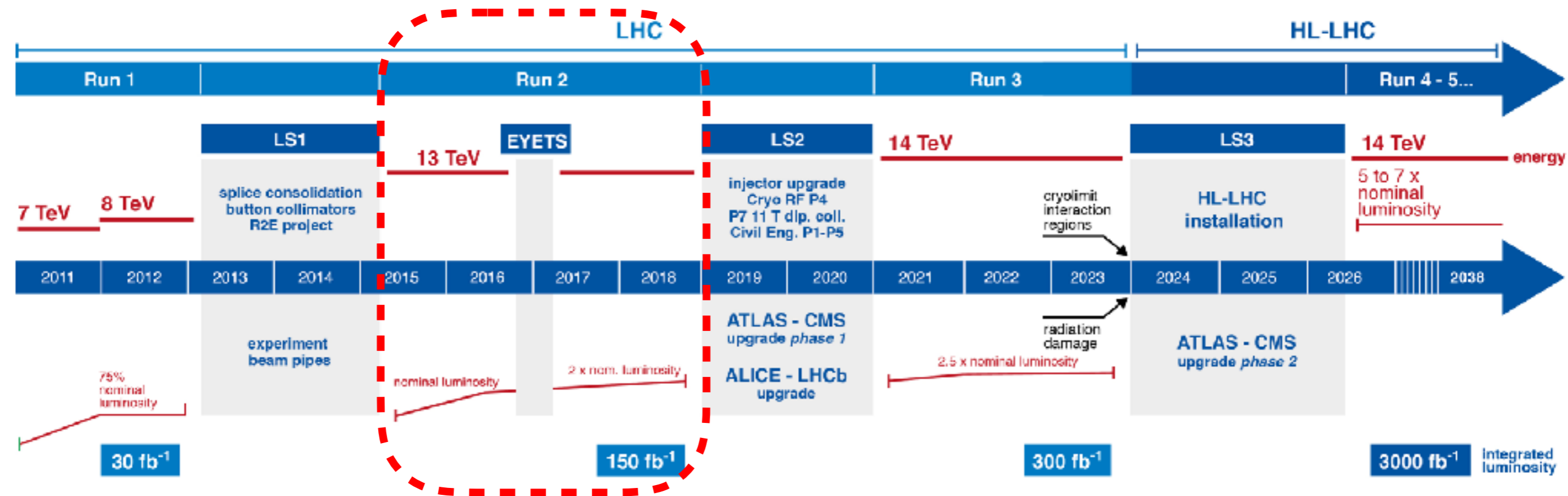


Rare+Exotic Higgs



EWK BSM

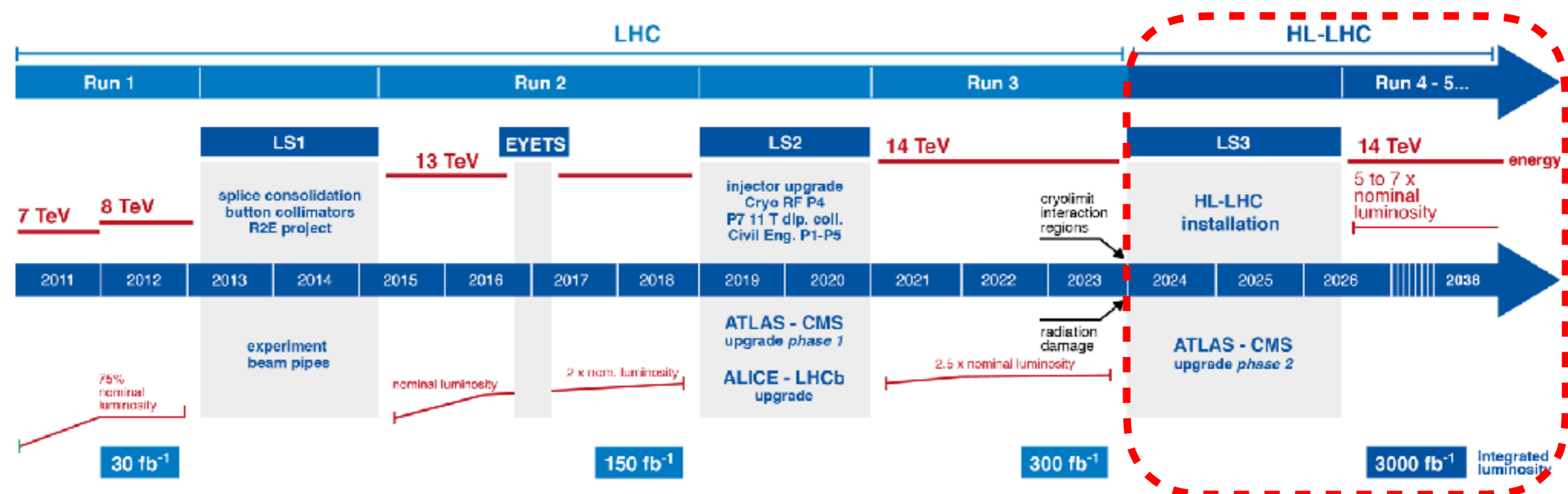
# LHC / HL-LHC Plan



Typically limited to information from a single sub-detector (calorimeter, muons)



# LHC / HL-LHC Plan

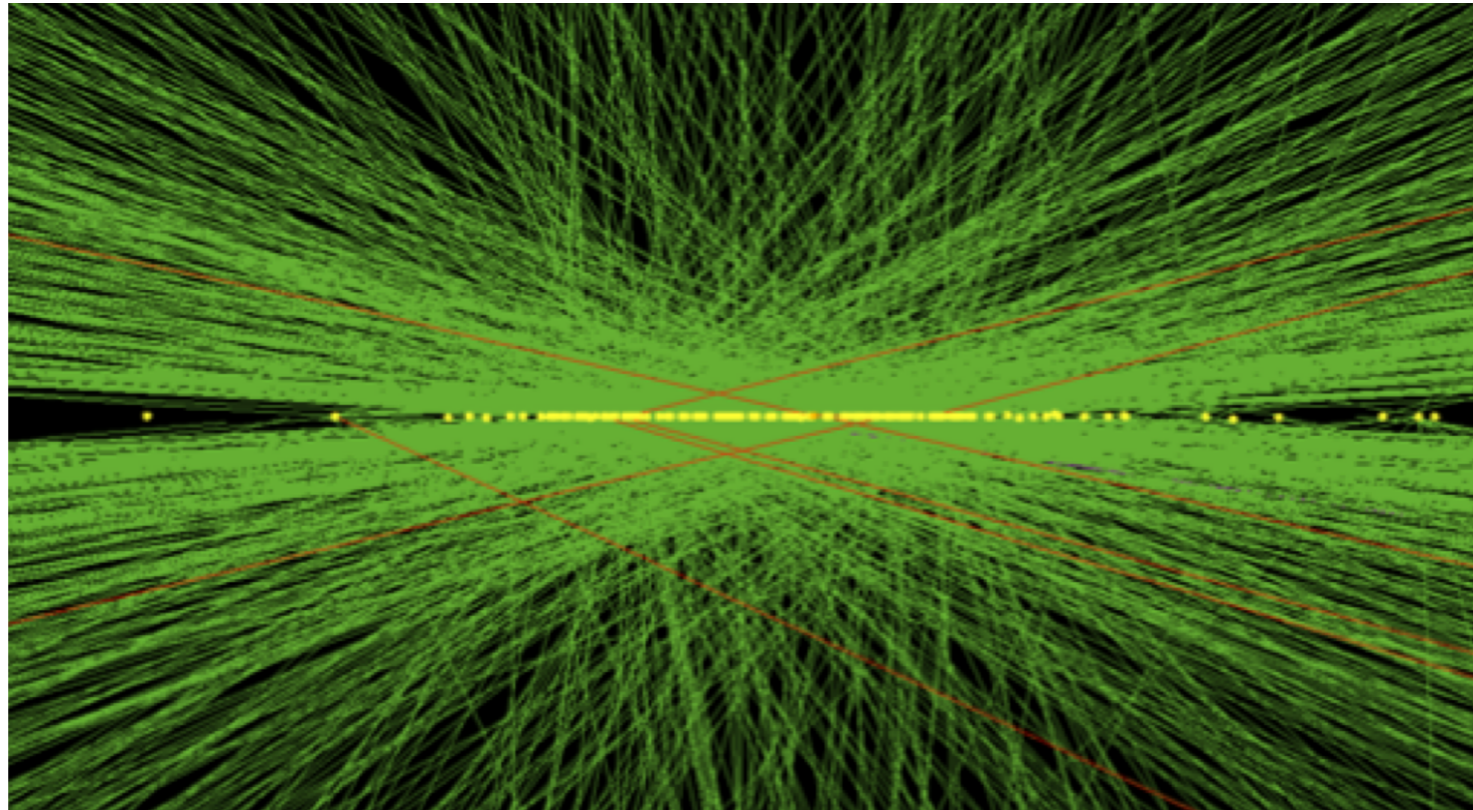


Naively scales with luminosity

# Challenges to Phase-II L1 Trigger



- L1 Accept rate scales  $\sim$  linearly with luminosity increase
  - Must maintain performance in **hostile environment!**

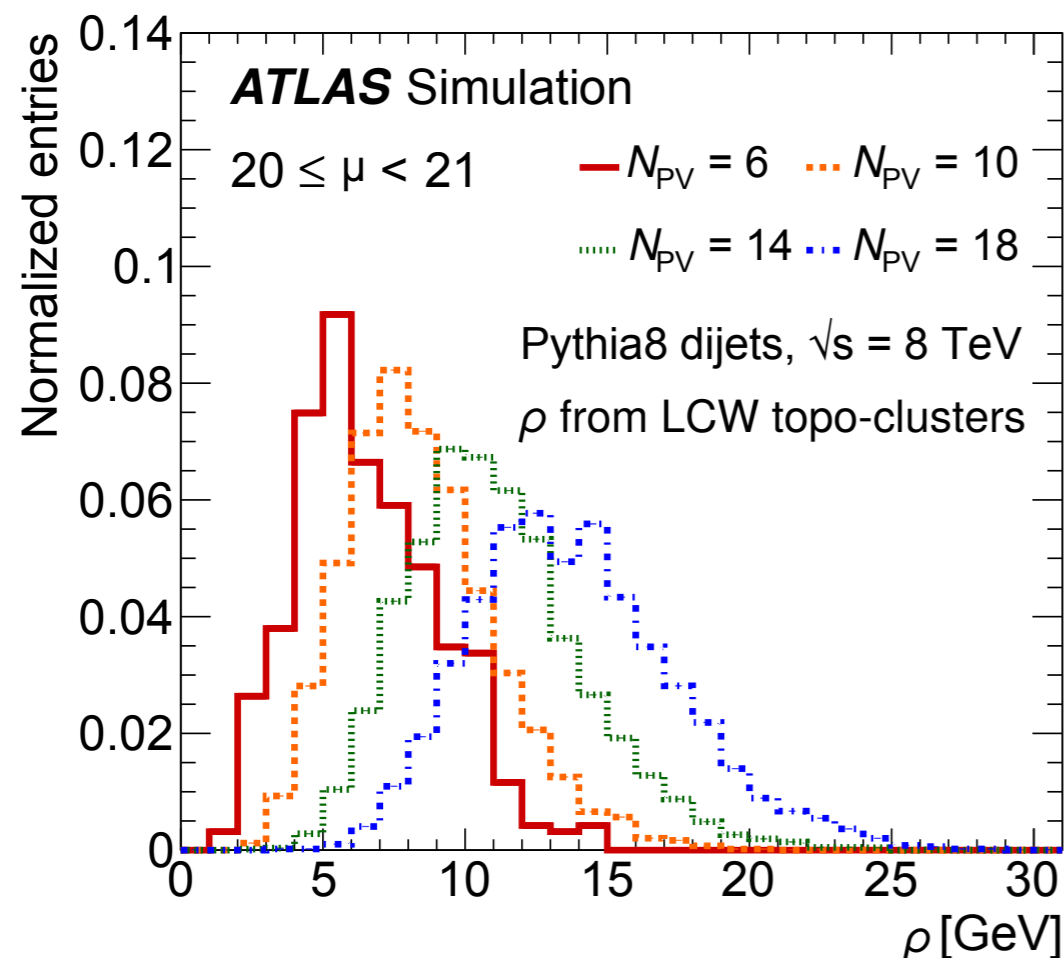


# Challenges to Phase-II L1 Trigger



- L1 Accept rate scales  $\sim$  linearly with luminosity increase
  - Must maintain performance in **hostile environment!**

Take  $hh$  production in  $4b$  (or  $bb\tau\tau$ ) decay mode



Higher pileup

→ Extra stochastic energy enters into the jet cone

More low- $p_T$  jets to "measure high" than vice versa

→ **Higher trigger rate**

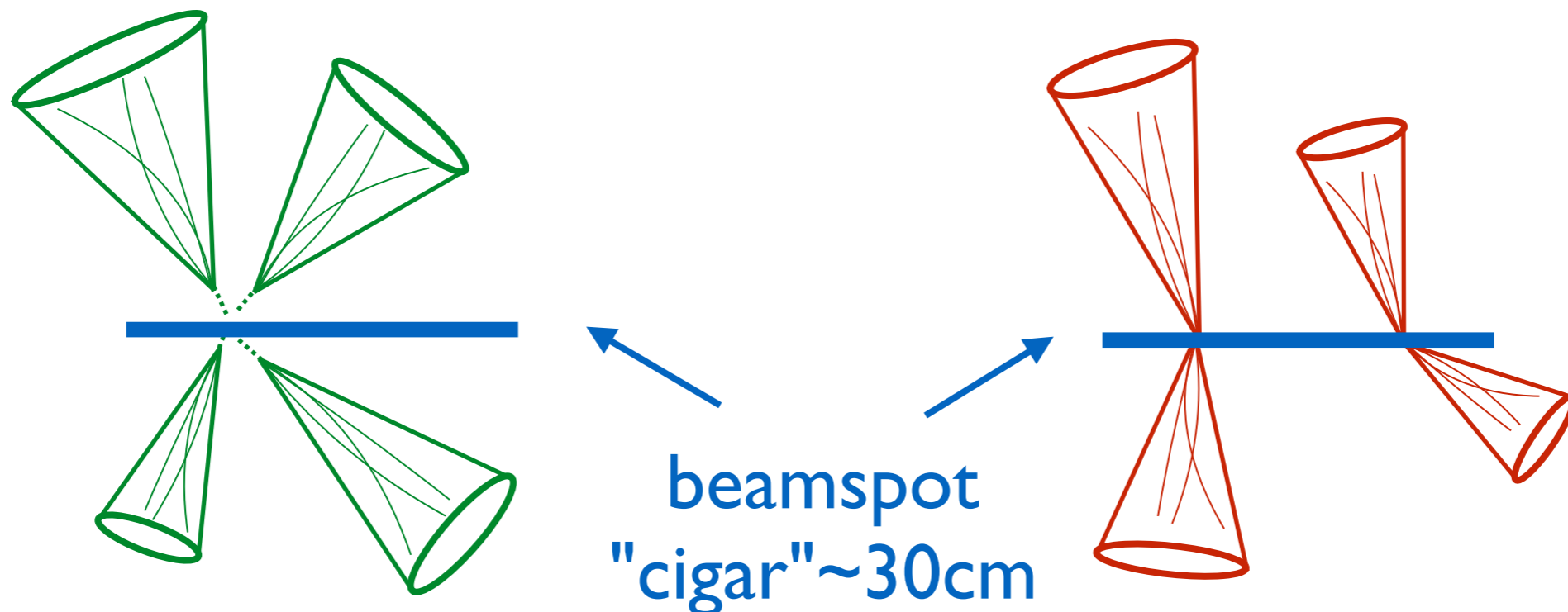
# Challenges to Phase-II L1 Trigger



- L1 Accept rate scales  $\sim$  linearly with luminosity increase
  - Must maintain performance in **hostile environment!**

