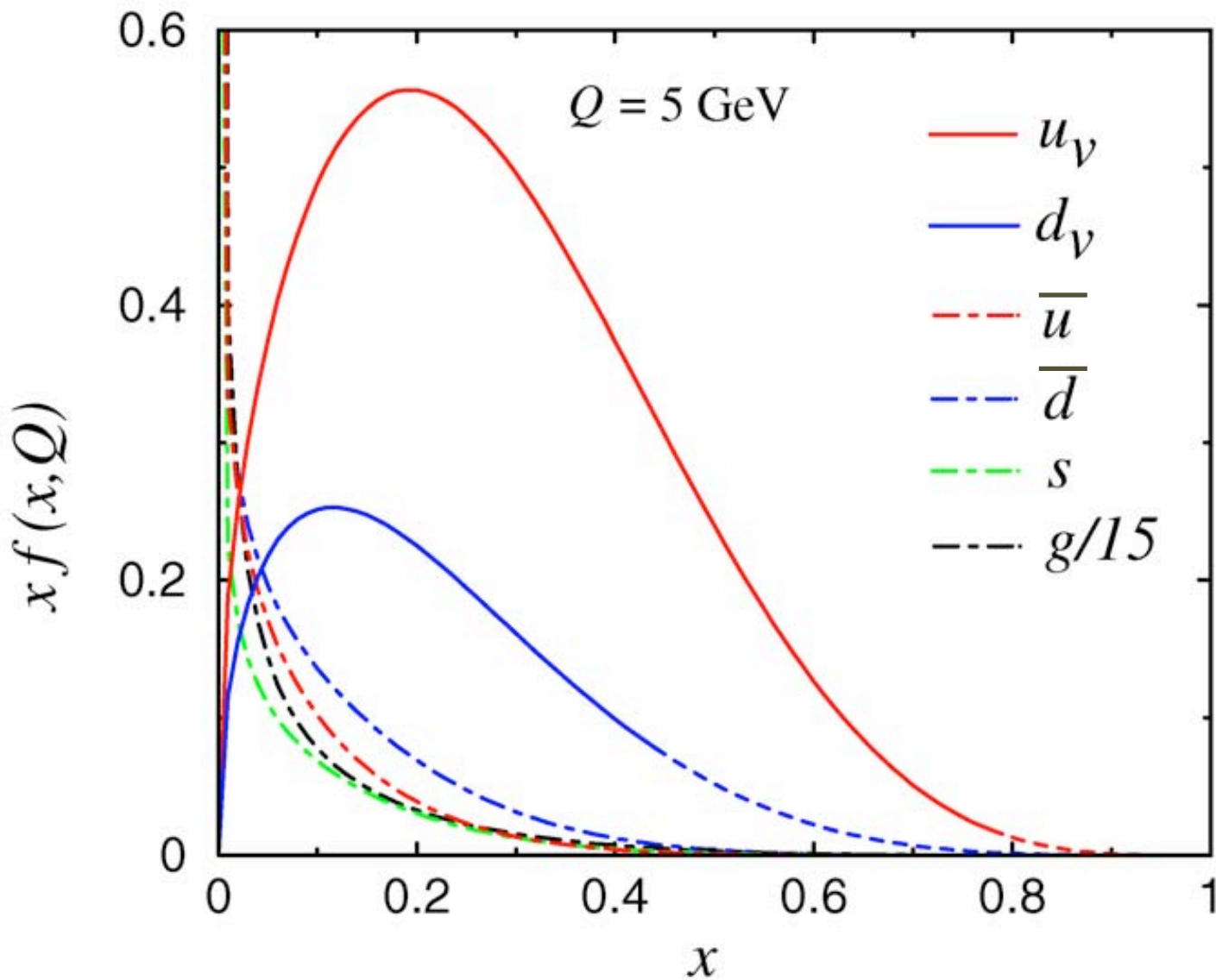


# New Perspectives for Deep Inelastic Scattering and QCD

- What is the quark and gluon composition of the proton?
- What new experiments and facilities do we need to measure fundamental features of QCD?

# Thanks on behalf of Theorists

- Thanks to the experimentalists and accelerator physicists who have built the accelerators, storage rings, and experimental facilities; carried out remarkable DIS experiments, and for producing the new measurements.
- The central challenge: to test QCD and explain these fundamental phenomena from first principles.
- This is a central goal of both hadron and nuclear physics.



# Major Issues for DIS in QCD

- Understand hadron structure at the amplitude level-- Exclusive Processes
- Momentum, spin, flavor dependence of hadron distribution
- Angular momentum
- Role of heavy flavors, sea quarks, asymmetries
- Higher Twist Correlations
- Diffractive/ high energy small  $x$  nonlinear effects
- Nuclear Phenomena, hadronization

# Hard Diffraction from Rescattering

- Diffractive DIS: New Insight into Final State Interactions in QCD
- Origin of Hard Pomeron
- Structure Functions not Probability Distributions
- T-odd Single-Spin Asymmetries
- Diffractive dijets/ trijets
- **Color Transparency, Color Opacity**

# New QCD Insights

- Role of Rescattering in Diffractive DIS, SSAs
- Connection of DDIS to Shadowing and Antishadowing
- Non-universality of Antishadowing
- IR Freezing of  $\alpha_s$
- Saturation
- AdS/CFT

# Hadrons Fluctuate in Particle Number

- Proton Fock States  
 $|uud\rangle, |uudg\rangle, |uuds\bar{s}\rangle, |uudc\bar{c}\rangle, |uudb\bar{b}\rangle \dots$
- Strange and Anti-Strange Quarks not Symmetric  
 $s(x) \neq \bar{s}(x) \quad \bar{u}(x) \neq \bar{d}(x)$
- “**Intrinsic Charm**”: High momentum heavy quarks
- “**Hidden Color**”: Deuteron not always  $p + n$
- Orbital Angular Momentum Fluctuations - Anomalous Magnetic Moment

- Measure behavior of proton LFWF at large  $x_{bj}$
- Strong function of quark spin projection relative to proton spin projection

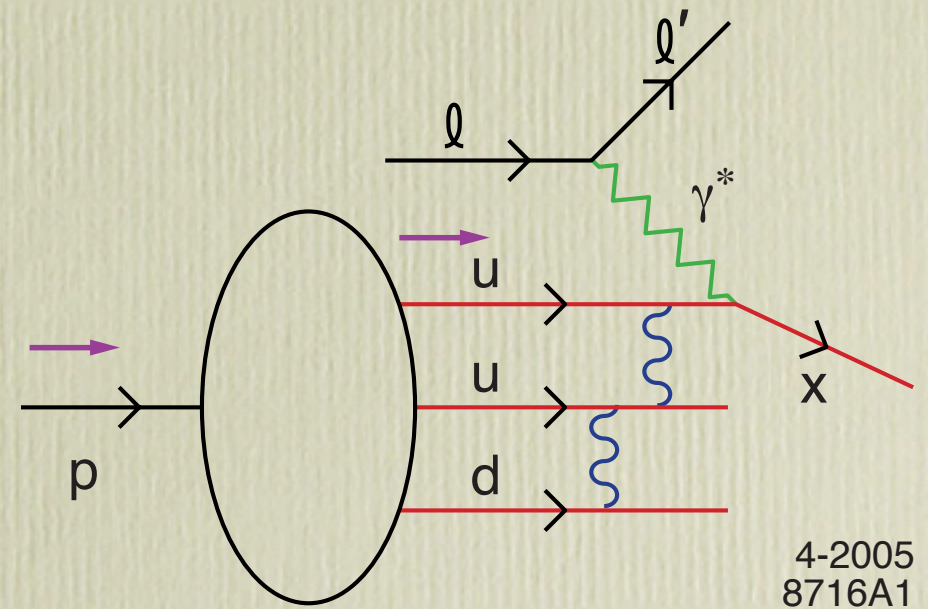
*Farrar, Jackson*  
*Gunion*  
*Lepage, SJB*  
*Burkardt, Schmidt, SJB*  
*Ji, Ma, Yuan*

PQCD:

$$q(x) \sim (1-x)^3 \quad S_q^z = S_p^z$$

$$q(x) \sim (1-x)^5 \quad S_q^z = -S_p^z$$

Traditional PQCD Method  
 Iterate QCD Kernel



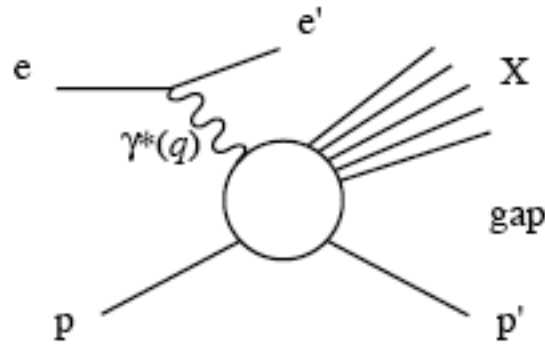
4-2005  
8716A1



## Why is large $x$ Important?

- Sensitive to details of hadronic structure  
valence, sea quark, and gluon distributions
- Detailed predictions from PQCD and AdS/CFT
- Helicity Retention & Spectator Counting  
Rules
- DGLAP must be modified:  
**quenched** at  $x \rightarrow 1$

# DDIS



- In a large fraction ( $\sim 10\text{--}15\%$ ) of DIS events, the proton escapes intact, keeping a large fraction of its initial momentum
- This leaves a large *rapidity gap* between the proton and the produced particles
- The  $t$ -channel exchange must be *color singlet*  $\rightarrow$  a *pomeron*??

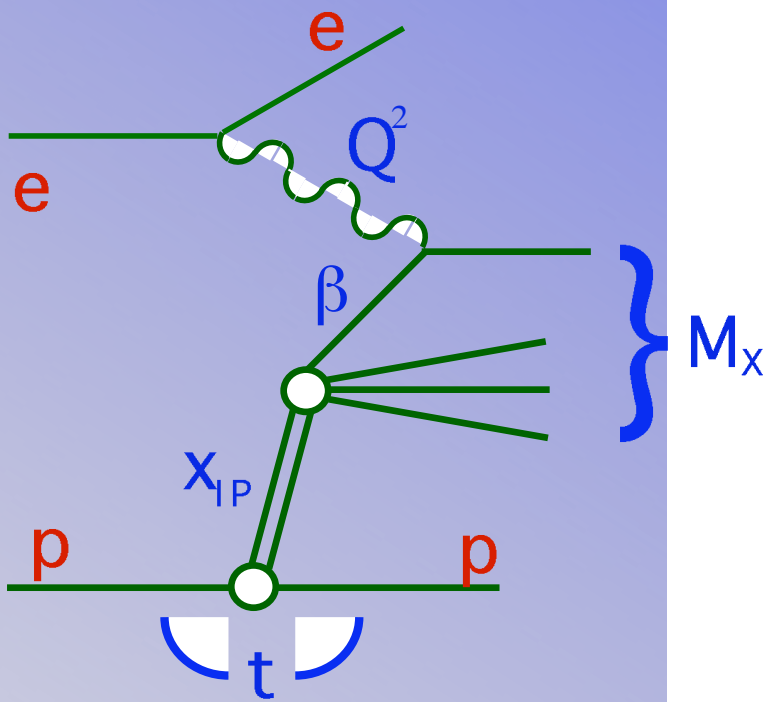
Enberg

## Diffractive Deep Inelastic Lepton-Proton Scattering

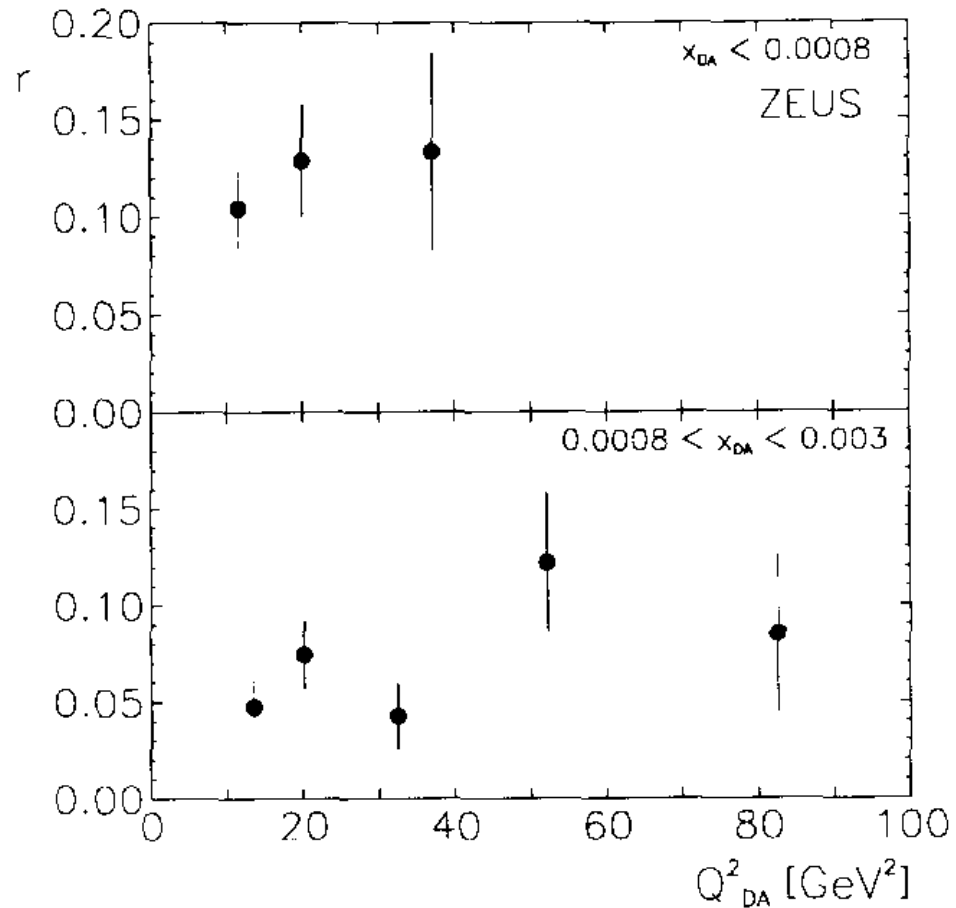
DIS 2005  
4-29-05

Hard Diffraction

- New result from HERA: Essentially all of the rapidity gap events leave just an isolated proton



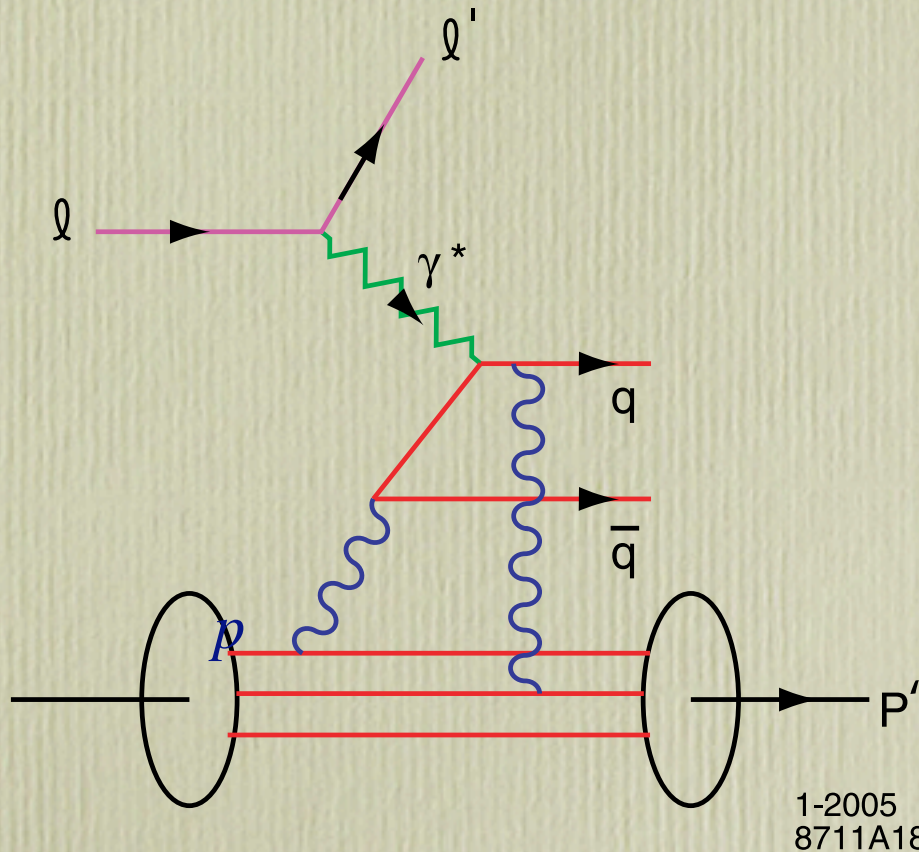
10% of DIS events are diffractive !



Fraction  $r$  of events with a large rapidity gap,  $\eta_{\max} < 1.5$ , as a function of  $Q^2_{DA}$  for two ranges of  $x_{DA}$ . No acceptance corrections have been applied.

M. Derrick et al. [ZEUS Collaboration], Phys. Lett. B 315, 481 (1993).

# Final State Interaction Produces Diffractive DIS



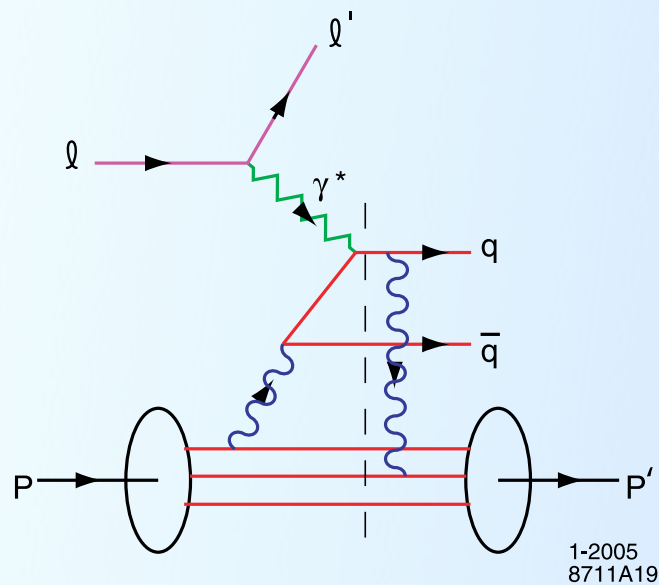
## Quark Rescattering

Hoyer, Marchal, Peigne, Sannino, SJB (BHMPs)

Enberg, Hoyer, Ingelman, SJB

Hwang, Schmidt, SJB

1-2005  
8711A18

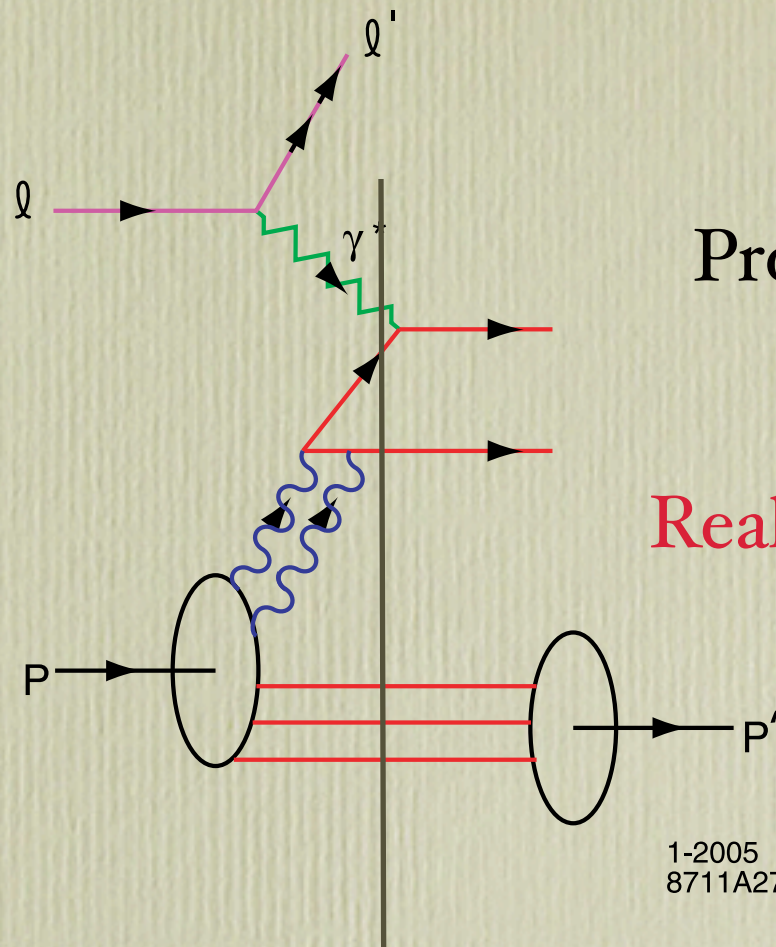


Integration over on-shell domain produces phase  $i$   
 Need Imaginary Phase to Generate  
 Pomeron

Need Imaginary Phase to Generate  
 T-Odd Single-Spin Asymmetry

Physics of FSI not in Wavefunction of Target

Pomeron is not  
a constituent  
of proton



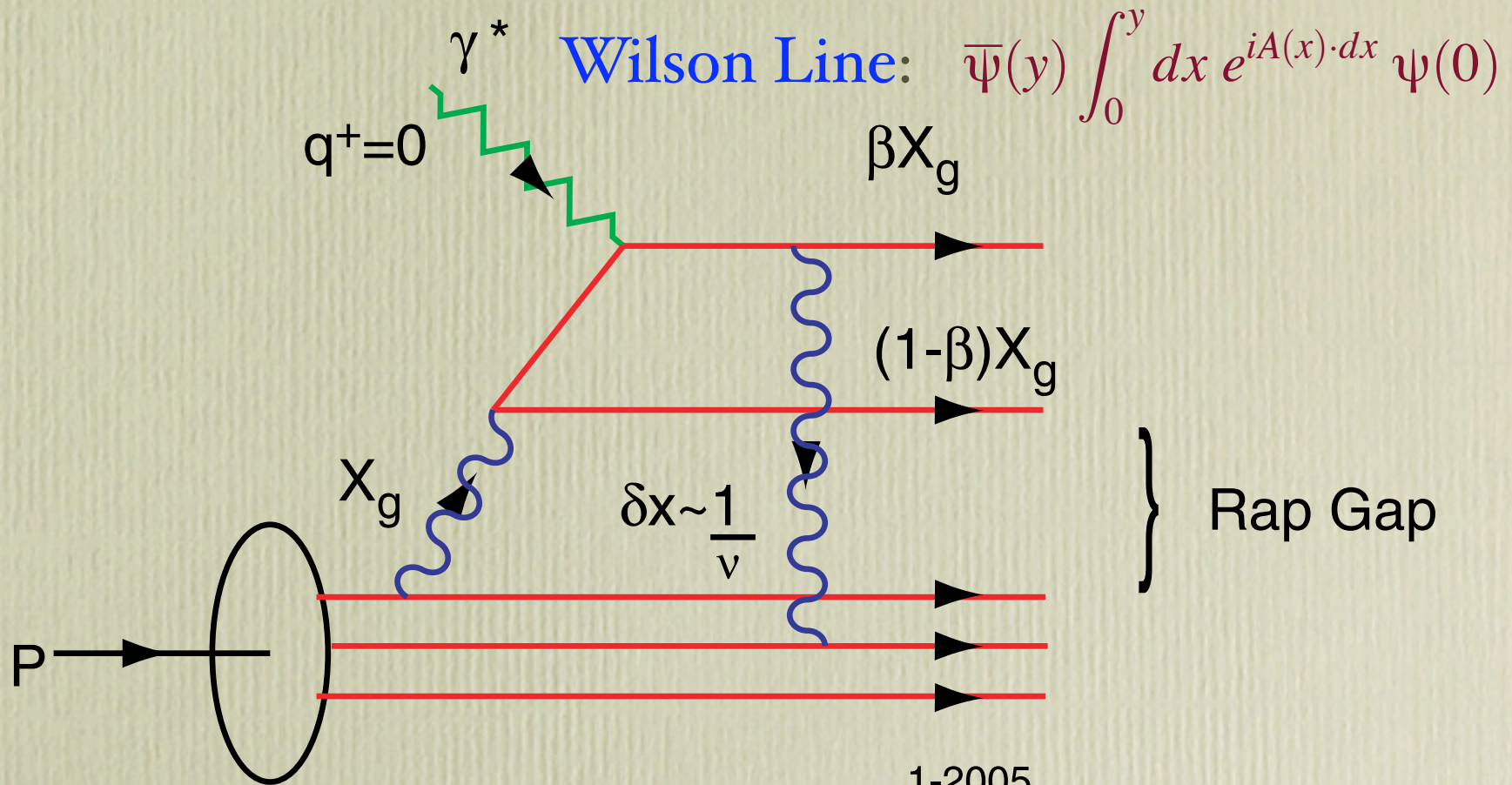
Problem: Wrong Phase

Real; should be imaginary

1-2005  
8711A27

Need Final State Interactions !

