

# Lepton-Nucleon Spin Physics

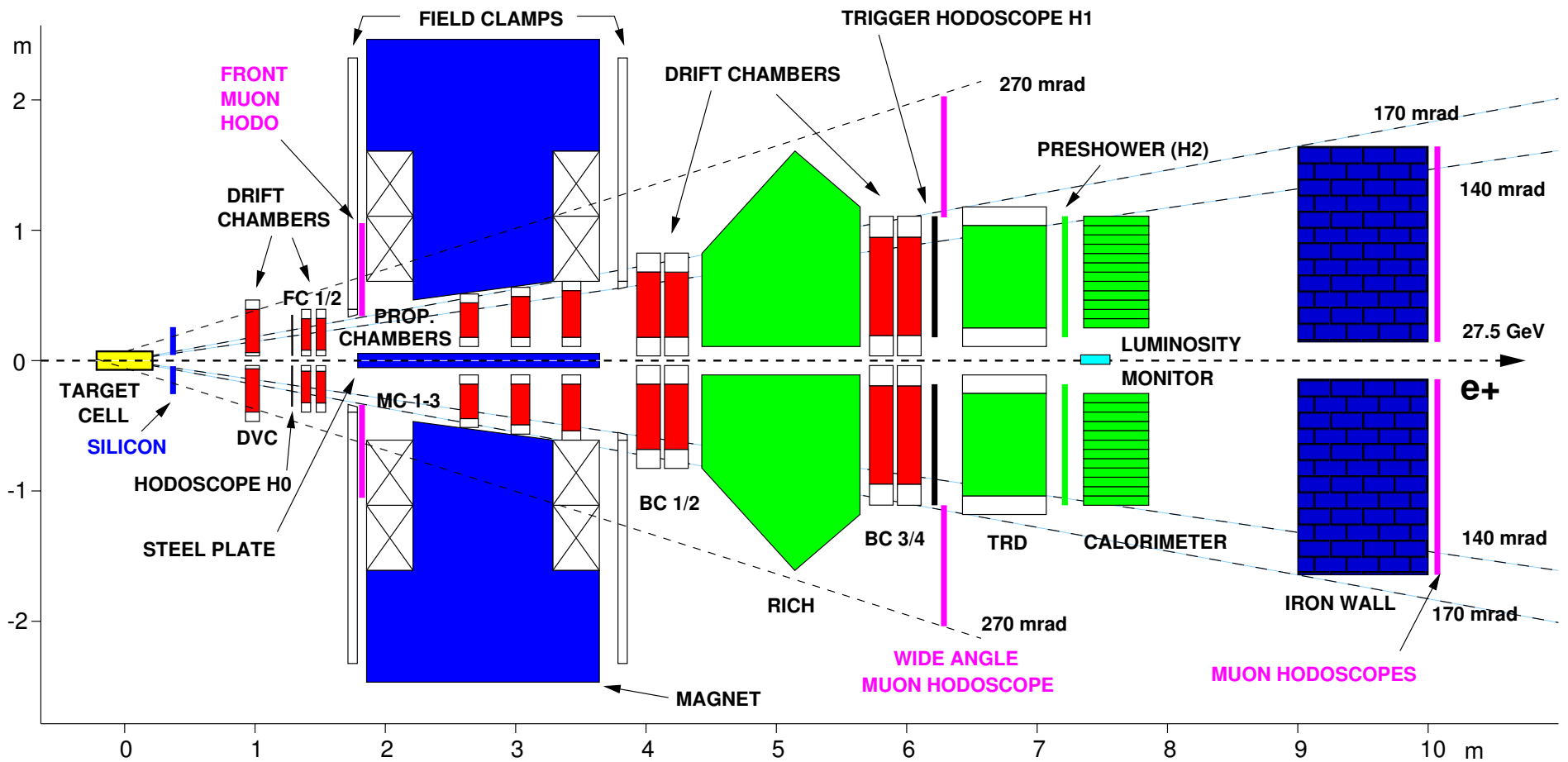
- Traditionally: **Lepton**-nucleon spin physics
  - Helicity distribution  $g_1(x)$
  - Helicity sum rule  $\Delta\Sigma$
  - $g_2, \dots$
- New(er) topics
  - Transversity distribution
  - Collins, Sivers, ... effects
  - Higher twist contributions
  - Fragmentation in semi-inclusive DIS

# Lepton-Nucleon Spin Physics

- HERMES @ DESY
- COMPASS @ CERN
- Hall A @ JLAB
- CLAS (Hall B) @ JLAB

# Lepton-Nucleon Spin Physics

## HERMES @ DESY

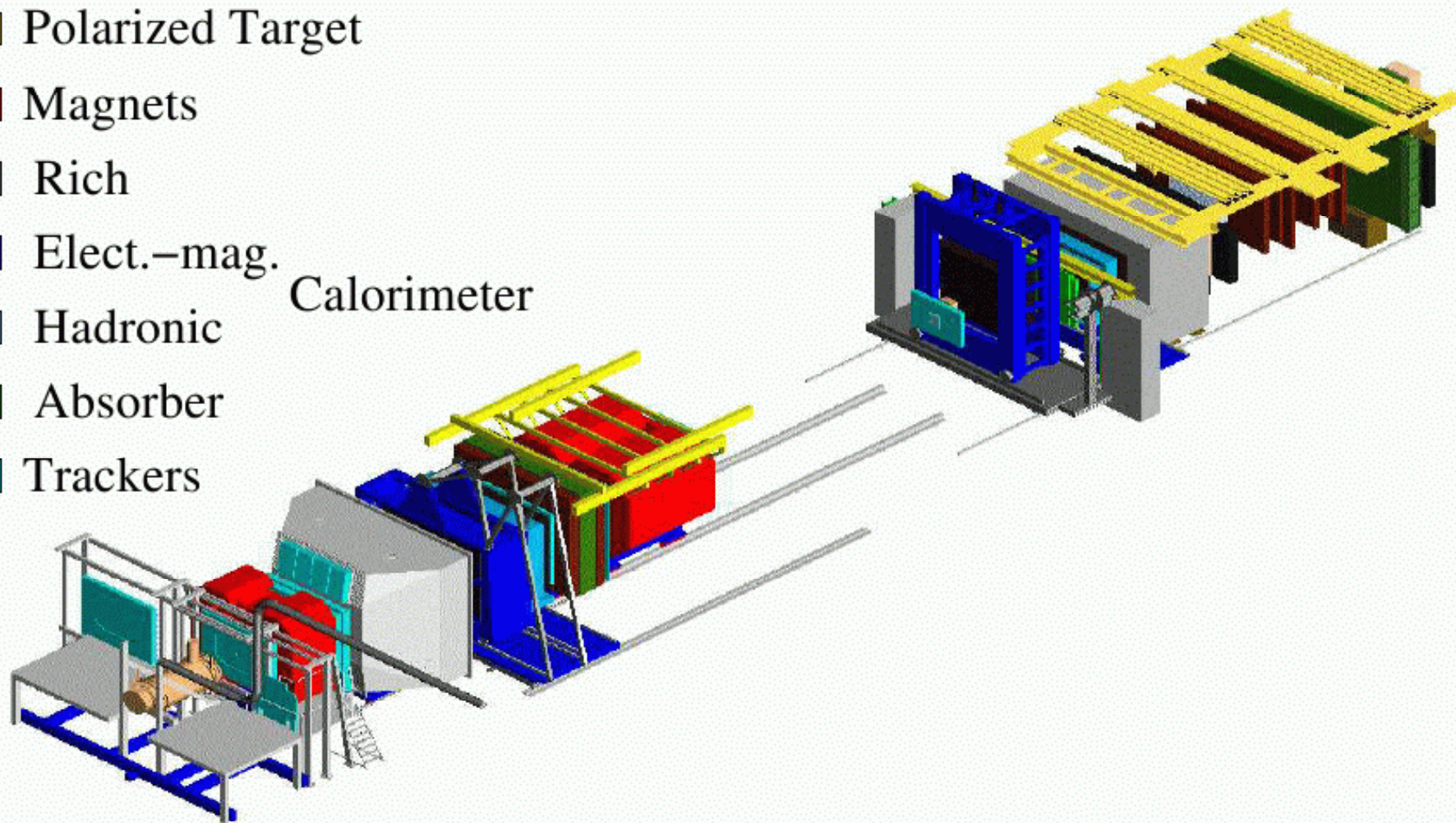


# Lepton-Nucleon Spin Physics

## ● COMPASS @ CERN

## ● HERMES @ DESY

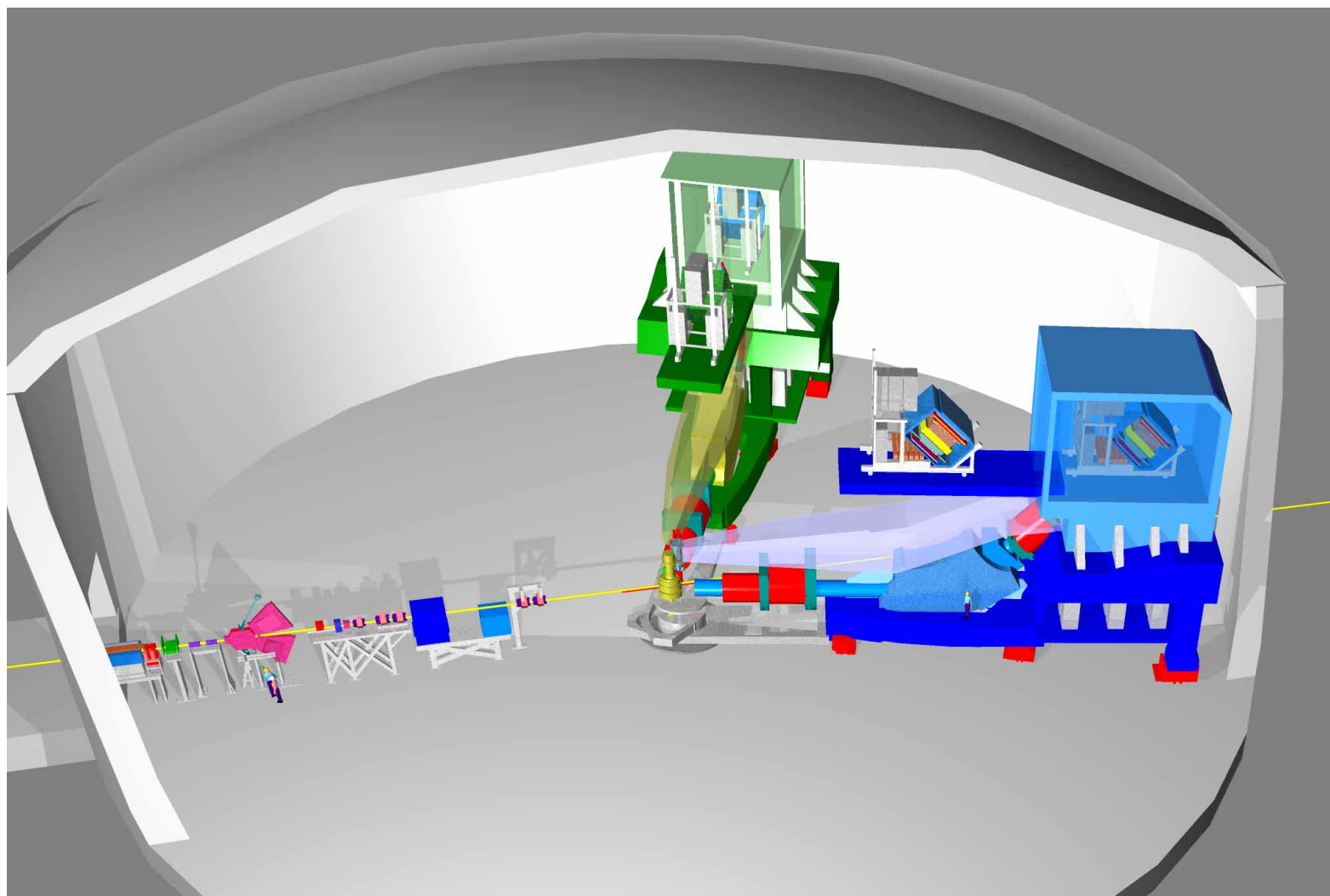
- Polarized Target
- Magnets
- Rich
- Elect.-mag. Calorimeter
- Hadronic
- Absorber
- Trackers



# Lepton-Nucleon Spin Physics

- Hall A @ JLAB

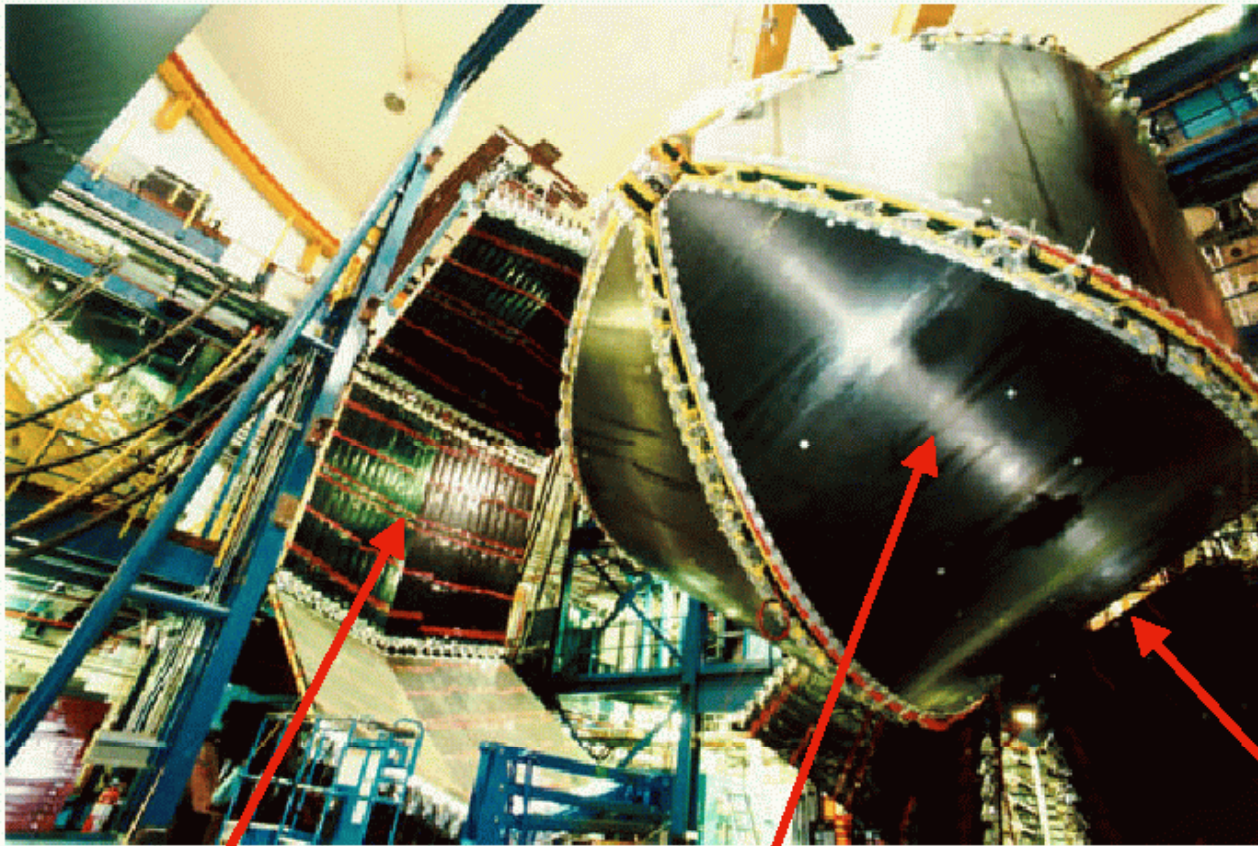
COMPASS @ CERN





# Lepton-Nucleon Spin Physics

- CLAS (Hall B) @ JLAB



Time of Flight  
Scintillators

Drift Chambers

beam

# Lepton-Nucleon Spin Physics

exp	$E_b$ (GeV)	$x$	$Q^2$ (GeV <sup>2</sup> )	$P_b$
HERMES	27.6 $e^\pm$	0.02-0.6	1 - 15	$\pm 0.55$
COMPASS	160 $\mu$	0.003 - 0.6	1 - 100	-0.76
JLAB	<6 $e^-$	0.1 - 0.7	1 - 4.5	$\pm 0.7$

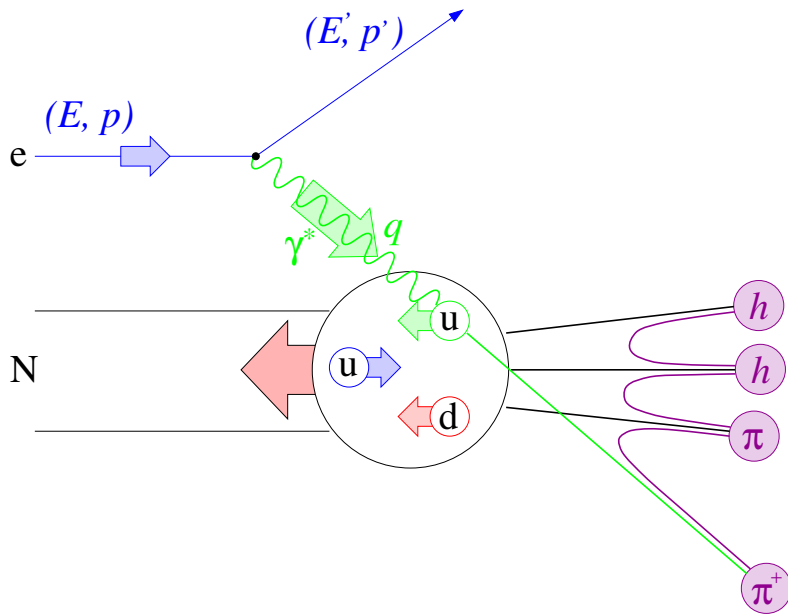
# Lepton-Nucleon Spin Physics

exp	$E_b$ (GeV)	$x$	$Q^2$ (GeV <sup>2</sup> )	$P_b$
HERMES	27.6 $e^\pm$	0.02-0.6	1 - 15	$\pm 0.55$
COMPASS	160 $\mu$	0.003 - 0.6	1 - 100	-0.76
JLAB	<6 $e^-$	0.1 - 0.7	1 - 4.5	$\pm 0.7$

exp	$P_t$	target	$\mathcal{L}$ (cm <sup>-2</sup> s <sup>-1</sup> )
HERMES	0.85	$\vec{H}, \vec{D}$	$10^{31}$
COMPASS	0.50	$\vec{LiD}$	$5 \cdot 10^{32}$
Hall A	0.35	$^3\vec{He}$	$10^{36}$
CLAS	0.8 (0.3)	NH <sub>3</sub> (ND <sub>3</sub> )	$10^{34}$