**It gets worse !!**

Background (uncorrelated coincidences)  $\sim$  (lumi)<sup>2</sup>

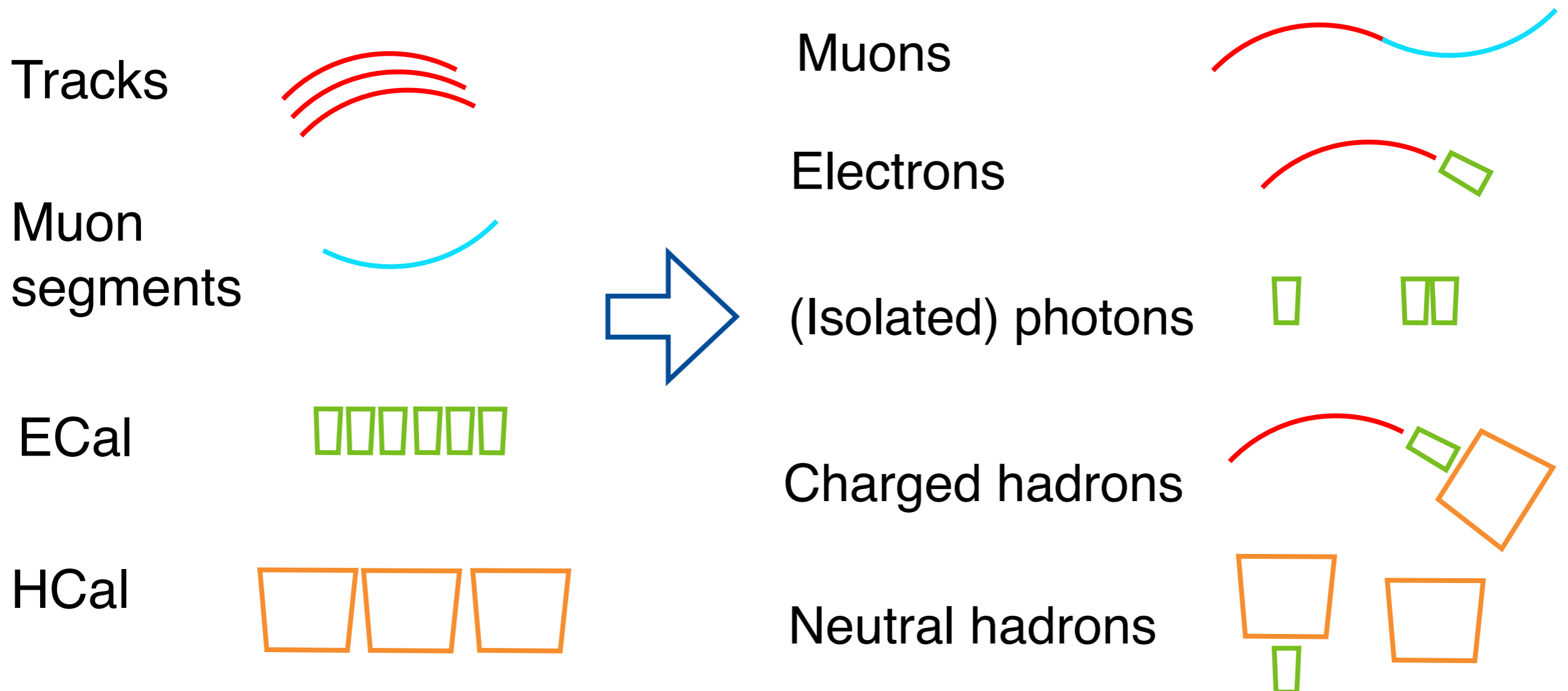


Not new problems, solved offline with Particle Flow Reco+

# Particle Flow Reconstruction



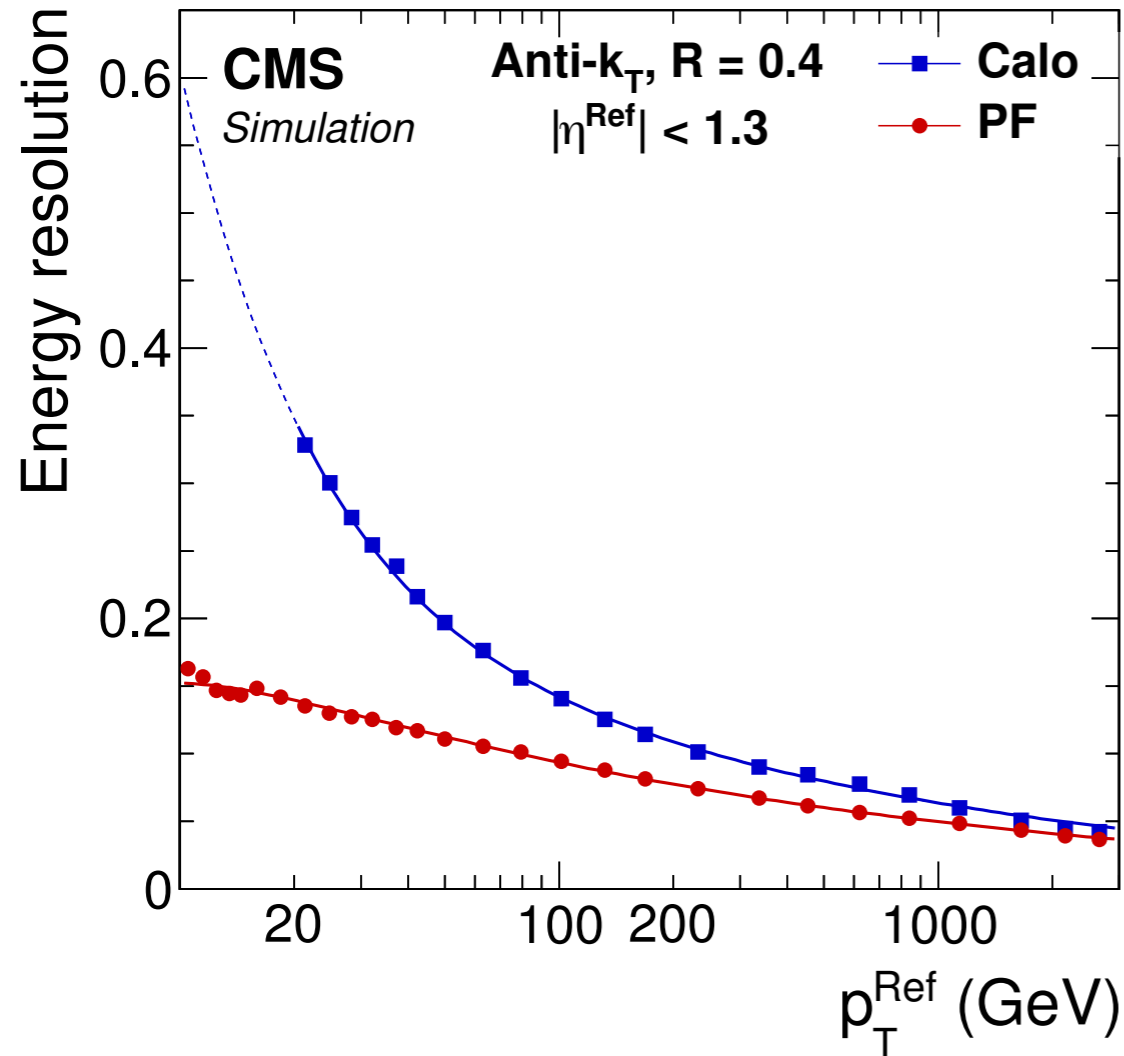
- Idea: **combine measurements** across all sub-detectors to achieve **best possible resolution per object**
  - Algorithm returns a **list of single-particle candidates**



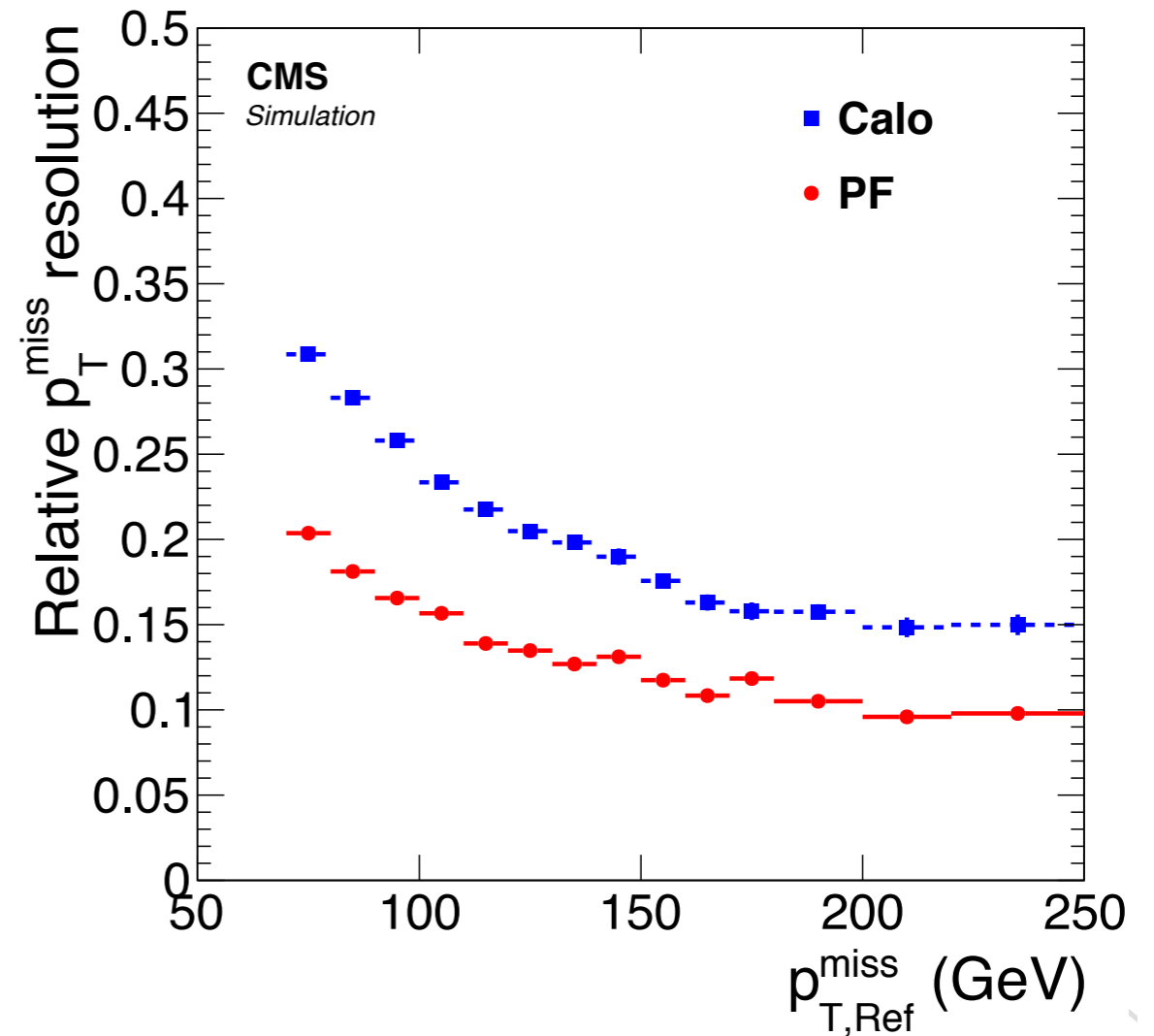
# Particle Flow Reconstruction



- Idea: **combine measurements** across all sub-detectors



Improved Jet  $p_T$  resolution

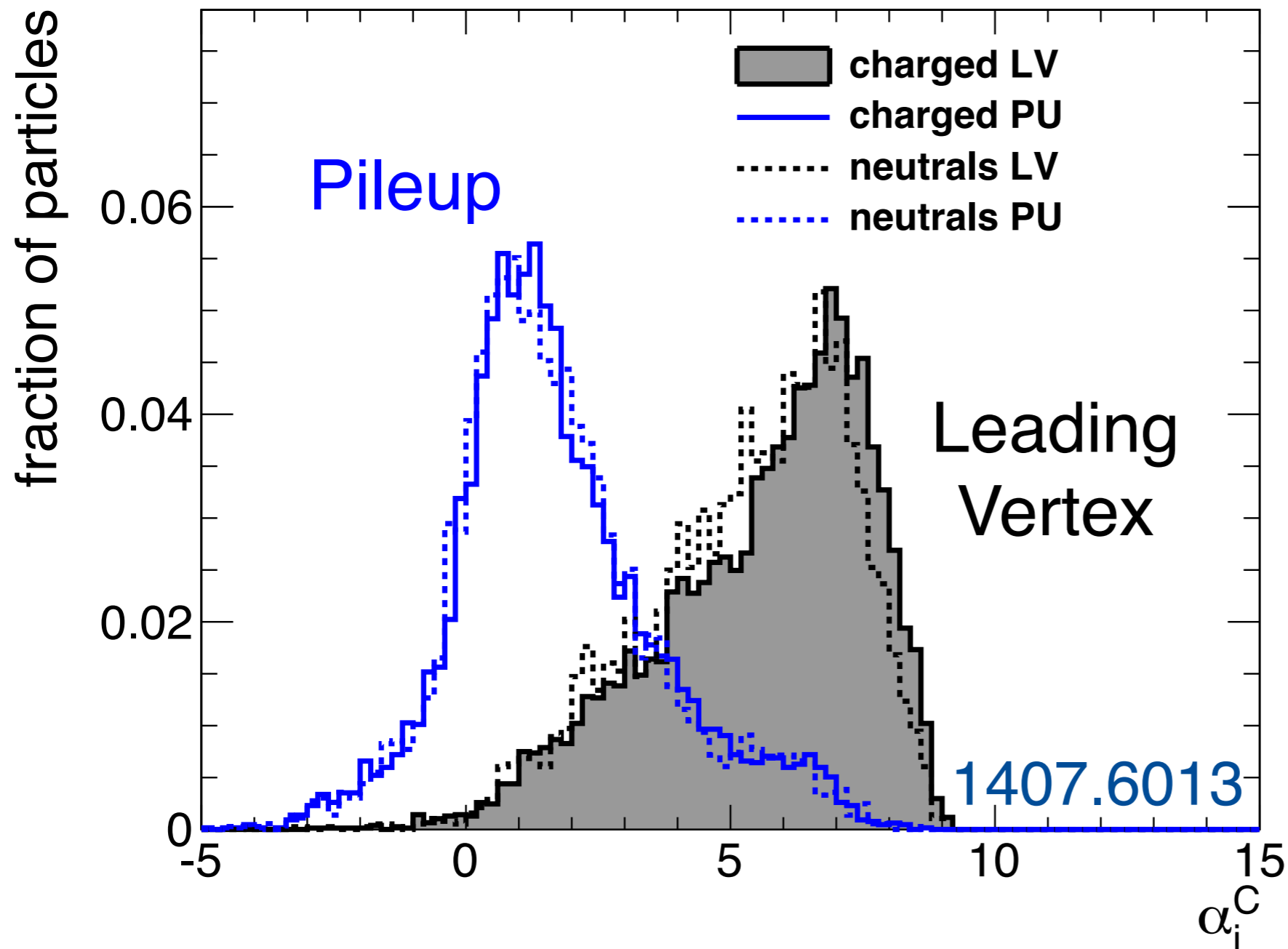


Improved  $p_T$ -miss resolution

# Pileup Per Particle Identification



- Idea: get probability that a **neutral PF candidate** is pileup based on **local activity** from the leading vertex