# QCD Mechanism for Rapidity Gaps

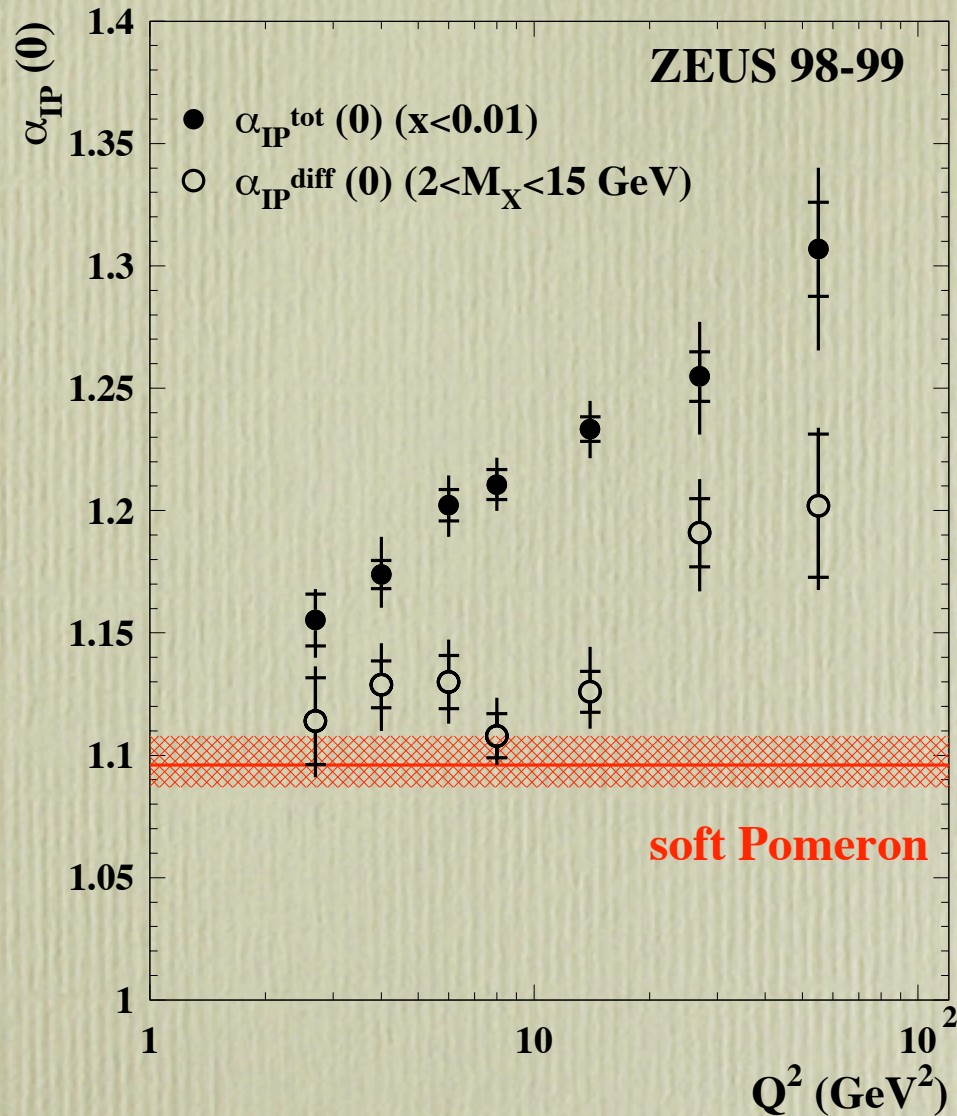


1-2005  
8711A24

$\beta$  distribution of 'pomeron' reflects  $g \rightarrow q\bar{q}$  splitting function



# ZEUS



$$\sigma_{tot} \propto s^{\alpha_{tot}-1}$$

$$\sigma_{diff} \propto s^{2\alpha_{diff}-2}$$

No factorization of hard pomeron

S. J. Brodsky, P. Hoyer, N. Marchal, S. Peigne and F. Sannino, Phys. Rev. D 65, 114025 (2002) [arXiv:hep-ph/0104291].  
 S. J. Brodsky, R. Enberg, P. Hoyer and G. Ingelman, arXiv:hep-ph/0409119.

DESY 05-011 hep-ex/0501060 January 2005  
 Study of deep inelastic inclusive and diffractive scattering with the ZEUS forward plug calorimeter  
 ZEUS Collaboration

# Hard Diffraction in pp collisions

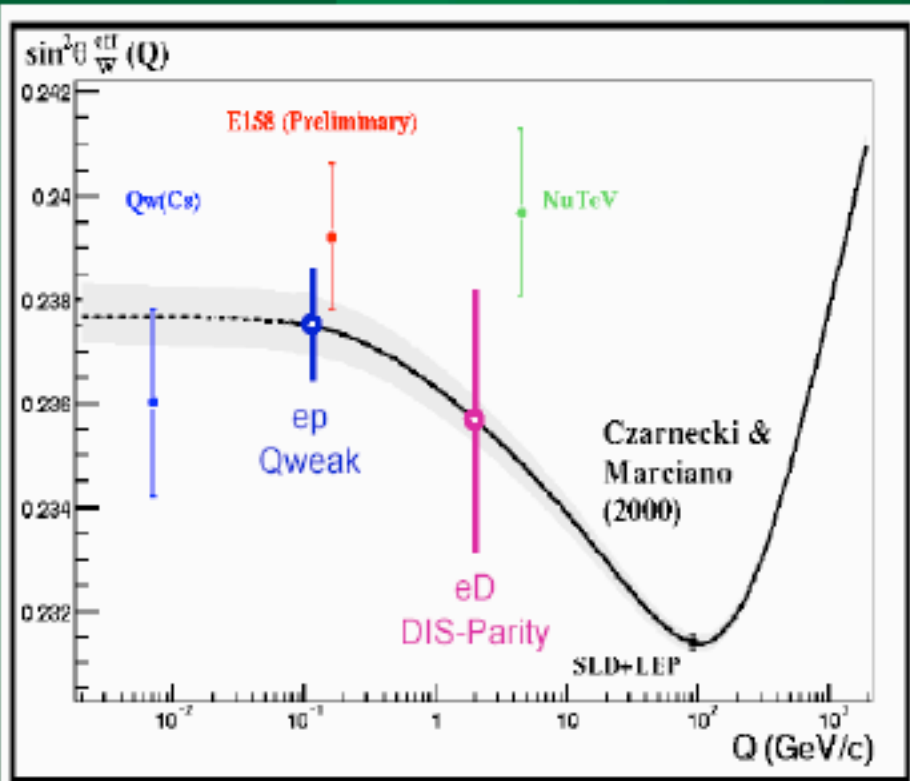
- Probability of one gap 1%, two gaps 0.1%
- DIS: One gap: 10%
- Thus Hard Pomeron does not factorize
- Ratio of two gap to one gap in hadron physics similar to DDIS/DIS.

Goulianis



problem waiting for solution/explanation

→ **NuTeV anomaly**



From talk by Y. Kolomensky  
At SLAC summer institute, August 2004

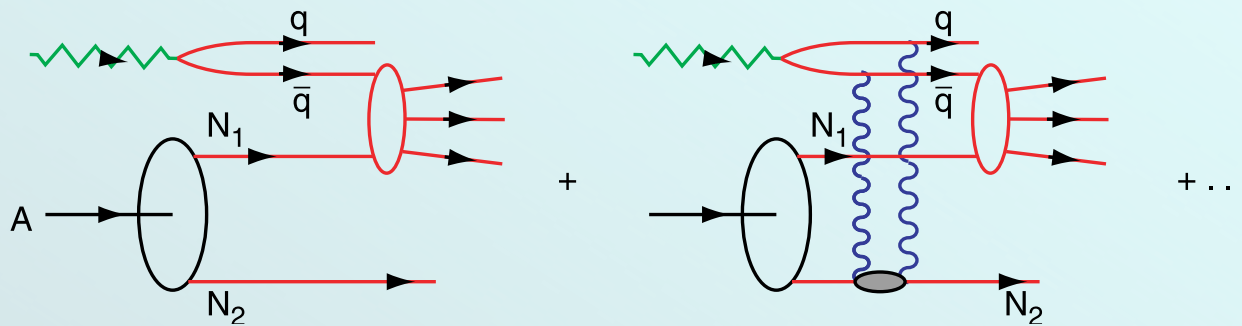
$\sin^2\theta_W$  determined from the ratio:

$$R = \frac{\sigma_{NC}^{\nu} - \sigma_{NC}^{\bar{\nu}}}{\sigma_{CC}^{\nu} - \sigma_{CC}^{\bar{\nu}}}$$

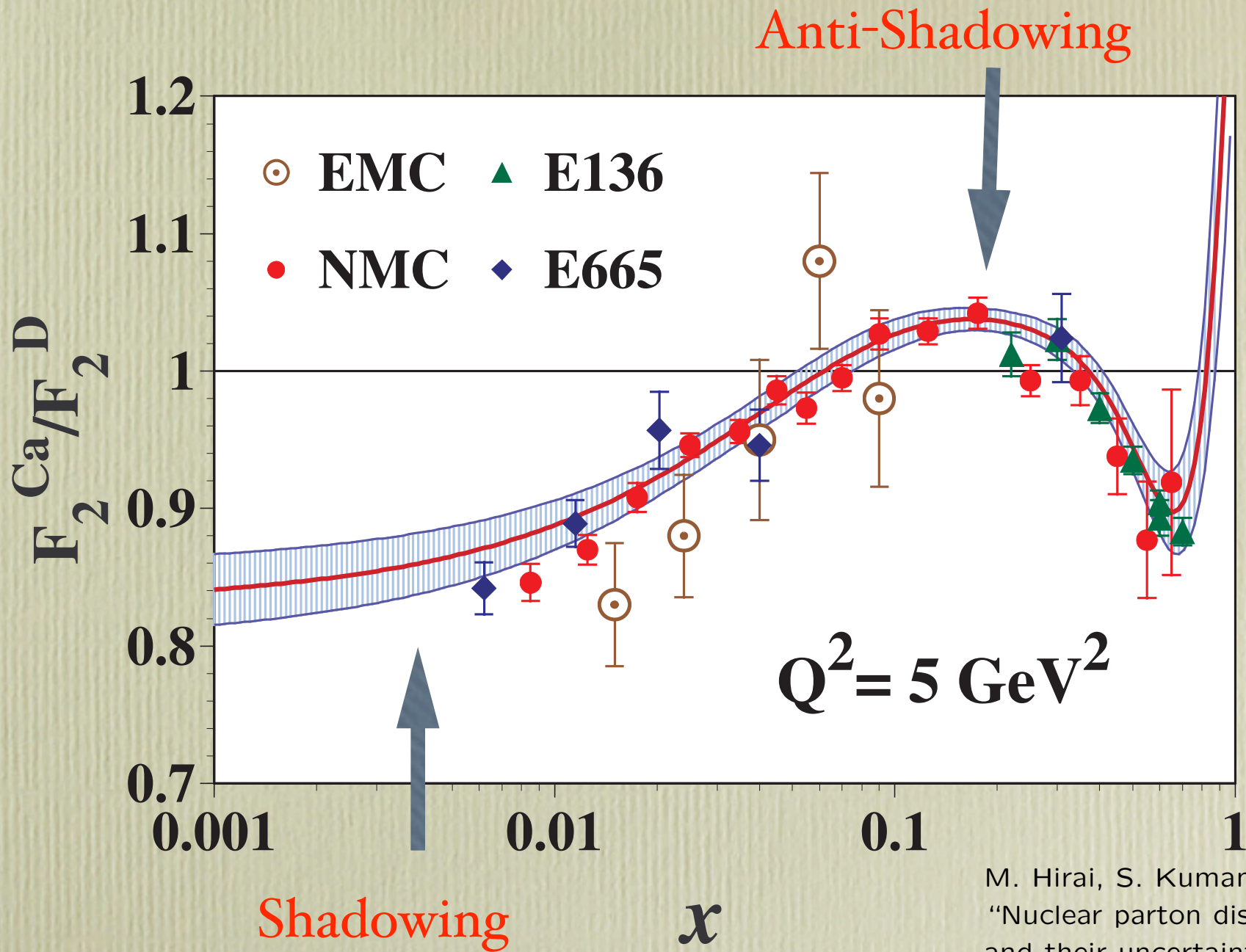
Assumptions:

- Isospin symmetry i.e.  $u_p(x) = d_n(x)$   
(u in proton as d in neutron)
- Sea momentum symmetry:  
 $s = \bar{s}$  and  $c = \bar{c}$
- Nuclear effects common in  
W and Z exchange

# Origin of Nuclear Shadowing in Glauber - Gribov Theory

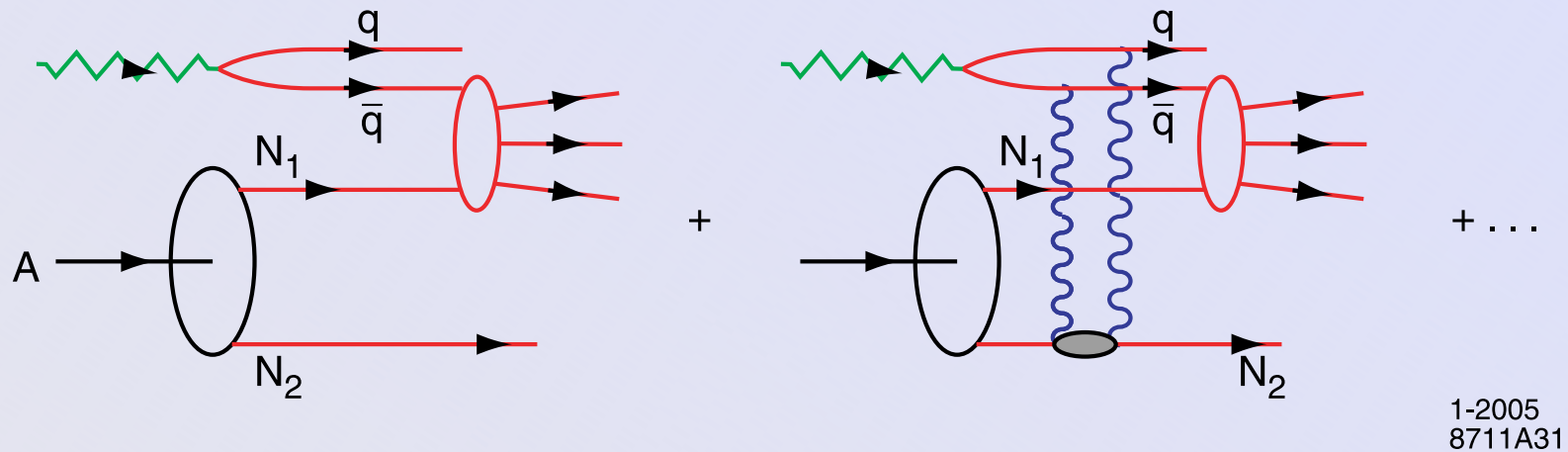


Interference of one-step and two-step processes  
 Interaction on upstream nucleon diffractive  
 Phase  $i \times i = -1$  produces destructive interference  
 No Flux reaches down stream nucleon



M. Hirai, S. Kumano and T. H. Nagai,  
 "Nuclear parton distribution functions  
 and their uncertainties,"  
 Phys. Rev. C **70**, 044905 (2004)  
 [arXiv:hep-ph/0404093].

# Nuclear Shadowing in QCD



Nuclear Shadowing not included in nuclear LFWF !

# Shadowing and Antishadowing in Lepton-Nucleus Scattering

- Shadowing: **Destructive Interference** of Two-Step and One-Step Processes  
*Pomeron Exchange*
- Antishadowing: **Constructive Interference** of Two-Step and One-Step Processes!  
*Reggeon and Odderon Exchange*
- Antishadowing is Not Universal!  
Electromagnetic and weak currents:  
different nuclear effects !  
**Potentially significant for NuTeV Anomaly}**

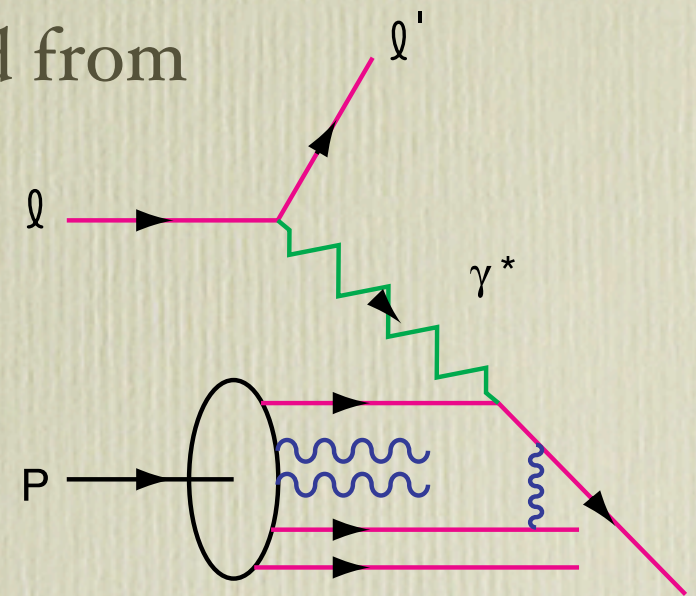
Estimate 20% effect on extraction of  $\sin^2 \theta_W$   
for NuTeV

Need new experimental studies of  
antishadowing in

- Parity-violating DIS
- Spin Dependent DIS
- Charged and Neutral Current DIS



- Quarks Reinteract in Final State
- Analogous to Coulomb phases, but not unitary
- Observable effects: DDIS, SSI, shadowing, antishadowing
- Structure functions cannot be computed from LFWFs computed in isolation
- Wilson line not 1 even in lcg



# Final State Interactions Produce T-Odd (Sivers Effect)

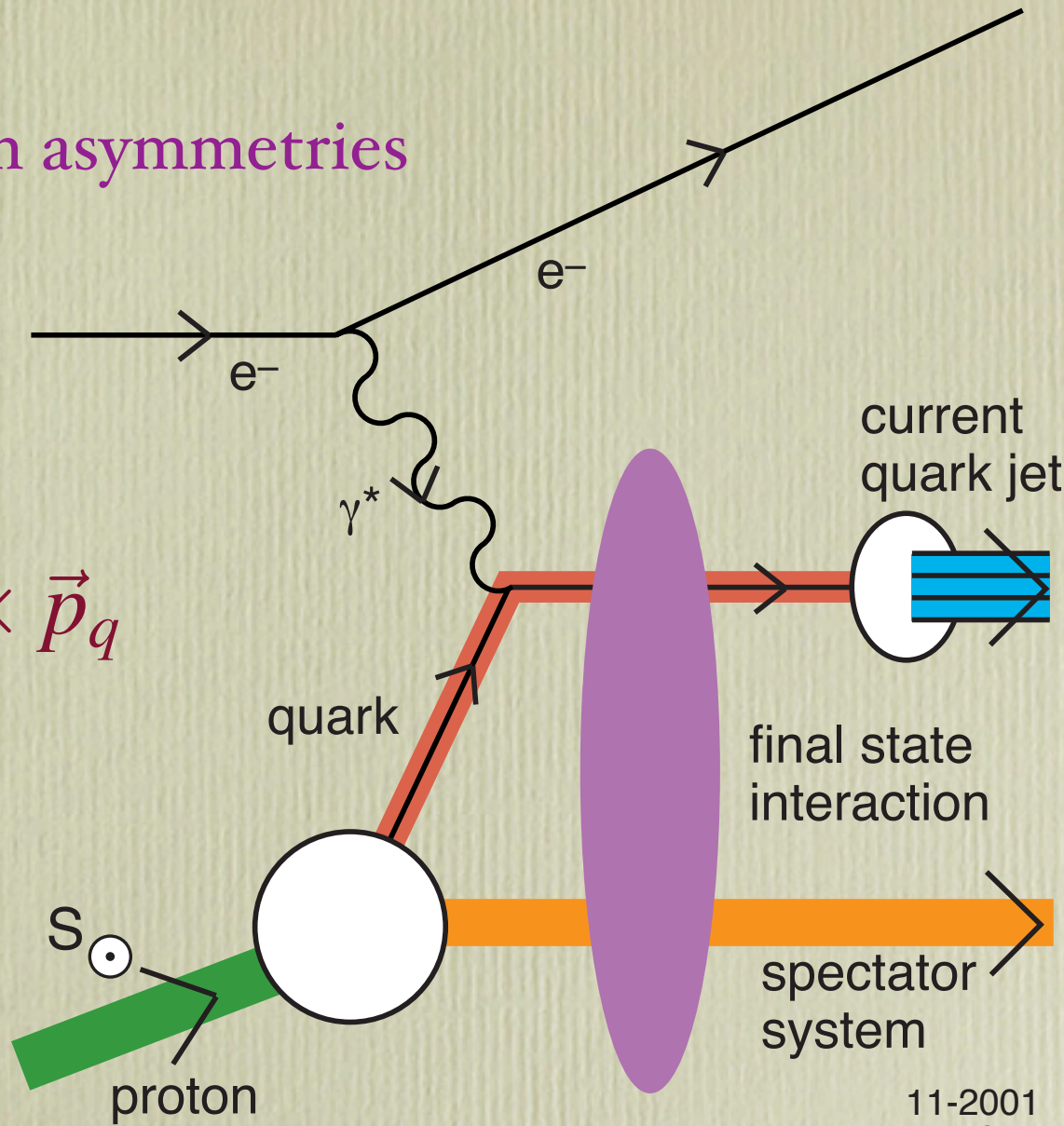
- Bjorken Scaling!
- Arises from Interference of Final State Coulomb Phase in S and P waves
- Relate to the quark contribution to the target proton anomalous magnetic moment

$$\vec{S} \cdot \vec{p}_{jet} \times \vec{q}$$

# Single-spin asymmetries

# Sivers Effect

$$\vec{S}_p \cdot \vec{q} \times \vec{p}_q$$



11-2001  
8624A06

# Hadron Dynamics at the Amplitude Level

- DIS studies have primarily focussed on probability distributions: integrated and unintegrated
- We need to determine hadron wavefunctions!
- Test QCD at the amplitude level!
- Phases, multi-parton correlations, spin, angular momentum