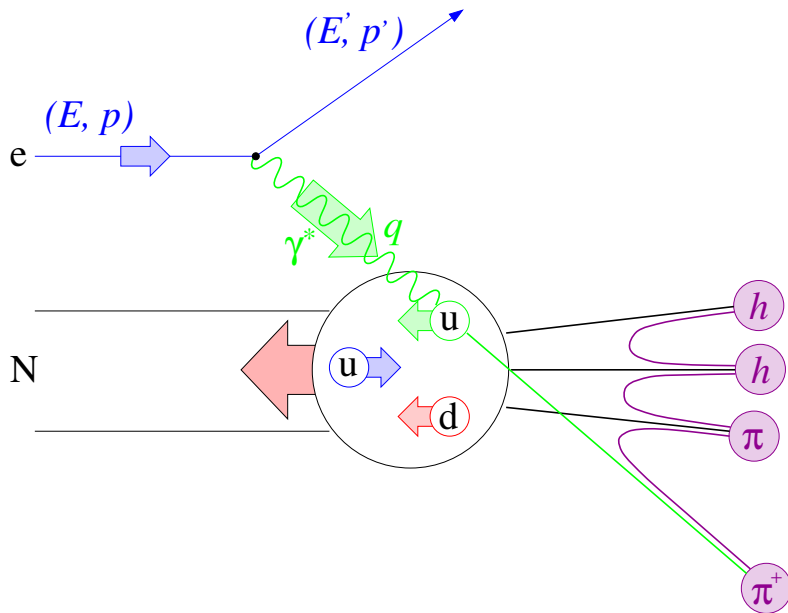


# Helicity distributions



$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

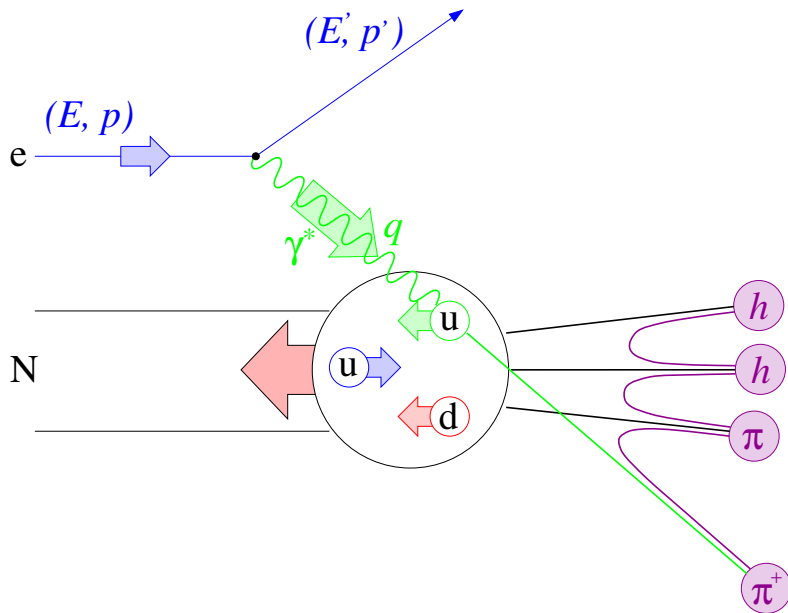
# Helicity distributions



$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} (S_\sigma g_1(x, Q^2) + \frac{1}{\nu} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2))$$

# Helicity distributions



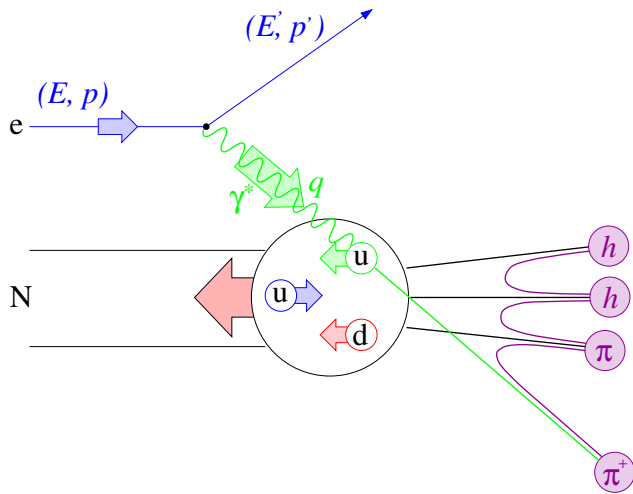
$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} (S_\sigma g_1(x, Q^2) + \frac{1}{\nu} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2))$$

- $g_1, g_2$ : polarized structure functions  
 $\Rightarrow$  spin structure from quark spin

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 [q^+(x) - q^-(x)] = \frac{1}{2} \sum_q e_q^2 \Delta q(x)$$

# Helicity distributions



$$\text{Measure: } A_{\parallel} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}}$$

$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}$$

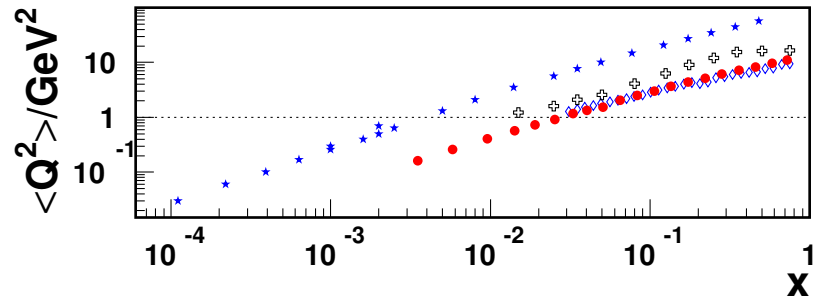
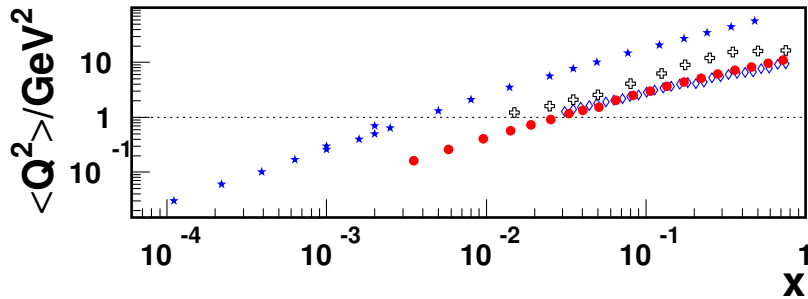
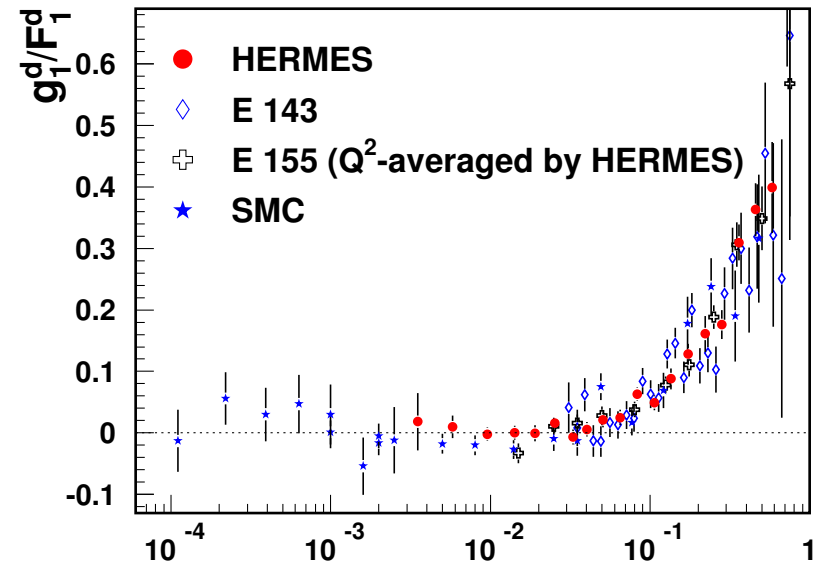
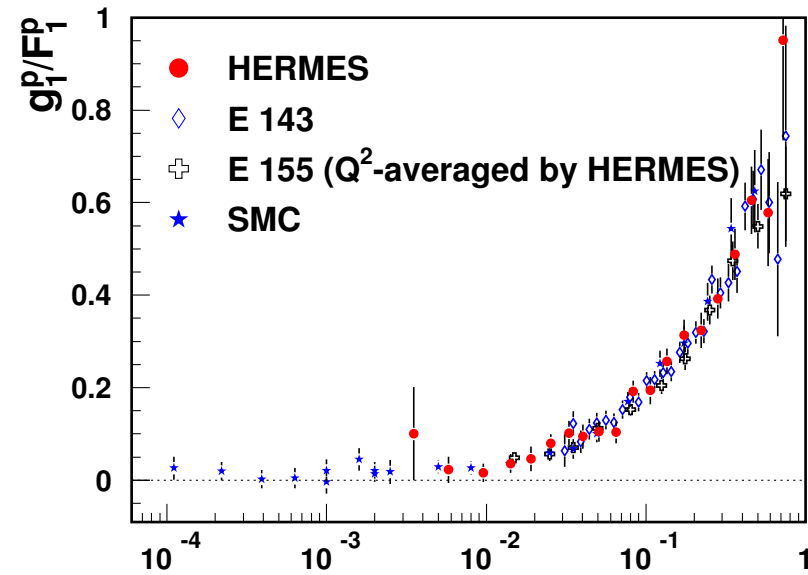
$$A_{\parallel} = D \cdot (A_1 + \eta A_2)$$

$$D = \frac{y(2 - y)}{y^2 + 2(1 - y)(1 + R)}$$

$$R = \frac{\sigma_L}{\sigma_T}$$

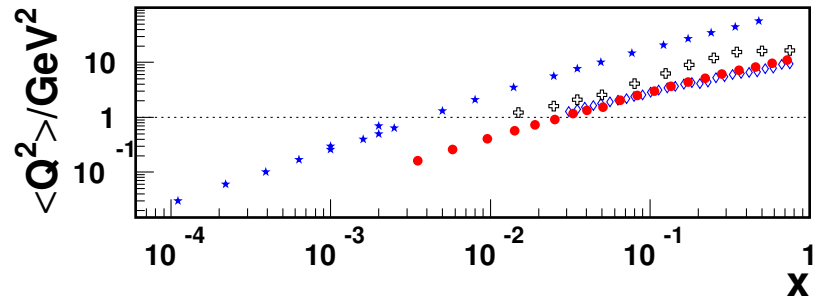
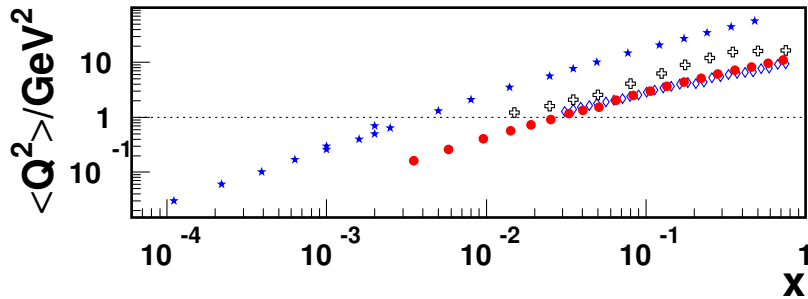
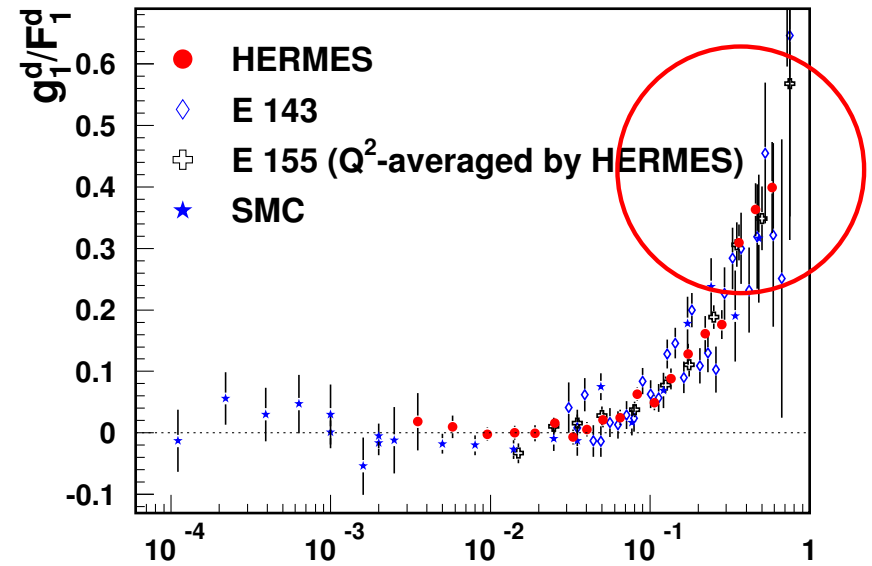
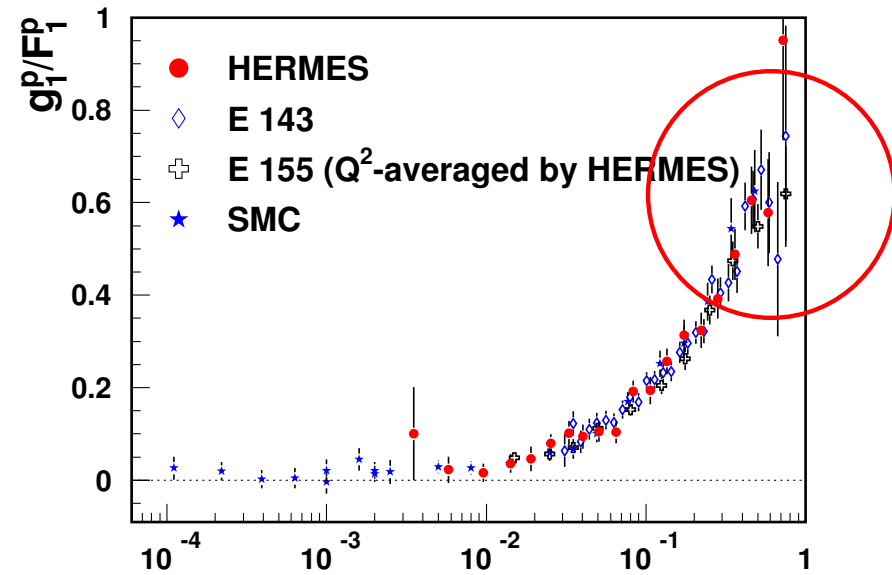
$$\frac{g_1}{F_1} = \frac{1}{1 + \gamma^2} \left( \frac{A_{\parallel}}{D} - (\eta - \gamma) A_2 \right)$$

# Helicity distribution



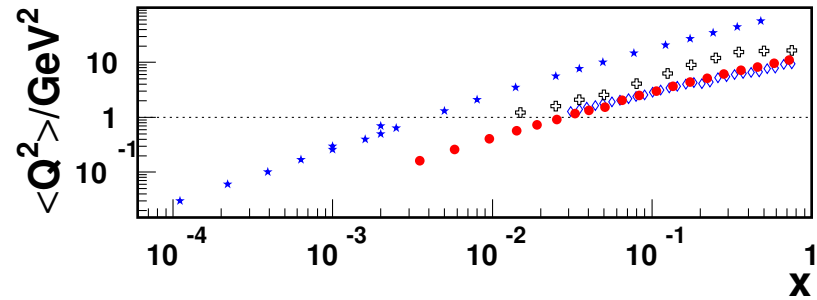
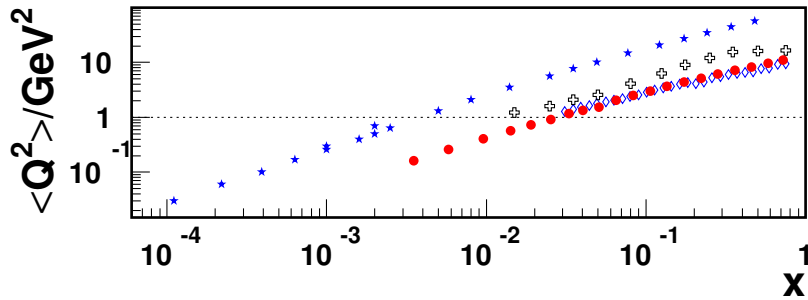
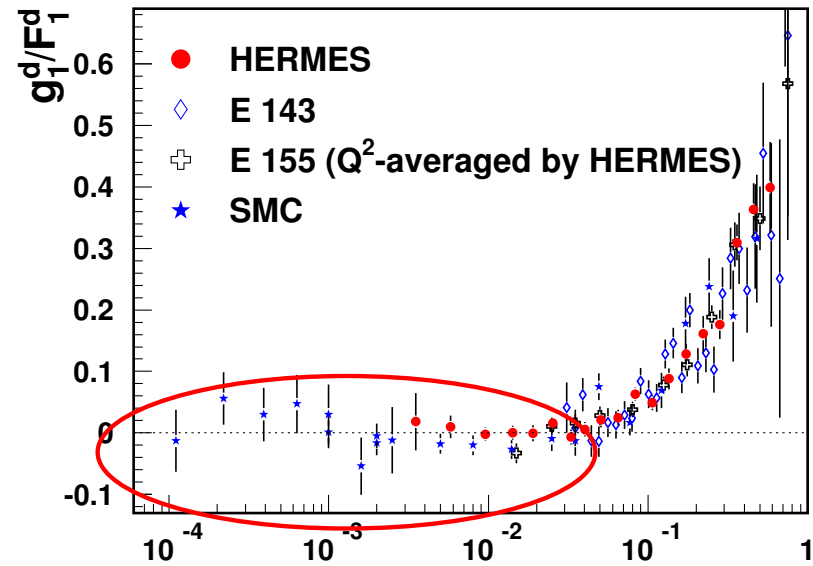
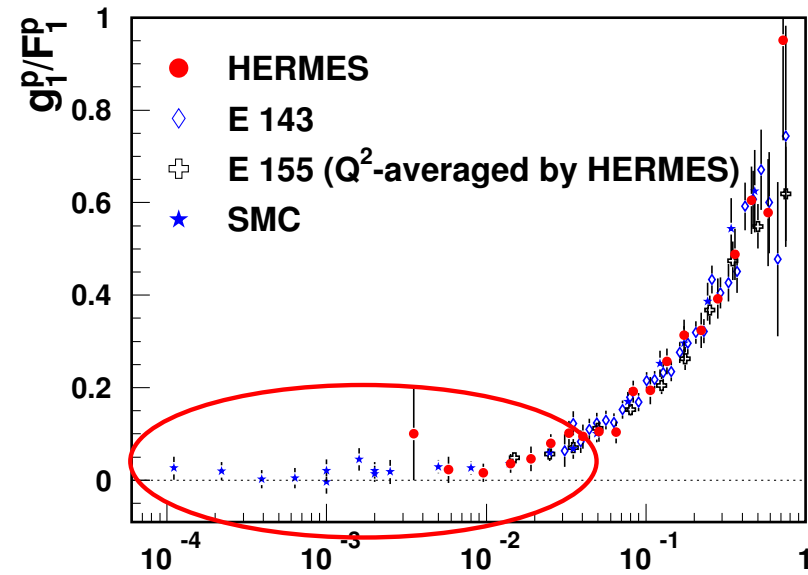
→ talk by D.Reggiani