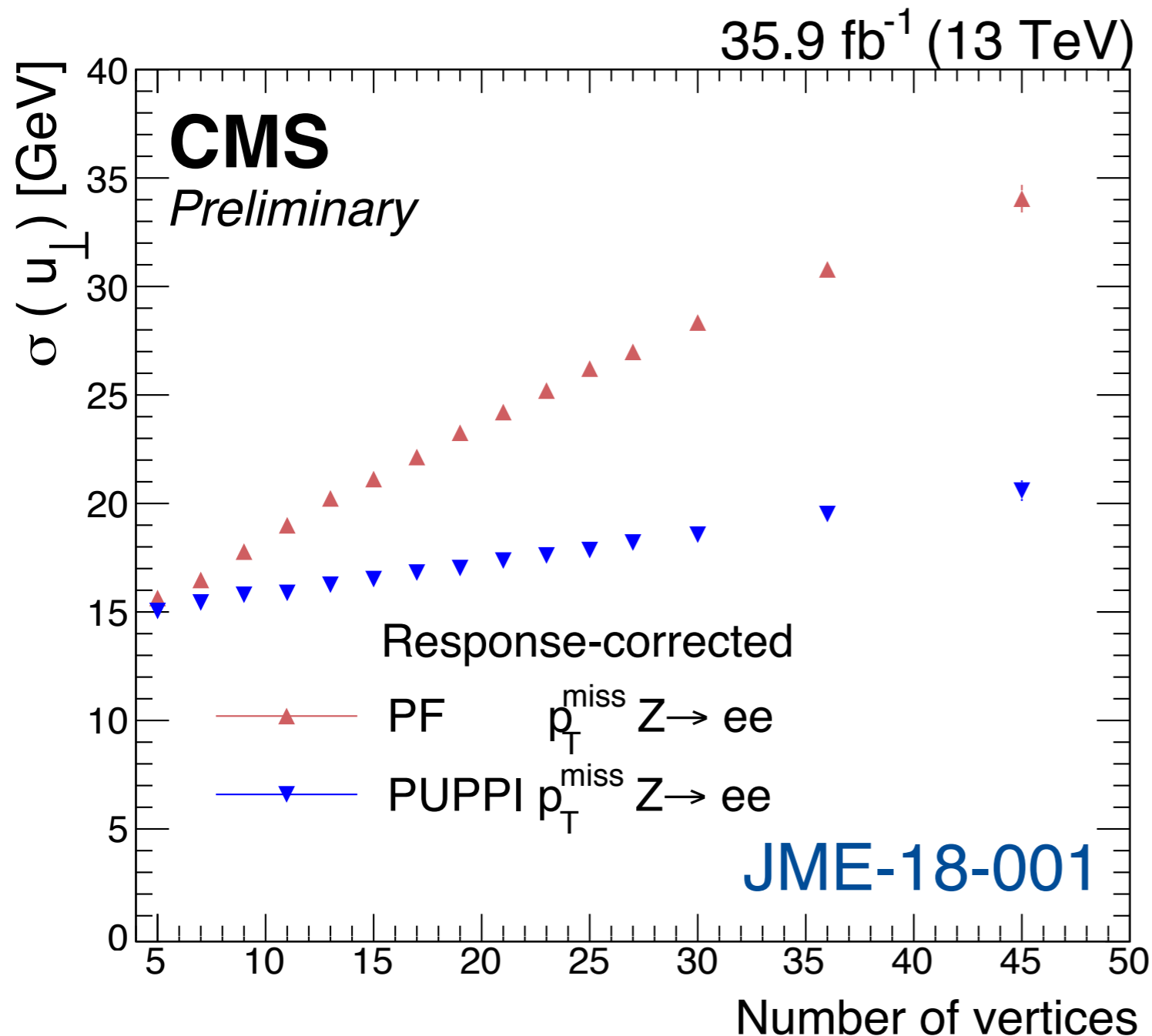


$$\alpha \sim \sum_{i \in \text{cone}} \frac{p_{T,i}}{\Delta R_i}$$

# Pileup Per Particle Identification



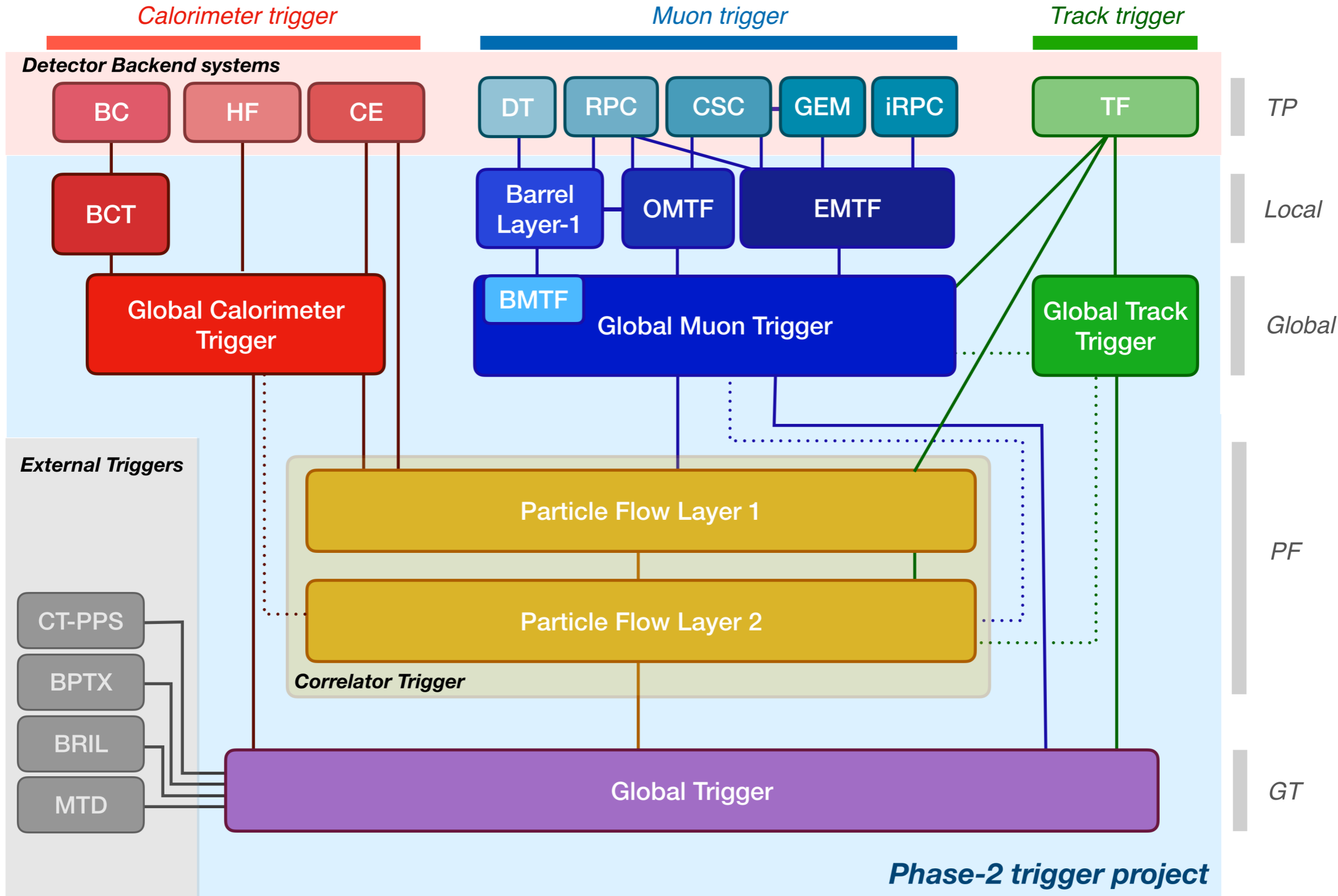
- Idea: get probability that a **neutral PF candidate** is pileup based on **local activity** from the leading vertex



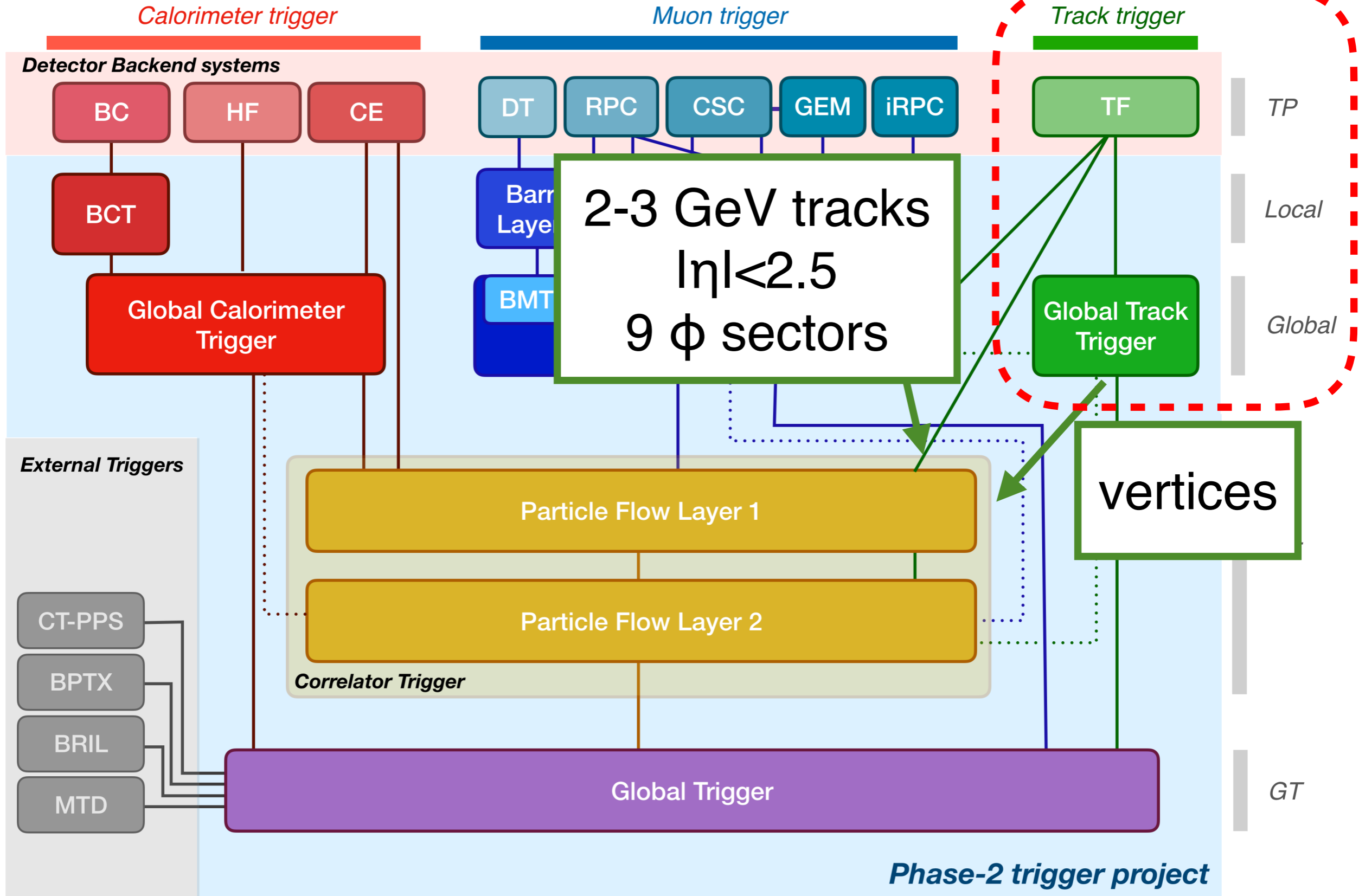
Improved  $p_T$ -miss resolution



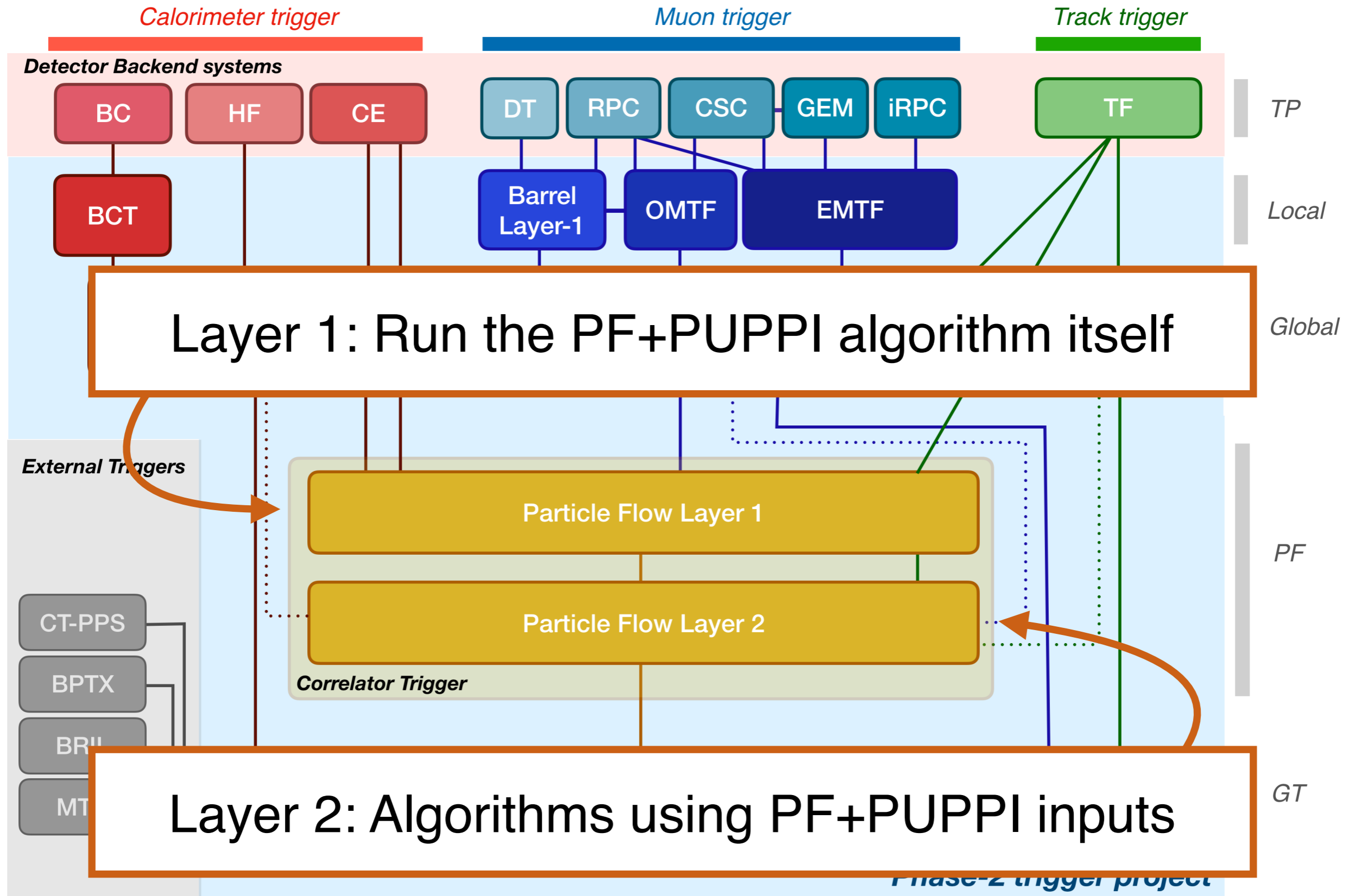
# Architecture of the Phase-II L1 Trigger