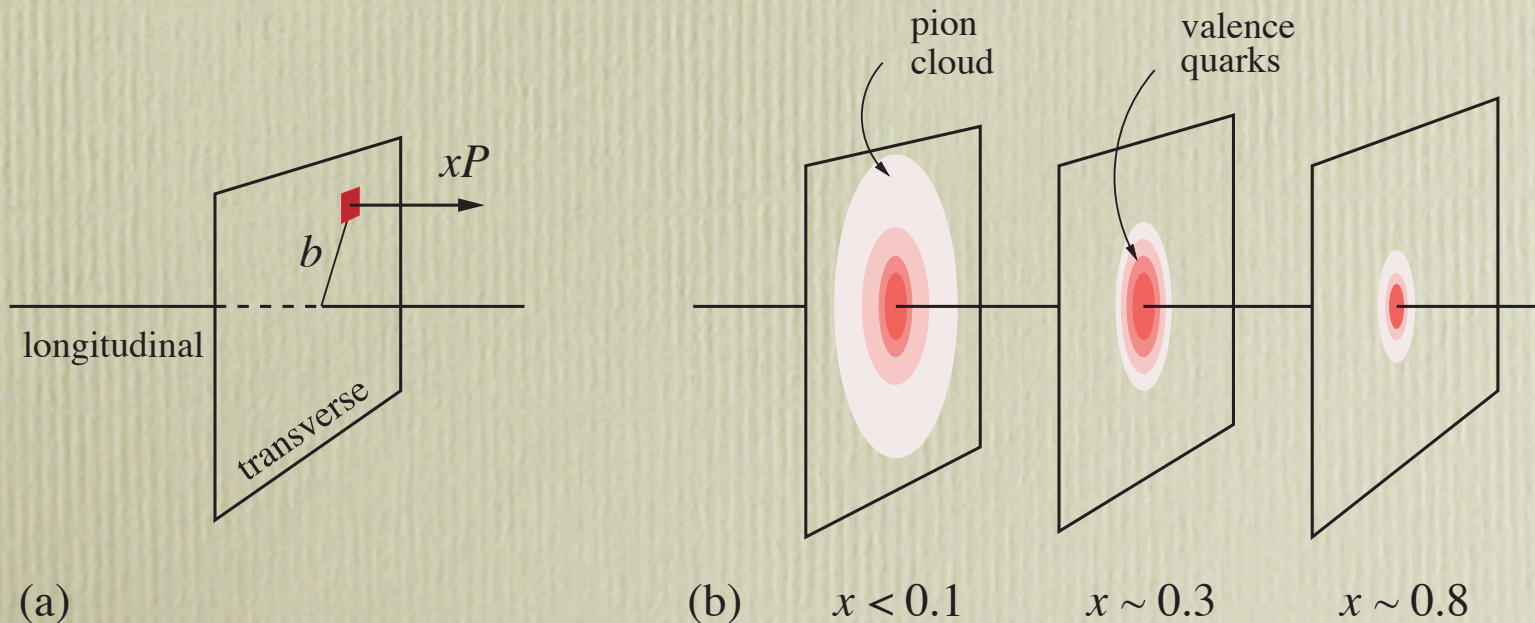
$$\gamma^* p \rightarrow \gamma p', \gamma^* p \rightarrow \pi^+ n',$$

## Deeply Virtual Compton Scattering

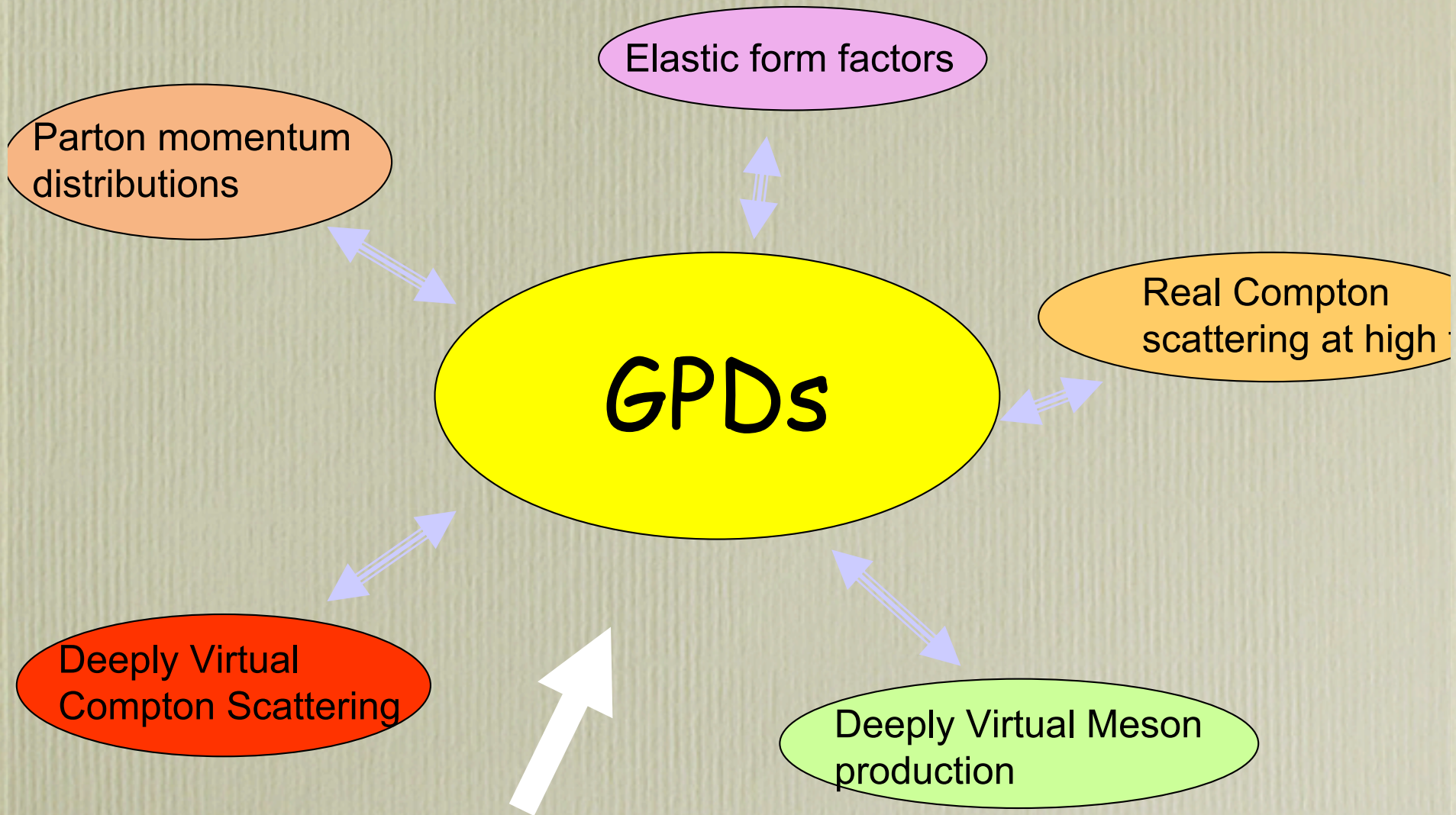
- Remarkable sensitivity to spin, flavor, dynamics
- Real and Imaginary parts from Bethe-Heitler Int.
- Sum Rules connecting to Form Factors,  $L_z$
- $J=0$  fixed pole: test QCD contact interaction
- Evolution Equations; Regge effects, PQCD constraints
- Light-front wavefunctions

# DVCS ==> Generalized Parton Distributions

- Unintegrated distributions
- 3-dimensional picture of Hadron Structure
- Direct Connection to LFWFs



# A Unified Description of Hadron Structure



Light Front Wavefunctions

$$H_{LC}^{QCD} |\Psi_h\rangle = \mathcal{M}_h^2 |\Psi_h\rangle$$

$$H_{LC}^{QCD} = P_\mu P^\mu = P^- P^+ - \vec{P}_\perp^2$$

The hadron state  $|\Psi_h\rangle$  is expanded in a Fock-state complete basis of non-interacting  $n$ -particle states  $|n\rangle$  with an infinite number of components

$$\begin{aligned} |\Psi_h(P^+, \vec{P}_\perp)\rangle = & \\ & \sum_{n, \lambda_i} \int [dx_i d^2\vec{k}_{\perp i}] \psi_{n/h}(x_i, \vec{k}_{\perp i}, \lambda_i) \\ & \times |n : x_i P^+, x_i \vec{P}_\perp + \vec{k}_{\perp i}, \lambda_i\rangle \\ & \sum_n \int [dx_i d^2\vec{k}_{\perp i}] |\psi_{n/h}(x_i, \vec{k}_{\perp i}, \lambda_i)|^2 = 1 \end{aligned}$$



# The Light-Front Fock Expansion

$$|p, S_z\rangle = \sum_{n=3} \Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; \vec{k}_{\perp i}, \lambda_i\rangle$$

The Light Front Fock State Wavefunctions

$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

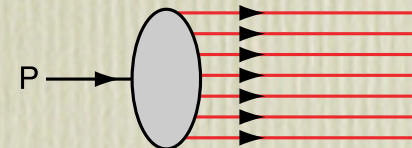
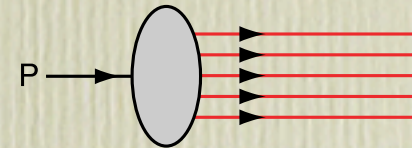
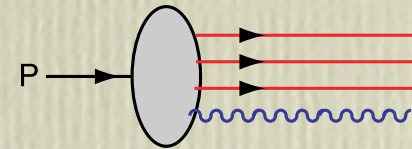
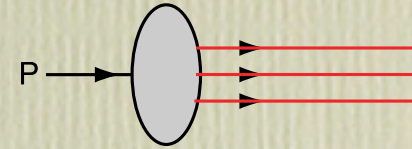
are boost invariant; they are independent of the hadron's energy and momentum  $P^\mu$ .

The light-cone momentum fraction

$$x_i = \frac{k_i^+}{p^+} = \frac{k_i^0 + k_i^z}{P^0 + P^z}$$

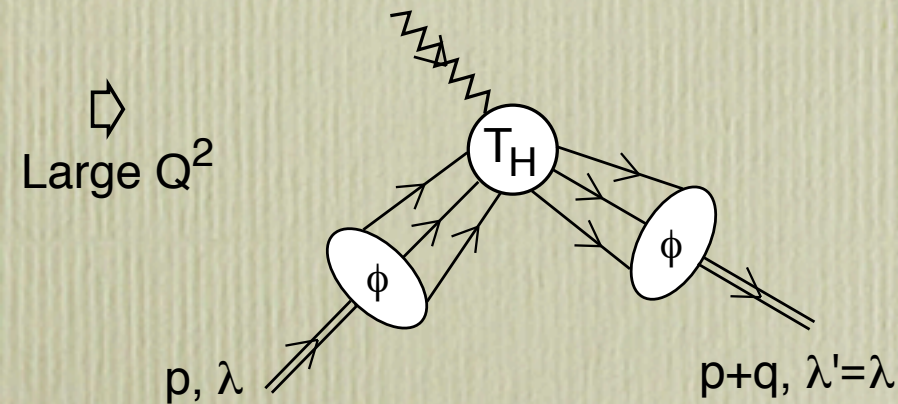
are boost invariant.

$$\sum_i^n k_i^+ = P^+, \quad \sum_i^n x_i = 1, \quad \sum_i^n \vec{k}_i^\perp = \vec{0}^\perp.$$



Form Factors  $\ell p \rightarrow \ell' p' \langle p' \lambda' | J^+ (0) | p \lambda \rangle$

$$F_{\lambda\lambda'}(Q^2) = \sum_n \int dx \int d^2k_{\perp} \langle p' \lambda' | J^+ (0) | p \lambda \rangle$$

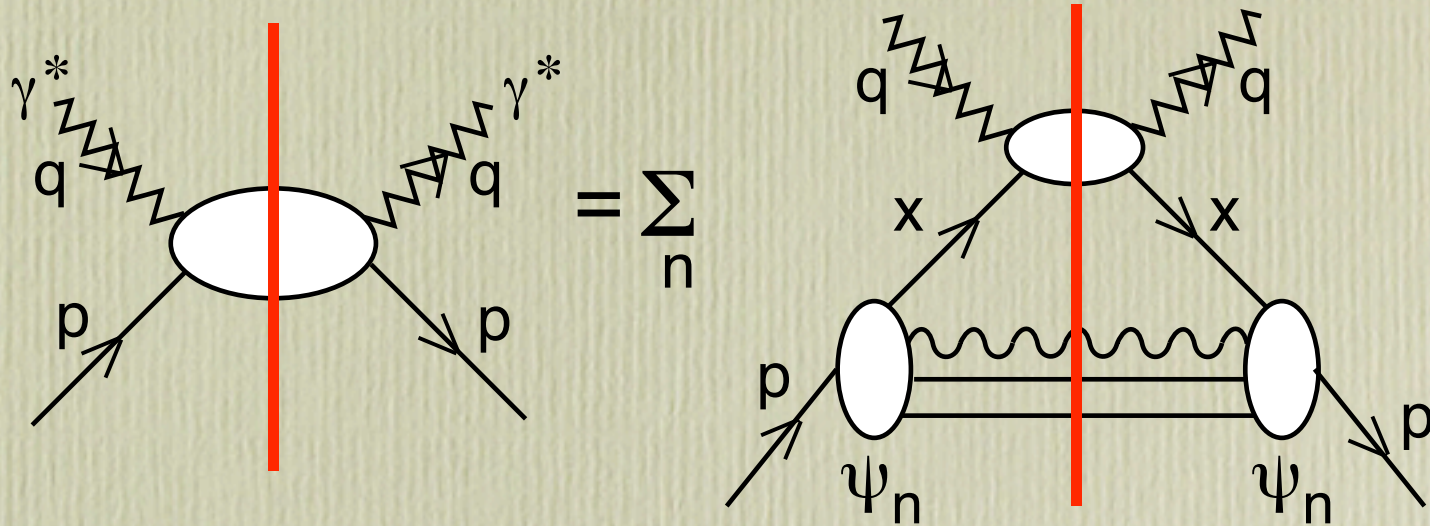


$$T_H = \sum \int dx_i \int dy_i \langle p' \lambda' | J^+ (0) | p \lambda \rangle$$

$$= \frac{\alpha_S^2}{Q^4} f(x_i, y_i)$$

Scaling from PQCD or AdS/CFT

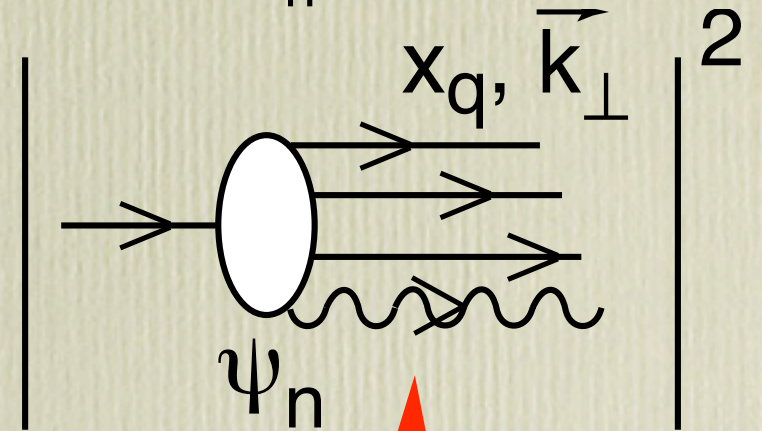
# Deep Inelastic Lepton Proton Scattering



Imaginary Part of  
Forward Virtual Compton Amplitude

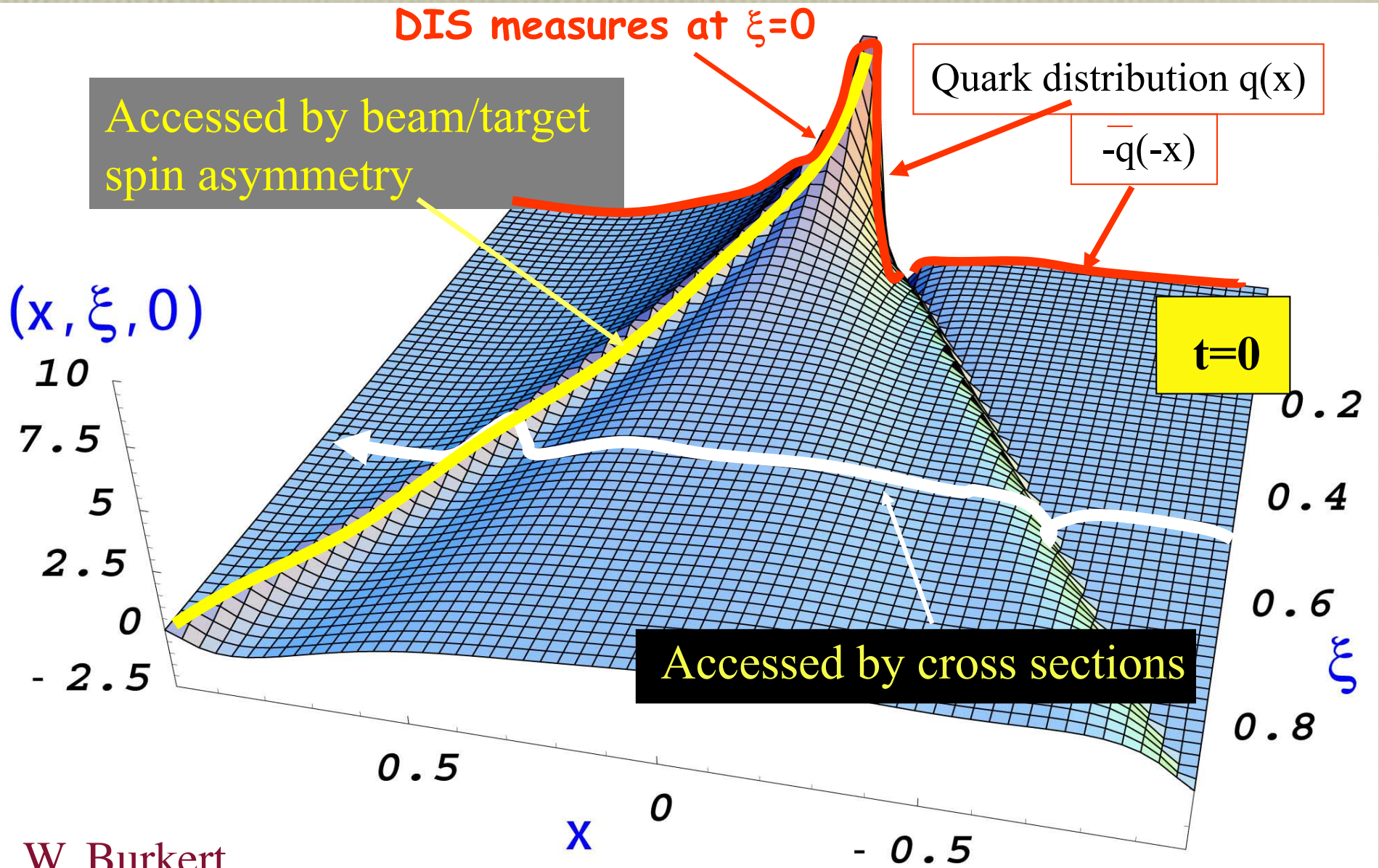
$$q(x, Q^2) = \sum_n \int^{k_\perp^2 \leq Q^2_\perp} d^2 k_\perp |\Psi_n(x, k_\perp)|^2$$

$$x = x_q$$



Light-Front Wave Functions  $\psi_n(x_i, \vec{k}_\perp i, \lambda_i)$

# Access GPDs through x-section & asymmetries

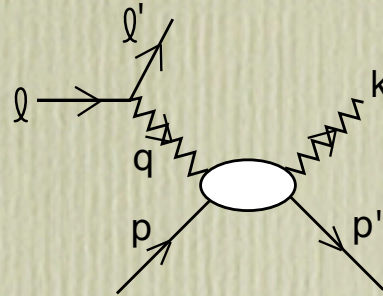


# Generalized Parton Distributions

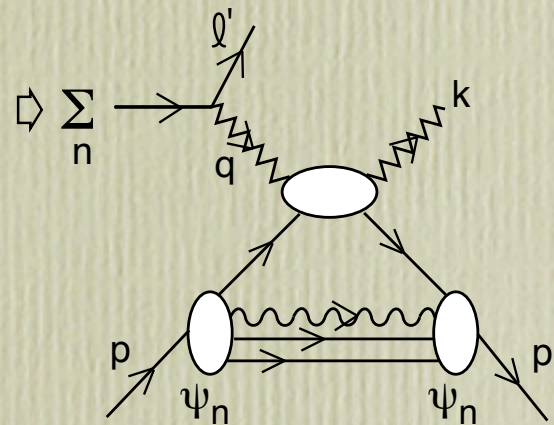
$$\langle p' \lambda' | J^\mu(z) J^\nu(0) | p \lambda \rangle$$

Large  $-q^2 = Q^2$

$$\gamma^* p \rightarrow \gamma p'$$

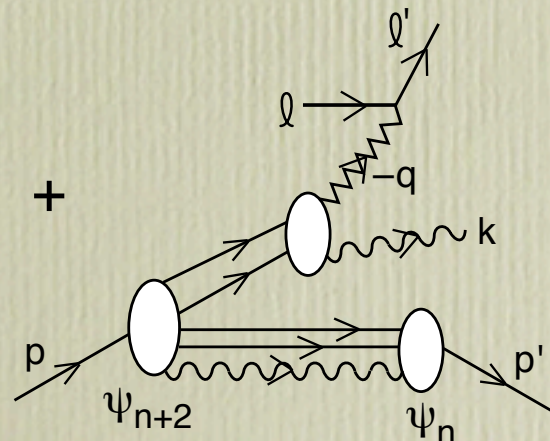


$$n = n'$$



Deeply  
Virtual  
Compton  
Scattering

$$n = n' - 2$$



Required for  
Lorentz Invariance

ERBL Evolution

# QCD at The Amplitude Level

- LFWFs boost invariant
- Direct connection to form factors, structure functions, distribution amplitudes, GPDs
- Higher Twist Correlation
- Orbital Angular Momentum
- Sum Rules
- Validated in QED, Bethe-Salpeter
- DLCQ

# QCD Coupling

- What is the behavior of  $\alpha_s(Q)$  at low momentum?
- QED, EW -- define coupling from observable, predict other observables
- How can DIS give information on  $\alpha_s$ ?