# Helicity distribution

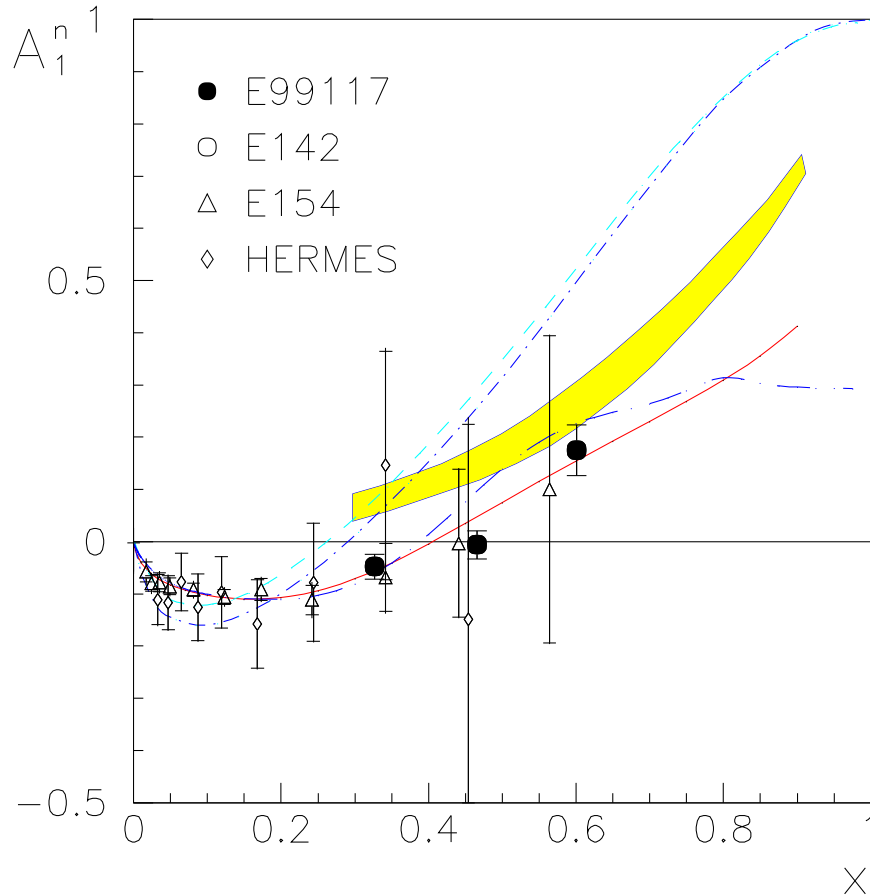




# Helicity distribution



# $A_1$ @ high $x$



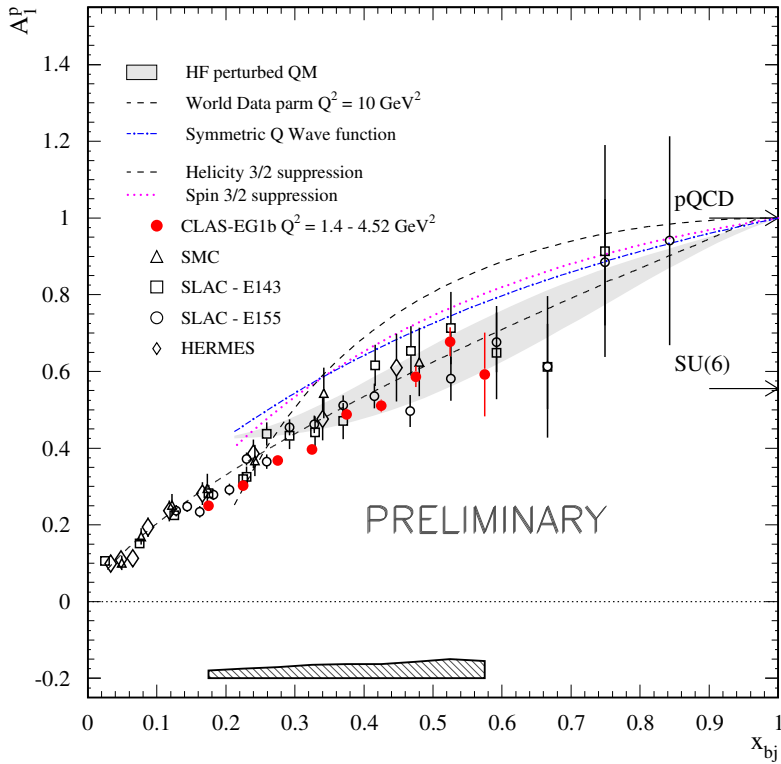
Hall A @ JLAB

PRL 92, 012004 (2004)

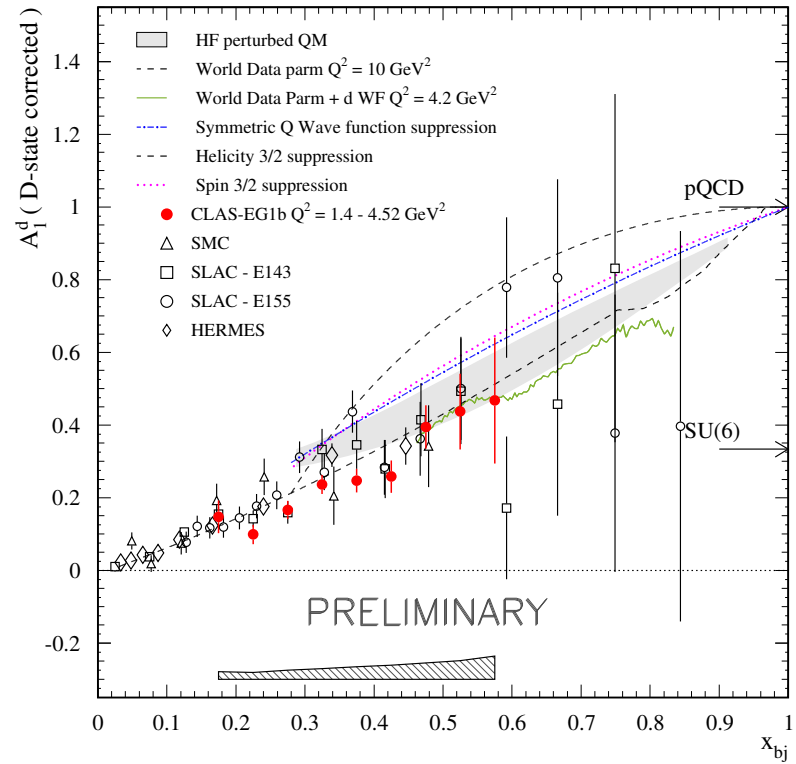
PRC 70, 065207 (2004)

→ talk by J-P. Chen

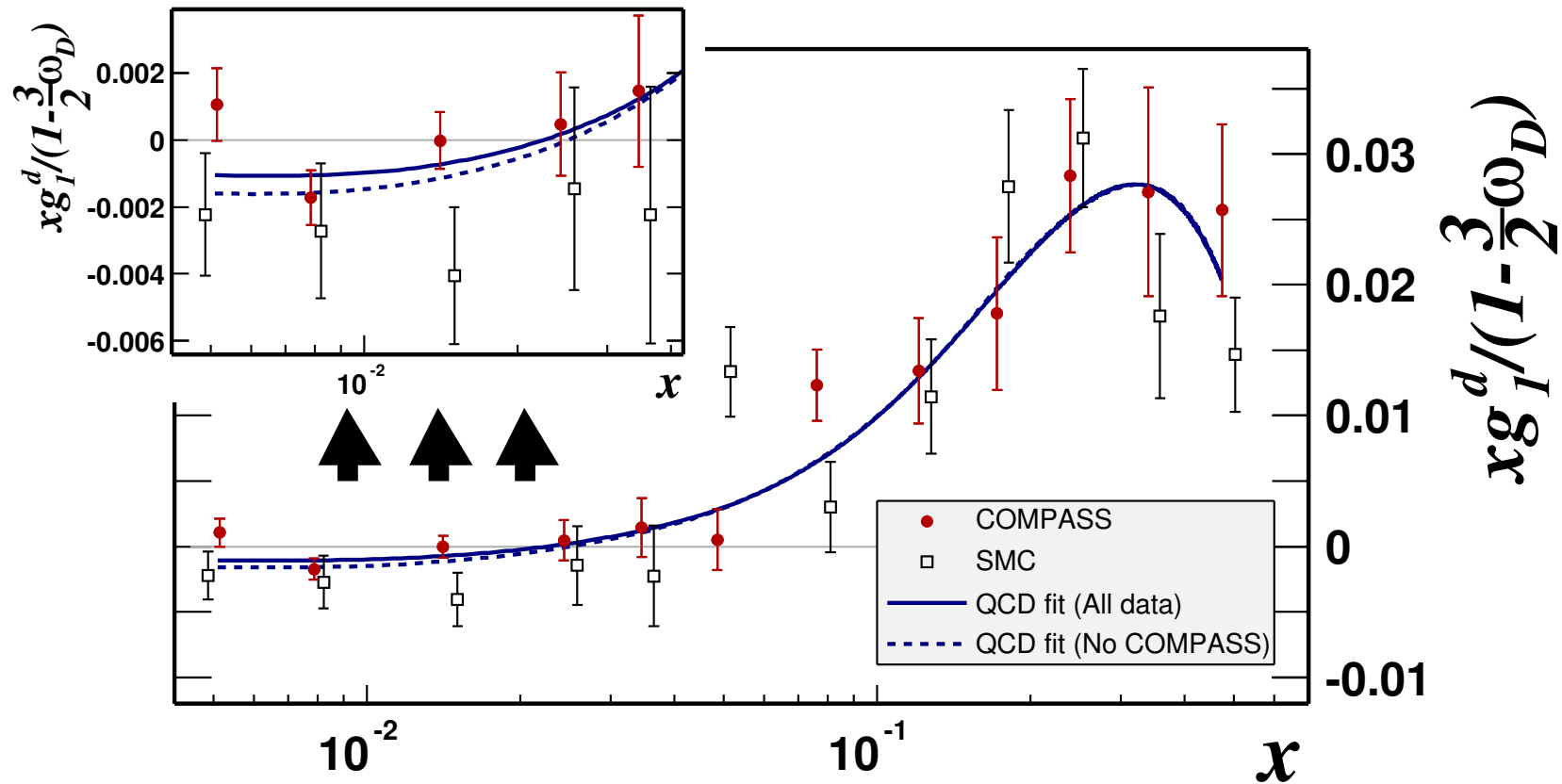
# $A_1$ @ high $x$



CLAS @ JLAB

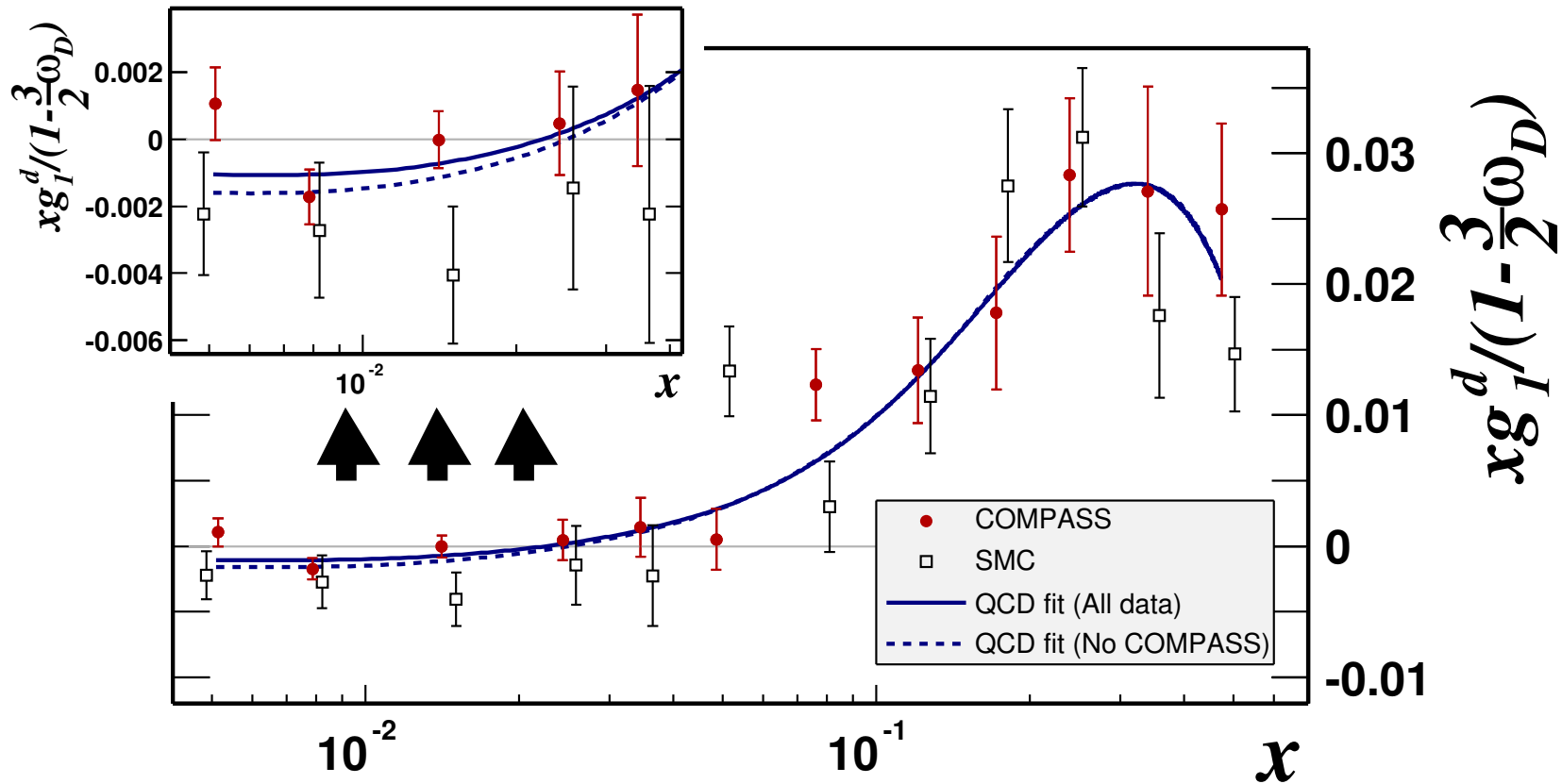


# $g_1$ @ low $x$



→ talk by J.Hannappel

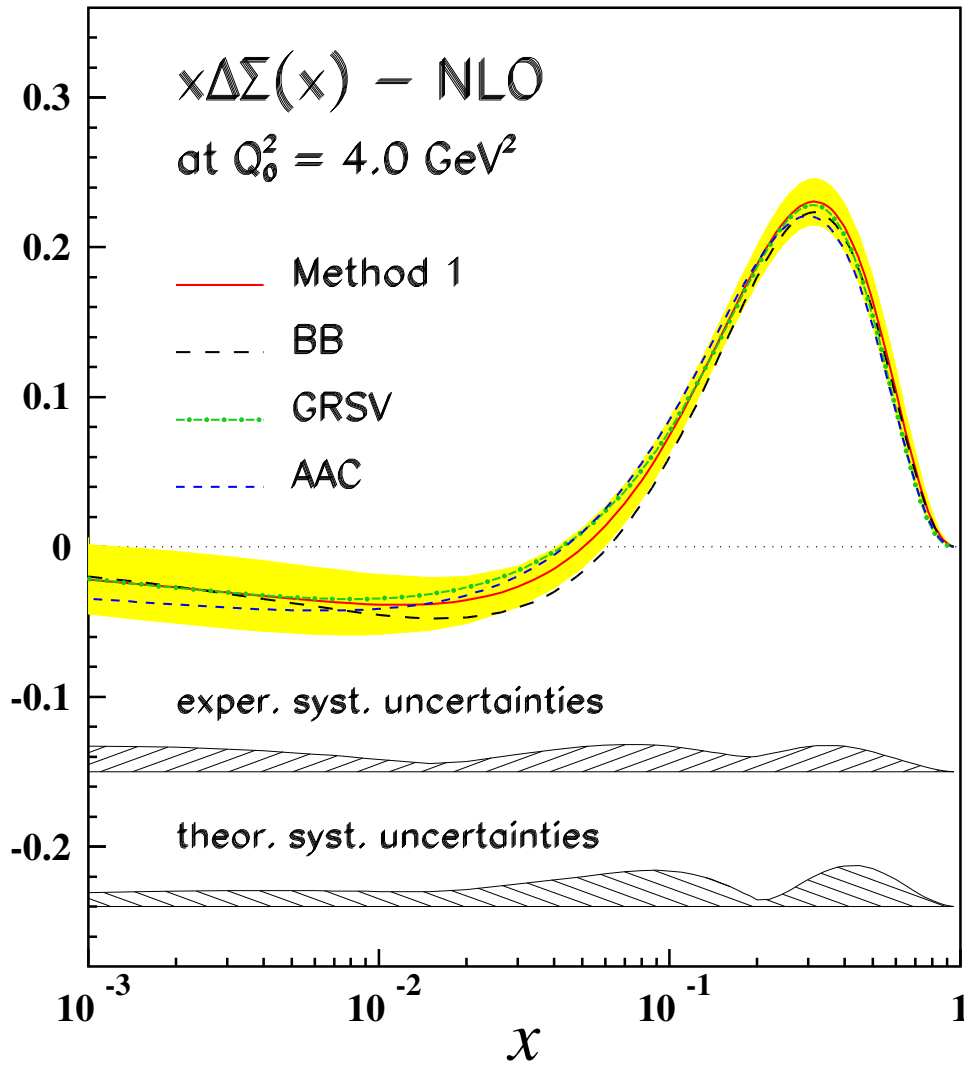
# $g_1$ @ low $x$



Important for low- $x$  extrapolation  $\Rightarrow \Delta\Sigma$

$$\Delta\Sigma(Q^2 = 4) = 0.202^{+0.042}_{-0.077} \rightarrow 0.237^{+0.024}_{-0.029}$$