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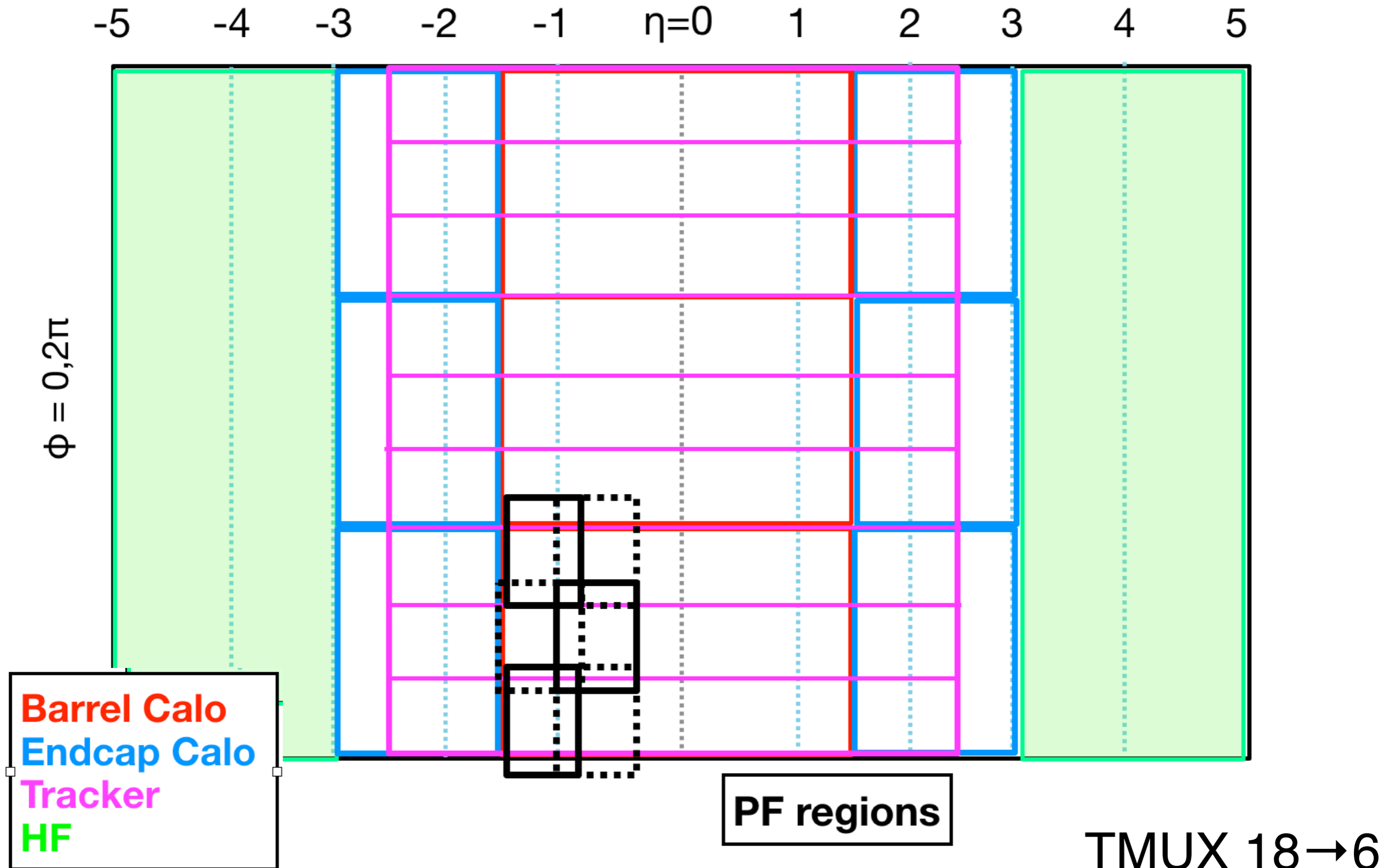
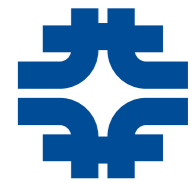
Phase-2 trigger project

# Strategy for L1 Implementation



- Take advantage of the **inherent locality** of PF+PUPPI
  - Distribute computation across many processing units
- Processing is divided into three main steps:
  - Regionalization (VHDL) **Layer 1**
  - PF+PUPPI calculation (High Level Synthesis C++)
  - Algorithms using PF+PUPPI inputs (HLS C++) **Layer 2**
- HLS: no expertise required!
  - Fast prototyping, debugging, comparison of alg variants

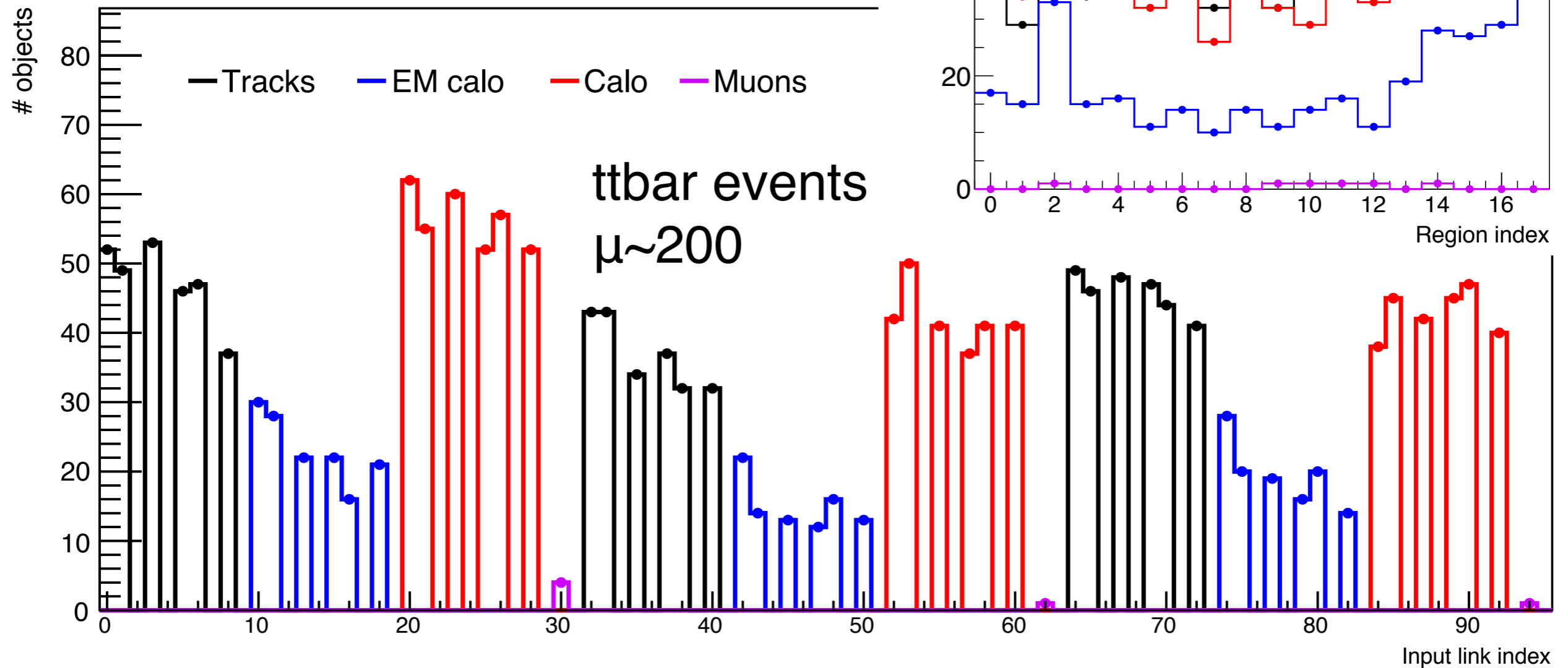
# Inputs versus $\eta$ , PF+PUPPI regions



# Regionizer validation

VHDL algorithm validated with simulated data inputs

100% match!

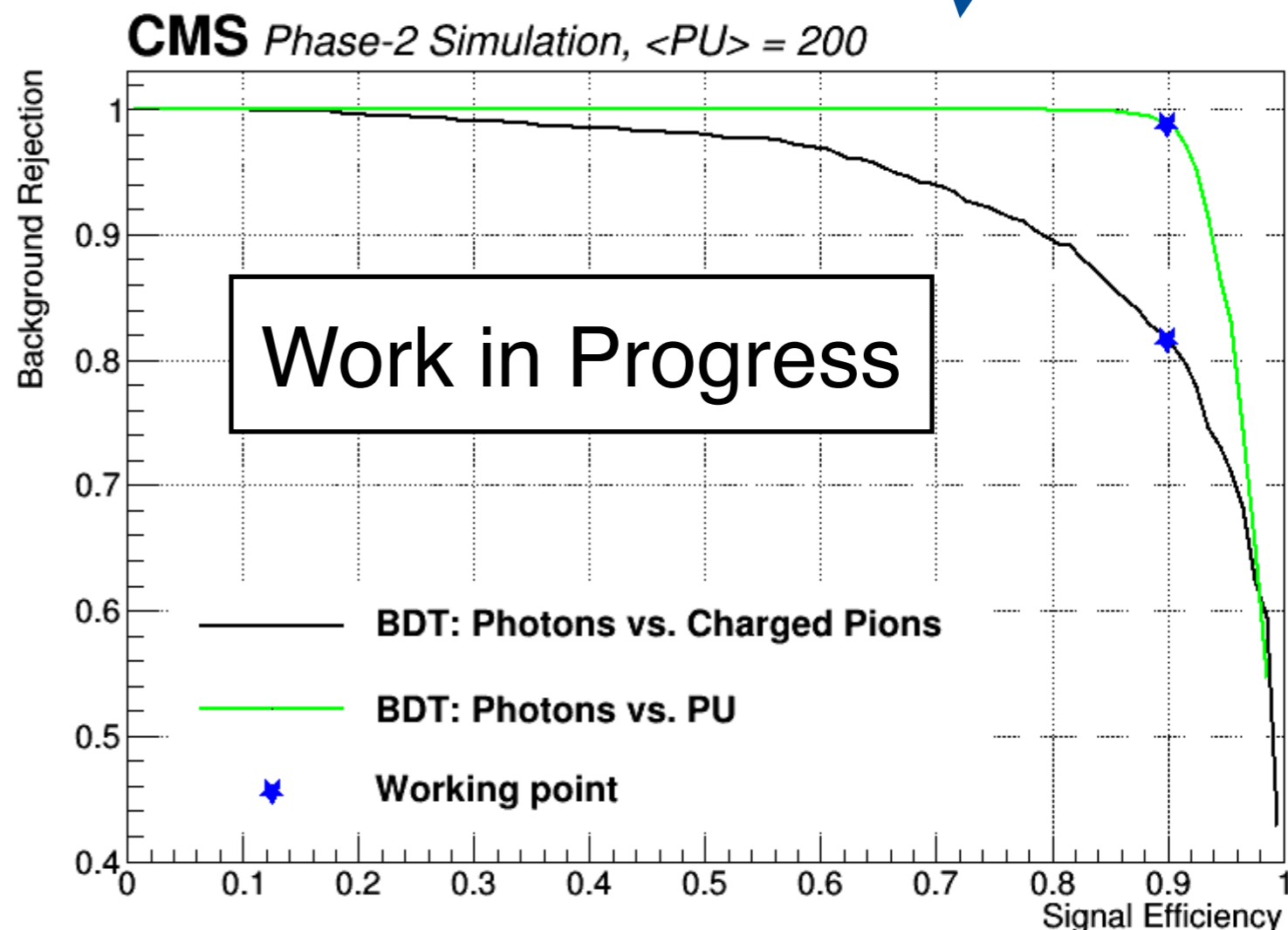




# HW Particle Flow + PUPPI

- Regionalization → small # of objects to link (truncation)
- Cluster input pre-processing: exploit shapes
- PUPPI 'linearized'; smaller cone size

- Classify cluster:
  - Hadronic or EM-like?
- Remove pileup deposits
  - **Less work for PUPPI!**





# Resource drivers

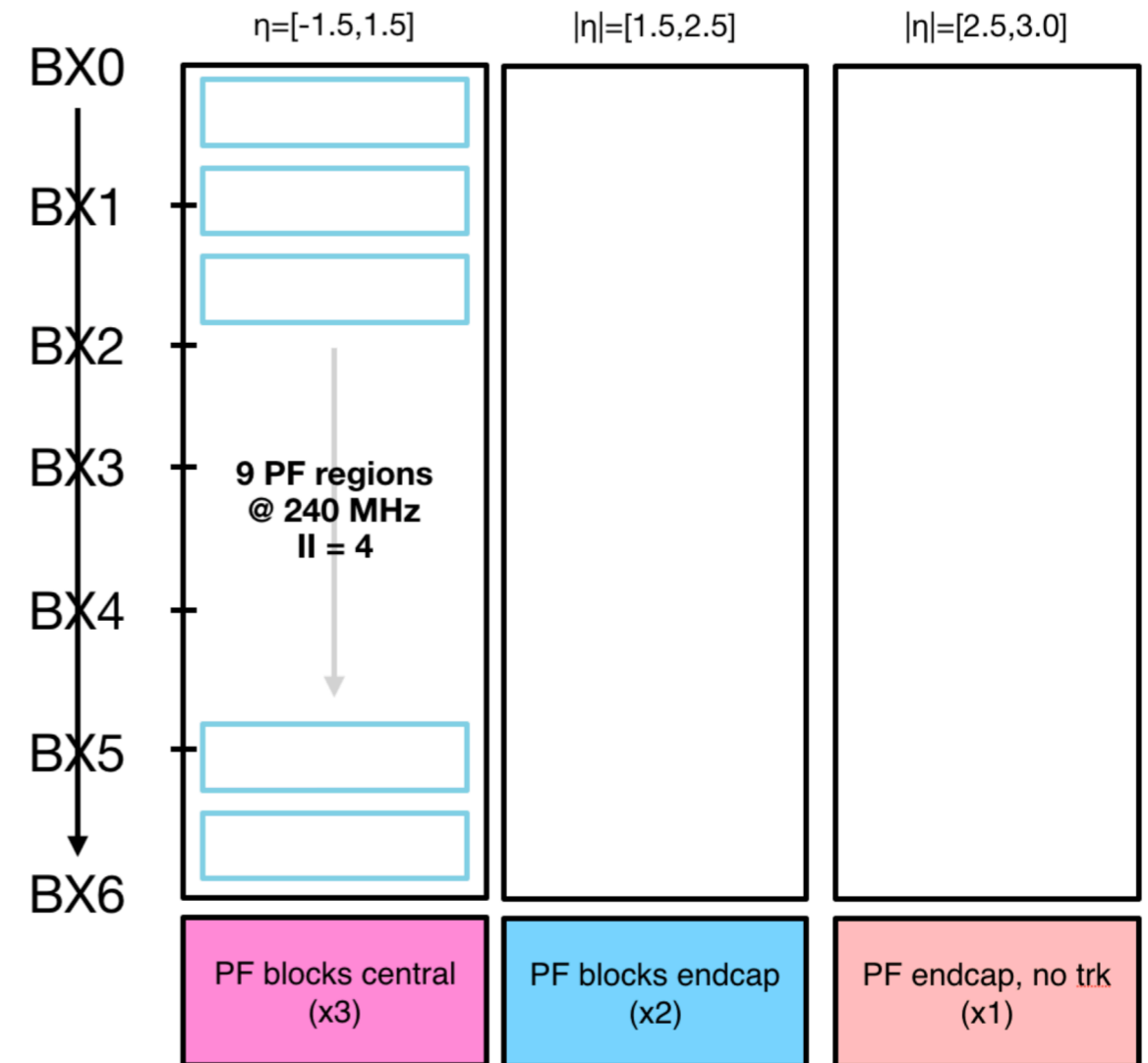
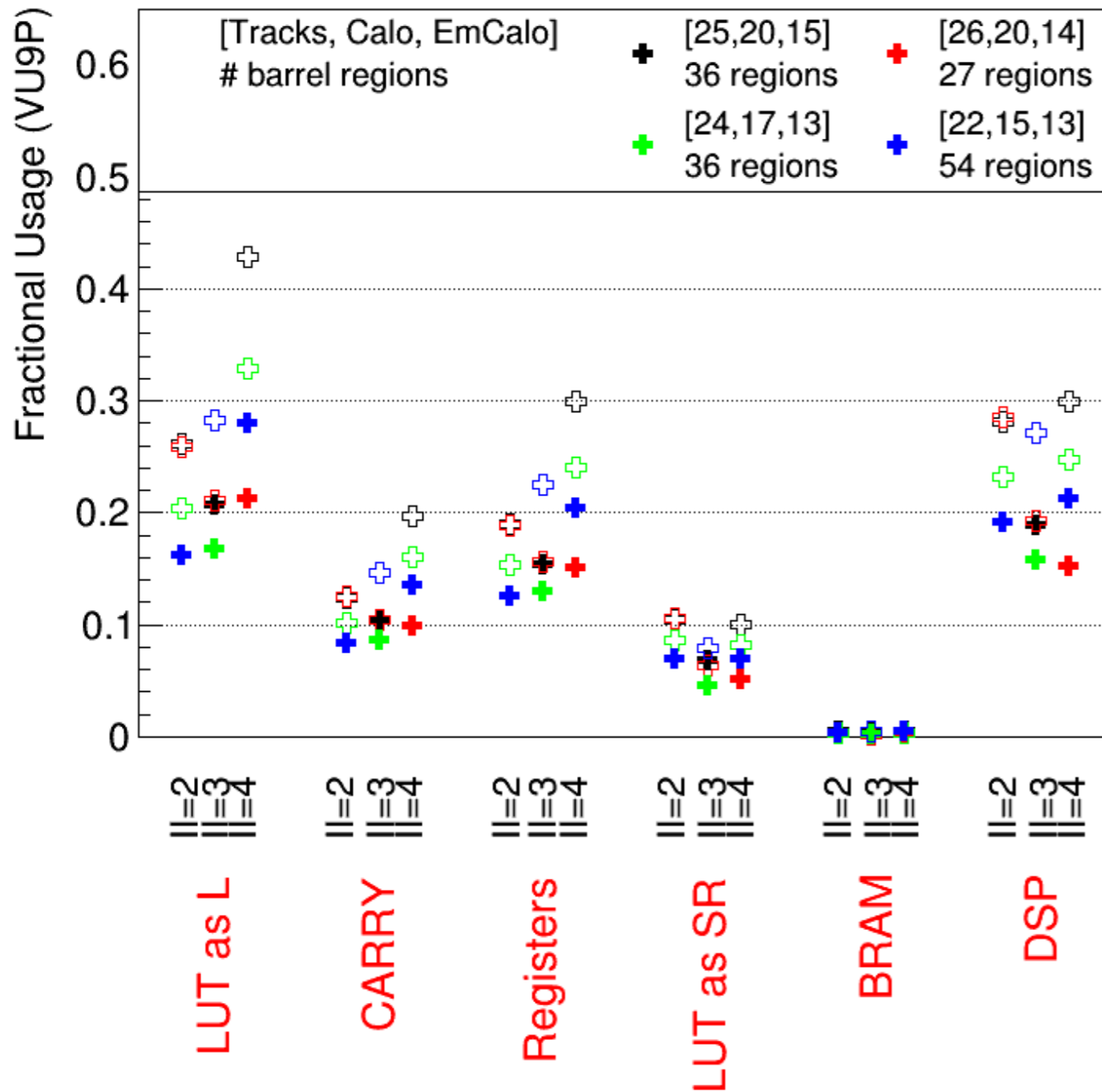
- Many  $\Delta R$  calculations for track-calorimeter linking drives DSP
  - Scales as  $(\text{\#tracks}) * (\text{\#calo clusters})$
- PUPPI weights drive BRAM usage
  - To compute  $p_T/\Delta R$  quickly requires division tables
  - DSPs also used to map  $(p_T, \Delta R) \rightarrow$  PUPPI weights