- Define effective charge (Grunberg)

$$\int_0^1 dx [g_{1n}(x, Q^2) - g_{1p}(x, Q^2)] \equiv \frac{g_A}{6} \left(1 - \frac{\alpha_{g_1}(Q^2)}{\pi}\right)$$

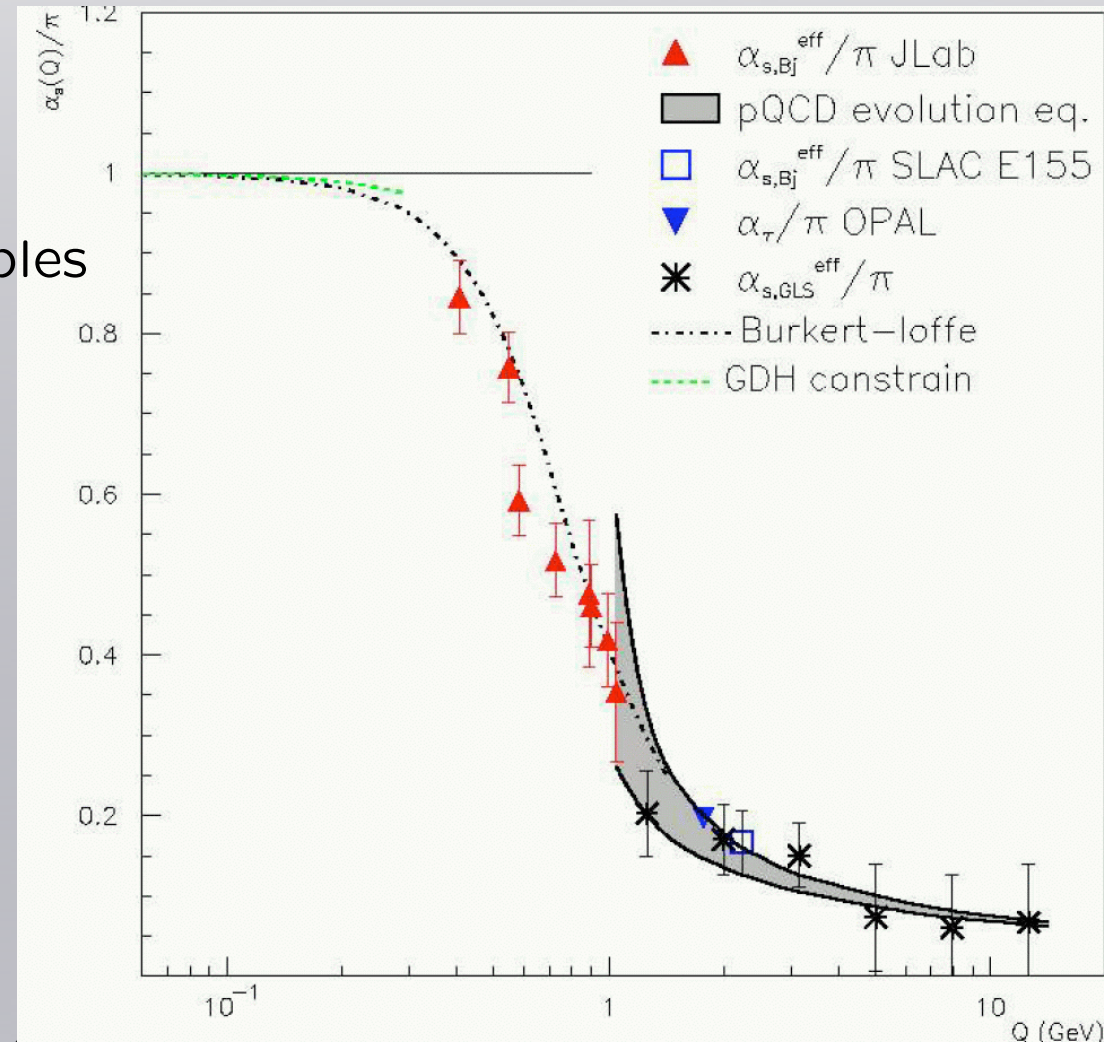
- $\frac{d}{dQ^2} \alpha_{g_1}(Q^2) = \beta(Q^2)$ :  
standard QCD evolution

- $\beta_0, \beta_1$  universal

- Connect  $\alpha_{g_1}(Q^2)$  to other observables  
via Commensurate Scale Relations

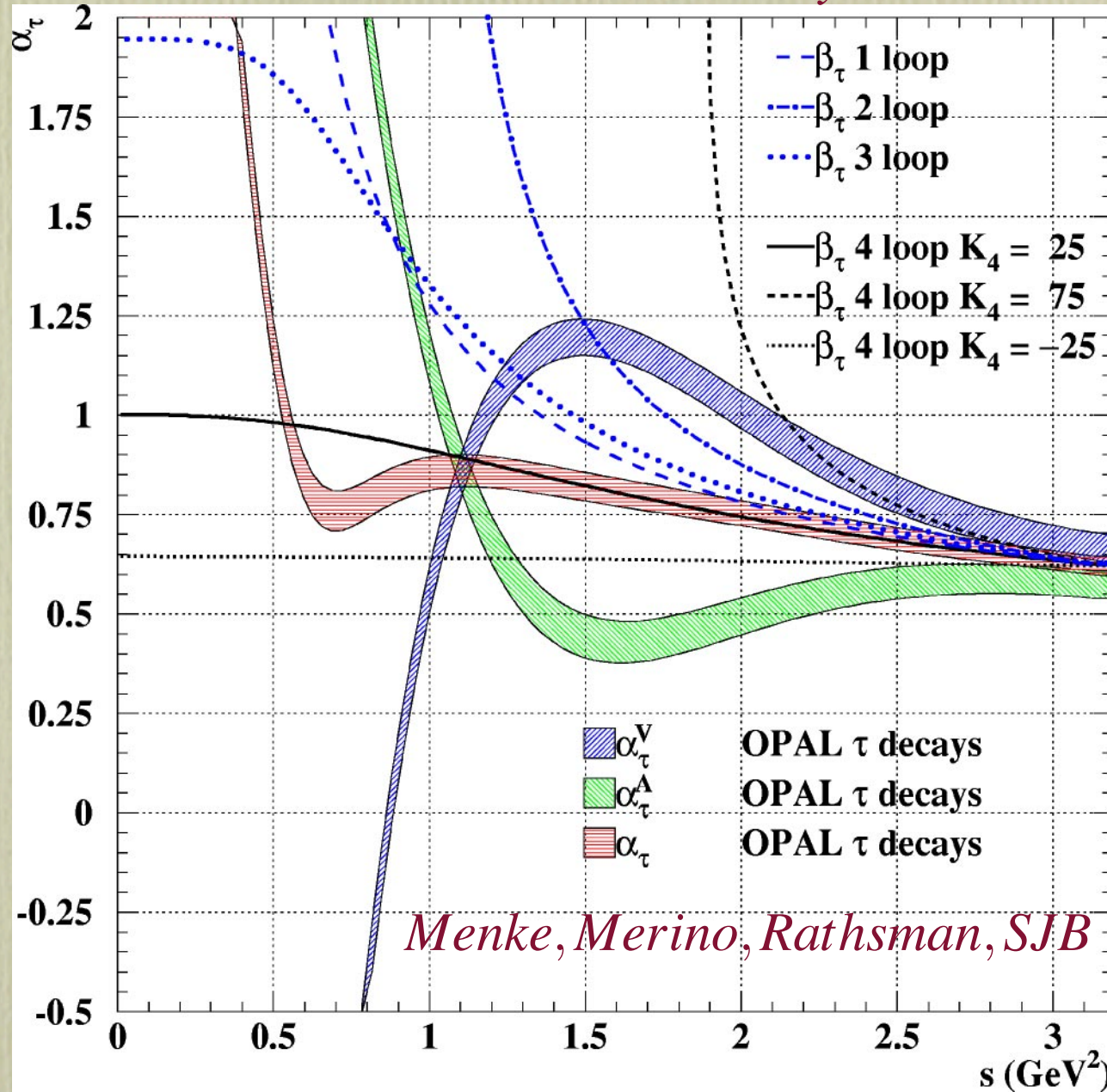
- Eliminate  $\alpha_{\overline{MS}}$

A. Deur, et al  
Preliminary





# QCD Effective Coupling from *hadronic $\tau$ decay*



- Generalized Crewther Relation

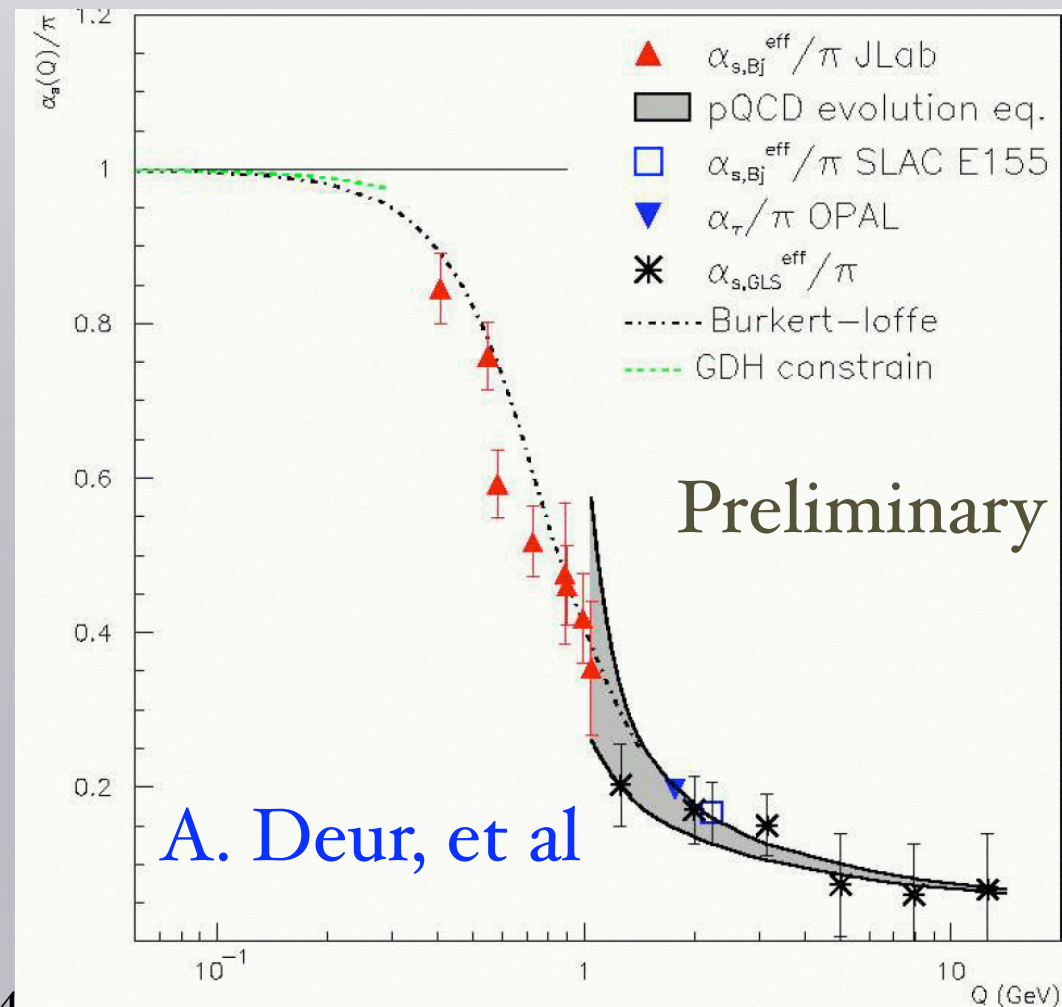
$$\left[1 - \frac{\alpha_{g_1}(Q^2)}{\pi}\right] \times \left[1 + \frac{\alpha_R(s^*)}{\pi}\right] = 1$$

at  $s^* = CQ^2$ .

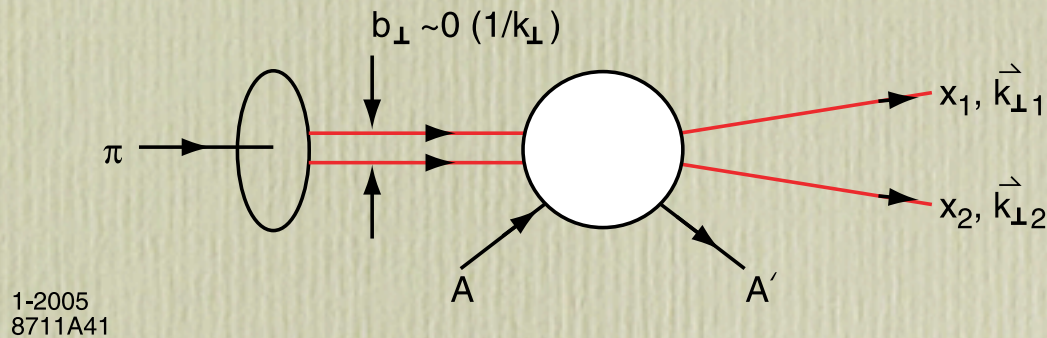
- Exact at leading twist.
- No scale ambiguity!
- Extraordinary Test of QCD

- $\frac{\alpha_{g_1}(Q^2)}{\pi}$ :  
Analytic at quark thresholds.

*G.Gabadadze, H.J.Lu, A.Kataev,  
J.Rathsman, SJB*



# Diffractive Dissociation of Pion

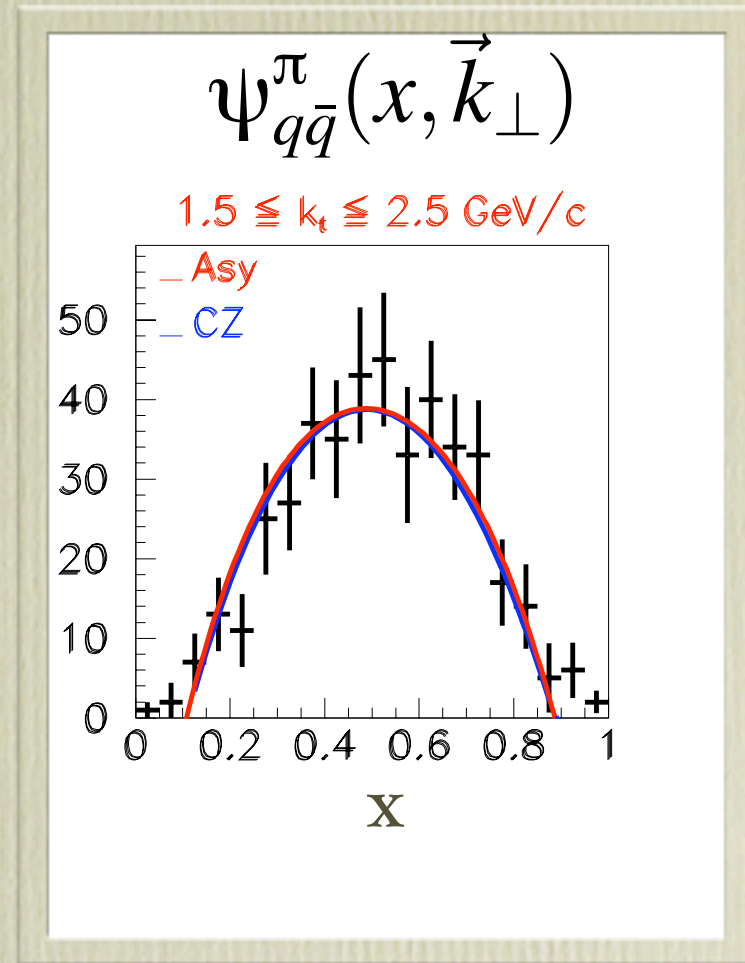


Measure Light-Front Wavefunction of Pion  
Two-gluon Exchange  
Minimal momentum transfer to nucleus  
Nucleus left Intact

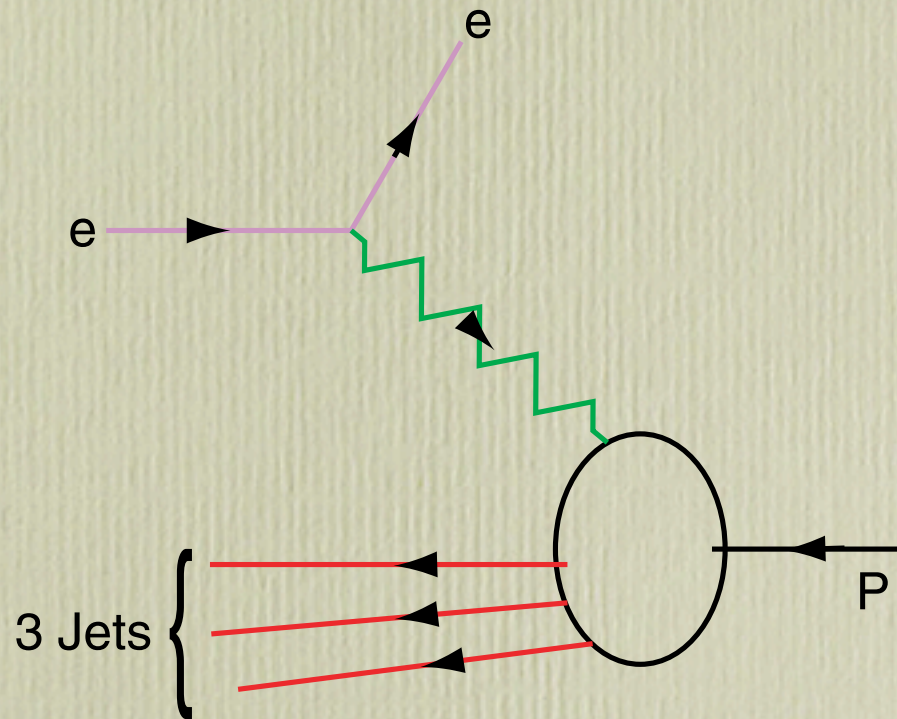
# Diffractive Dissociation of a Pion into Dijets

$$\pi A \rightarrow \text{JetJet} A'$$

- E789 Fermilab Experiment  
Ashery et al
- 500 GeV pions collide on nuclei keeping it intact
- Measure momentum of two jets
- Study momentum distributions of pion LF wavefunction



# Coulomb Dissociate Proton to Three Jets at HERA!



Measure  $\Psi_{qqq}(x_i, \vec{k}_{\perp i})$  valence wavefunction of proton

- Use high energy Coulomb dissociation:

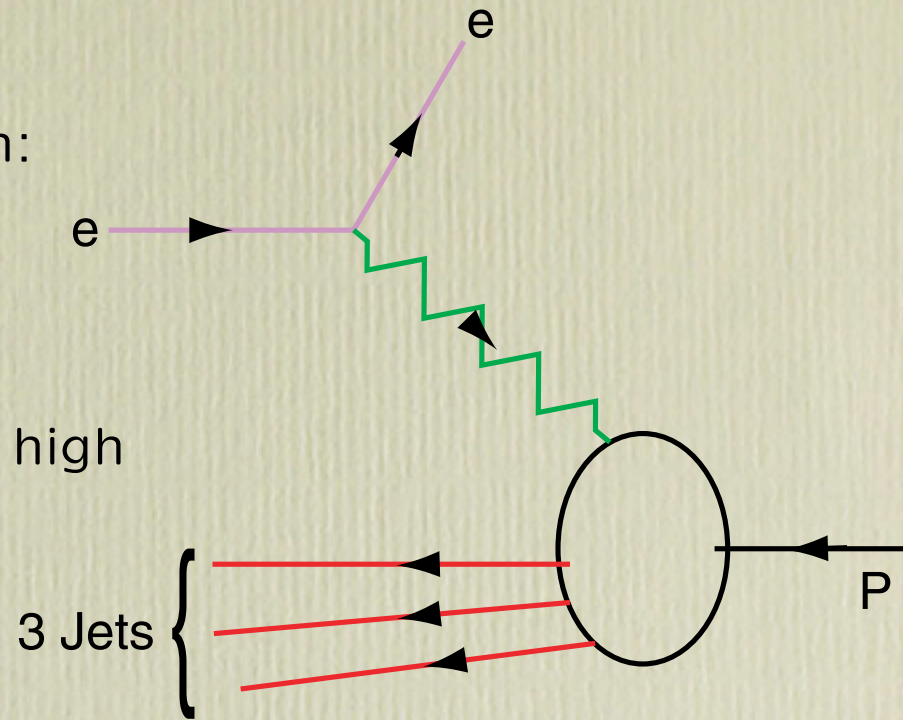
$$p + e \rightarrow \text{JetJetJet} + e'$$

to resolve hadron wavefunction

- Measure  $x_i$  and  $\vec{k}_{\perp i}$  of three jets with high  $p_T$

- Coulomb dissociation measures:

$$\sum e_q \frac{\partial}{\partial \vec{k}_{\perp q}} \psi_{q\bar{q}}(x_i, \vec{k}_{\perp i}, \lambda_i)$$



$$\sum k_{\perp i} = 0, \quad \sum x_i = 1.$$

- Use  $\gamma^* \rightarrow V$  as diffractive target of variable size

$$p + \gamma^* \rightarrow \text{JetJetJet} + \rho$$

- Pomeron exchange measures double derivative (resolves color dipole).
- Need new HERA3 detector capable of resolving three jets (Jade algorithm) with flavor tagging at forward rapidities

# AdS/CFT and QCD

- Non-Perturbative Derivation of Dimensional Counting Rules (Strassler and Polchinski)
- Light-Front Wavefunctions: Confinement at Long Distances and Conformal Behavior at short distances (de Teramond and Sjb)
- Power-law fall-off at large transverse momentum,  $x \rightarrow 1$
- Hadron Spectra, Regge Trajectories



# Compute LFWFs from First Principles

- Very difficult using Euclidean lattice
- Discretized light-cone quantization: Diagonalize light-cone Hamiltonian
- Bethe-Salpeter Dyson-Schwinger Eqns
- Transverse lattice
- AdS/CFT guidance

# AdS/CFT

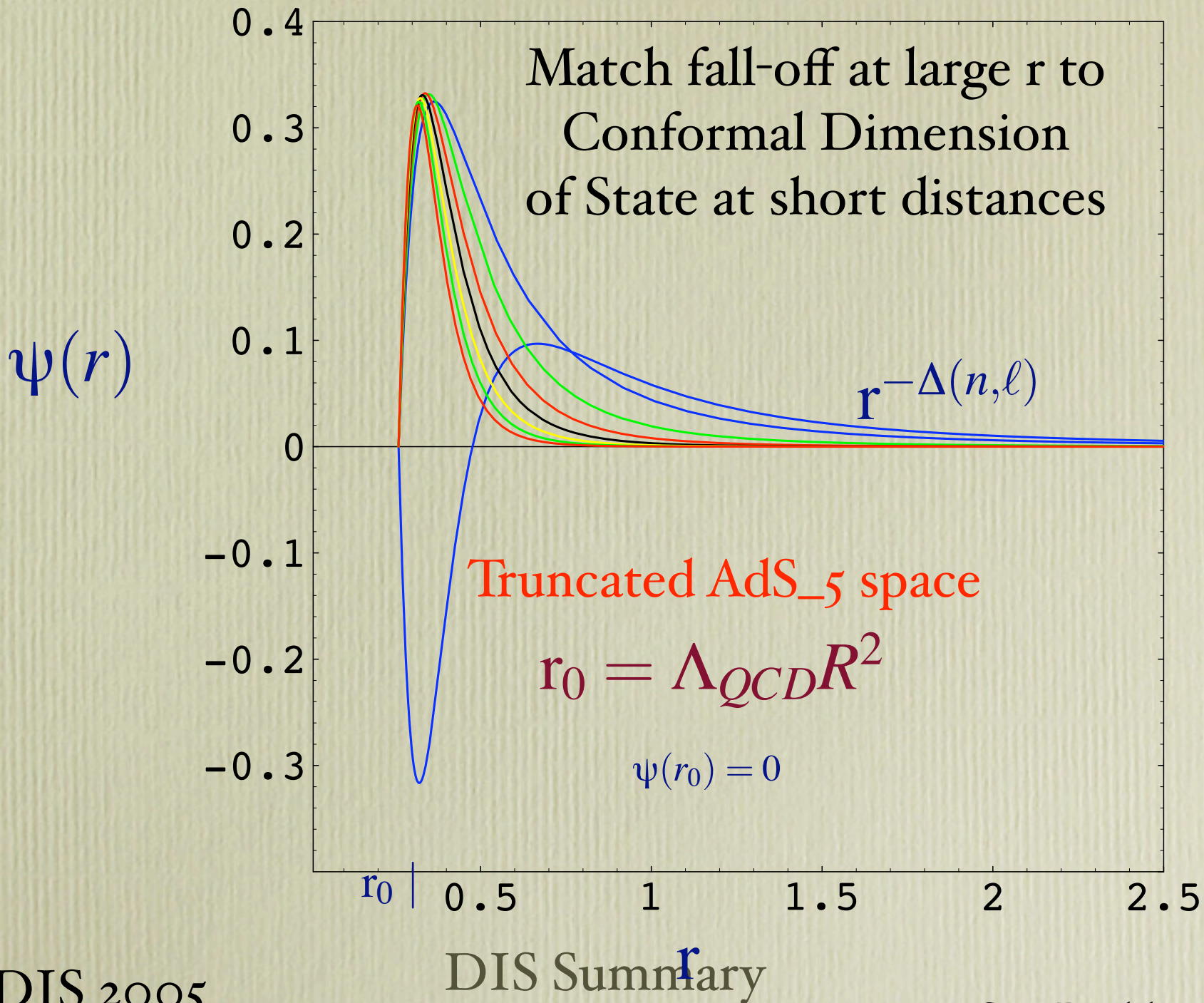
- Use mapping of  $SO(4,2)$  to  $AdS_5$
- Scale Transformation represented by wavefunction in 5th dimension
- Holographic model: Confinement at large distances and conformal symmetry at short distances
- Match solutions a large  $r$  to conformal dimension of hadron wavefunction at short distances
- Truncated space simulates “bag” boundary conditions