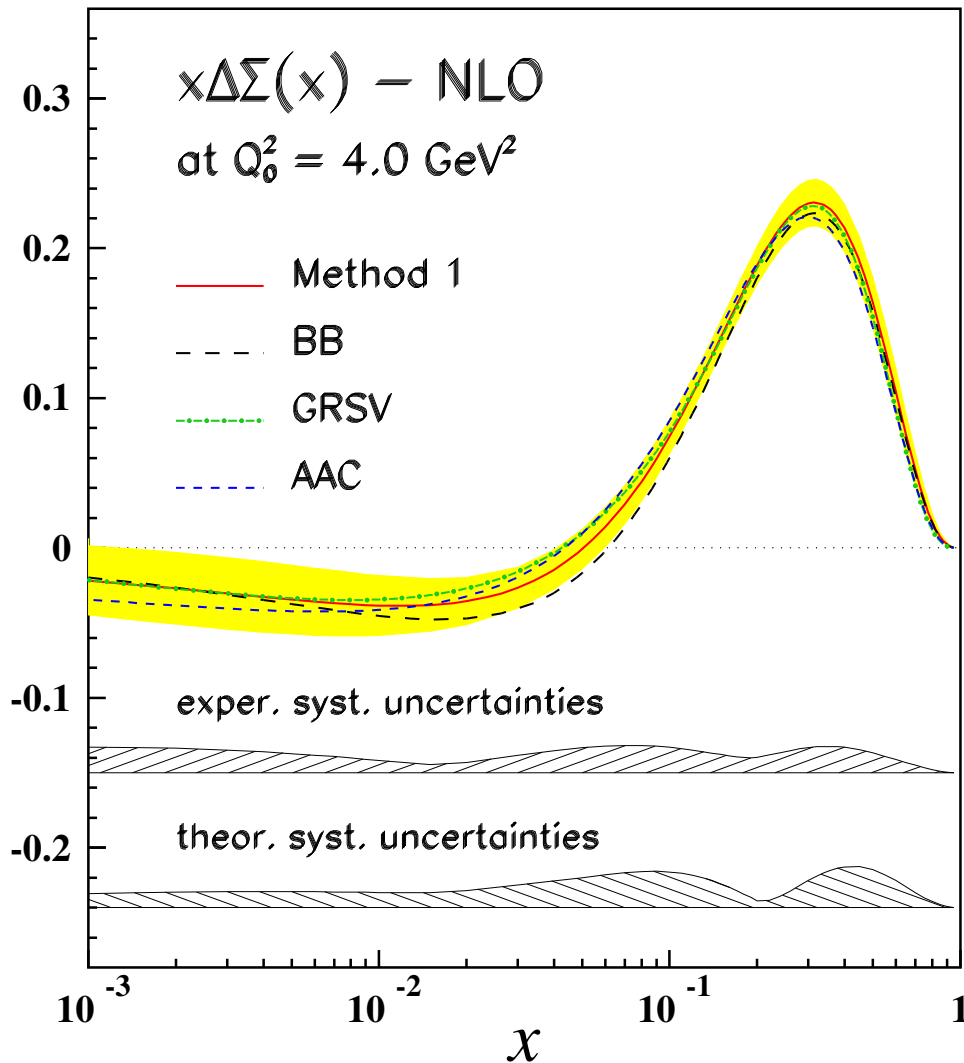
# NLO - QCD fit



Blümlein & Böttcher  
HERMES preliminary



# NLO - QCD fit

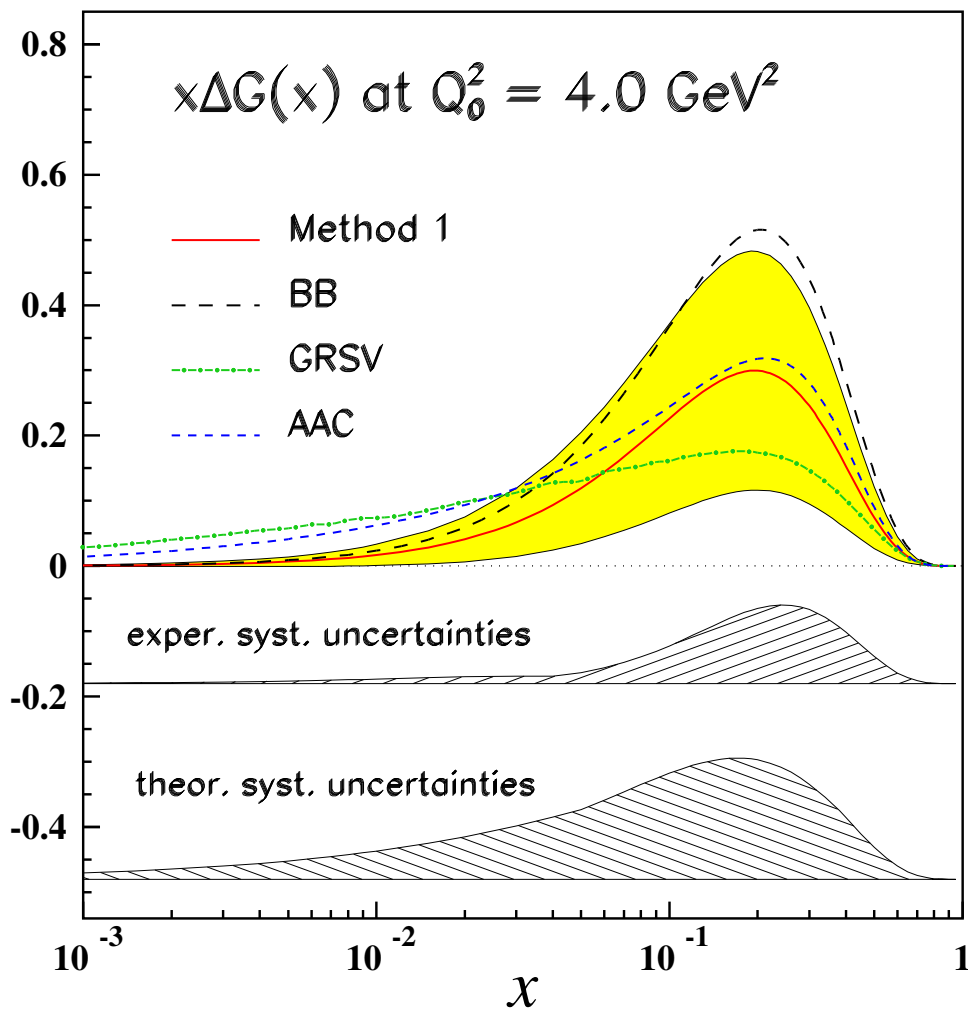


Blümlein & Böttcher

HERMES preliminary

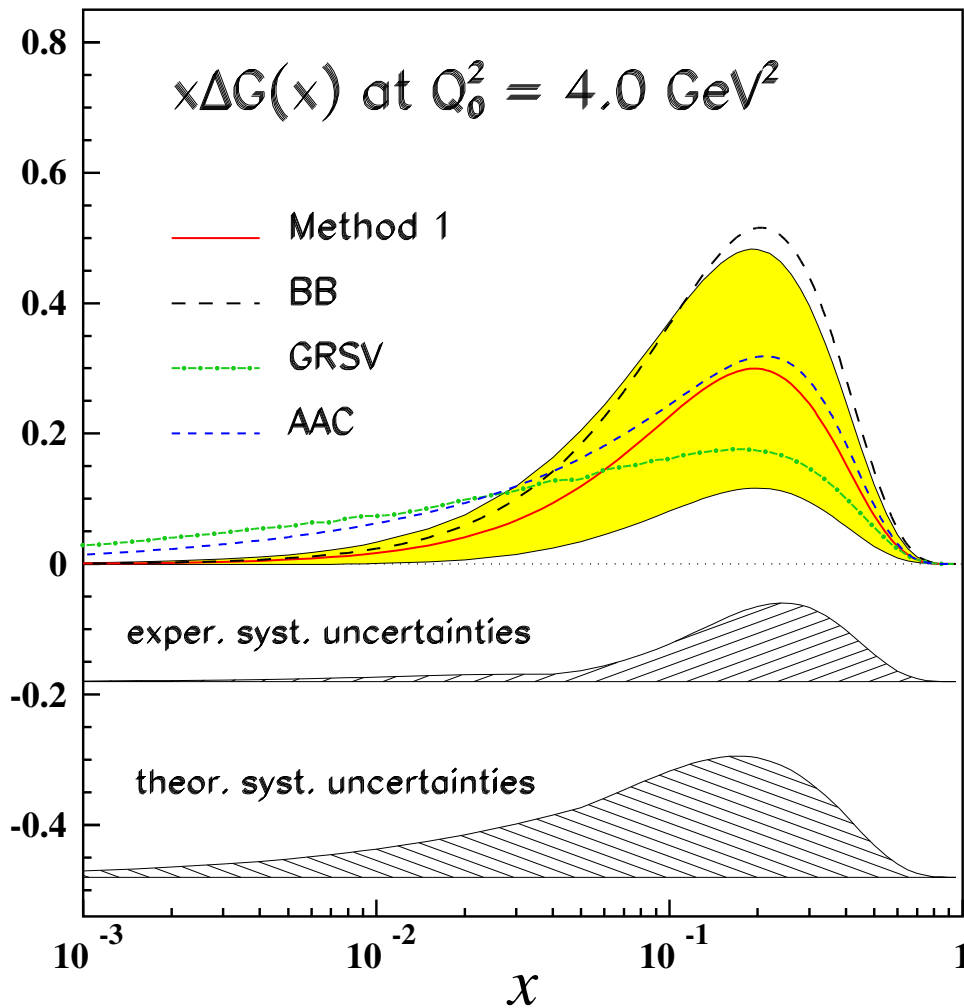
- Well established in valence region
- Extrapolation to  $x \rightarrow 0$  problematic
- $\Delta\Sigma = 0.14 \dots 0.20$

# NLO - QCD fit



Blümlein & Böttcher  
HERMES preliminary

# NLO - QCD fit



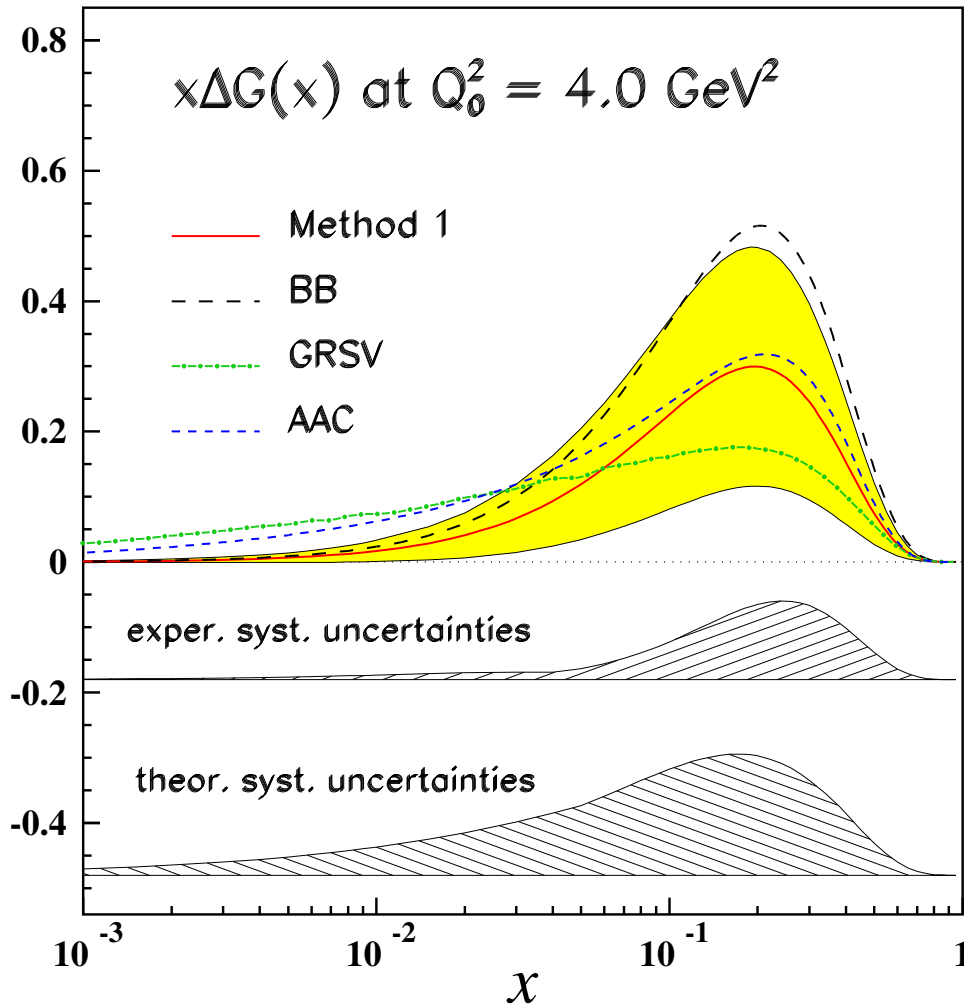
Blümlein & Böttcher

HERMES preliminary

● Large uncertainties

●  $\Delta G = 0.68 \dots 1.26$

# NLO - QCD fit



Blümlein & Böttcher

HERMES preliminary

● Large uncertainties

●  $\Delta G = 0.68 \dots 1.26$

**Need direct method**

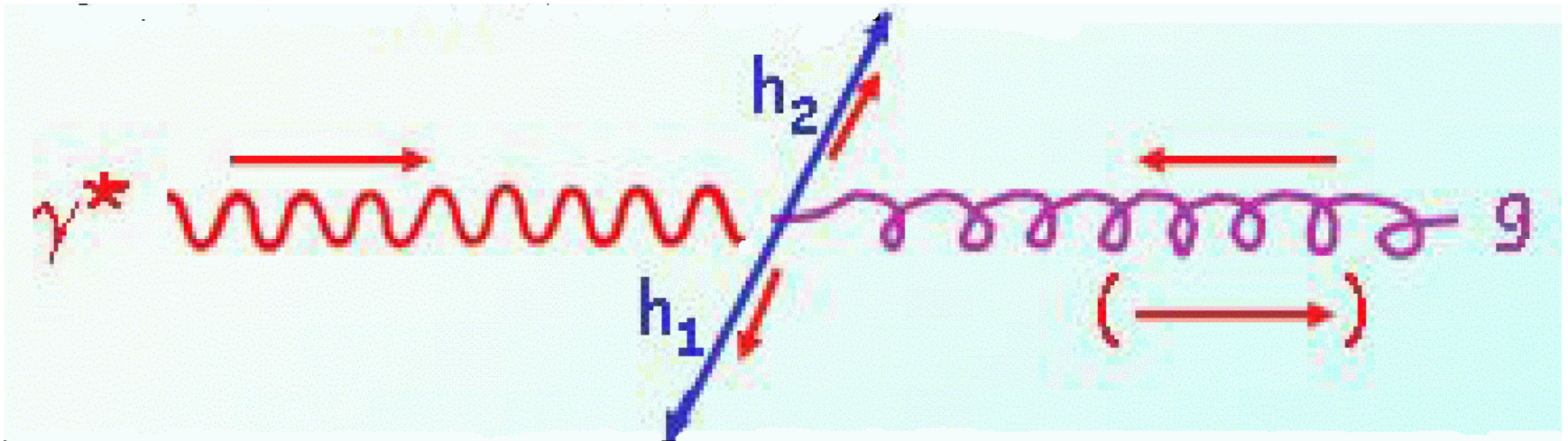
# Photon-gluon fusion

Use fluctuation of  $g$  into  $q\bar{q}$  to interact with  $\gamma^*$

# Photon-gluon fusion

Use fluctuation of  $g$  into  $q\bar{q}$  to interact with  $\gamma^*$   
Tag through:

- production of high- $p_T$  hadrons
- (open-)charm production

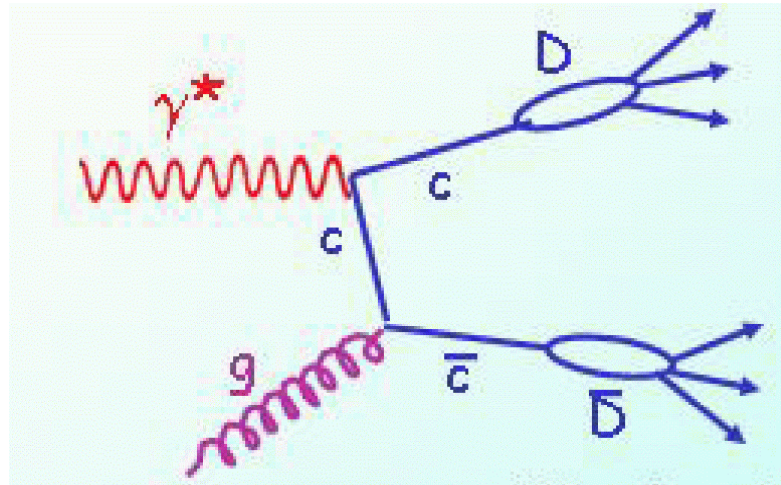




# Photon-gluon fusion

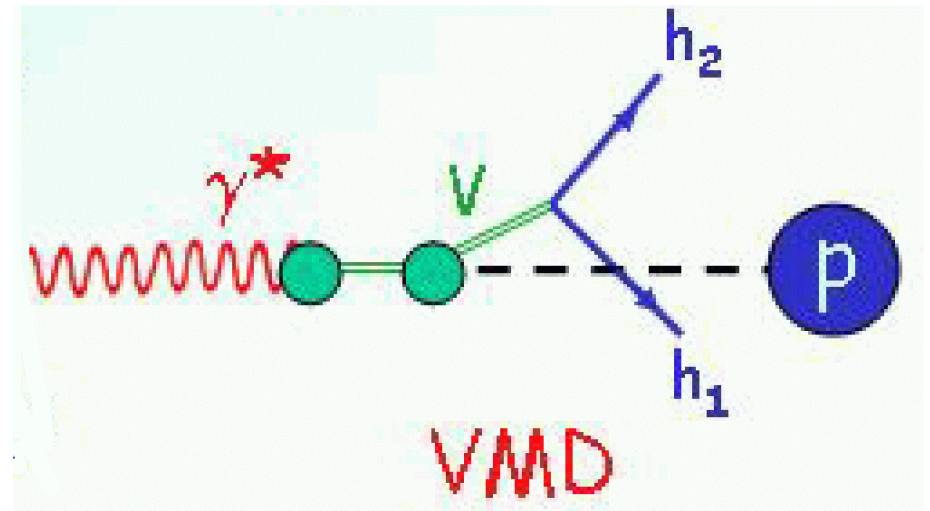
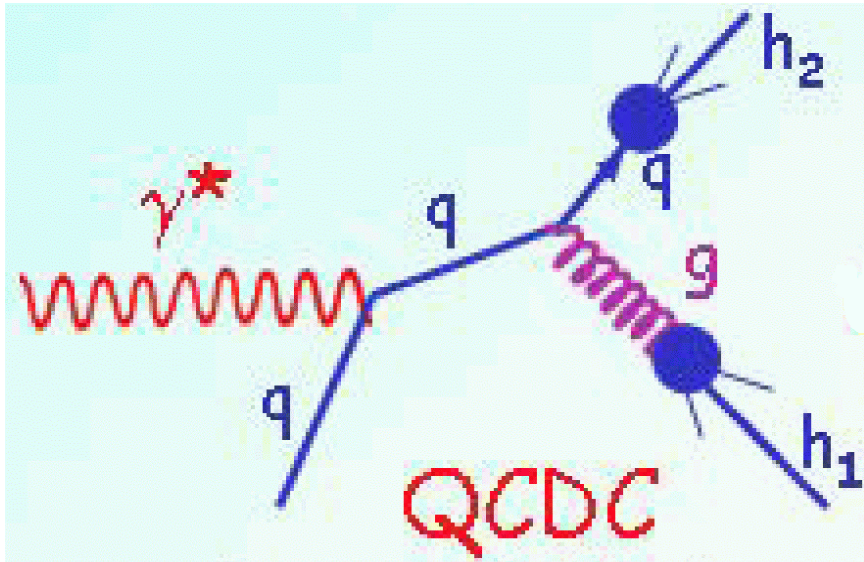
Use fluctuation of  $g$  into  $q\bar{q}$  to interact with  $\gamma^*$   
Tag through:

- production of high- $p_T$  hadrons
- (open-)charm production



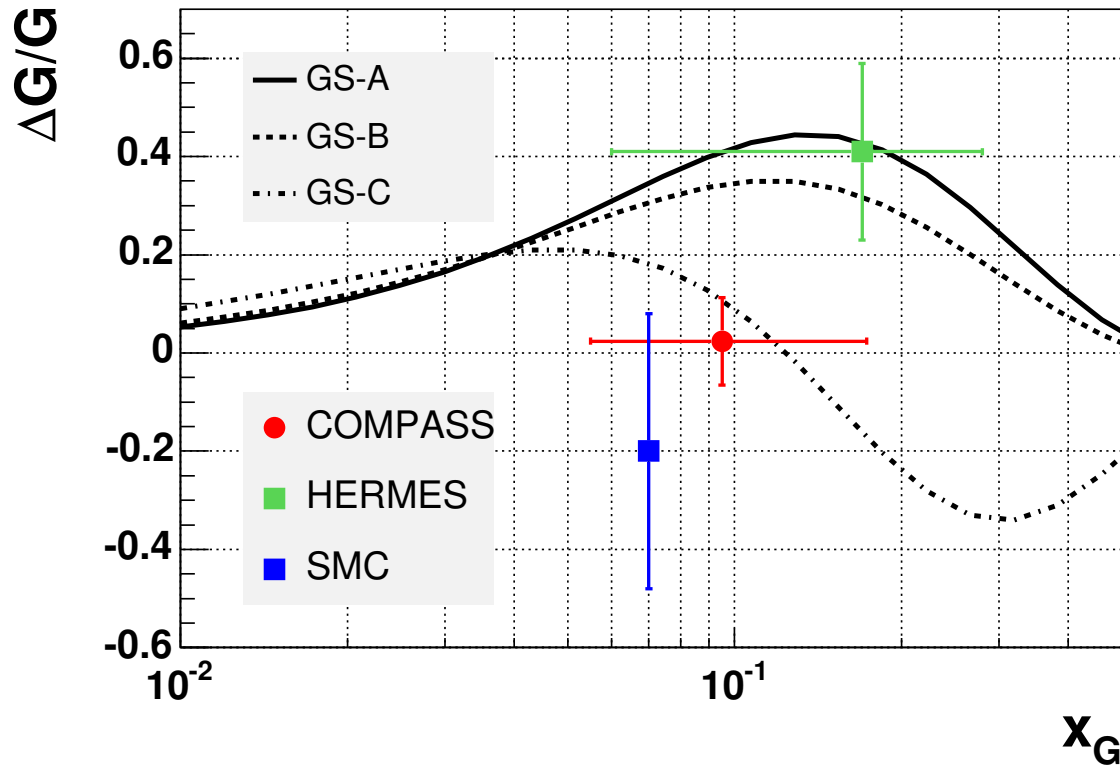
# High- $p_T$ pairs

Competing mechanisms generate background



- Relative contributions
- Individual asymmetries
- from model (HERMES)
- small (COMPASS)

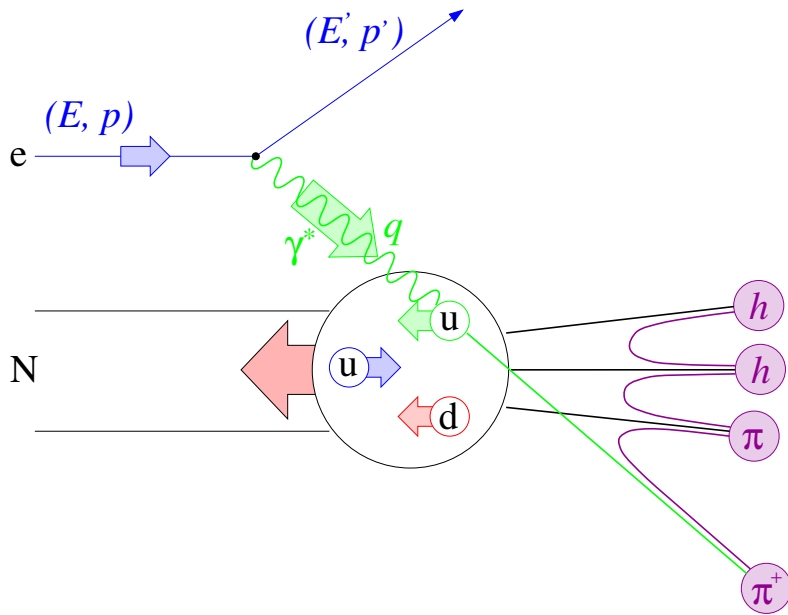
# Gluon polarization



- Large  $\Delta G$  less likely:  $\Delta G \approx 0.5$
- 2004 data of COMPASS still to come

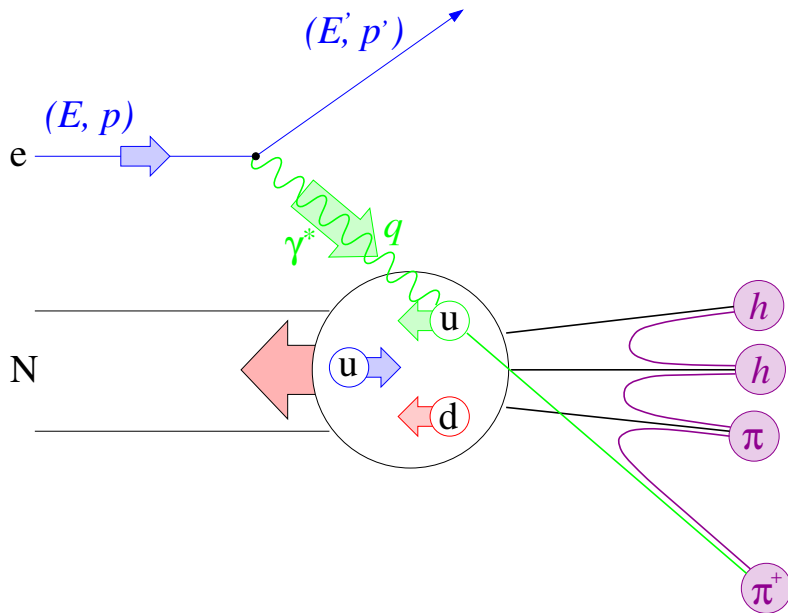
→ talk by C.Bernet

# $g_2$ structure function



$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

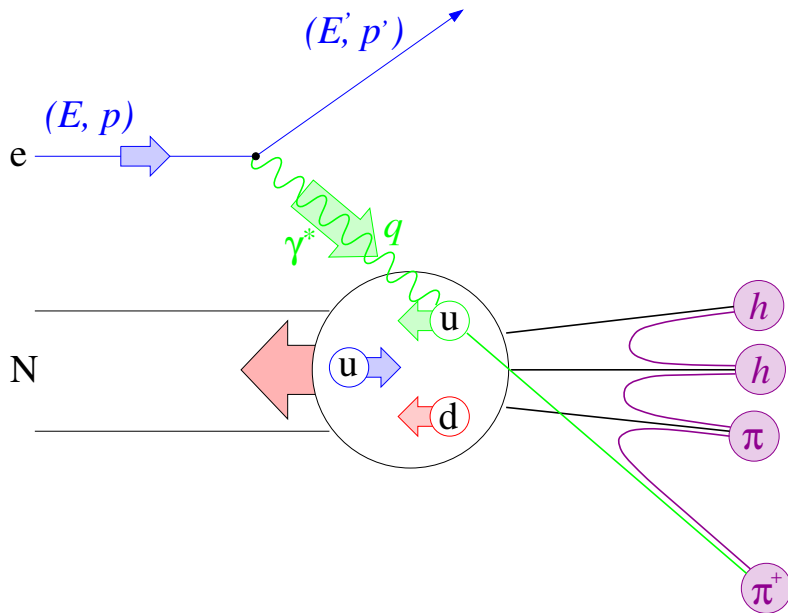
# $g_2$ structure function



$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} (S_\sigma g_1(x, Q^2) + \frac{1}{\nu} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2))$$

# $g_2$ structure function

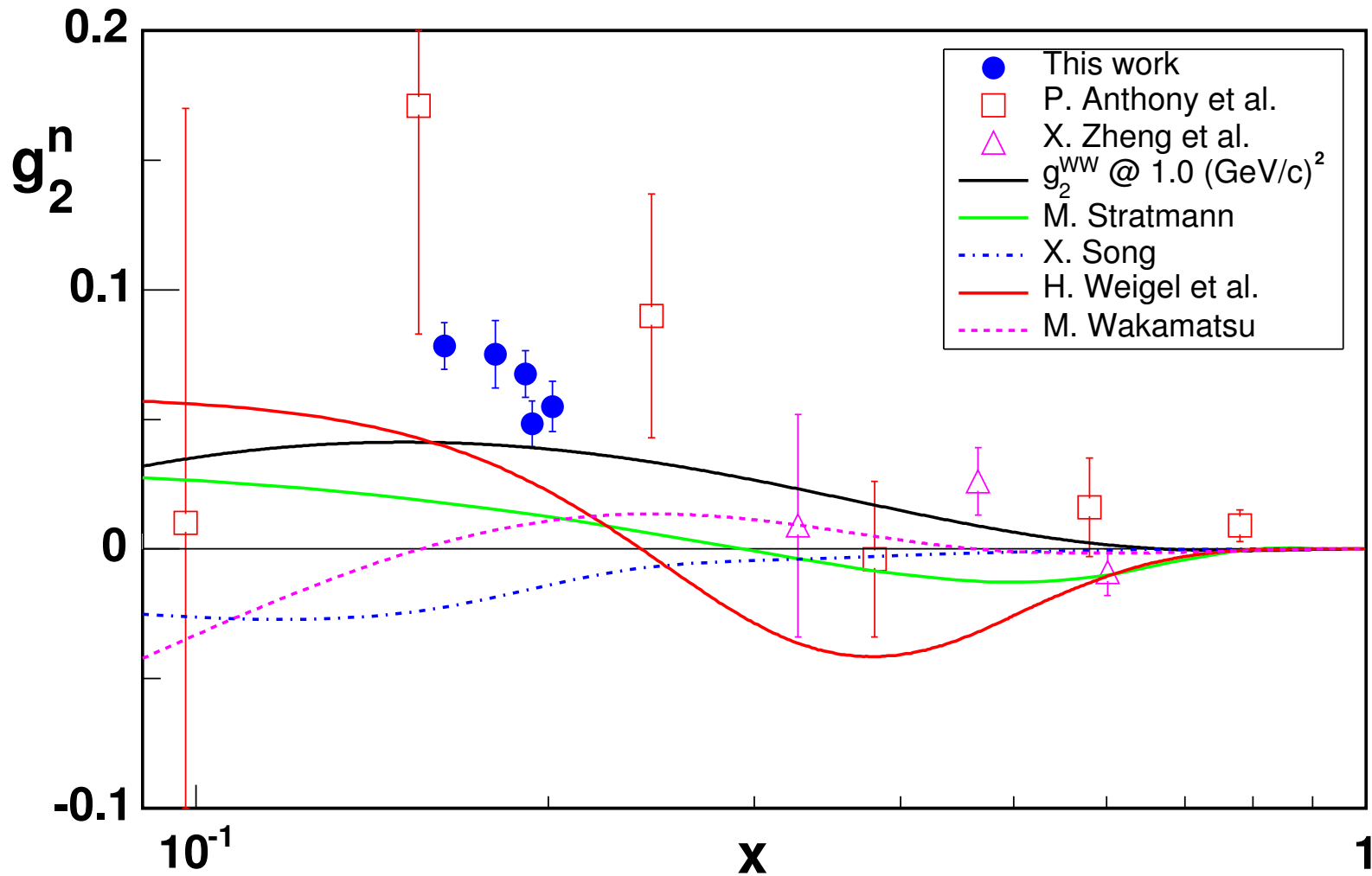


$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} (S_\sigma g_1(x, Q^2) + \frac{1}{\nu} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2))$$

- $g_2(x) = -g_1(x) + \int_x^1 g_1(x') dx' / x' + \tilde{g}_2(x) = g_2^{WW}(x) + \tilde{g}_2(x)$
- $\tilde{g}_2(x)$ : twist-3 operator

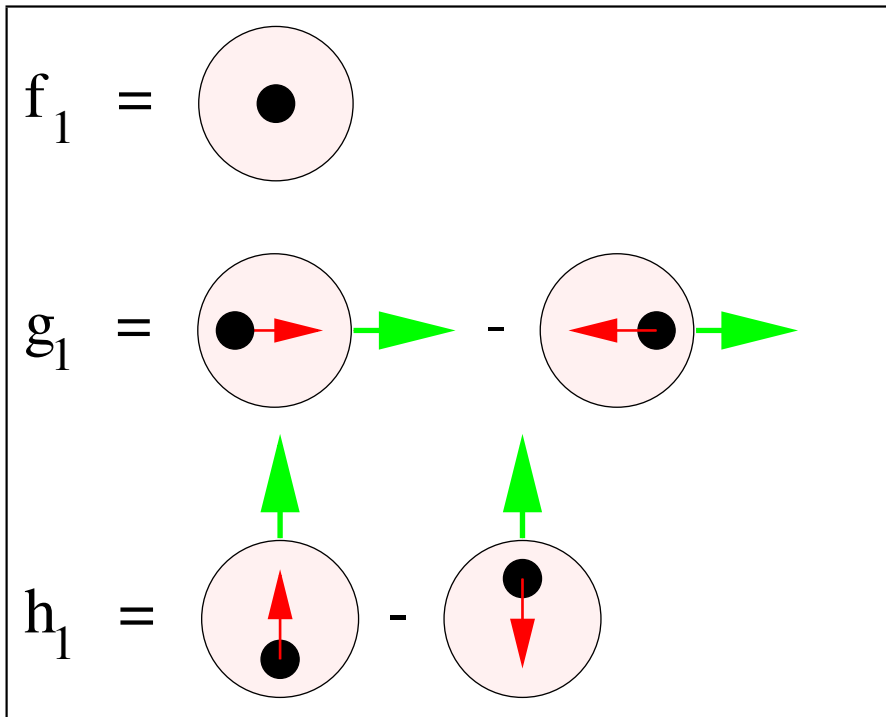
# $q_2$ structure function



→ talks by J-P.Chen, T.Signal

# Transversity $h_1(x)$

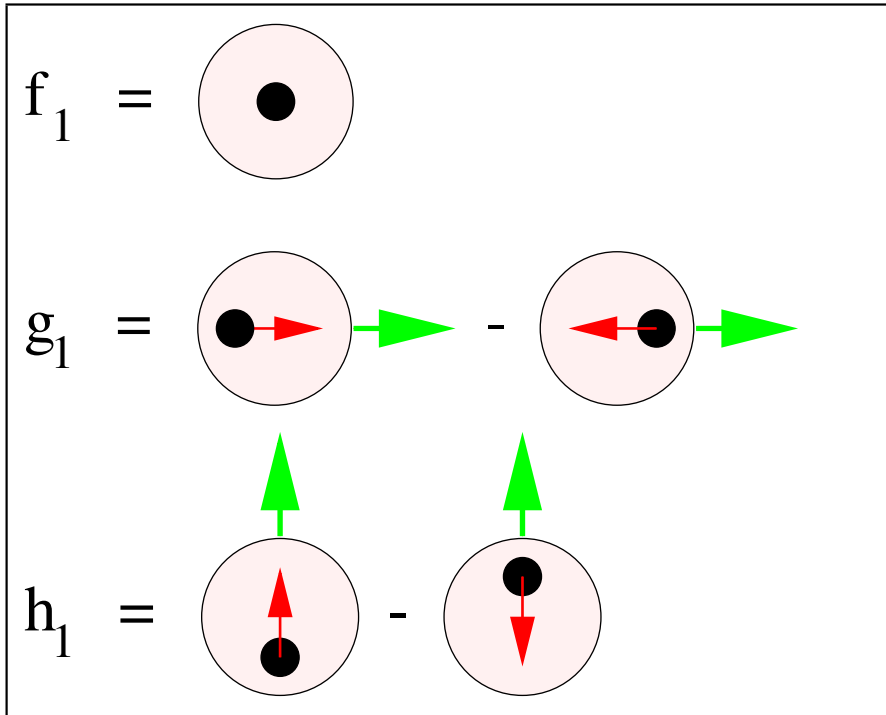
Complete description of nucleon in leading order QCD:  
3 Distribution Functions





# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



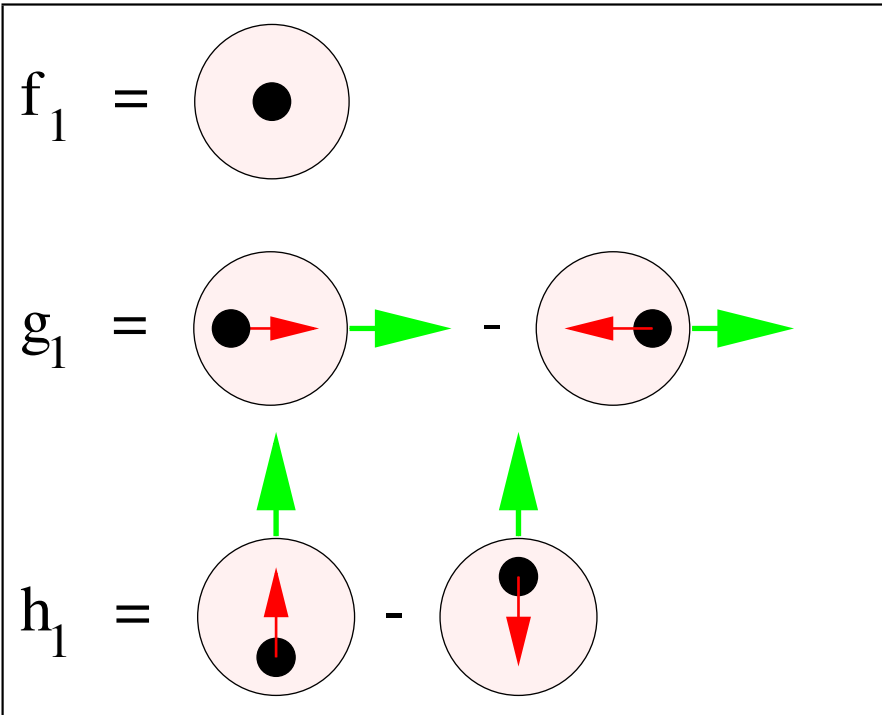
$$\sim \tilde{\psi} \gamma^\mu \psi$$

$$\sim \tilde{\psi} \gamma^\mu \gamma_5 \psi$$

$$\sim \tilde{\psi} \sigma^{\mu\nu} \gamma_5 \psi$$

# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



$$\sim \bar{\psi} \gamma^\mu \psi$$

Quark density

$$\sim \bar{\psi} \gamma^\mu \gamma_5 \psi$$

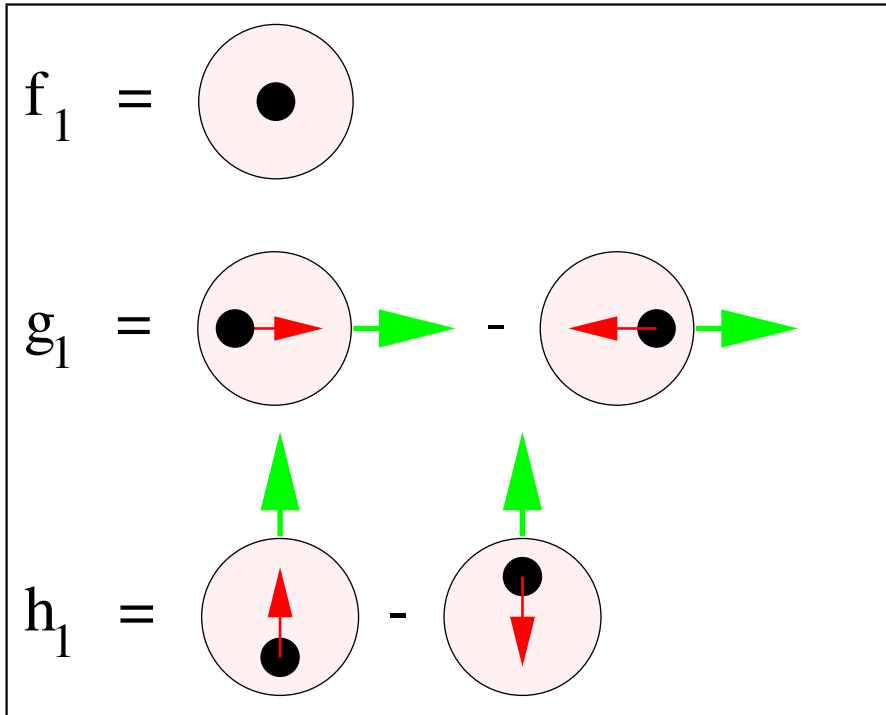
Helicity

$$\sim \bar{\psi} \sigma^{\mu\nu} \gamma_5 \psi$$

Transversity

# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



$$\sim \bar{\psi} \gamma^\mu \psi$$

Vector charge

$$\sim \bar{\psi} \gamma^\mu \gamma_5 \psi$$

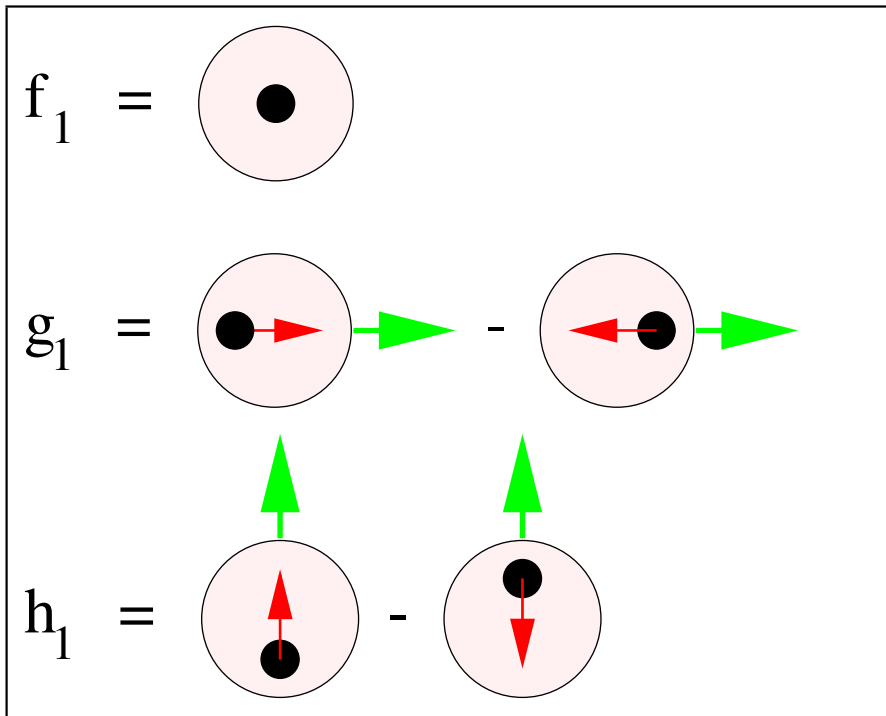
Axial charge

$$\sim \bar{\psi} \sigma^{\mu\nu} \gamma_5 \psi$$

Tensor charge

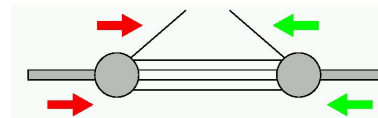
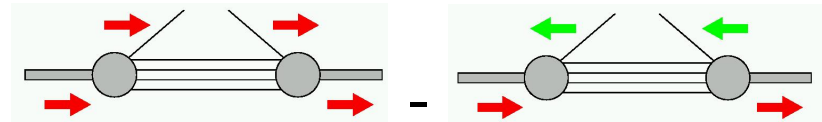
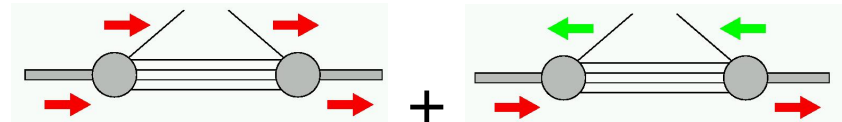
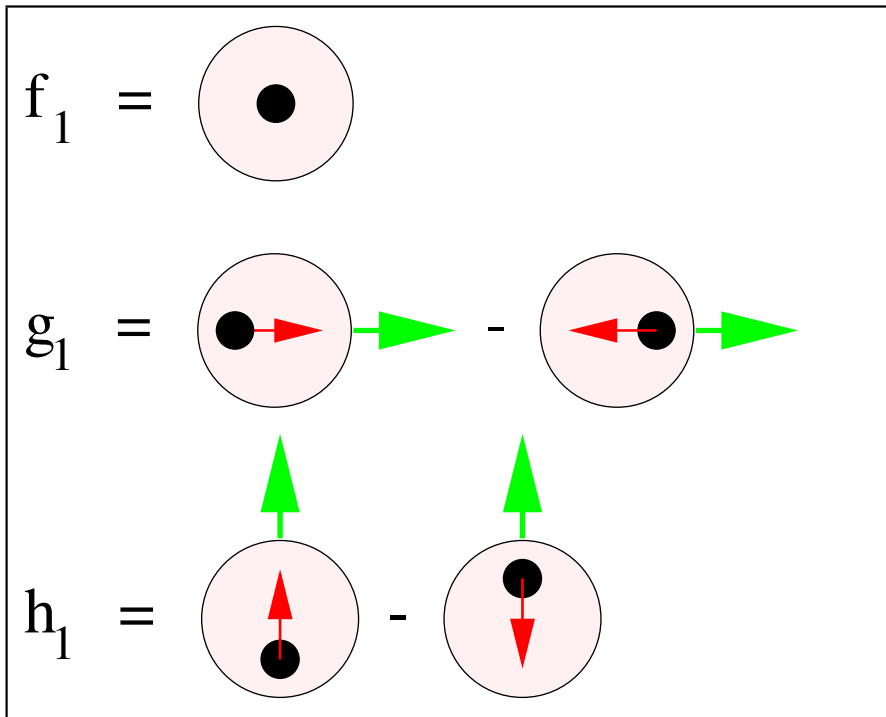
# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



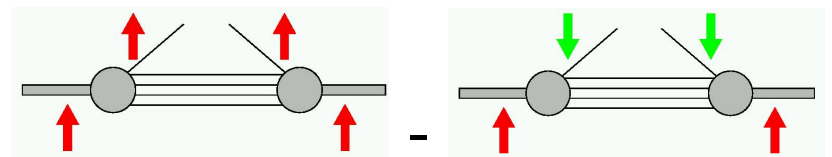
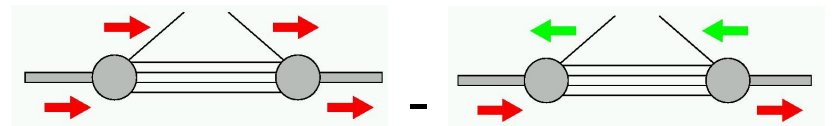
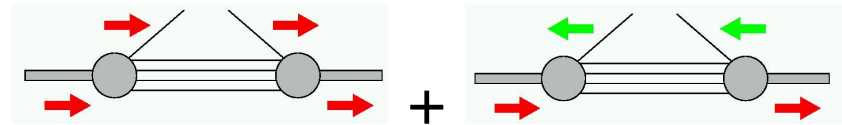
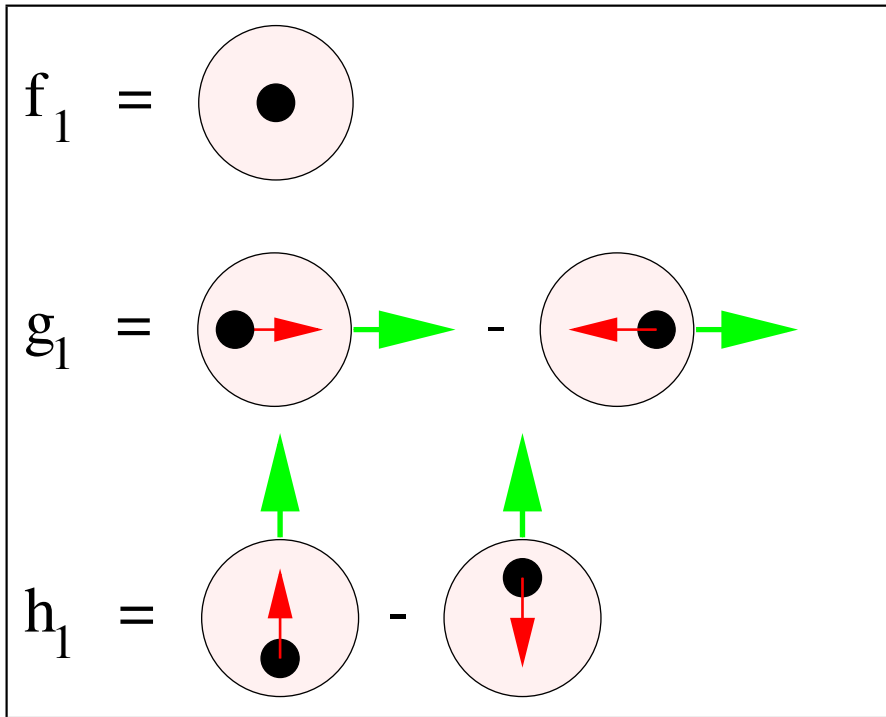
# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



# Transversity $h_1(x)$

Complete description of nucleon in leading order QCD:  
3 Distribution Functions



# Transversity $h_1(x)$

- For relativistic quarks:  $h_1^q(x) \neq g_1^q(x)$

# Transversity $h_1(x)$

- For relativistic quarks:  $\delta q(x) \neq \Delta q(x)$

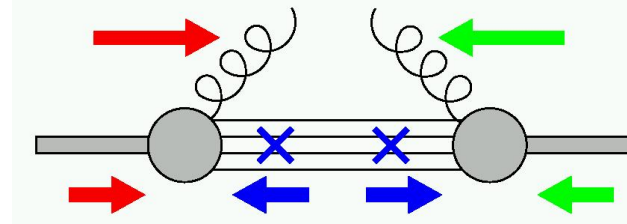


# Transversity $h_1(x)$

- For relativistic quarks:  $h_1^q(x) \neq g_1^q(x)$

# Transversity $h_1(x)$

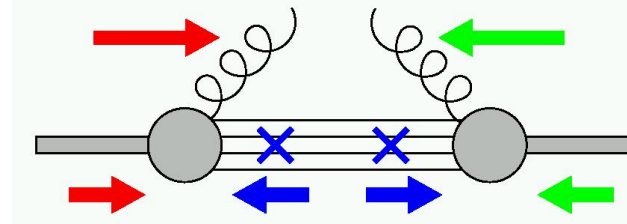
- For relativistic quarks:  $h_1^q(x) \neq g_1^q(x)$



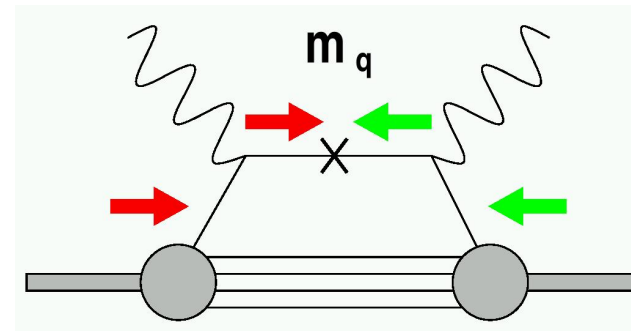
- Decouples from gluons:

# Transversity $h_1(x)$

- For relativistic quarks:  $h_1^q(x) \neq g_1^q(x)$



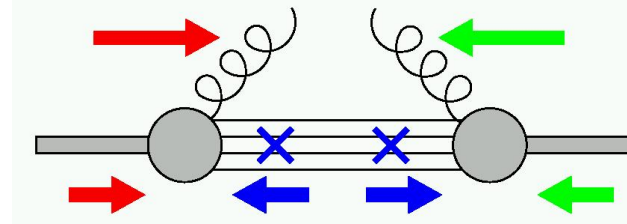
- Decouples from gluons:



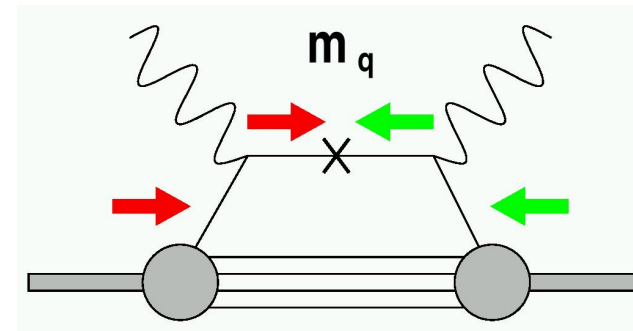
- Inaccessible in inclusive DIS

# Transversity $h_1(x)$

- For relativistic quarks:  $h_1^q(x) \neq g_1^q(x)$

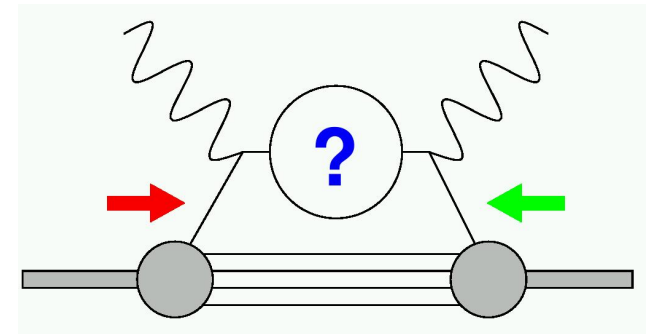


- Decouples from gluons:

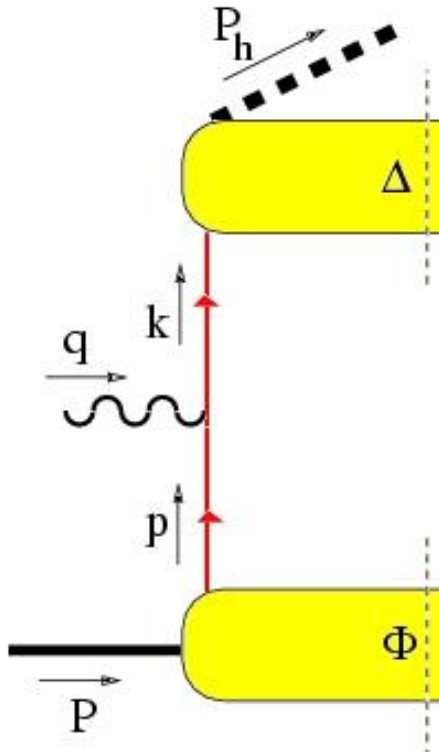


- Inaccessible in inclusive DIS

- Need e.g. fragmentation function

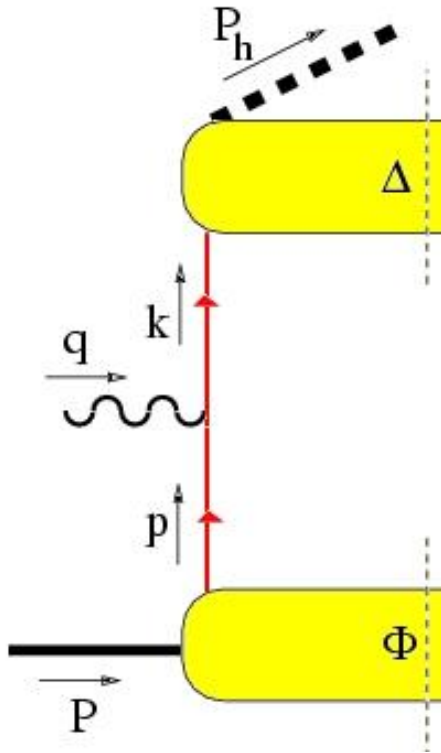


# Semi-inclusive DIS



$$\sigma^{ep \rightarrow ehX} = \sum_q f^{p \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