Resource	LUT	FF	BRAM	DSP
Usage	528k	785k	871	1020
% VU9P	45%	33%	40%	15%

PF+PUPPI resources for 22 tracks, 15+13 calo clusters



# Regionalization schemes



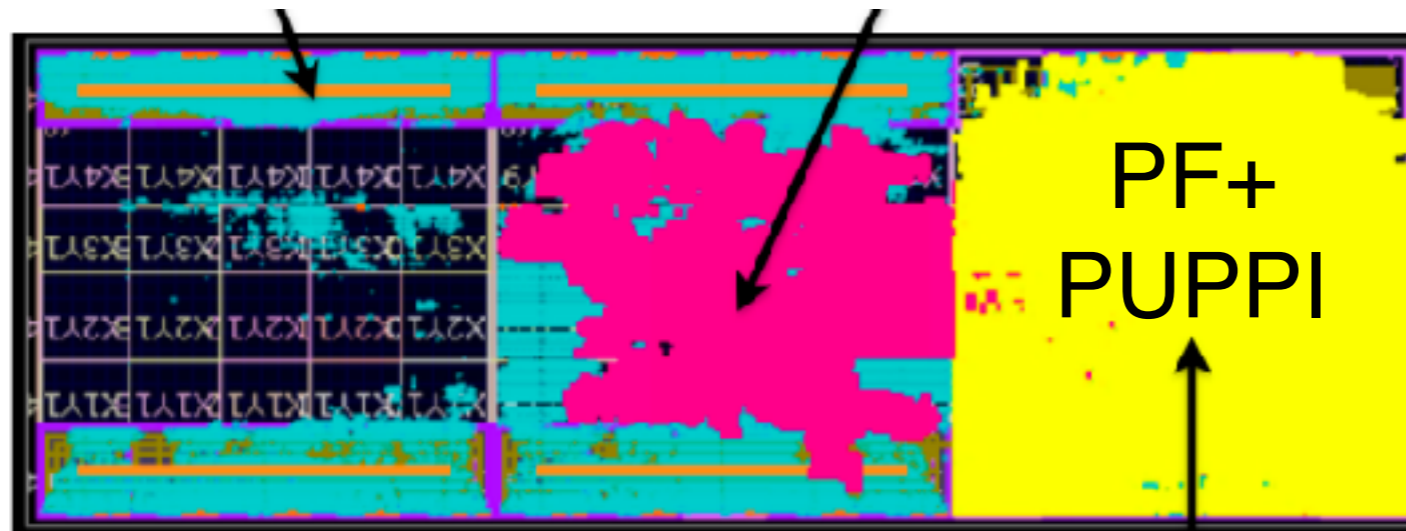
Resources vs. various initiation intervals and region sizes

# Hardware Prototype

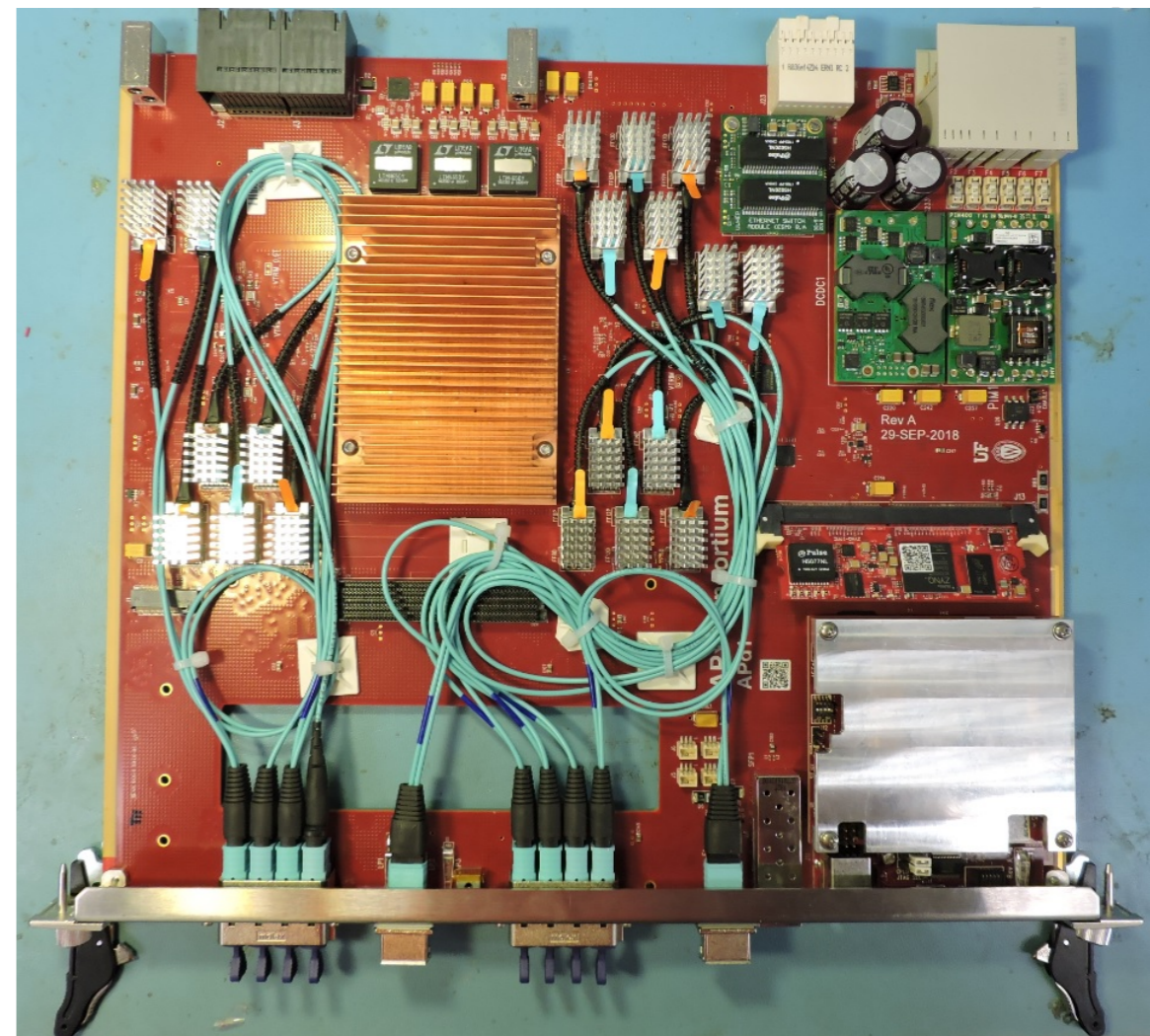


Link infra

Regional sorting



Placed preliminary algorithm on VU9P



THE UNIVERSITY OF ILLINOIS AT CHICAGO

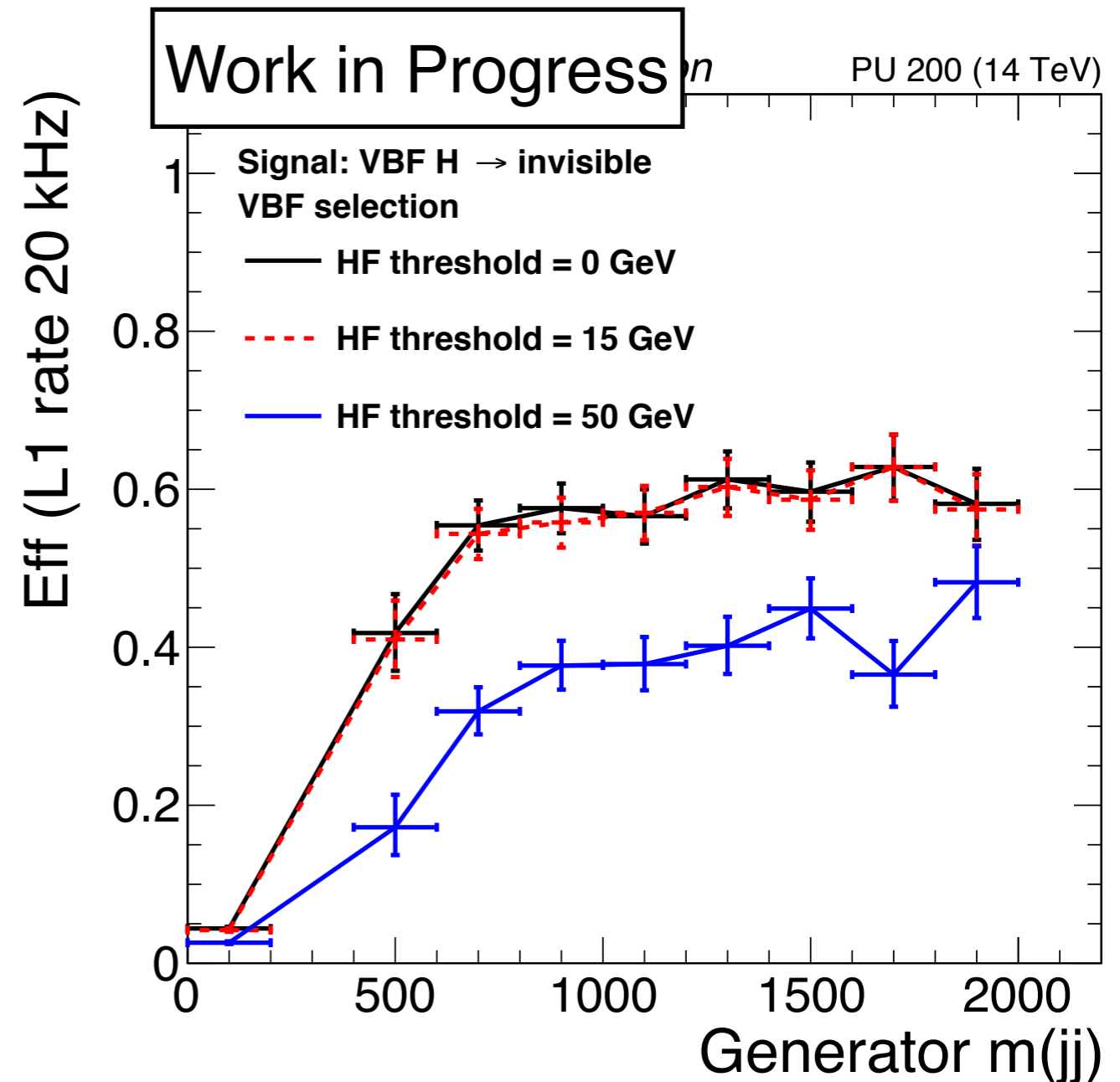
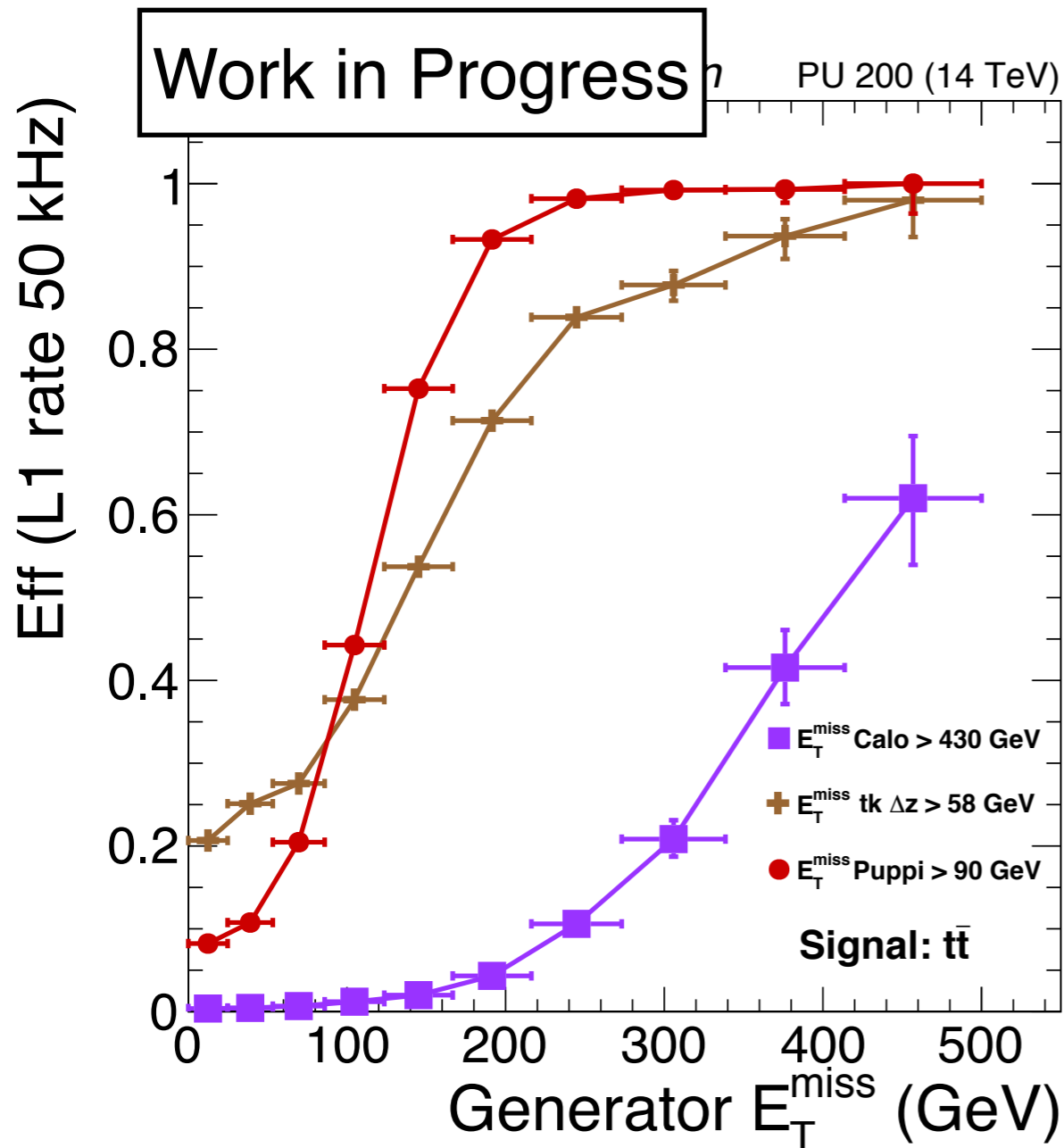