# AdS/CFT

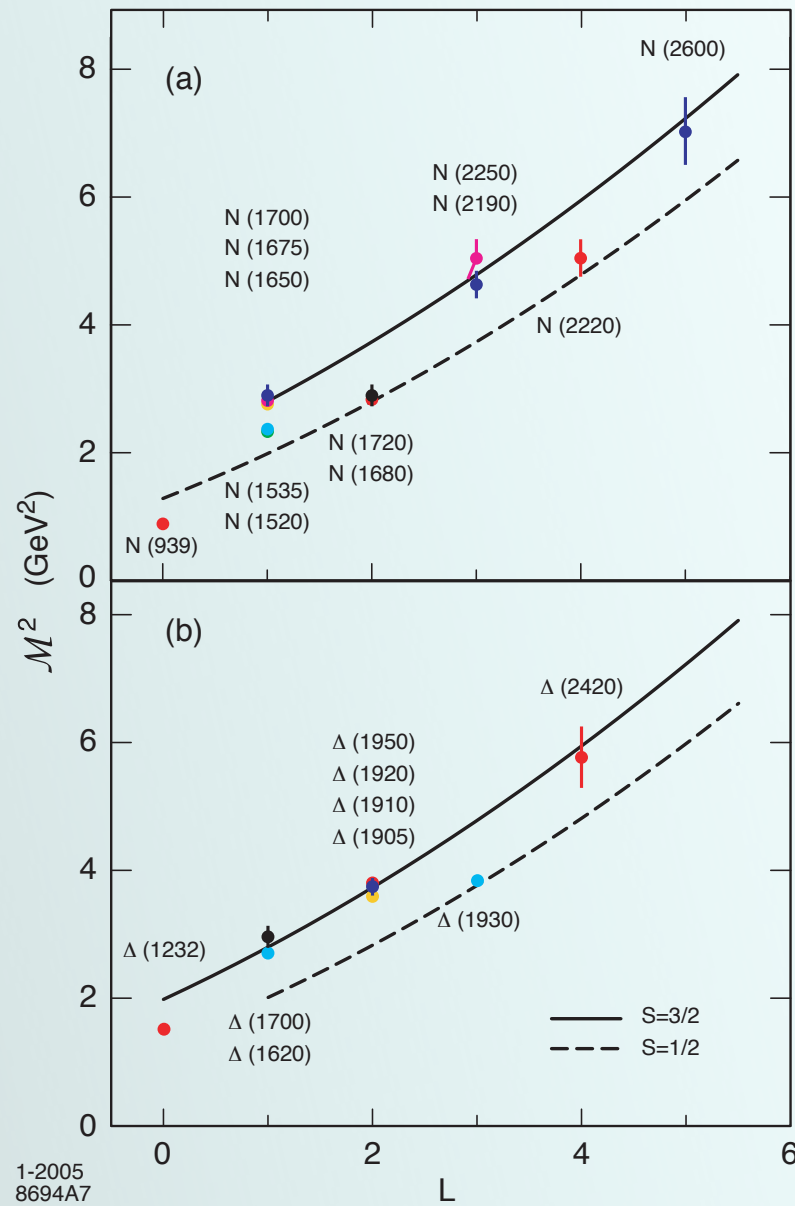
- Light-Front Wavefunctions can be determined by matching functional dependence in fifth dimension to scaling in impact space.

$$[z^2 \partial_z^2 - (d-1)z \partial_z + z^2 \mathcal{M}^2 - (\mu R)^2] f(z) = 0,$$

- Relative orbital angular momentum
- High transverse momentum behavior matches PQCD LFWF: Belitsky, Ji, Yuan



# AdS/CFT Baryon Spectroscopy



One Parameter  
 $\Lambda_{QCD} = 0.22 \text{ GeV}$

FIG. 2: Predictions for the light baryon orbital spectrum for  $\Lambda_{QCD} = 0.22 \text{ GeV}$ . The lower curves corresponds to baryon states dual to spin- $\frac{1}{2}$  modes in the bulk and the upper to states dual to spin- $\frac{3}{2}$  modes.

G. F. de Teramond and S. J. Brodsky,  
arXiv:hep-th/0501022.

# AdS/CFT Meson Spectroscopy

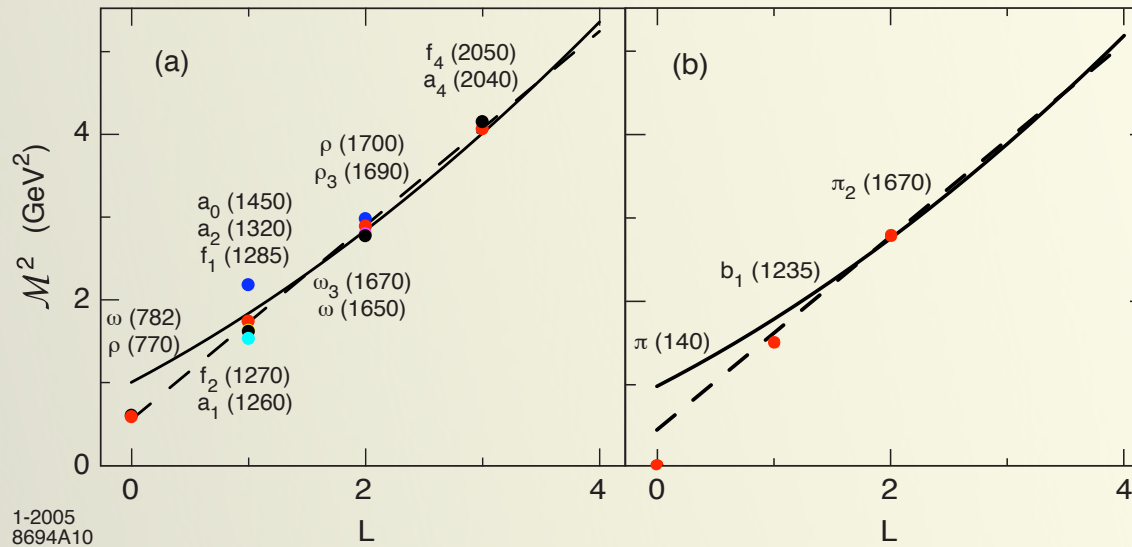
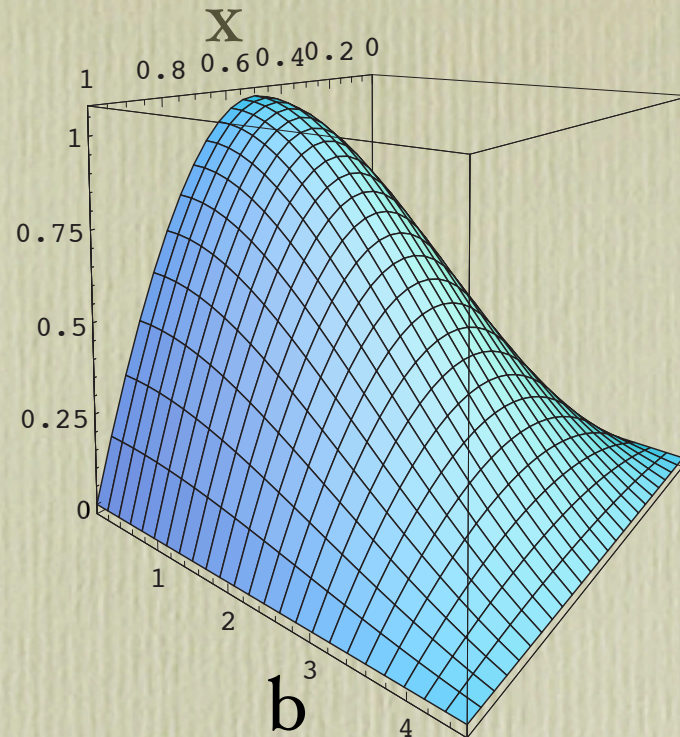


FIG. 1: Light meson orbital states for  $\Lambda_{QCD} = 0.263 \text{ GeV}$ . Results for the vector mesons are shown in (a) and for the pseudoscalar mesons in (b). The dashed line has slope  $1.16 \text{ GeV}^2$  and is drawn for comparison.

G. F. de Teramond and S. J. Brodsky, “The hadronic spectrum of a holographic dual of QCD,” arXiv:hep-th/0501022.

# Holographic LFWF



AdS/CFT

Figure 1: Ground state light-front wavefunction in impact space  $\psi(x, b)$  for a two-parton state in a holographic QCD model for  $n = 2, \ell = 0, k = 1$ .

$$\psi_{n,\ell,k}(x, r) = B_{n,\ell,k} x(1-x) \frac{J_{n+\ell-1}(r\beta_{n-1,k}\Lambda_{QCD})}{r},$$

$\mathbf{b} = \mathbf{r}$

GdT & Sjb (preliminary)

DIS 2005  
4-30-05

DIS Summary

## Why is large $x$ Important?

- Sensitive to details of hadronic structure  
valence, sea quark, and gluon distributions
- Detailed predictions from PQCD and AdS/CFT
- Helicity Retention & Spectator Counting  
Rules
- DGLAP must be modified:  
**quenched** at  $x \rightarrow 1$



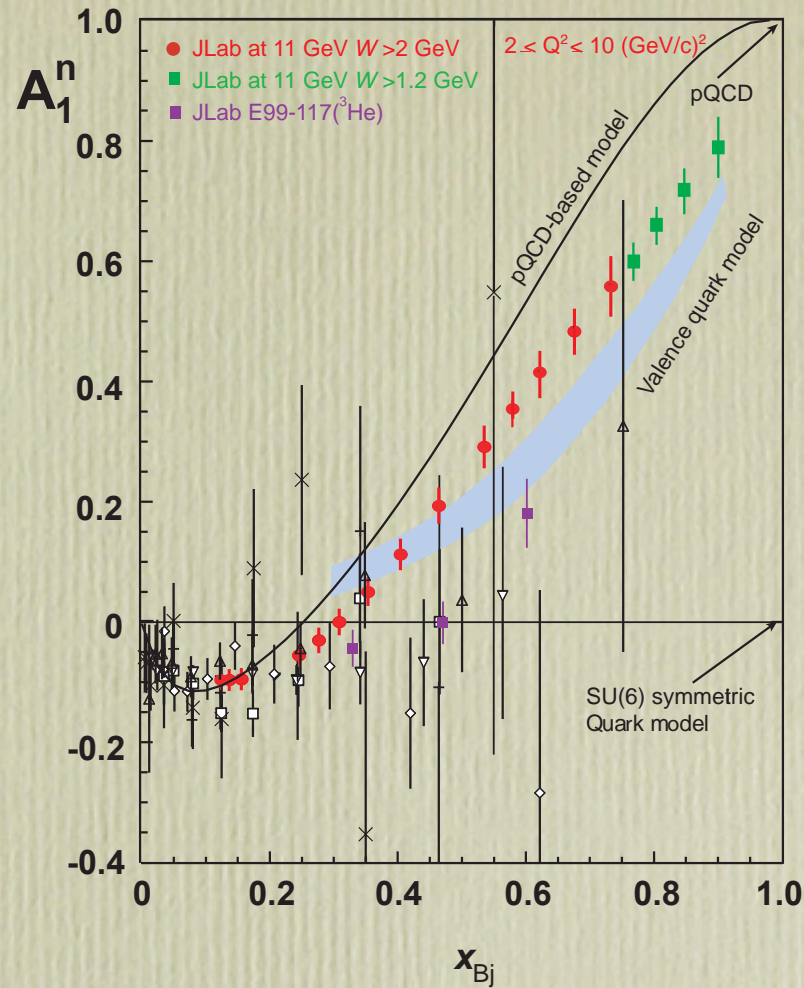


Figure 12: Projected measurement of the neutron polarization asymmetry,  $A_1^n$ , with the 12 GeV Upgrade. The shaded band represents the range of predictions of valence quark models; the solid line is the prediction of a pQCD-based quark model.

## Why is PQCD Relevant?

$x_{bj} \rightarrow 1$  Is A Far Off-Shell Domain of The  
Hadron Light-Front Wavefunction  $\psi_n(x_i, k_{\perp i}, \lambda_i)$

$$\sum_{i=1}^n x_i = 1$$

Thus  $x_{bj} \rightarrow 1$  implies  $x_i \rightarrow 0$  for all spectators

$$x \equiv \frac{k^+}{P^+} \equiv \frac{k^0 + k^z}{P^0 + P^z}$$

Thus  $x_{bj} \rightarrow 1$  requires  $k^z \rightarrow -\infty$   
since  $k_{\perp}^2 + m^2 \neq 0$ .

# Why is PQCD Relevant?

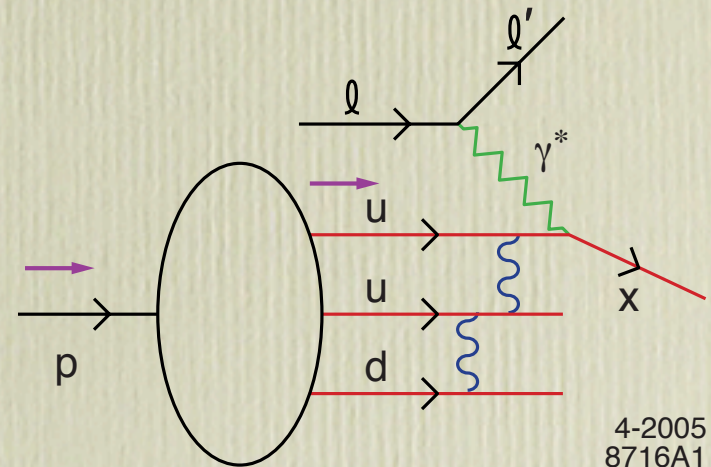
The spectator system has a timelike mass

$$(P - k)^2 = M_{spect}^2 > 0$$

The struck quark is far off-shell:

$$k_F^2 - m^2 = x[M_p^2 - \mathcal{M}^2] \simeq -\frac{k_{\perp}^2 + M_{spect}^2}{1-x}$$

Thus  $k_F^2 \rightarrow -\infty$  for  $x_{bj} \rightarrow 1$ .



Lepage, SJB

## Why is large $x$ Important?

- Higher-twist subprocess enhanced:  $\frac{1}{(1-x)^p}$
- Exclusive-Inclusive Duality
- Intrinsic heavy quarks **at large  $x$**
- Utilize maximal energy of beam:  
e.g., forward Higgs production

## Two approaches to evaluating LFWFs at Short Distances

$$\psi(x_i, \vec{k}_{\perp i}, \lambda_i)$$

$$k_{\perp}^2 \gg \Lambda_{QCD}^2 \text{ and/or } x_i \rightarrow 1$$

- Use PQCD (minimally connected tree graphs)
- AdS/CFT (duality between string theory and conformal field theory)

In practice: QCD: Approximately Conformal

$$|\Psi_h(P^+, \vec{P}_\perp)\rangle = \sum_{n, \lambda_i} \int [dx_i d^2\vec{k}_{\perp i}] \psi_{n/h}(x_i, \vec{k}_{\perp i}, \lambda_i) |n : x_i P^+, x_i \vec{P}_\perp + \vec{k}_{\perp i}, \lambda_i\rangle$$

Conformal  
Behavior:

$$\psi_{n/h}(\vec{k}_\perp) \rightarrow (k_\perp)^\ell \left[ \frac{1}{\vec{k}_\perp^2} \right]^{n+\delta_n+\ell-1} .$$

Model Form from PQCD  
or AdS/CFT :

$$\psi_{n/h}(x_i, \vec{k}_{\perp i}, \lambda_i, l_{zi}) \sim \frac{(g_s N_C)^{\frac{1}{2}(n-1)}}{\sqrt{\mathcal{N}_C}} \prod_{i=1}^{n-1} (k_{i\perp}^\pm)^{|l_{zi}|} \left[ \frac{\Lambda_o}{\mathcal{M}^2 - \sum_i \frac{\vec{k}_{\perp i}^2 + m_i^2}{x_i} + \Lambda_o^2} \right]^{n+|l_z|-1}$$

# Near-Conformal Behavior of LFWFs Lead to PQCD Scaling Laws

- Bjorken Scaling of DIS
- Counting Rules of Structure Functions at large  $x$
- Dimensional Counting Rules for Exclusive Processes and Form Factors
- Conformal Relations between Observables
- No Renormalization Scale Ambiguity

Counting Rules:

$$q(x) \sim (1-x)^{2n_{spect}-1} \text{ for } x \rightarrow 1$$

$$F(Q^2) \sim \left(\frac{1}{Q^2}\right)^{(n-1)}$$

$$\frac{d\sigma}{dt}(AB \rightarrow CD) \sim \frac{F(t/s)}{s^{(n_{participants}-2)}}$$

$$n_{participants} = n_A + n_B + n_C + n_D$$

$$\frac{d\sigma}{d^3p/E}(AB \rightarrow CX) \sim F(\hat{t}/\hat{s}) \times \frac{(1-x_R)^{(2n_{spectators}-1)}}{(p_T^2)^{(n_{participants}-2)}}$$



## PQCD:

Valence quark distributions in the proton

$$n_{spectator} = 2$$

$$q^+(x) \sim (1-x)^3 \quad S_q^z = S_p^z$$

$$q^-(x) \sim (1-x)^5 \quad S_q^z = -S_p^z$$

Sea quark distributions  $n_{spectator} = 4$

$$q^+(x) \sim (1-x)^7 \quad S_q^z = S_p^z$$

$$q^-(x) \sim (1-x)^9 \quad S_q^z = -S_p^z$$

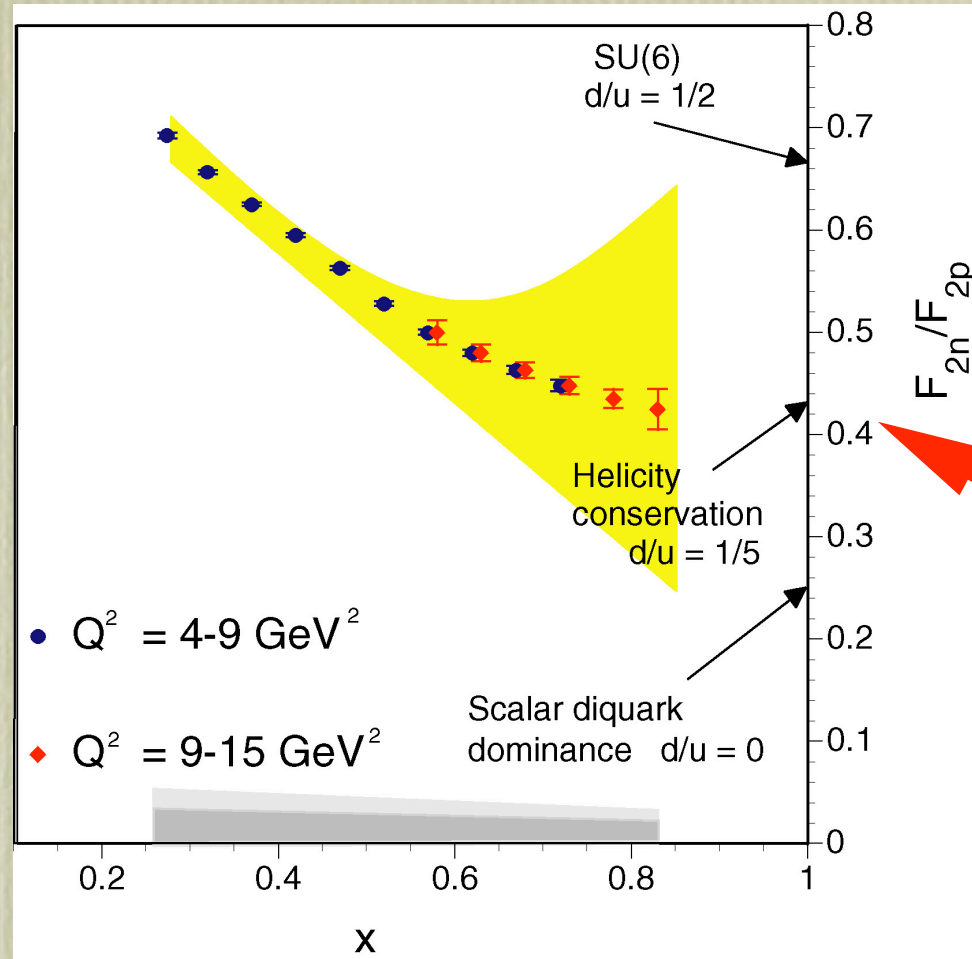
$SU(6)$  Flavor-Spin Symmetry:

$u^+ : u^- : d^+ : d^-$

$5/3 : 1/3 : 1/3 : 2/3$  for proton

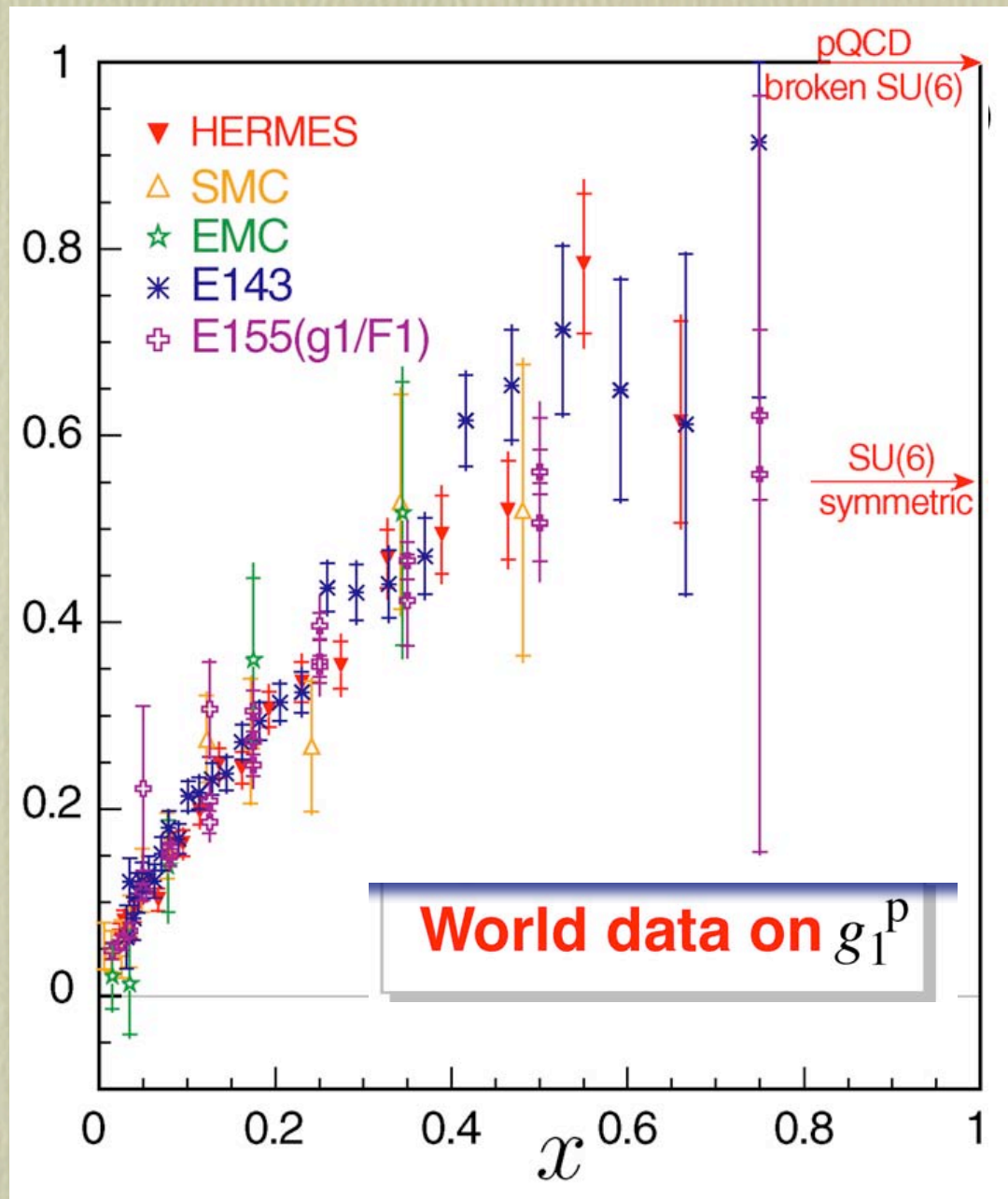
Thus

$d(x)/u(x) \rightarrow d^+(x)/u^+(x) \rightarrow 1/5$   
at  $x \rightarrow 1$  in proton