# Semi-inclusive DIS



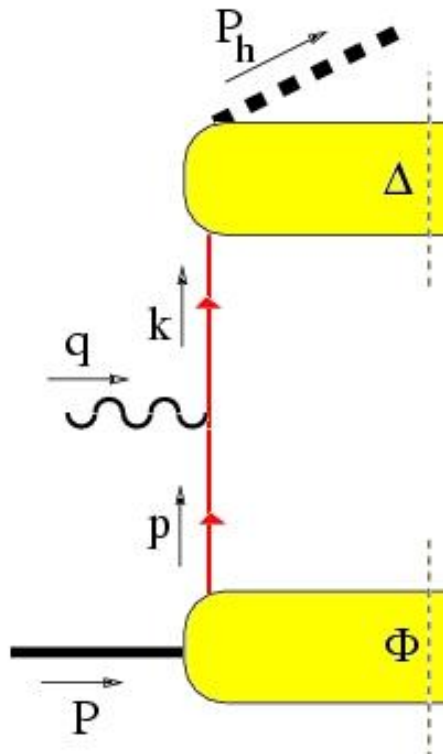
$$\sigma^{ep \rightarrow ehX} = \sum_q f^{p \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$



Longitudinally polarized:  $g_1(x)$

$D_1(z)$

# Semi-inclusive DIS



$$\sigma^{ep \rightarrow ehX} = \sum_q f^{p \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

Longitudinally polarized:  $g_1(x)$

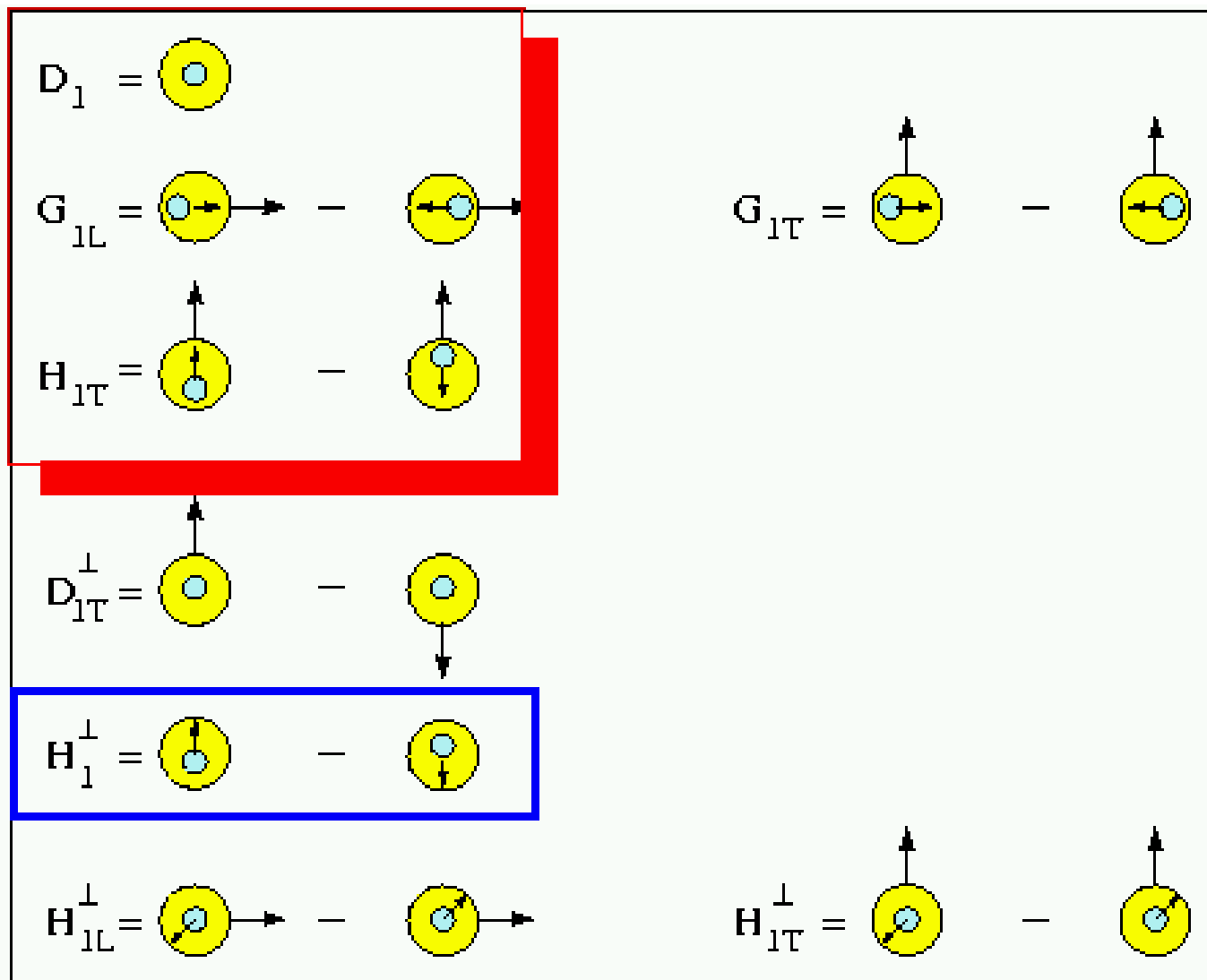
$D_1(z)$

Transversely polarized:  $h_1(x)$

$H_1^\perp(z)$

Both chiral odd

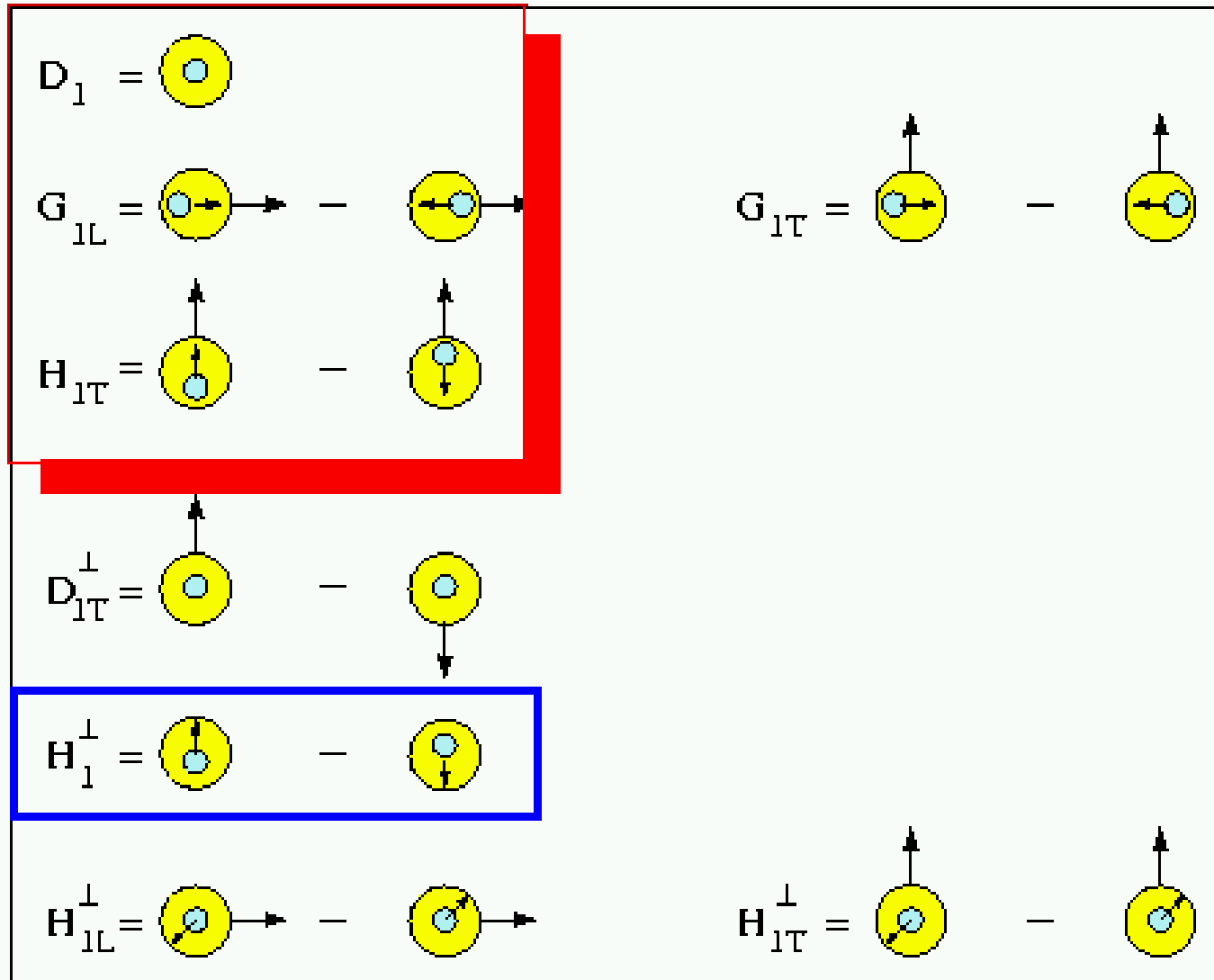
# Quark *Fragmentation* functions





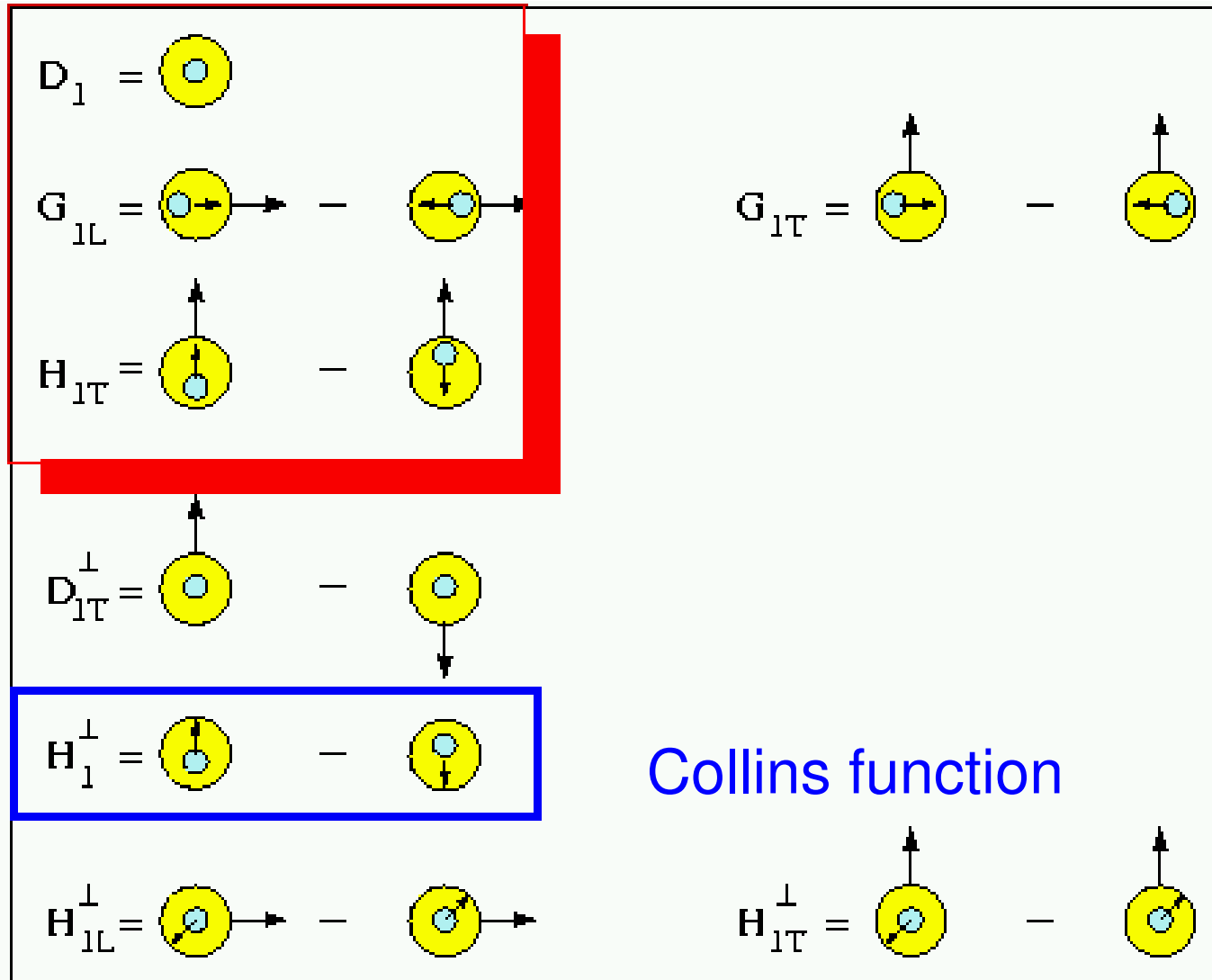
# Quark *Fragmentation* functions

Functions surviving integration over intrinsic transv. momentum



# Quark *Fragmentation* functions

Functions surviving integration over intrinsic transv. momentum



# Collins effect

- Chiral odd fragmentation function

$$H_1^\perp = \text{[Diagram: A light green box containing two circles. The left circle has a black dot at the bottom and a red arrow pointing up. The right circle has a black dot at the top and a red arrow pointing down. A minus sign is between the circles.]}$$

- Transverse spin of quark  $\rightarrow$  transverse motion of hadron
- Some estimates from LEP:

$$\left| \frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle} \right| = 6.3\%, 12.5\%, \approx 4\%, \dots???$$

- Can be negative!  
Correlation between direction of outgoing hadron and transverse spin of quark
- Manifests itself in Single-Spin Azimuthal asymmetry

# Single Spin Asymmetries

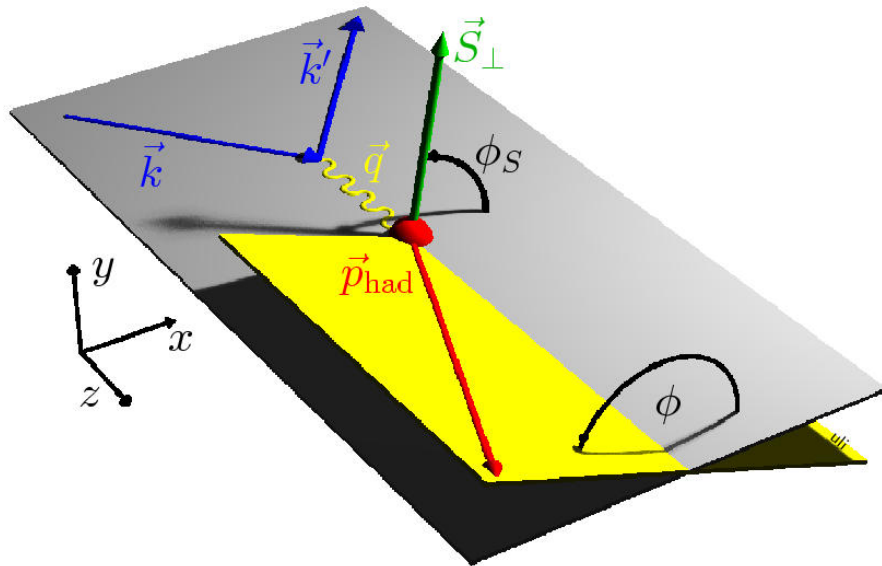
$$e + p \rightarrow e' + \pi + X$$

Azimuthal distribution of  $\pi$ 's:

$$A(\Phi) = \frac{N^+(\Phi) - N^-(\Phi)}{N^+(\Phi) + N^-(\Phi)}$$

Transversely polarized target:

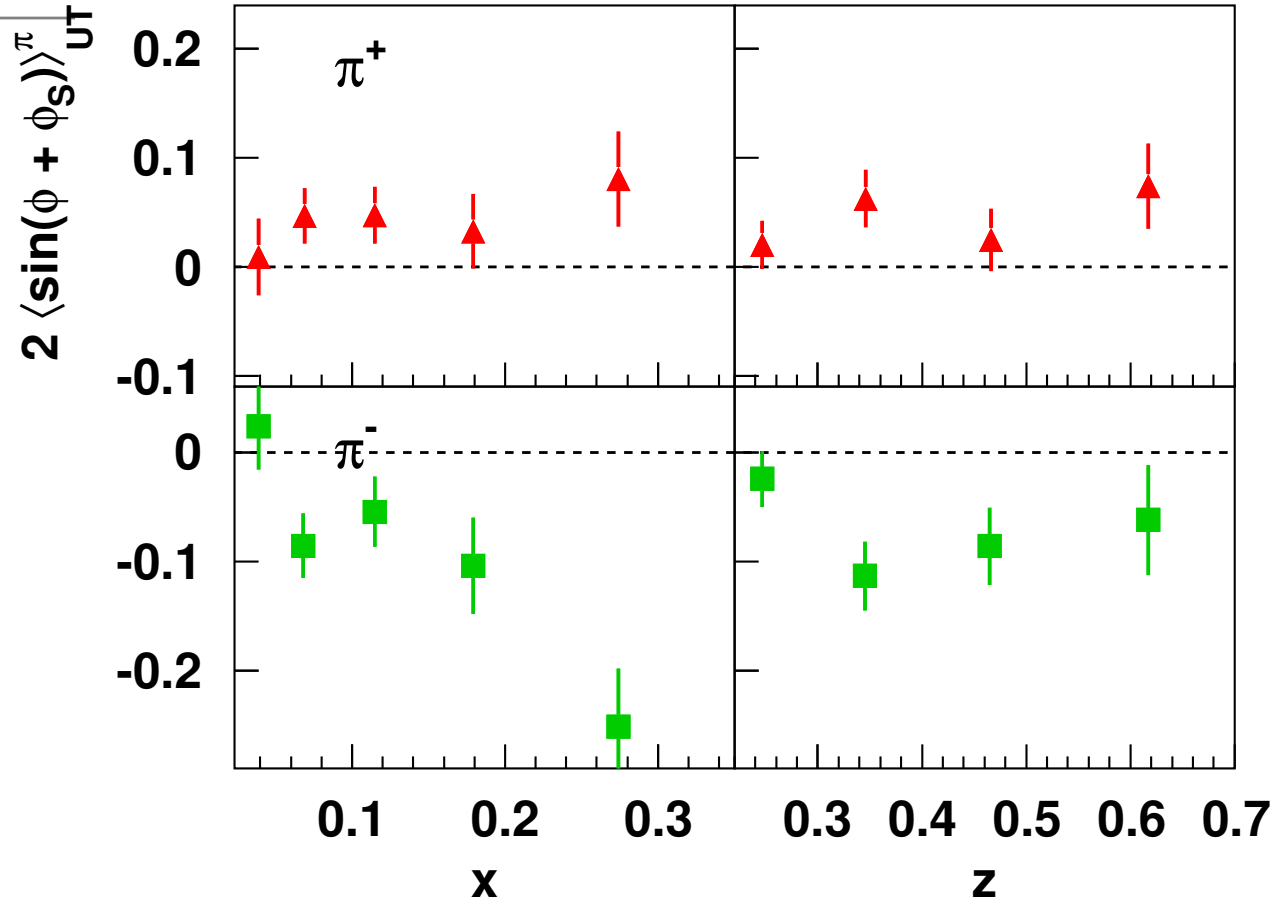
$$A_{UT}^{\sin \Phi} \propto \frac{\sum_q e_q^2 h_1^q(x) H_1^{\perp, q}(z)}{\sum_q e_q^2 f_1^q(x) D_1^q(z)}$$