ATCA carrier card development lead by APx consortium

# Layer 2 algorithms – Jets and MET



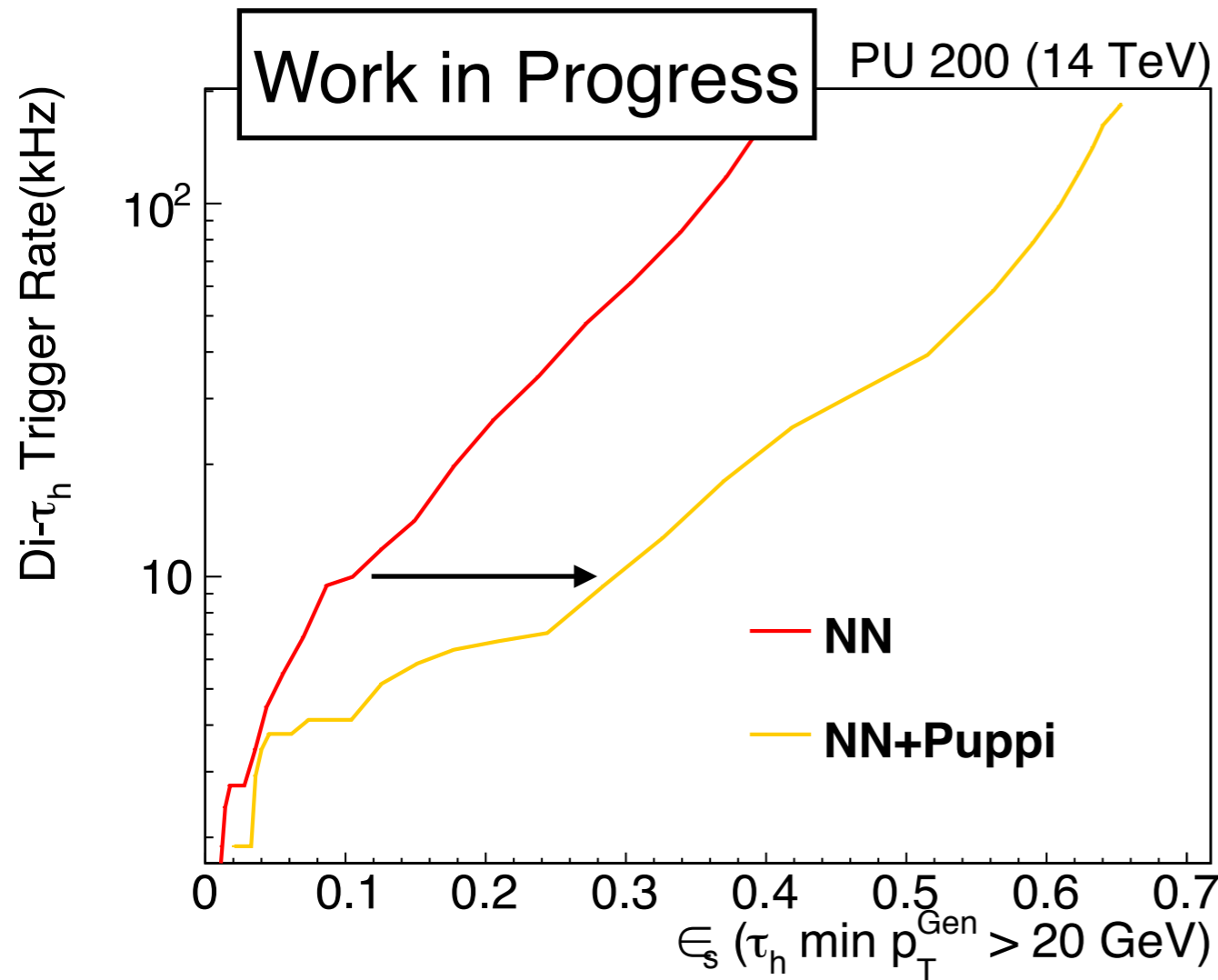
- Use PF+PUPPI candidates to build jets, energy sums



# Layer 2 algorithms – Tau ID NN



- Identify hadronic tau decays using PF+PUPPI candidates



- Inputs: 10 nearby PF candidates ( $p_T, \eta, \phi, \text{id}$ )
- Dense w/ 3 hidden layers (25,25,10)  $\rightarrow$  1 MVA ID
- This implementation:
  - Up to 18 PF+PUPPI candidates / event

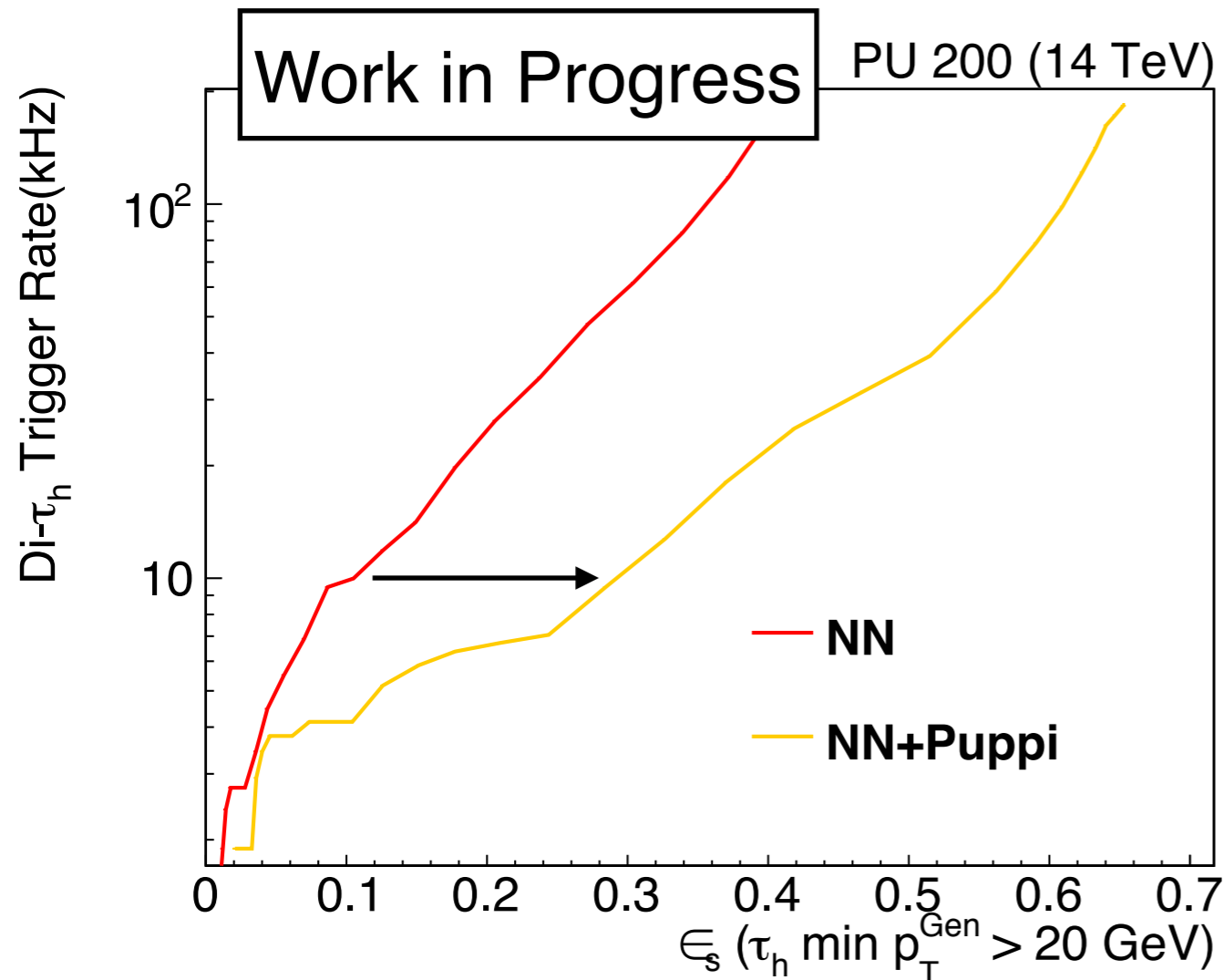
A proof-of-principle prototype  
Developed using hls4ml

LUT	FF	DSP	Latency
90k	150k	1400	210ns
7%	6%	20%	

# Layer 2 algorithms – Tau ID NN



- Identify hadronic tau decays using PF+PUPPI candidates



- Inputs: 10 nearby PF candidates ( $p_T, \eta, \phi, \text{id}$ )
- Dense w/ 3 hidden layers (25,25,10)  $\rightarrow$  1 MVA ID



See hls4ml talk / Sergo  
+ L1 Muon / Jia Fu  
+ ML trigger / Zhenbin

A proof-of-principle prototype  
Developed using hls4ml

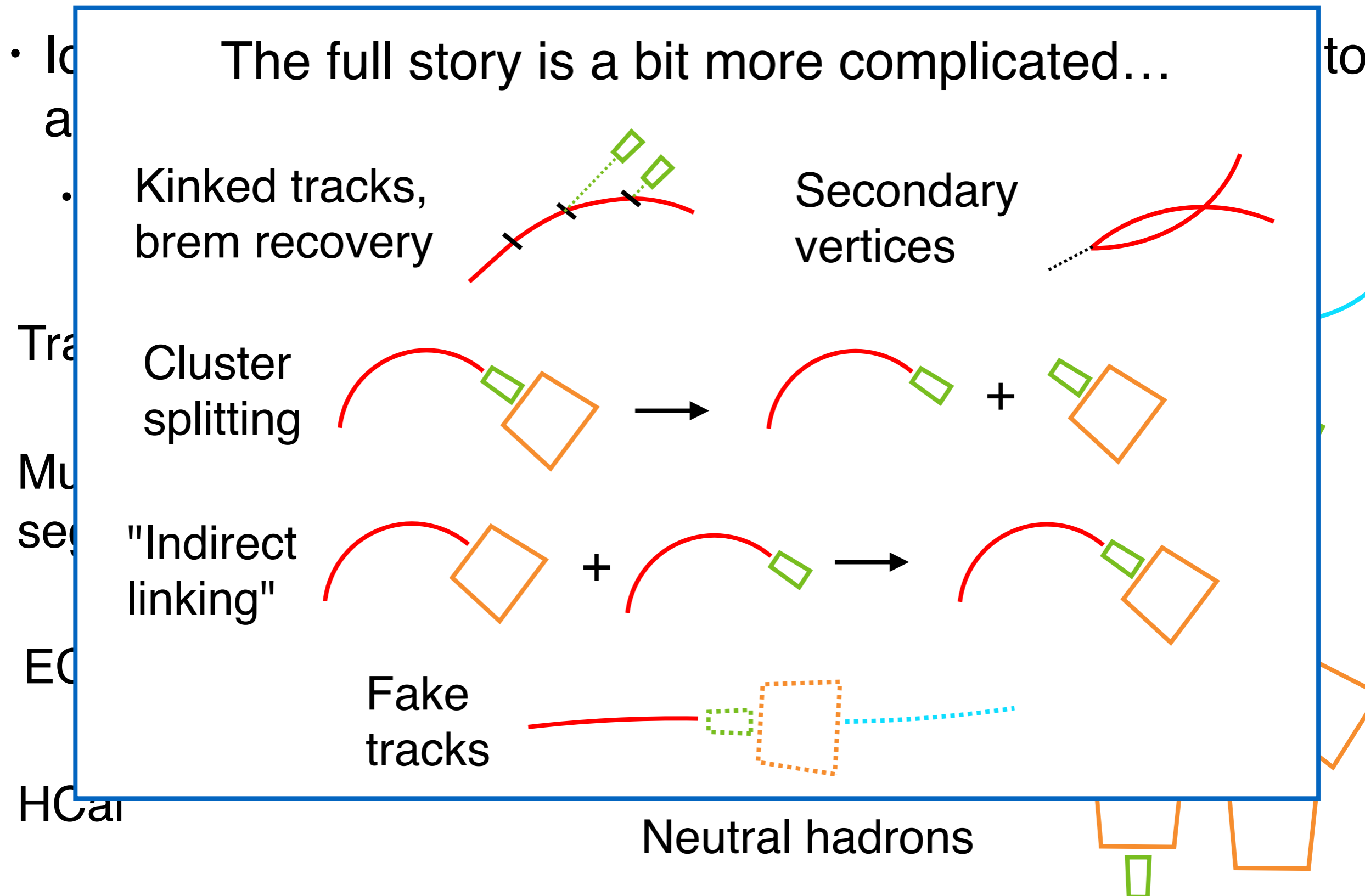


# Conclusion

- The Level-1 Particle Flow Trigger is an ambitious addition to the Phase-II upgrade
- Correlation of all major sub-detectors allows unprecedented event reconstruction at 40mhz
- Capability promises to significantly enhance CMS sensitivity to interesting weak-scale physics

**Backup**

# Particle Flow Reconstruction





# Pileup Per Particle Identification

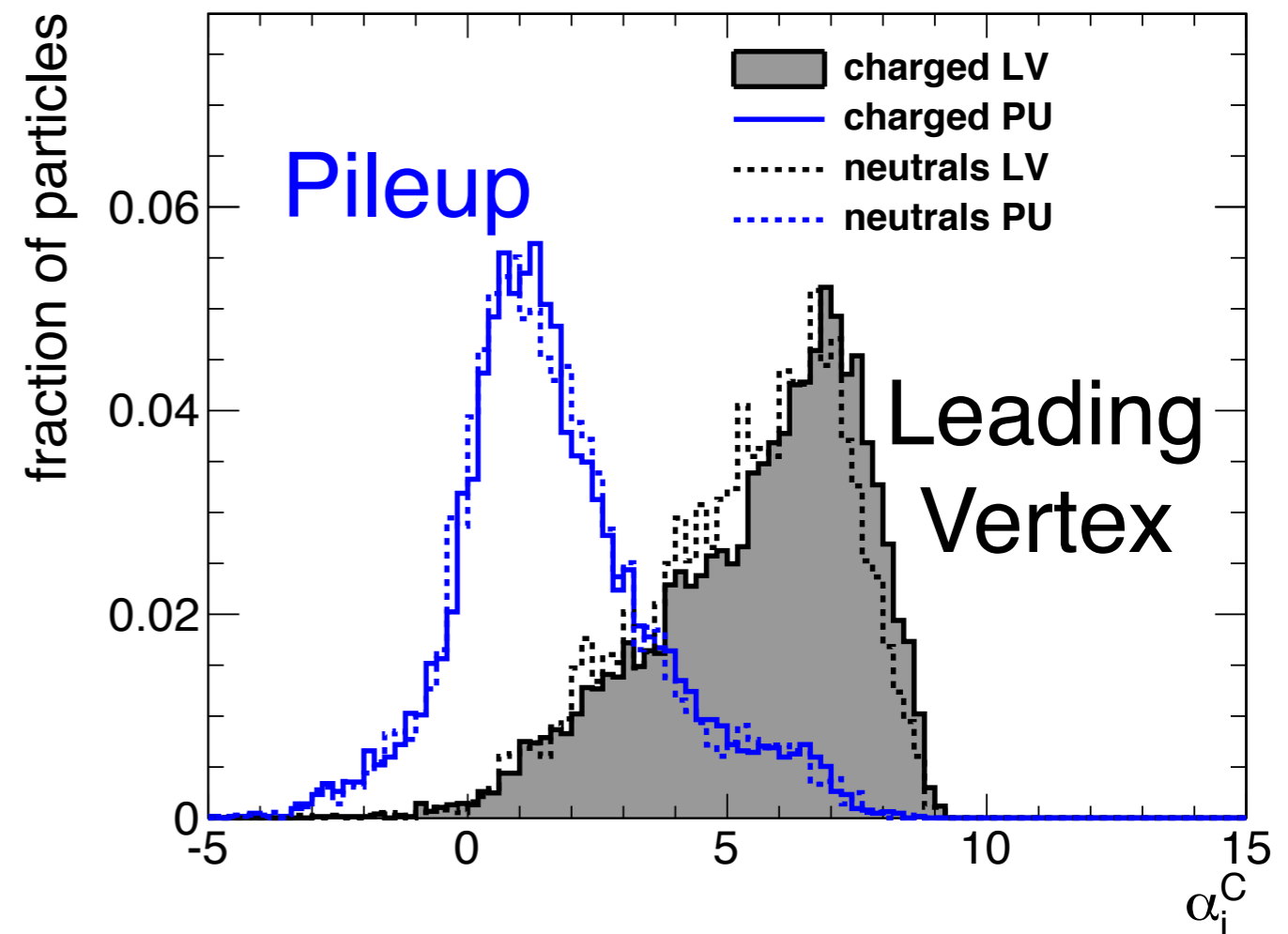


- Idea: assign a probability that a neutral PF candidate is pileup based on local activity from the leading vertex
  - Discriminant favor nearby, high- $p_T$  particles (in cone)
  - QCD is collinear, while pileup is diffuse