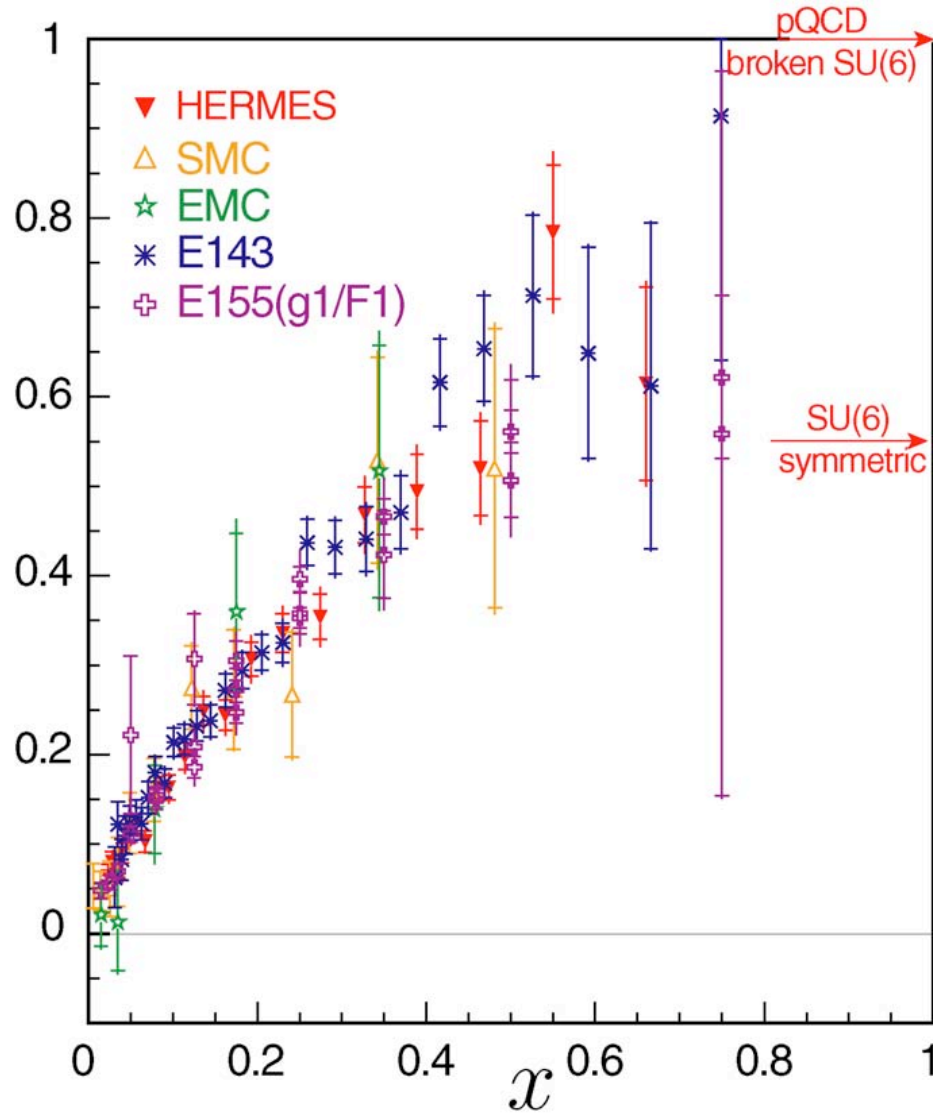
$$\frac{F_{2n}(x)}{F_{2p}(x)} \rightarrow \frac{\sum_{q/p} e_q^2 q_p^+(x)}{\sum_{q/p} e_q^2 q_n^+(x)} = \frac{5\frac{1}{9} + \frac{4}{9}}{5\frac{4}{9} + \frac{1}{9}} = \frac{3}{7} = 0.4286 \dots$$

at  $x \rightarrow 1$

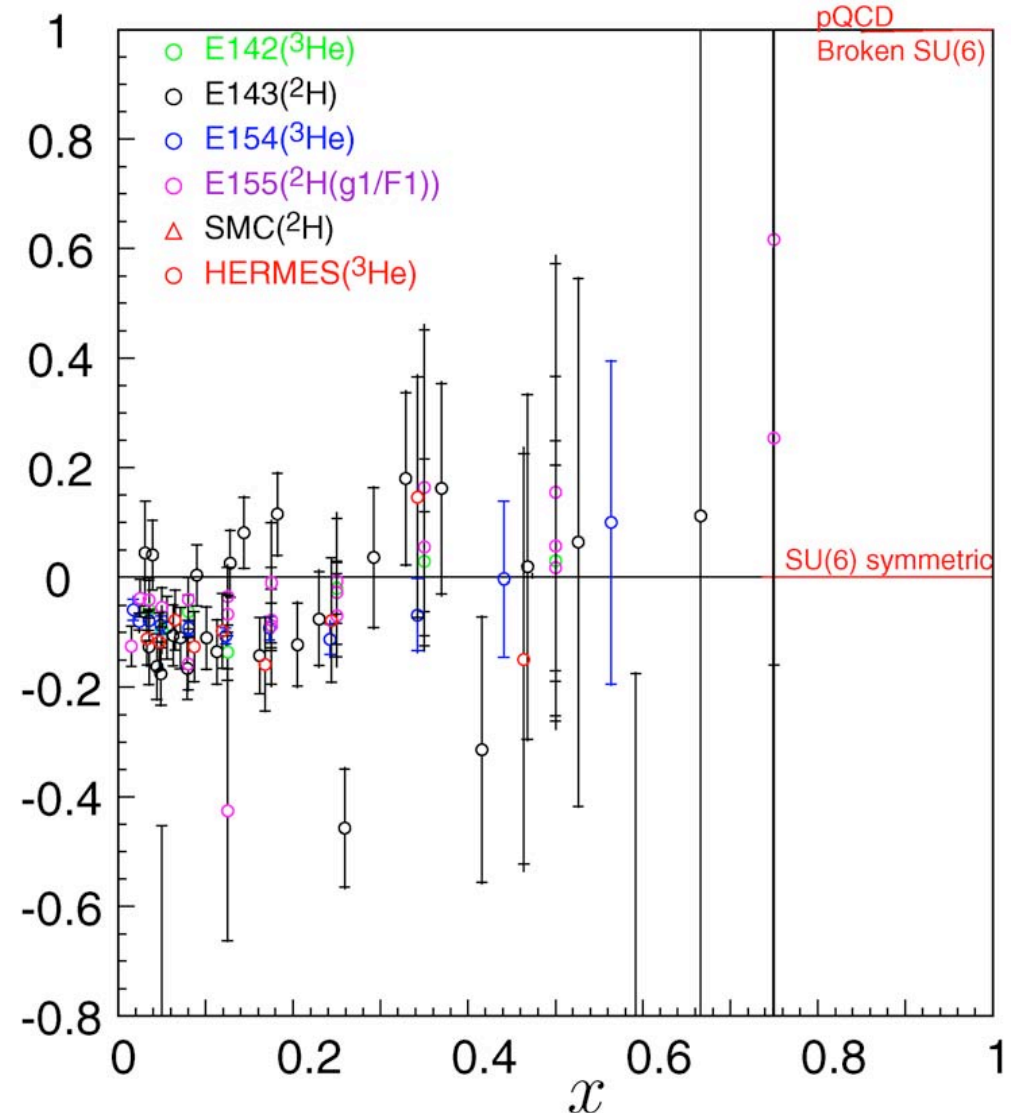


# World data for $A_1$

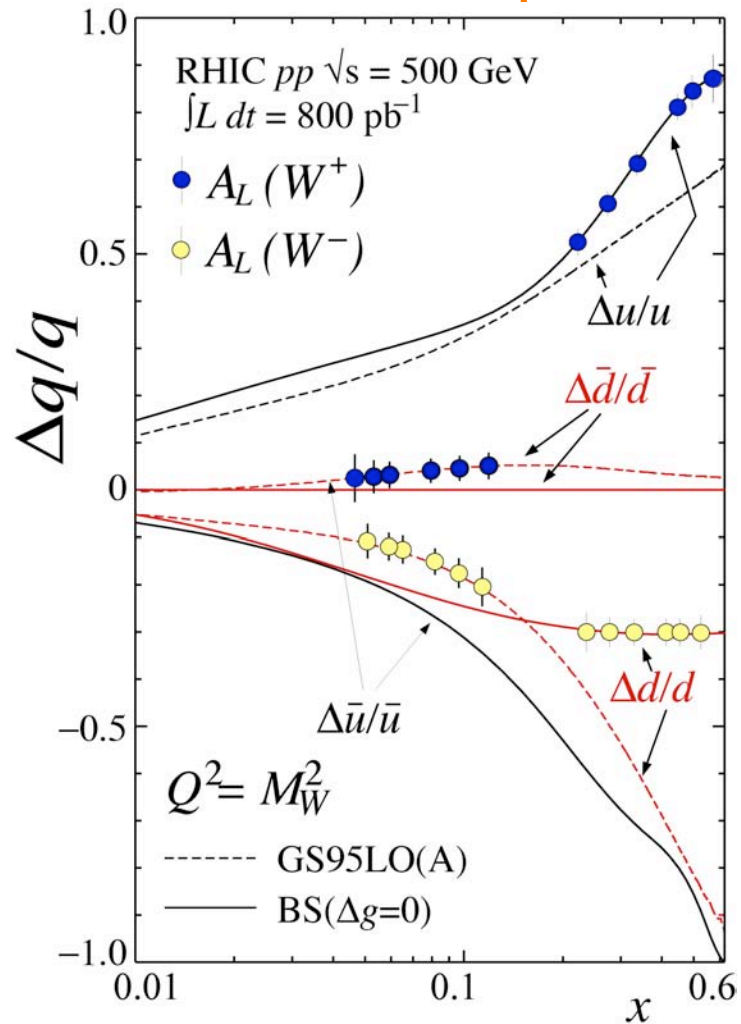
Proton



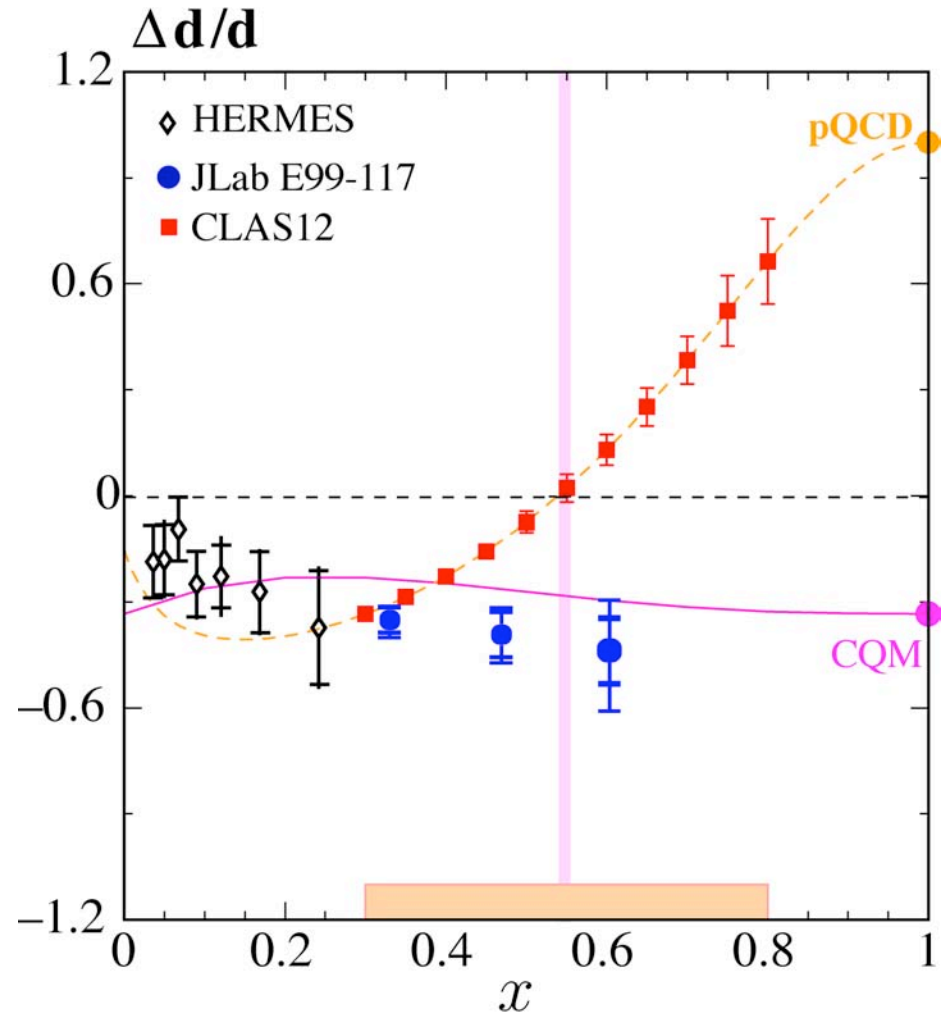
Neutron



## At RHIC with W production

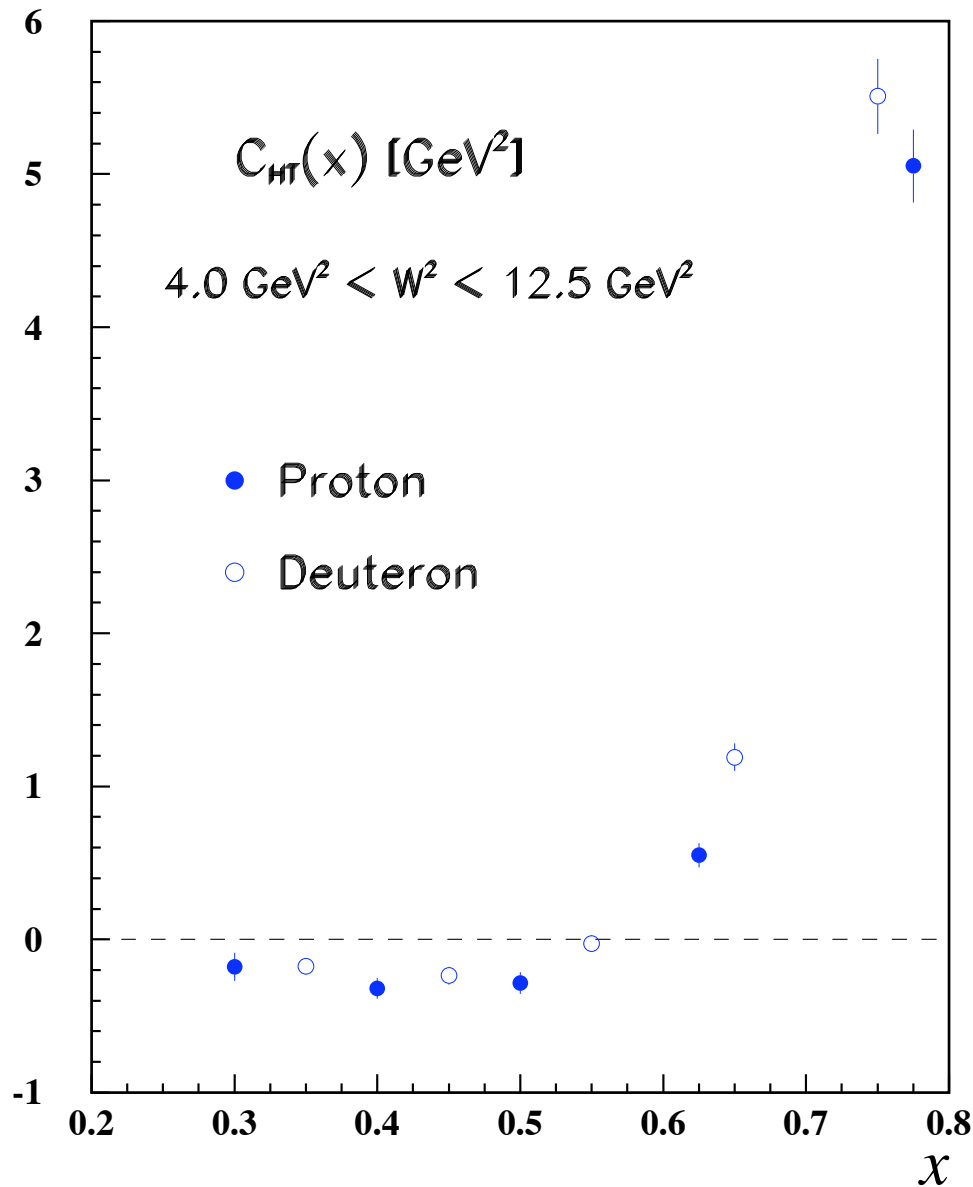


## At JLab 12 GeV with SIDIS



Meziani

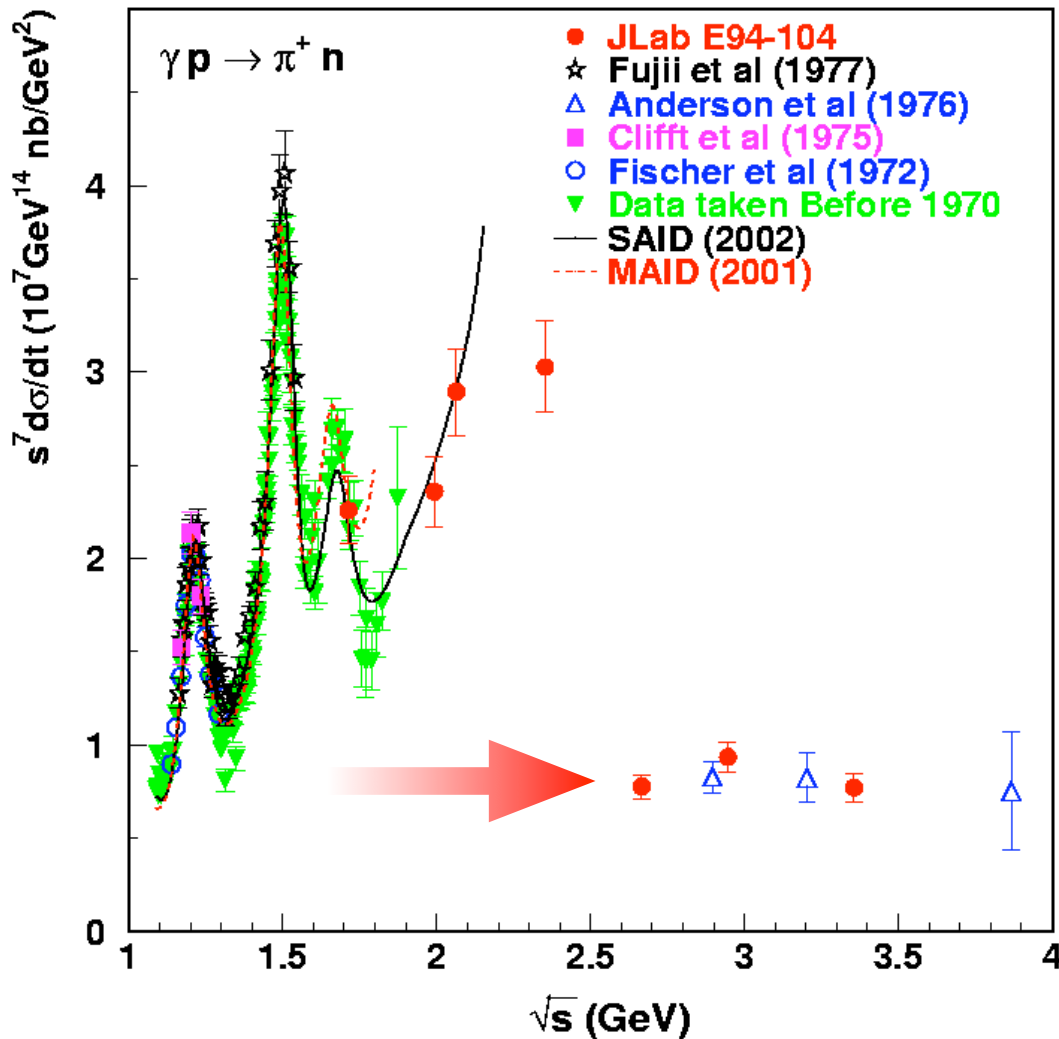
# Higher Twist Effect



*from (u u)  $\gamma^*$   $\rightarrow$  (uu)*

$$\frac{F_{\pi}^2(Q^2)}{(1-x)^2} \simeq \frac{C}{Q^4(1-x)^2}$$

# Test of PQCD Scaling



$s^7 d\sigma/dt(\gamma p \rightarrow \pi^+ n) \sim \text{const}$   
 fixed  $\theta_{CM}$  scaling

PQCD and AdS/CFT:

$$s^{n_{tot}-2} \frac{d\sigma}{dt}(A + B \rightarrow C + D) = F_{A+B \rightarrow C+D}(\theta_{CM})$$

$$s^7 \frac{d\sigma}{dt}(\gamma p \rightarrow \pi^+ n) = F(\theta_{CM})$$

$$n_{tot} = 1 + 3 + 2 + 3 = 9$$

Possible  
 substructure at  
 strangeness and  
 charm thresholds

# Novel Nuclear Effects

- Hidden Color
- Shadowing/ Antishadowing; Non-universality
- EMC Effect
- $x > 1$
- Exclusive Nuclear Processes
- Color Transparency of Quasielastic processes

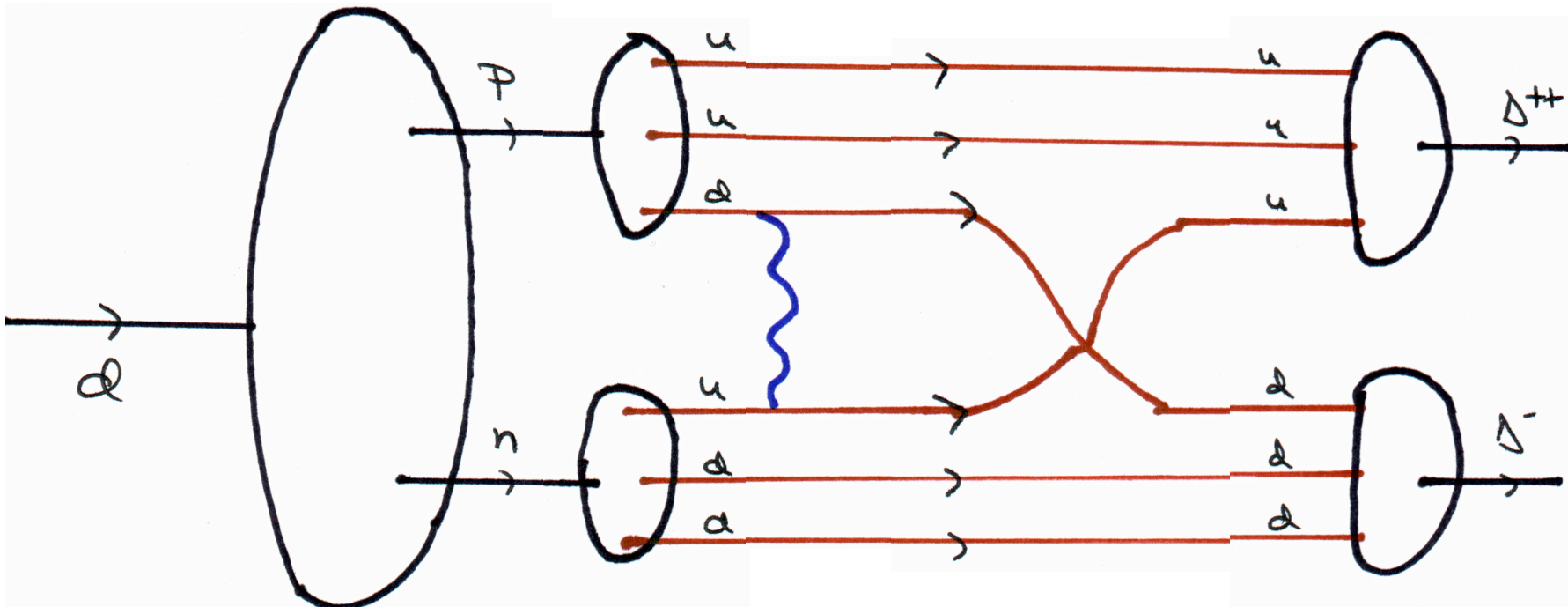


- New Topics at JLAB 12 GeV:
- Hidden Color at large  $t$ :  $\gamma d$  to  $\Delta^{++} \Delta^{-}$
- Color Transparency Tests
- Charm at Threshold
- $s(x)$  vs. Anti- $s(x)$  Distributions LF Quantization
- $J=0$  Fixed Pole
- Charged cubed

# Hidden Color in QCD

- Deuteron six quark wavefunction:
- 5 color-singlet combinations of 6 color-triplets -- one state is  $|\ln p\rangle$
- Components evolve towards equality at short distances
- Hidden color states dominate deuteron form factor and photodisintegration at high momentum transfer
- Predict  $\frac{d\sigma}{dt}(\gamma d \rightarrow \Delta^{++}\Delta^{-}) \simeq \frac{d\sigma}{dt}(\gamma d \rightarrow pn)$  at high  $Q^2$

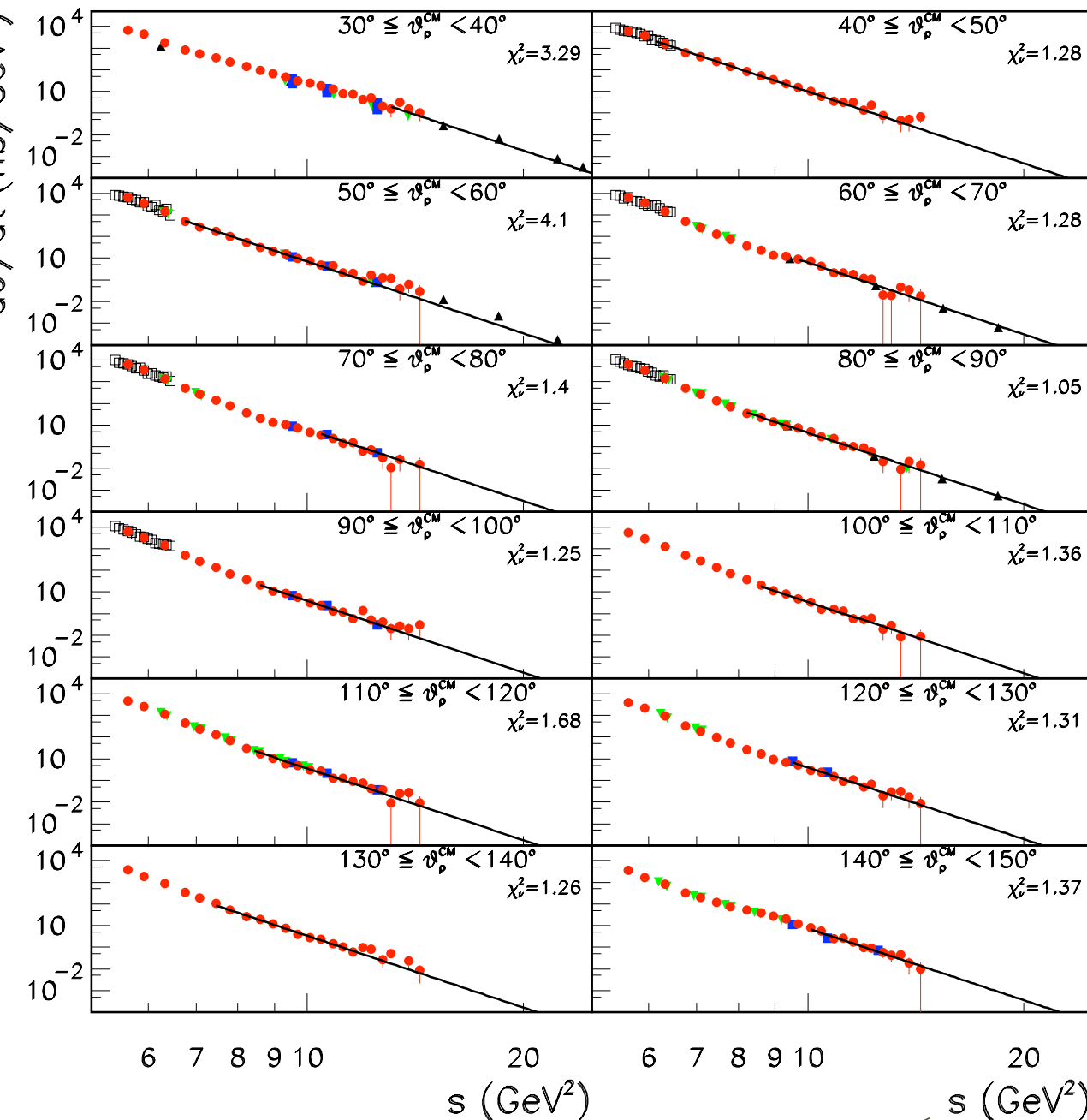
# Structure of Deuteron in QCD



Hidden Color Fock State

Delta-Delta Fock State

# Deuteron Photodisintegration & Dimensional Counting Rules



PQCD and AdS/CFT:

$$s^{n_{tot}-2} \frac{d\sigma}{dt} (A + B \rightarrow C + D) = F_{A+B \rightarrow C+D}(\theta_{CM})$$

$$s^{11} \frac{d\sigma}{dt} (\gamma d \rightarrow np) = F(\theta_{CM})$$

$$n_{tot} - 2 = (1 + 6 + 3 + 3) - 2 = 11$$

# Duality

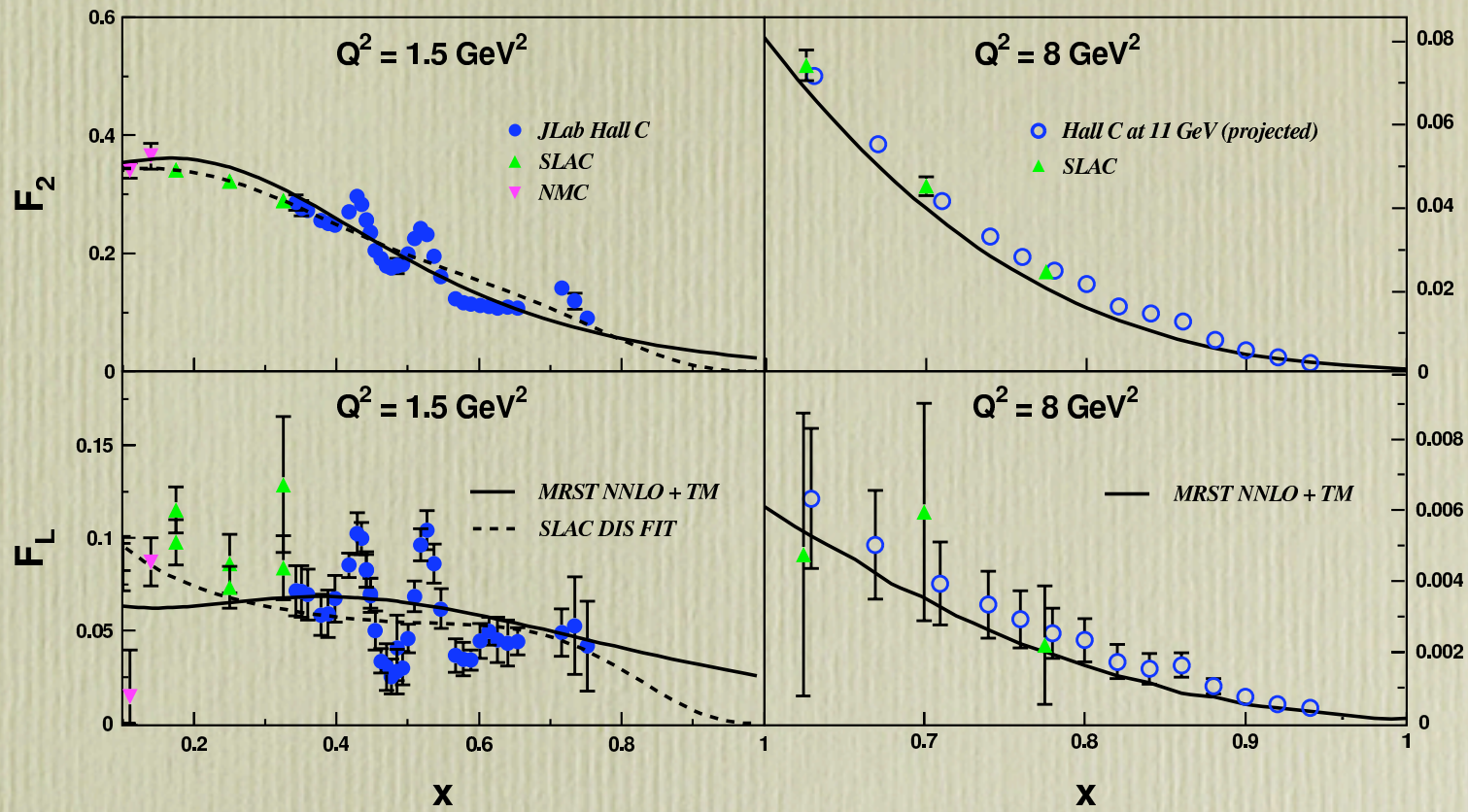


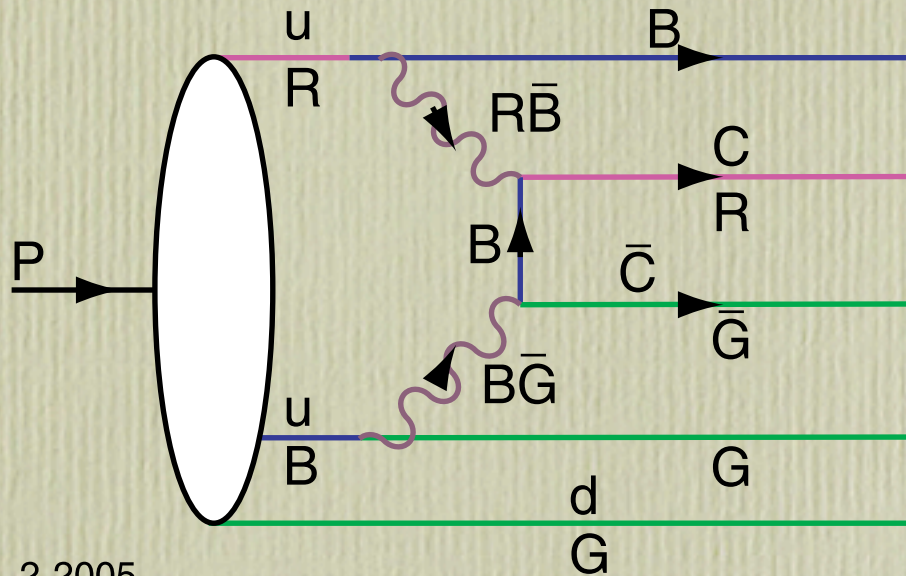
Figure 16: The potential of the 12 GeV Upgrade for exploring quark–hadron duality in the nucleon structure functions  $F_2$  (upper row) and  $F_L$  (lower row). The left panels show the Hall C data taken at 6 GeV, the right panels the projected high- $x$  and high- $Q^2$  data at 12 GeV.

Crucial Prediction of PQCD

$$(1-x)^3 \text{ vs. } (1-x)^5$$

- Higher-twist subprocess enhanced:  $\frac{1}{(1-x)^p}$
- Exclusive-Inclusive Duality
- Intrinsic heavy quarks **at large x**
- Utilize maximal energy of beam:  
e.g., forward Higgs production

# Intrinsic Charm in Proton



2-2005  
8711A82

$|uudc\bar{c}\rangle$  Fluctuation in Proton  
 QCD: Probability  $\sim \frac{\Lambda_{QCD}^2}{M_Q^2}$

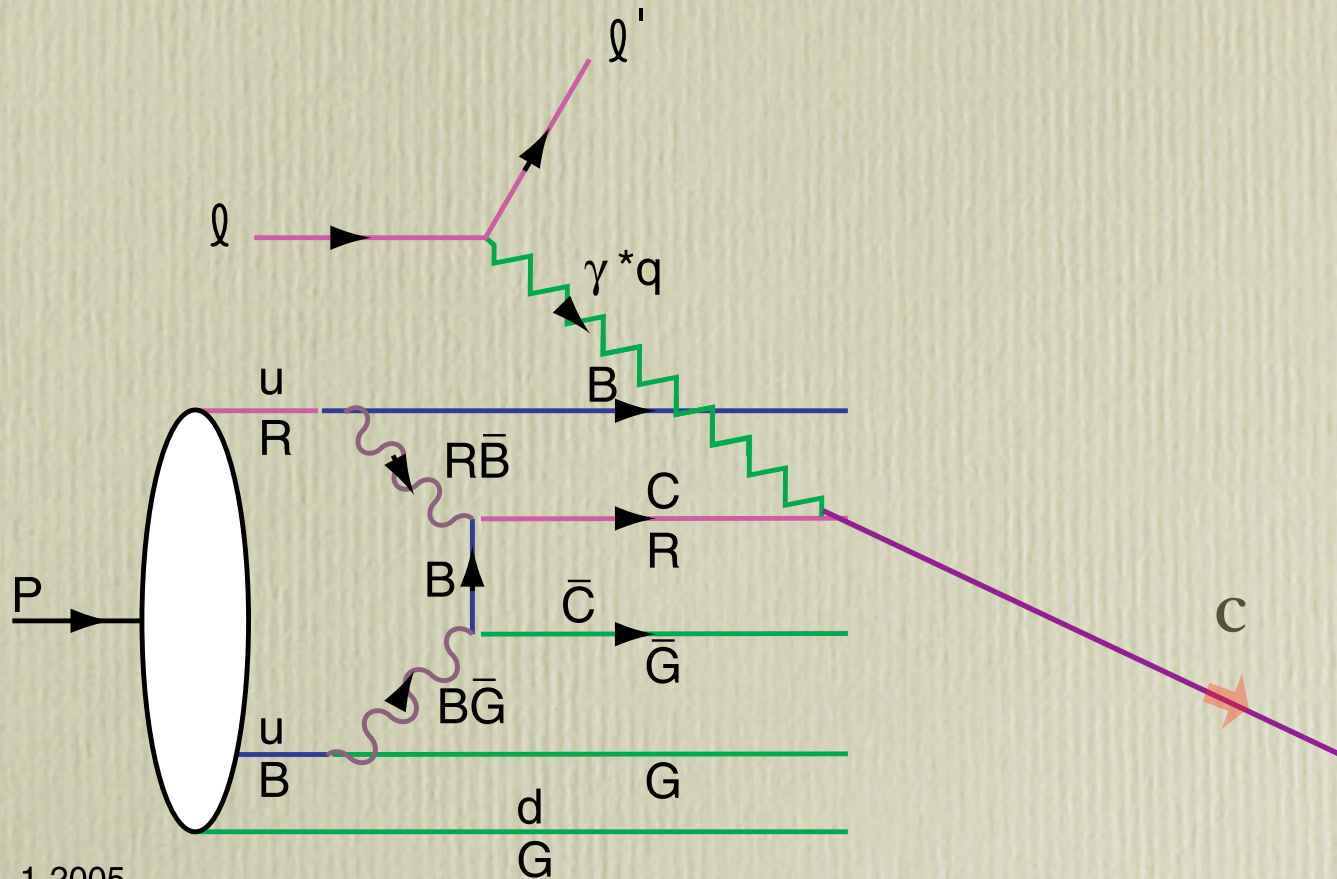
$c\bar{c}$  in Color Octet

**High x charm**

Distribution peaks at equal rapidity (velocity)  
 Therefore heavy particles carry the largest momentum fractions

$|e^+e^-\ell^+\ell^-\rangle$  Fluctuation in Positronium  
 QED: Probability  $\sim \frac{(m_e\alpha)^4}{M_\ell^4}$

# Measure $c(x)$ in Deep Inelastic Lepton-Proton Scattering




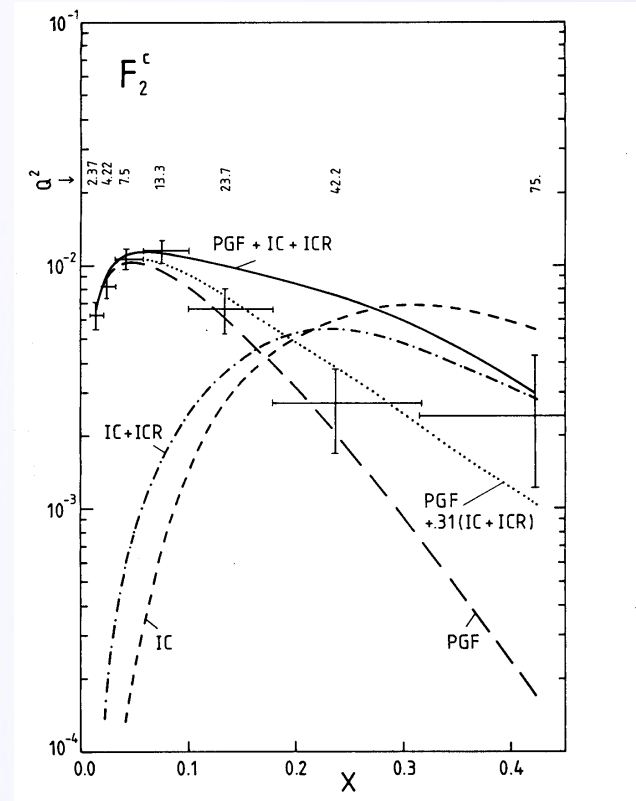
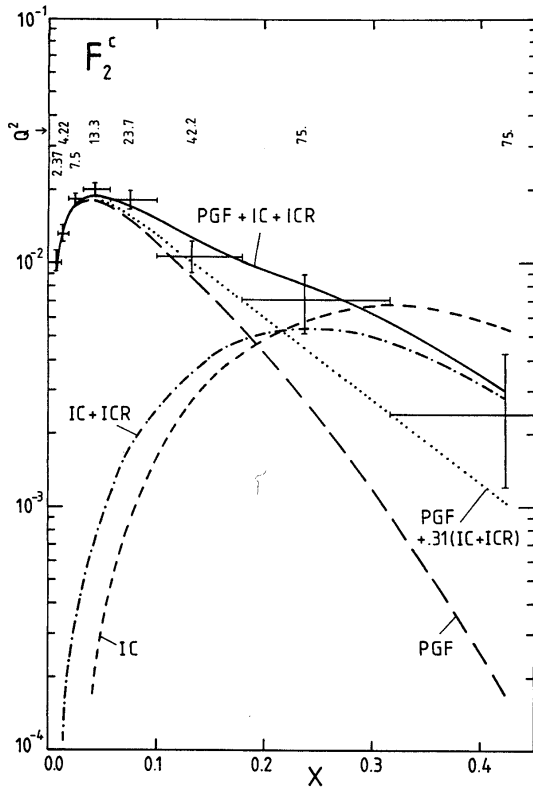
1-2005  
8711A83



# EMC Measurements of the Charm Structure Function

J. J. Aubert et al. [European Muon Collaboration], "Production Of Charmed Particles In 250-GeV Mu+ - Iron Interactions," Nucl. Phys. B 213, 31 (1983).

1% IC  


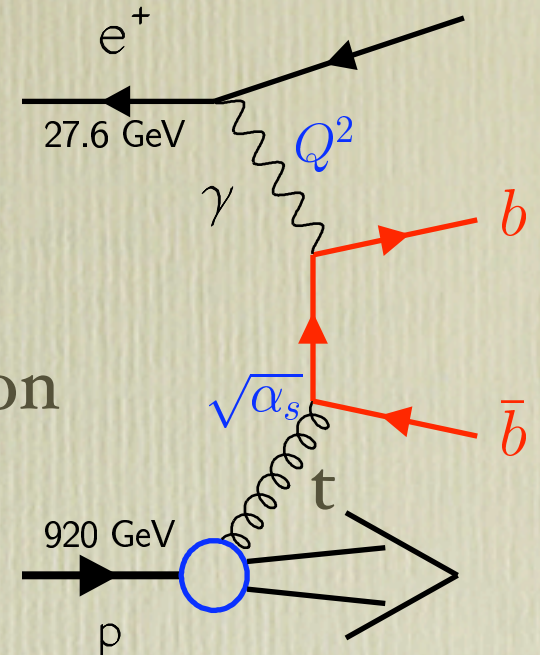


Analysis by

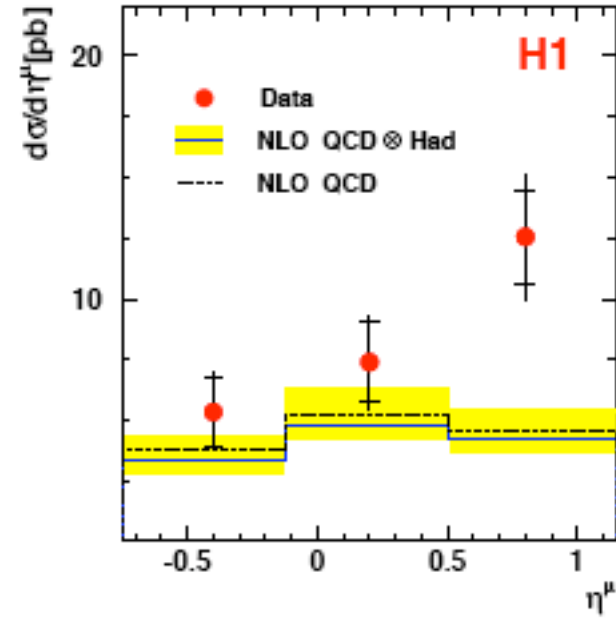
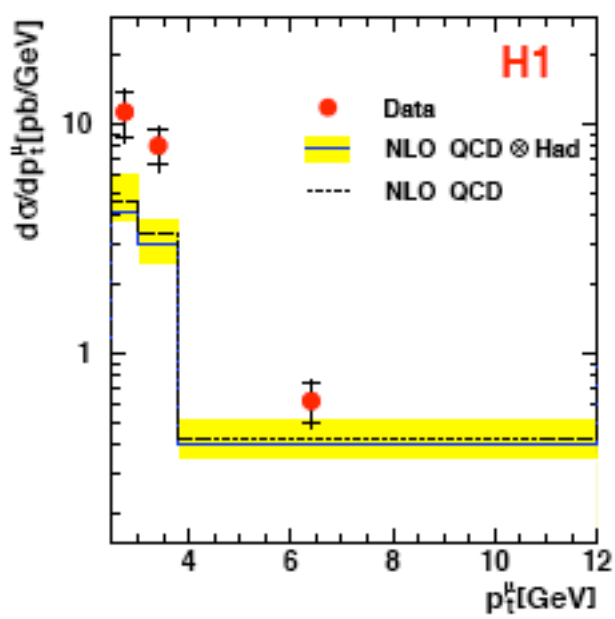
E. Hoffmann and R. Moore, Z. Phys. C 20, 71 (1983).