$$\alpha_i = \log \sum_{j \neq i, \Delta R_{ij} < R_0} \left( \frac{p_{Tj}}{\Delta R_{ij}} \right)^2$$

sum over nearby charged particles

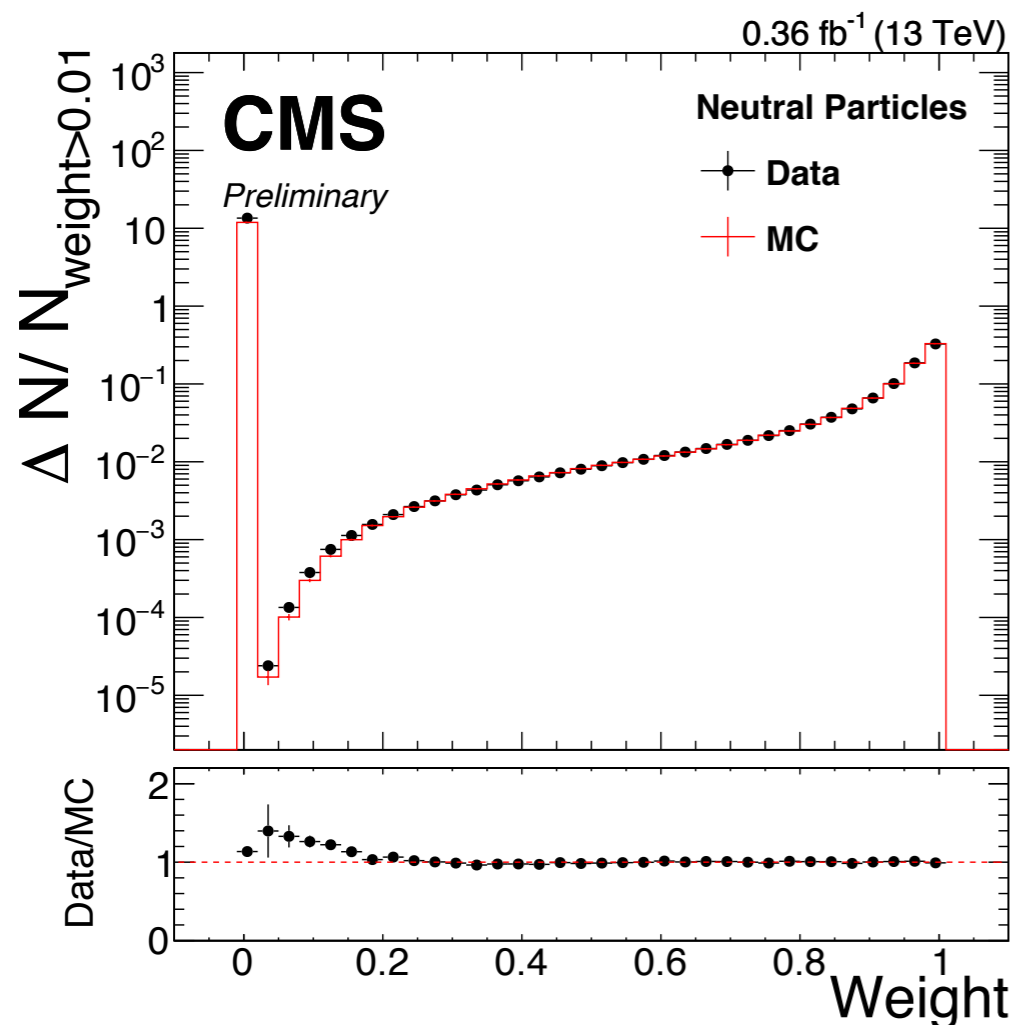
$$\Delta R_{ij}^2 = \Delta \eta_{ij}^2 + \Delta \phi_{ij}^2$$



# Pileup Per Particle Identification



- Idea: assign a probability that a neutral PF candidate is pileup based on local activity from the leading vertex
  - Discriminant favor nearby, high- $p_T$  particles (in cone)
  - QCD is collinear, while pileup is diffuse

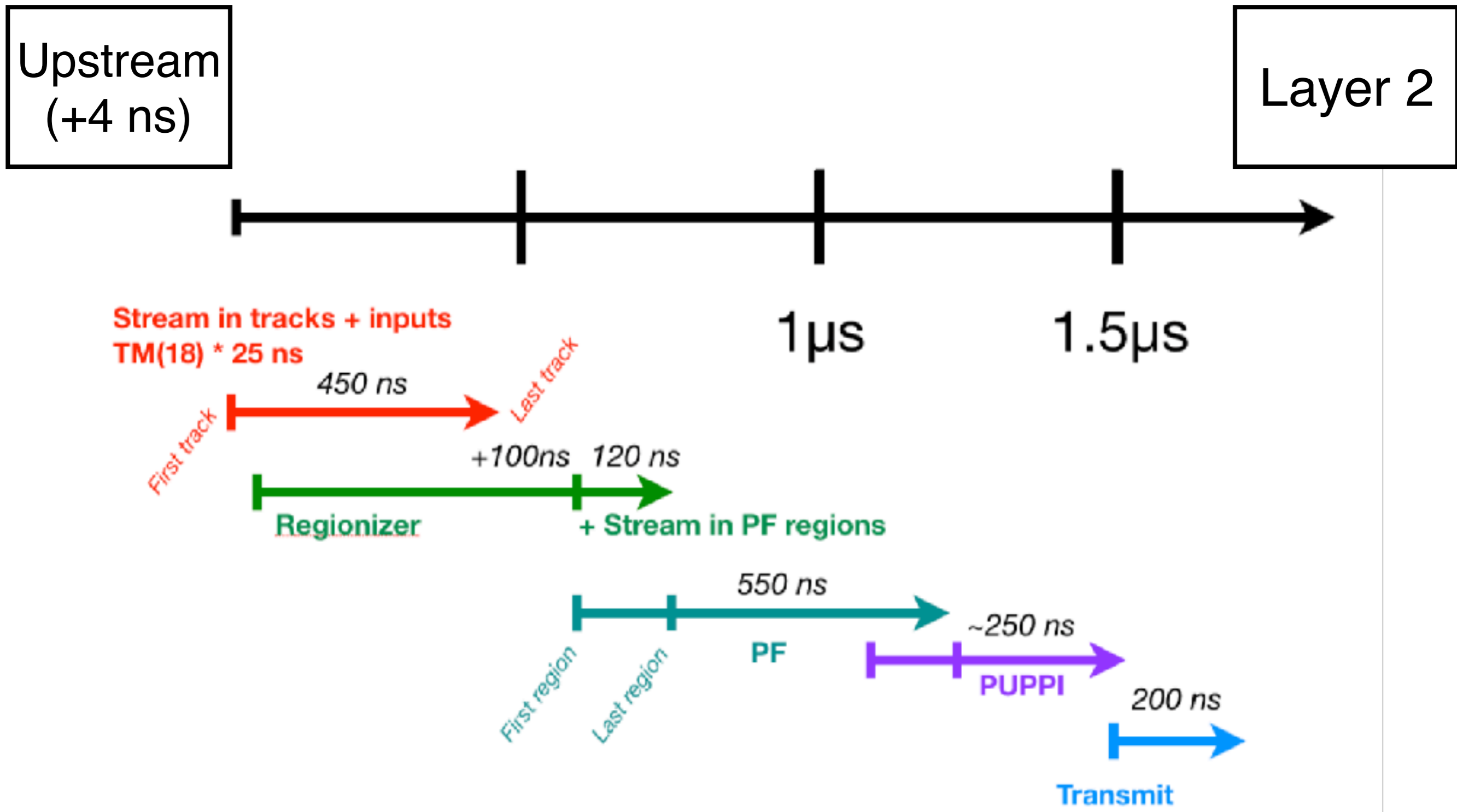


Compare a w/ expected distribution, given the level of pileup (chi2 test)

← obtain weights!

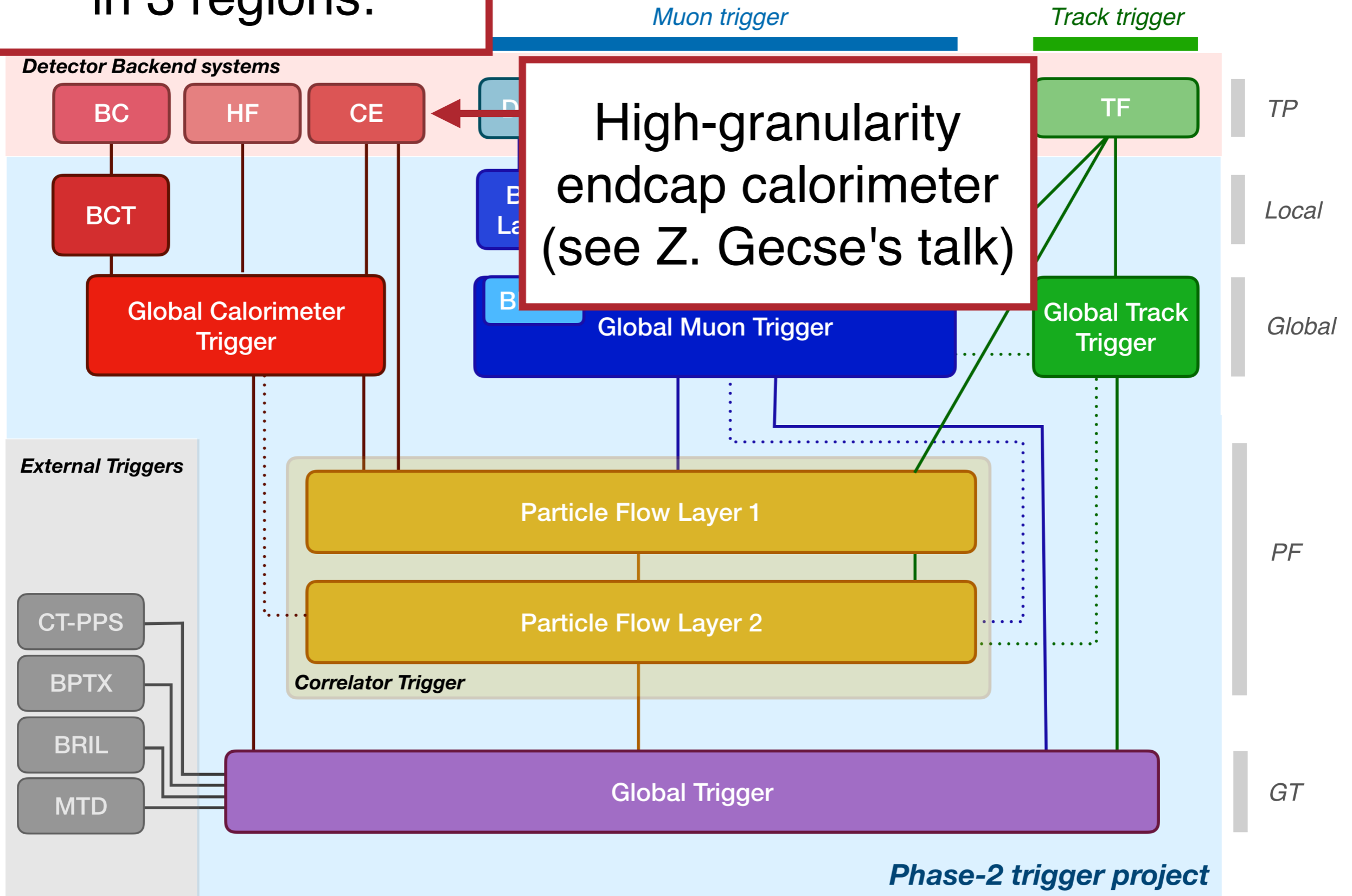
Re-scale 4-vectors:  
50 GeV particle w/ 0.4 PUPPI weight considered as a 20 GeV particle

# Latency budget

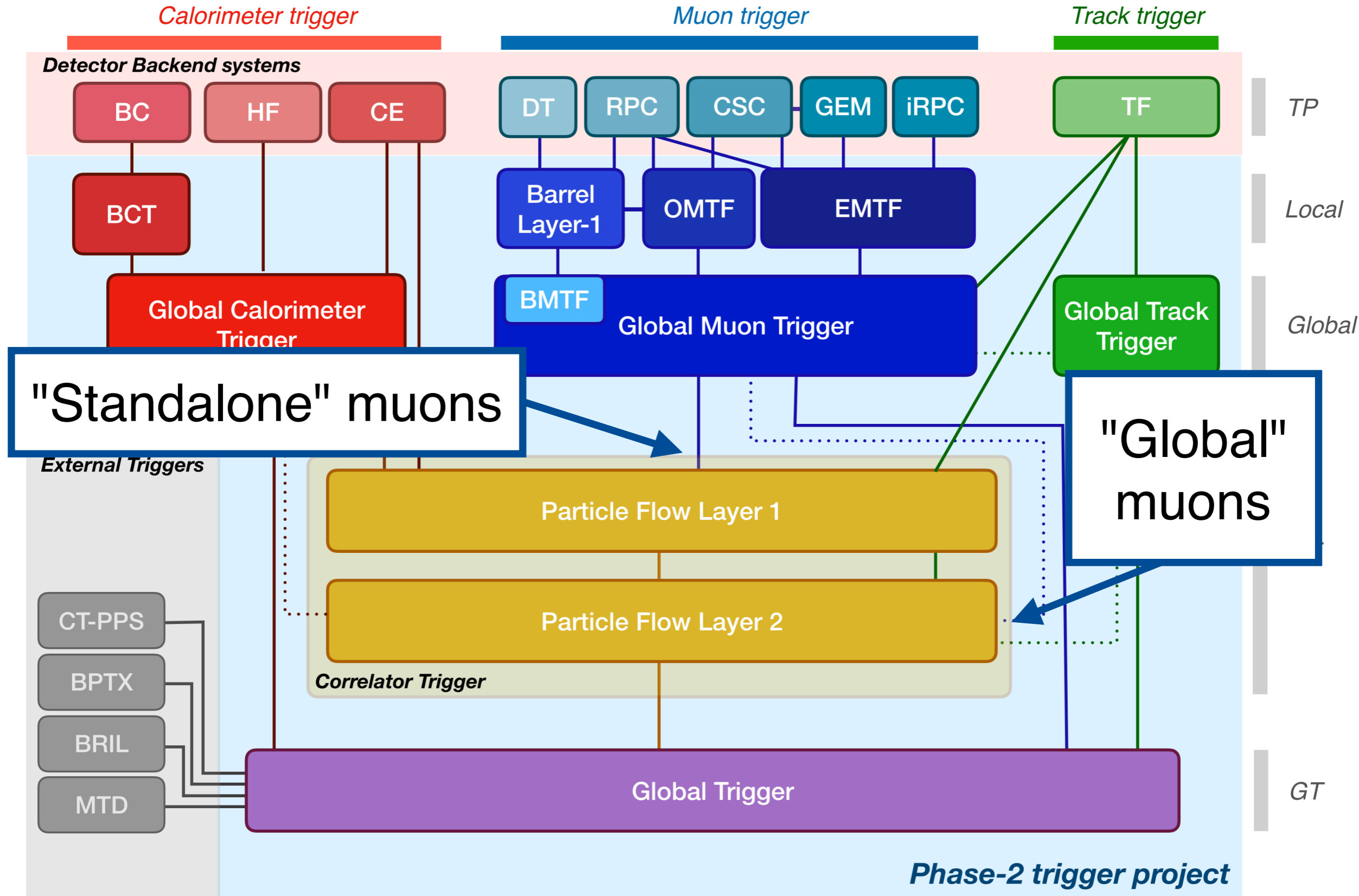


# Calorimeter clusters in 3 regions:

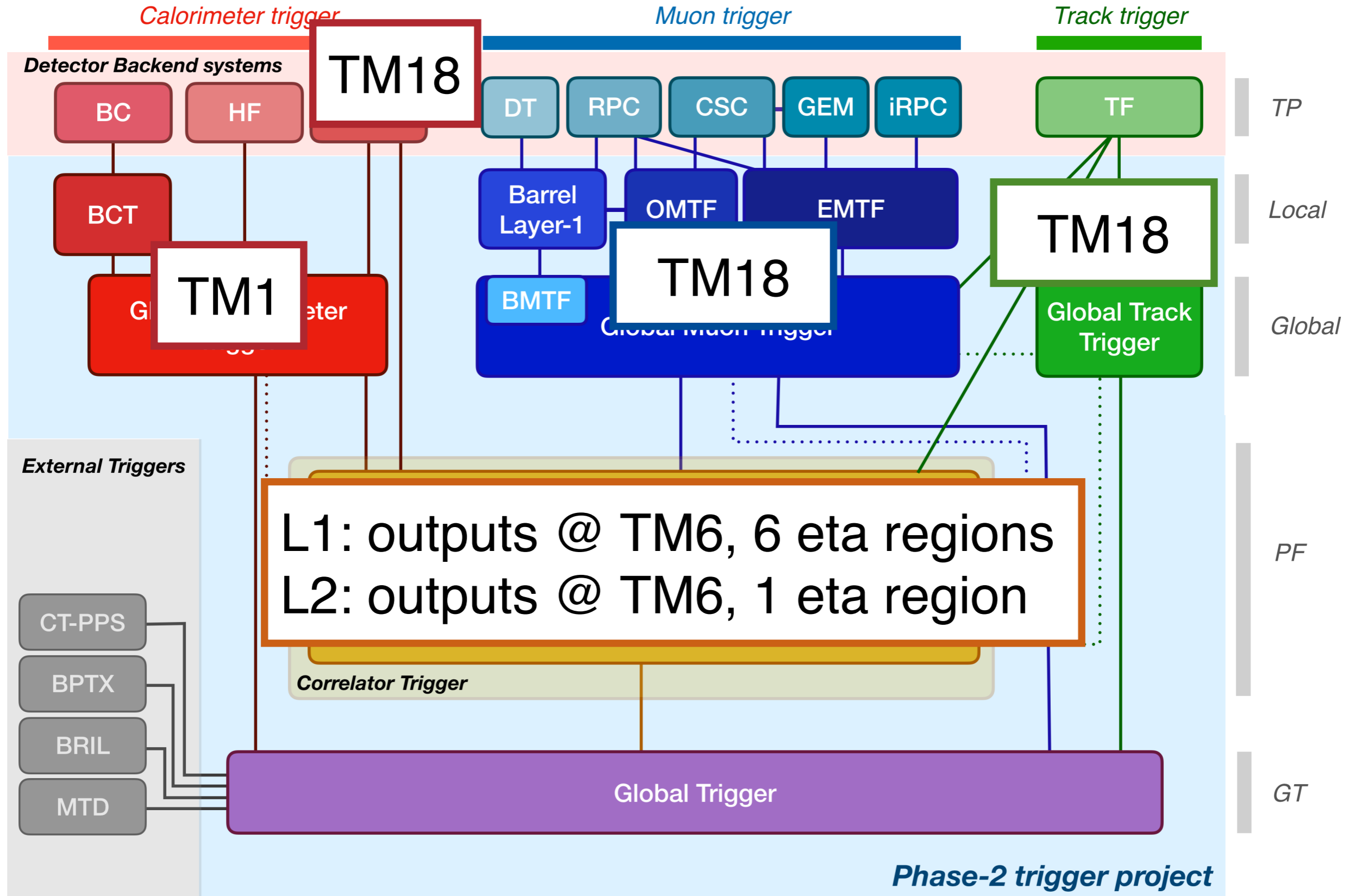
# the Phase-II L1 Trigger



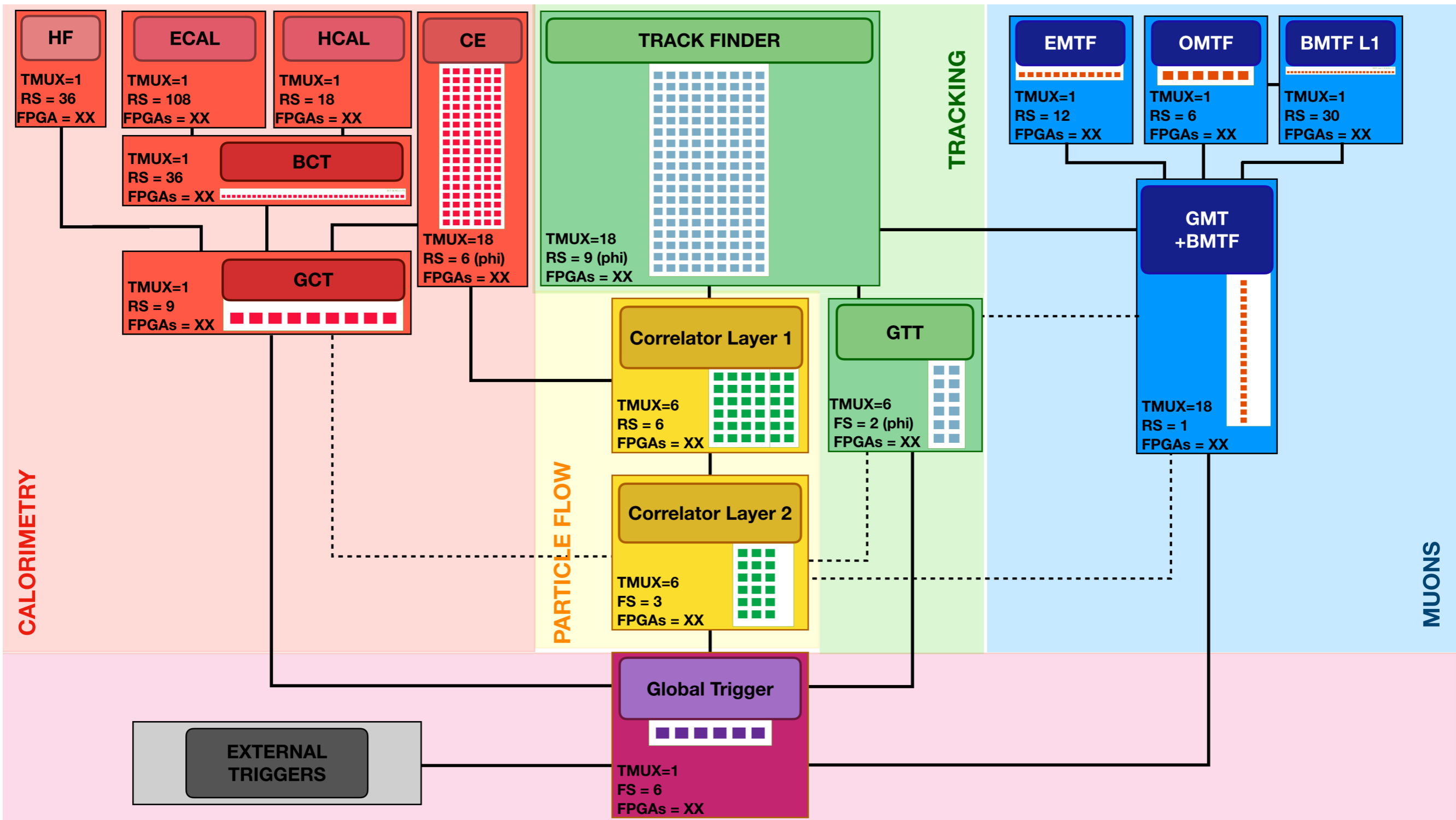
# Architecture of the Phase-II L1 Trigger



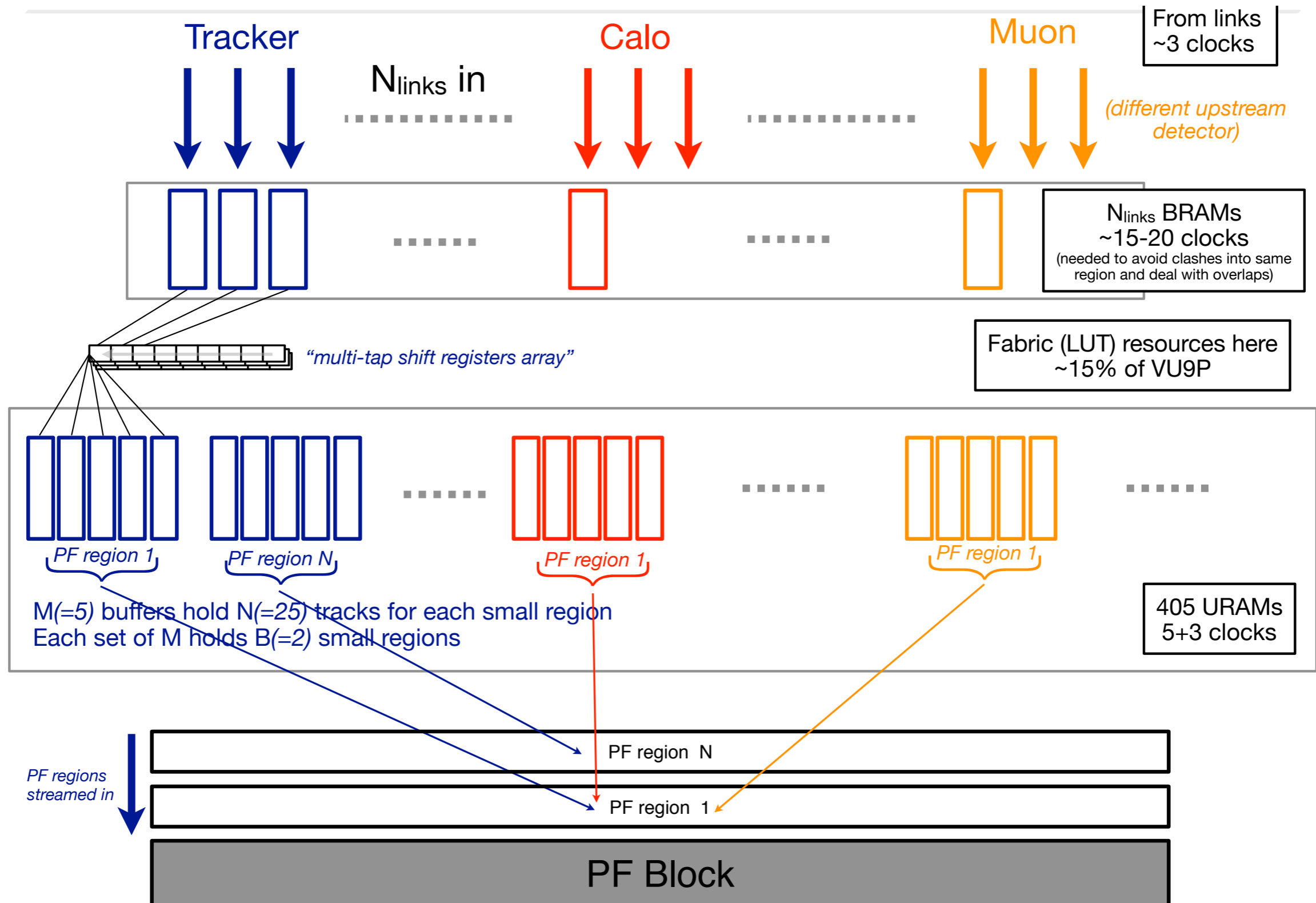
# Architecture of the Phase-II L1 Trigger



# FPGA / TMUX / Region view

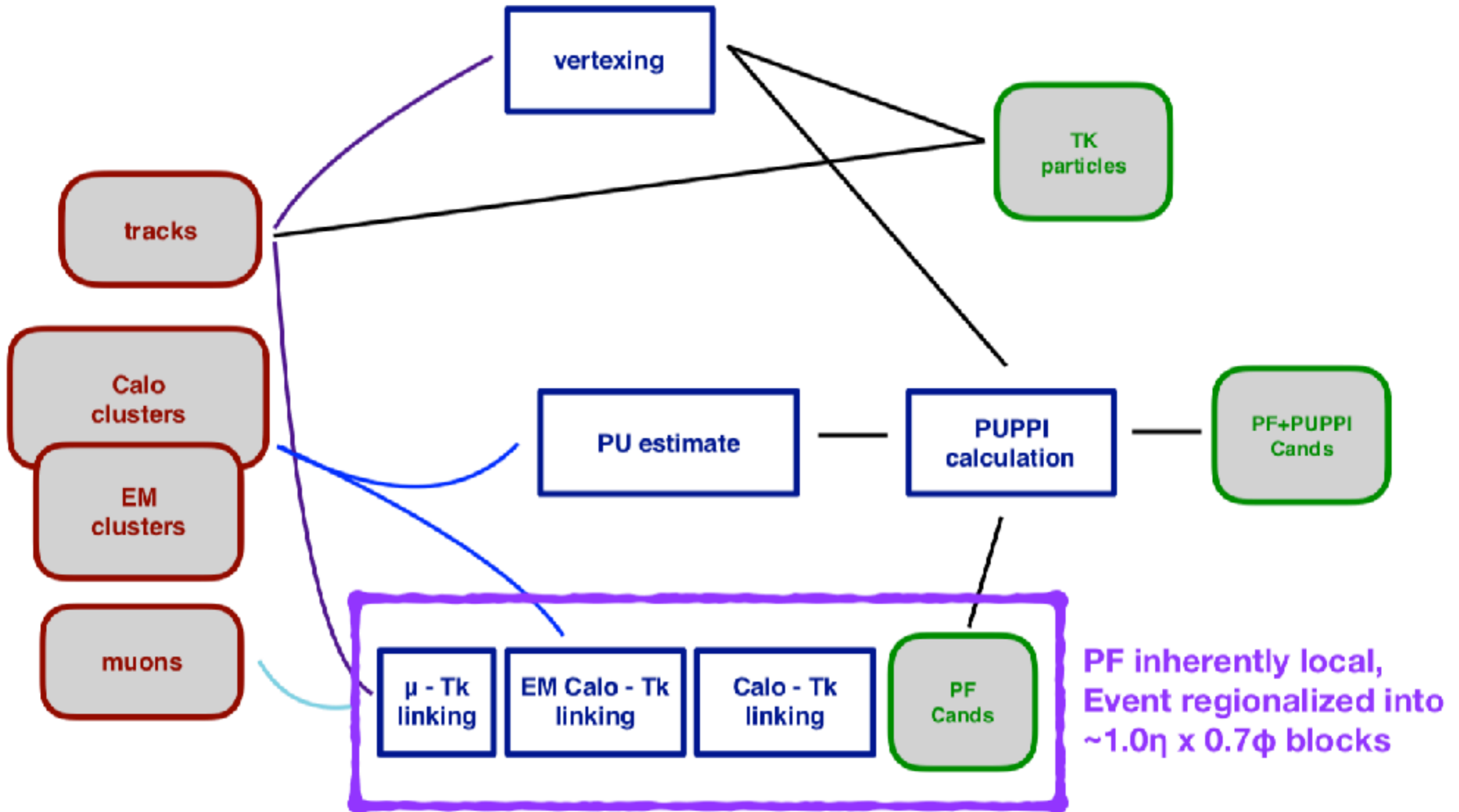


# Firmware - Regionalization





# PF+PUPPI algo



# Regionalization – 'board regions'