Photon Gluon Fusion Factor 30 too small

$$\gamma^* p \rightarrow b X$$

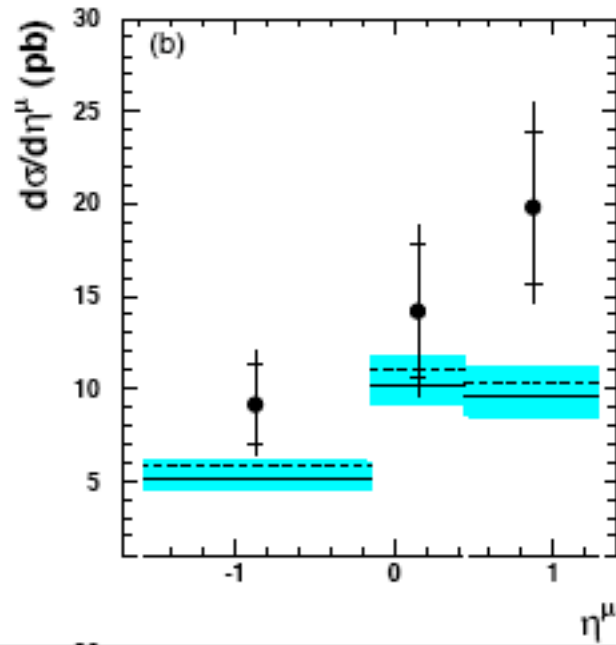
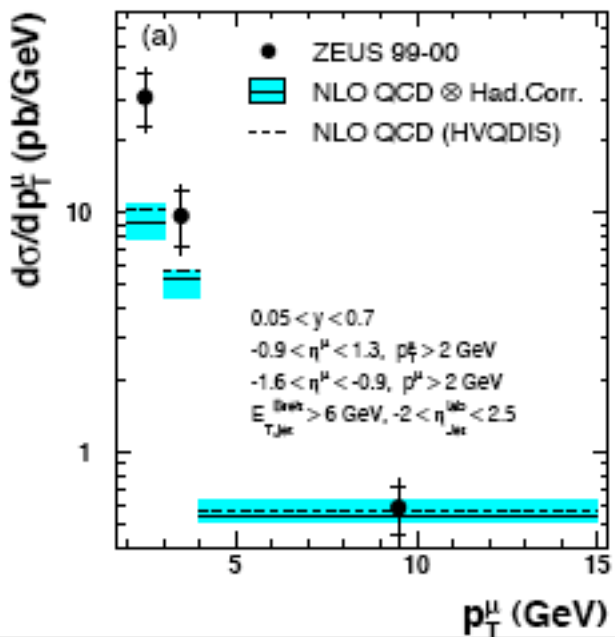


- New data on inclusive b electroproduction
- H1 and DO
- Anomalously large signals forward rapidity, low transverse momentum
- scale problem? QED:  $\gamma^* g \rightarrow b\bar{b} : \alpha(t = p_g^2)$
- IB?



**ZEUS**

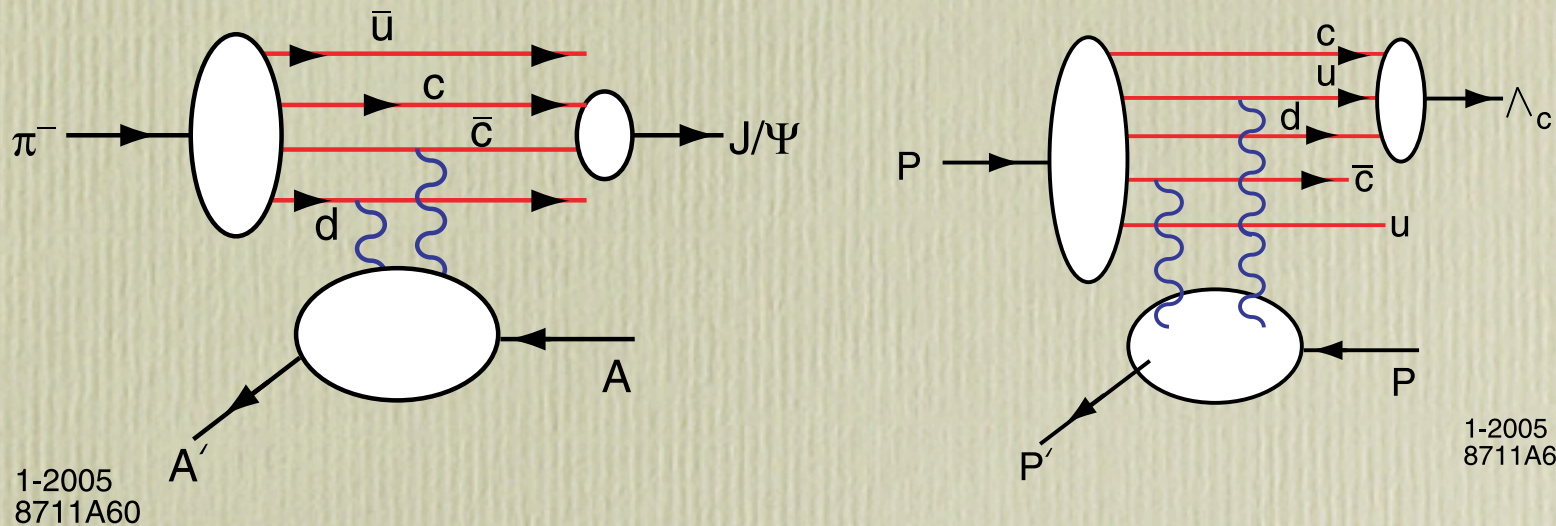
Behnke



- IC Explains Anomalous  $\alpha(x_F)$  not  $\alpha(x_2)$  dependence of  $pA \rightarrow J/\psi X$   
(Mueller, Gunion, Tang, SJB)
- Color Octet IC Explains  $A^{2/3}$  behavior at high  $x_F$  (NA3, Fermilab)  
(Kopeliovitch, Schmidt, Soffer, SJB)
- IC Explains  $J/\psi \rightarrow \rho\pi$  puzzle  
(Karliner, SJB)
- IC leads to new effects in  $B$  decay  
(Gardner, SJB)

- EMC data:  $c(x, Q^2) > 30 \times \text{DGLAP}$   
 $Q^2 = 75 \text{ GeV}^2, x = 0.42$
- High  $x_F$   $pp \rightarrow J/\psi X$
- High  $x_F$   $pp \rightarrow J/\psi J/\psi X$
- High  $x_F$   $pp \rightarrow \Lambda_c X$
- High  $x_F$   $pp \rightarrow \Lambda_b X$
- High  $x_F$   $pp \rightarrow \Xi(ccd)X$  (SELEX)

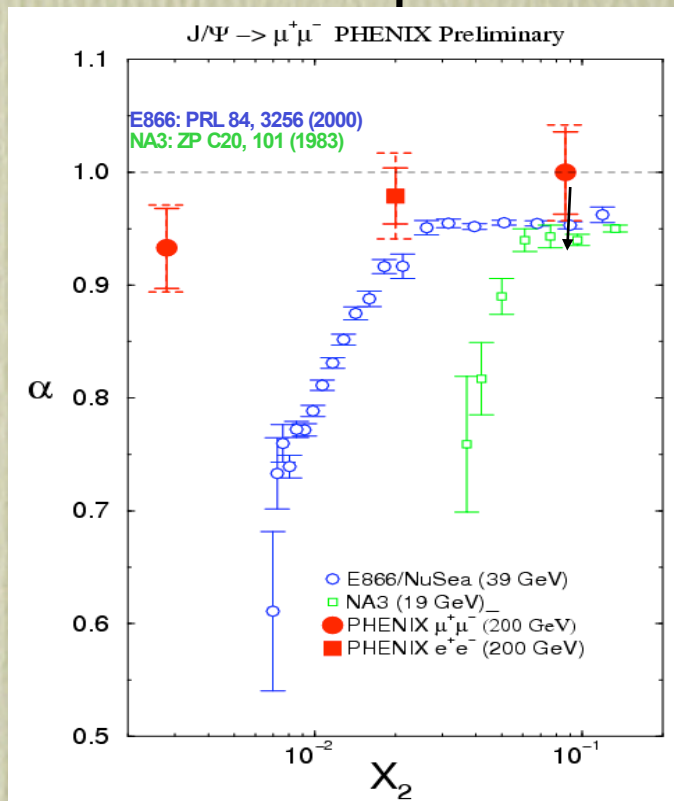
# Diffractive Dissociation of Intrinsic Charm



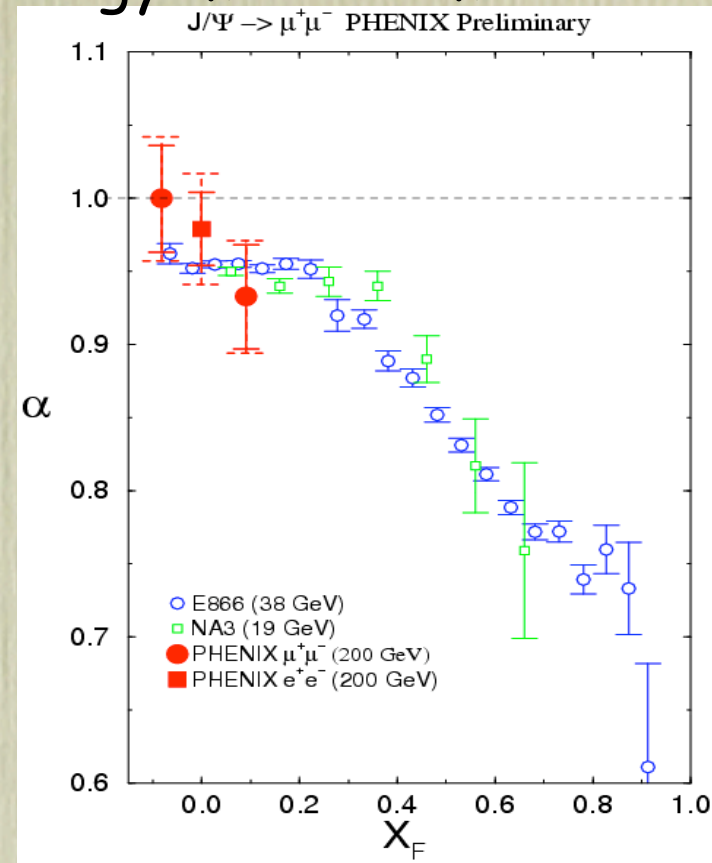
Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$

# J/ψ nuclear dependence vrs rapidity, $X_{Au}$ , $X_F$

## PHENIX compared to lower energy measurements



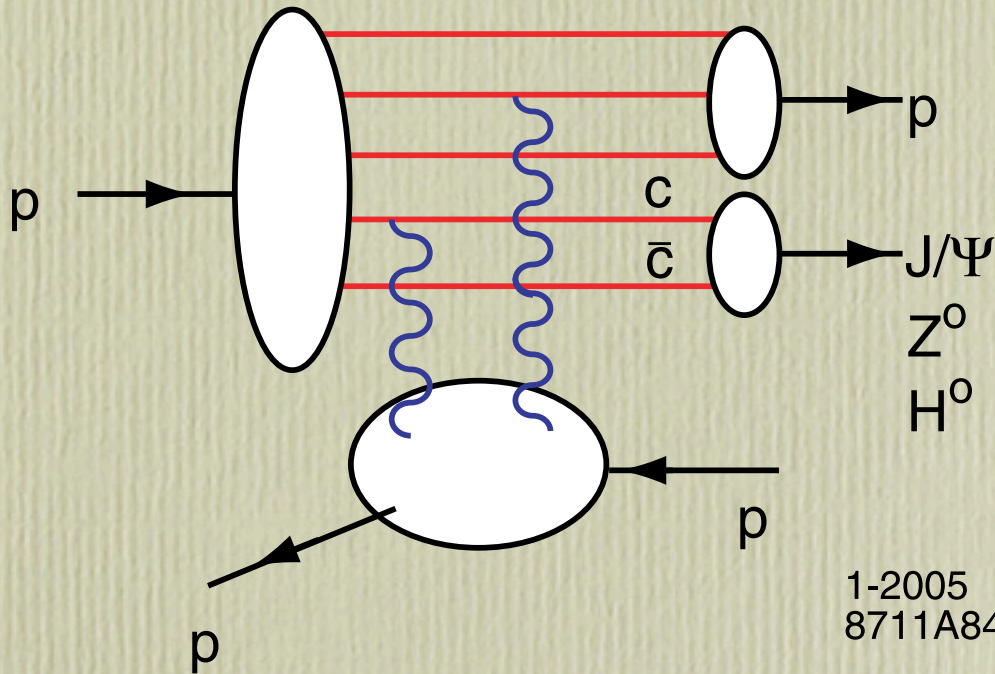
Klein, Vogt, PRL 91:142301, 2003  
Kopeliovich, NP A696:669, 2001



Data favors (weak) shadowing + (weak) absorption ( $\alpha > 0.92$ )  
With limited statistics difficult to disentangle nuclear effects  
Will need another dAu run! (more pp data also)

Not universal versus  $X_2$  : shadowing is not the main story.  
BUT does scale with  $x_F$  ! - why?  
(Initial-state gluon energy loss - which goes as  $x_1 \sim x_F$  - expected to be weak at RHIC energy)

# Intrinsic Charm Mechanism for Double Diffraction



$$p p \rightarrow J/\psi p p$$

$$x_{J/\psi} = x_c + x_{\bar{c}}$$

High  $x_F$  !

1-2005  
8711A84

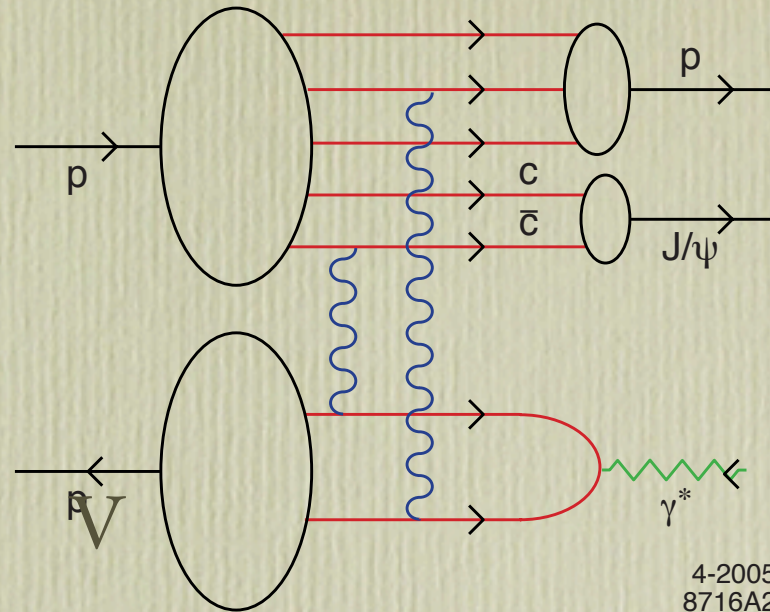
Intrinsic  $c\bar{c}$  pair formed in color octet  $8_C$  in proton wavefunction Large Color Dipole  
Collision produces color-singlet  $J/\psi$  through color exchange

Schmidt,  
Soffer, sjb

RHIC Experiment



# New Test of Intrinsic Charm



## Doubly Diffractive DIS Reactions

$$\gamma^* p \rightarrow \rho + J/\psi + p$$

$$\gamma^* p \rightarrow \rho + D + \Lambda_c$$

Charm produced at high  $x_F$  and small  $p_T$   
in **proton fragmentation region**

## Enlarge Role CTEQ, MRST, ...

Extend structure function analysis  
to full information on  
quark, gluon structure of hadrons

- generalized (unintegrated) parton distributions
- hadron distribution amplitudes  $\phi_H(x, Q^2)$
- light-front wavefunctions

- Include large  $x$   
charm, bottom contributions
- No scale  $Q_0$  where  $g$ , sea quarks are zero
- DGLAP modified at  $x \sim 1$
- PQCD constraints at  $x \rightarrow 1$ : just as valid  
as DGLAP

# Renormalization Scale Fixing

- Renormalization Scale Fixing:

Not true that any  $\mu_R$  allowed:

flavor thresholds

Not true that HO estimated by varying  $\mu_R$

- $\mu_R = Q/2, 2Q$

arbitrary convention

scheme dependent

# Scale-setting in QCD

- BLM procedure: renormalization scale set by absorbing  $\beta$  terms: used in QED, EW, lattice QCD
- Physical scales; multiples scales okay
- Satisfies transitivity property of renormalization group
- Remaining theory identical to conformal theory
- eliminates renormalon  $n!$  growth of perturbative expansion
- stabilizes BFKL pomeron; relevant to  $b$  electroproduction anomalies?

## Future Directions in DIS

- $\gamma d \rightarrow \Delta^{++} \Delta^{-}$   
signature for hidden color
- Charm and bottom structure functions at large  $x$ : Test intrinsic charm/bottom
$$\frac{b(x, Q^2)}{c(x, Q^2)} \simeq \frac{m_c^2}{m_b^2} \simeq \frac{1}{10}$$
- Test universality of nuclear effects  
Antishadowing depends on current, polarization

# Demise of HERA, Hermes

- Critical experimental facilities for DIS
- Premature Cancellation reminiscent of ISR
- Polarization and nuclear beams at HERA left undone
- We should be talking about:
- New experiments, DVCS, Diffractive, Heavy Quarks, Trijets, Forward Detectors, HERA<sub>3</sub>
- New Forward Detectors

# Jlab 12 GeV Upgrade

- Comprehensive QCD DIS program with intense, continuous, polarized beams & targets + extensive facilities
- Ideal energy domain for study of valence regime
- Parity violation, nuclear targets, exclusive channels, spin asymmetries, correlations
- DVCS, meson electroproduction, charm at threshold, color transparency, hadronization, hidden color, exotics, higher twist, ....
- Complimentary to GSI antiproton program



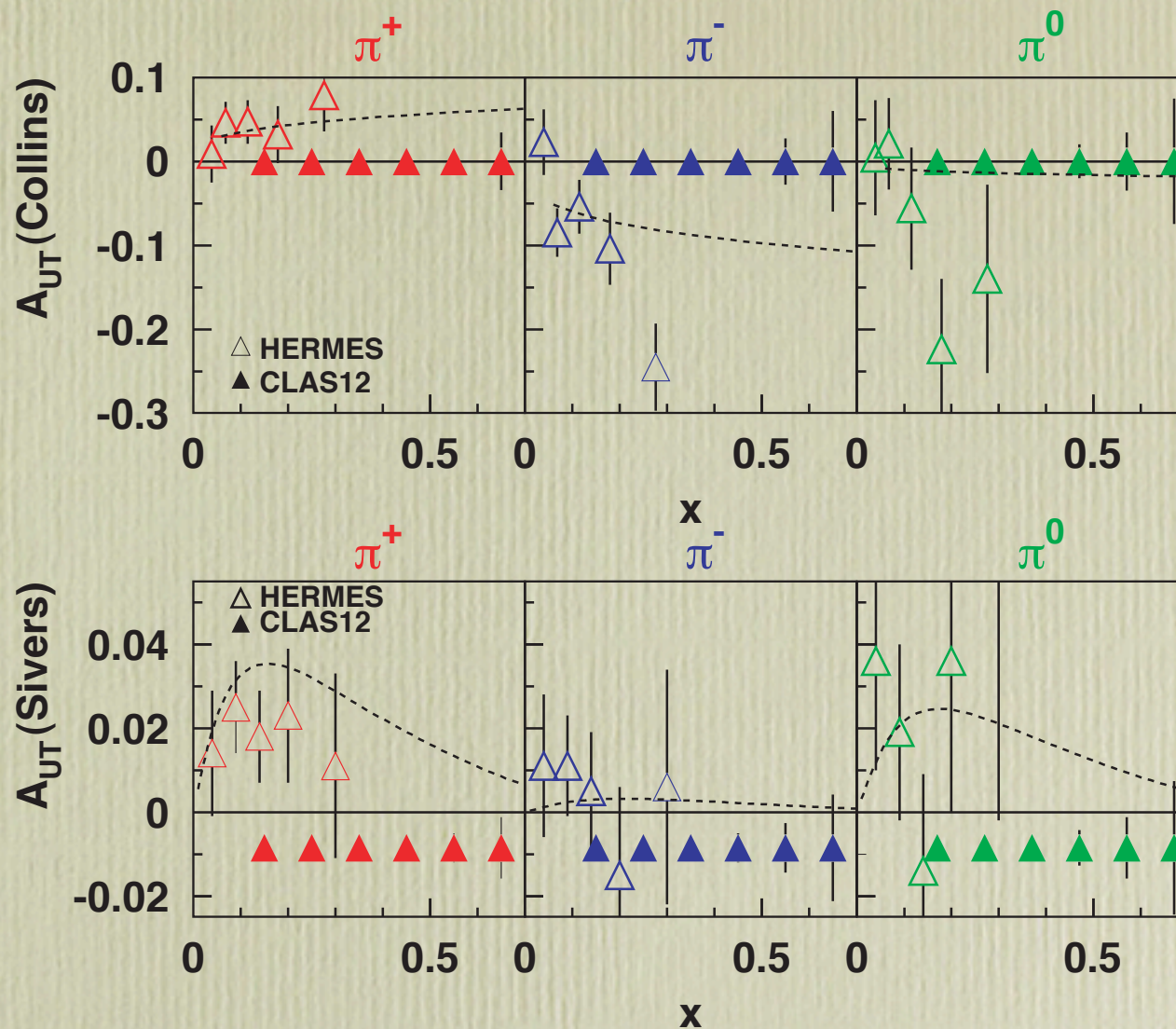


Figure 15: Projected measurements of the Collins and Sivers transverse single-spin asymmetries in semi-inclusive pion production at 12 GeV. The HERMES data are shown for comparison. The curves represent the phenomenological parameterizations of Ref. [Ef04].

# High Energy Frontier

- Remarkable nonlinear effects which can be studied with perturbative QCD methods
- small  $x$ , large  $s$ , dense gluonic field produced in DIS
- BFKL, BK, color saturation, color glass condensate, collective effects
- Hard Pomeron+ Odderon: particle/antiparticle asymmetries
- Some correspondence to nuclear shadowing

# High Energy Options

- e-RHIC: Polarized electron and proton/nucleus collide
- proton/nuclear ring at Jlab: ELIC
- LHC plus electron ring : High energy frontier
- Forward detectors essential for physics of the proton