$\Phi = \phi + \phi_S$  Collins angle

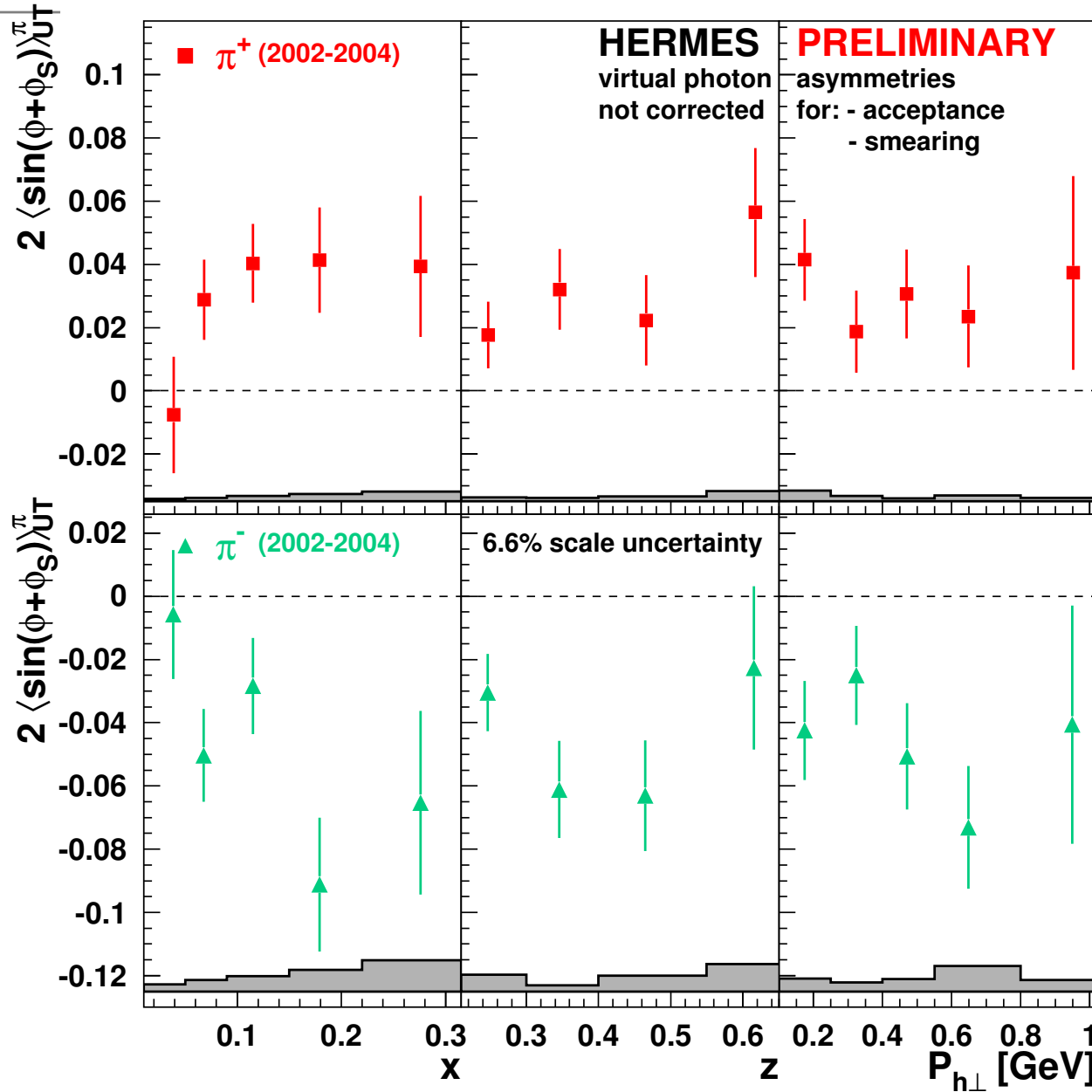
Different definitions!

# Collins asymmetry



-   $A_{UT}^{\pi^+} > 0$
-   $A_{UT}^{\pi^-} < 0$
-   $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$

# Collins asymmetry

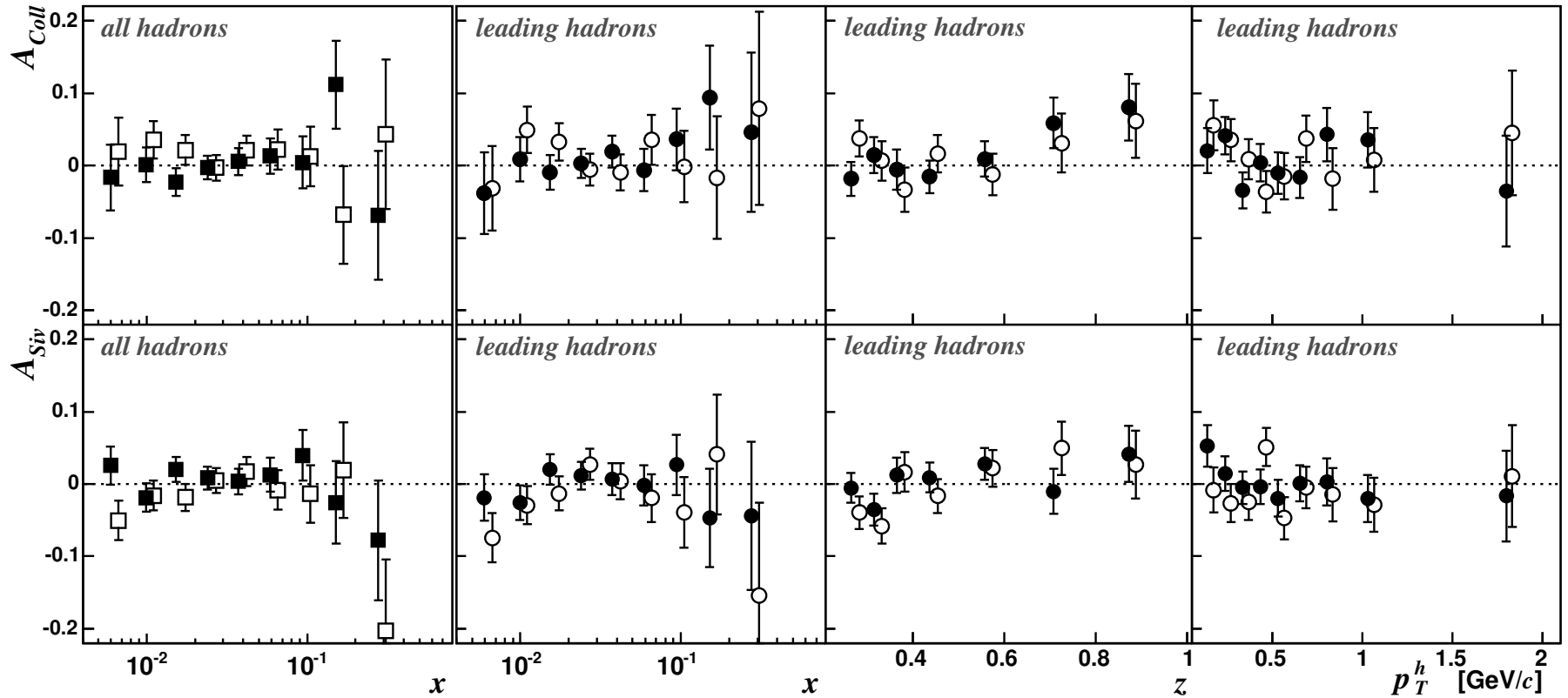


→ talk by  
M. Diefenthaler

- $A_{UT}^{\pi^+} > 0$
- $A_{UT}^{\pi^-} < 0$
- $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$

# Collins asymmetry

COMPASS, hep-ex/0503002



- COMPASS 02 data
- Deuterium target
- Consistent with 0

→ talk by P.Pagano

# Collins asymmetry

- proton:  $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$
- deuteron:  $A_{UT}^{\pi^-} \approx A_{UT}^{\pi^+} \approx 0$



# Collins asymmetry

- proton:  $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$
- deuteron:  $A_{UT}^{\pi^-} \approx A_{UT}^{\pi^+} \approx 0$

⇒

- cancellation between  $p$  and  $n$  asymmetries
- non-trivial behaviour of Collins FF
- ...

# Collins asymmetry

- proton:  $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$
- deuteron:  $A_{UT}^{\pi^-} \approx A_{UT}^{\pi^+} \approx 0$

⇒

- cancellation between  $p$  and  $n$  asymmetries
- non-trivial behaviour of Collins FF
- ...

⇒ need independent access to  $h_1$   
e.g. two-hadron production through “interference FF”

→ talks by P. Van der Nat, R. Joosten

# Collins asymmetry

- proton:  $|A_{UT}^{\pi^-}| \gtrsim |A_{UT}^{\pi^+}|$
- deuteron:  $A_{UT}^{\pi^-} \approx A_{UT}^{\pi^+} \approx 0$

⇒

- cancellation between  $p$  and  $n$  asymmetries
- non-trivial behaviour of Collins FF
- ...

⇒ need independent access to  $H_1^\perp$   
e.g. from high-statistics  $e^+e^-$  BELLE data

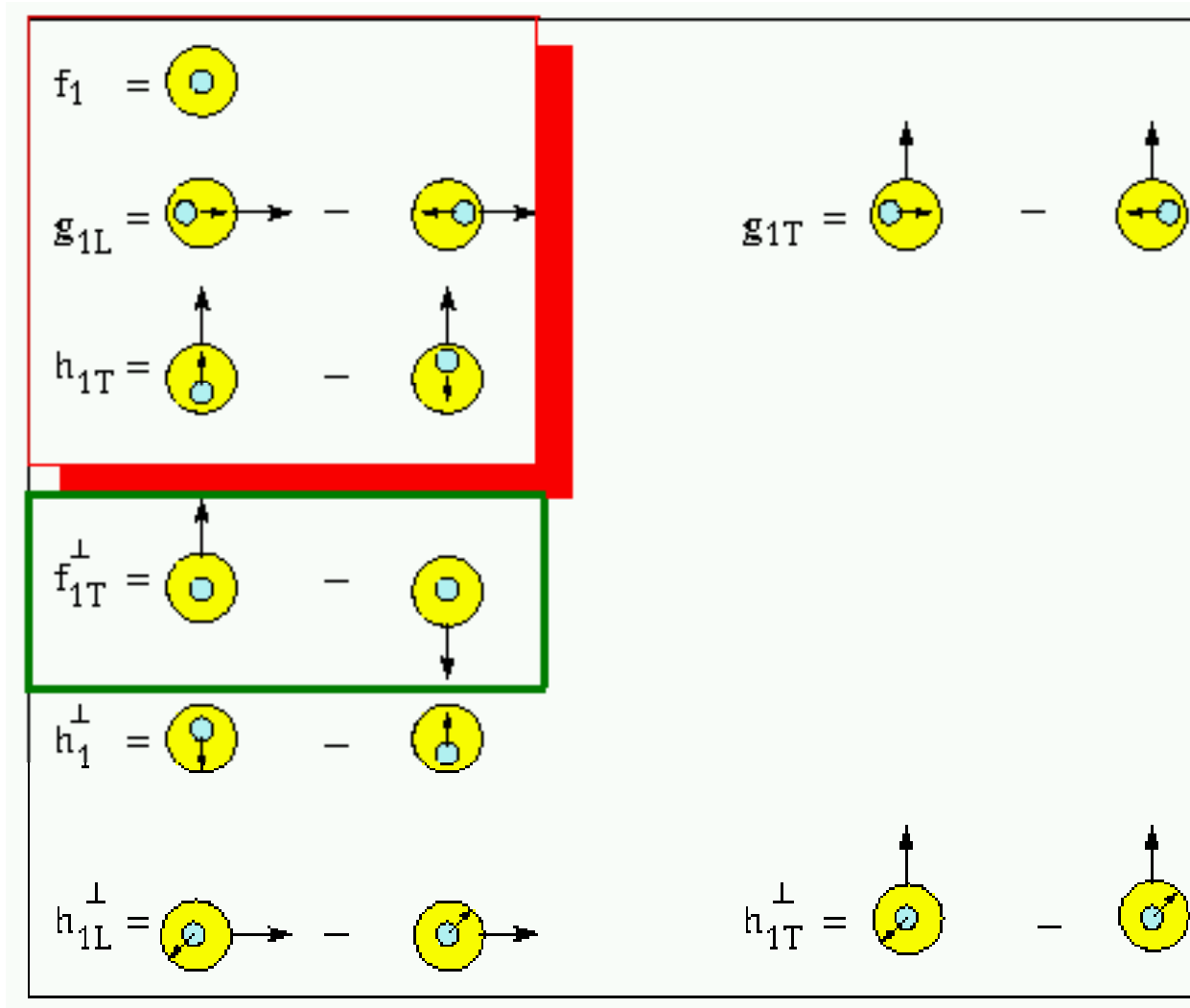
→ talk by R.Seidl

# Sivers effect

$$A_{UT}^{\sin \Phi} \propto \sum_q e_q^2 f_1^{\perp, q}(x) D_1(z)$$

# Sivers effect

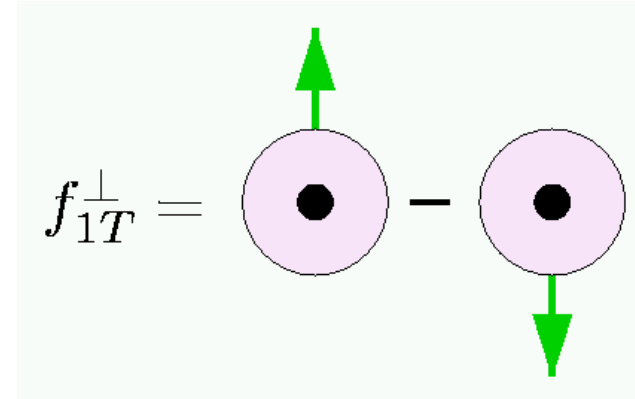
$$A_{UT}^{\sin \Phi} \propto \sum_q e_q^2 f_1^{\perp, q}(x) D_1(z)$$



# Sivers effect

$$A_{UT}^{\sin \Phi} \propto \sum_q e_q^2 f_1^{\perp, q}(x) D_1(z)$$

Chiral odd distribution function?

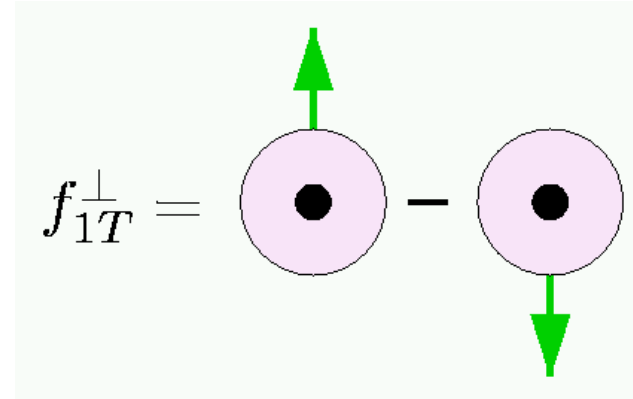


Several recent ideas:

# Sivers effect

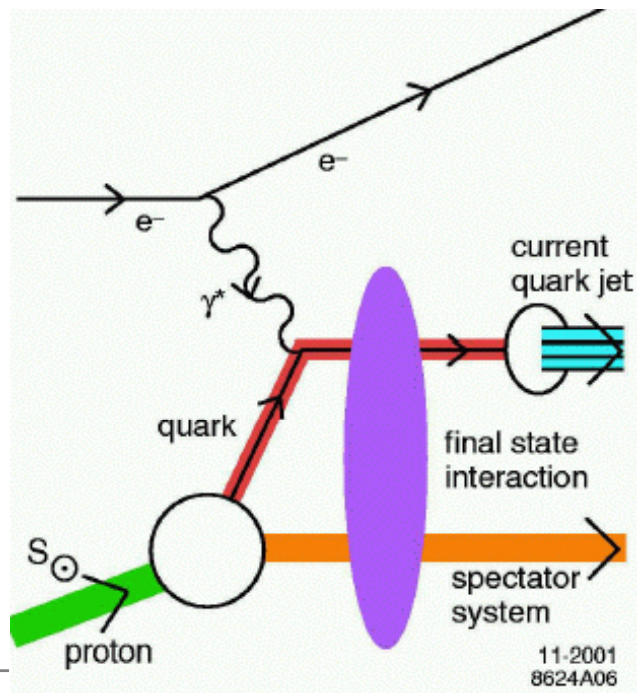
$$A_{UT}^{\sin \Phi} \propto \sum_q e_q^2 f_1^{\perp, q}(x) D_1(z)$$

Chiral odd distribution function?



Several recent ideas:

Brodsky, Hwang, Schmidt

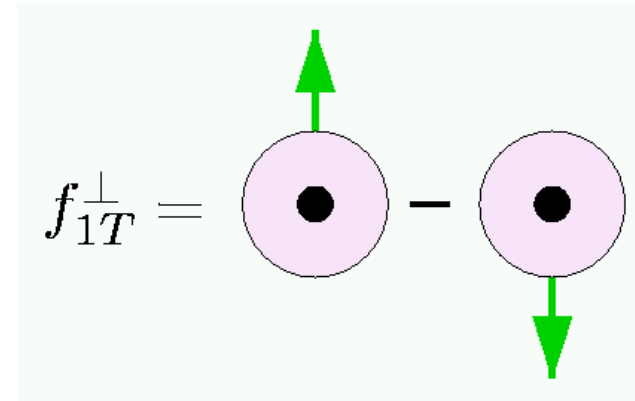


- Quark rescattering
- Generates SSA
- Requires  $L_z$  of quarks

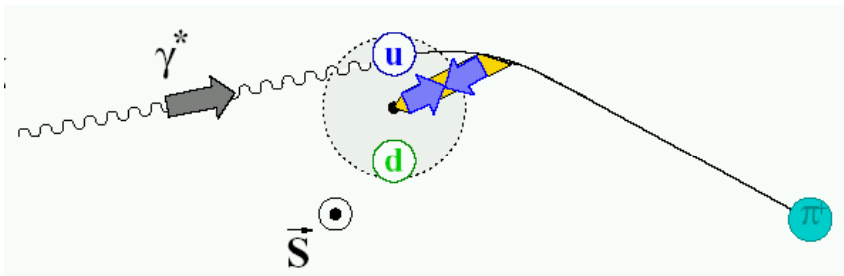
# Sivers effect

$$A_{UT}^{\sin \Phi} \propto \sum_q e_q^2 f_1^{\perp, q}(x) D_1(z)$$

Chiral odd distribution function?



Several recent ideas: Burkardt



- Spatial distortion of  $q$ -distribution
- Attractive QCD-potential
- Generates SSA
- Implies  $L_z$  of quarks

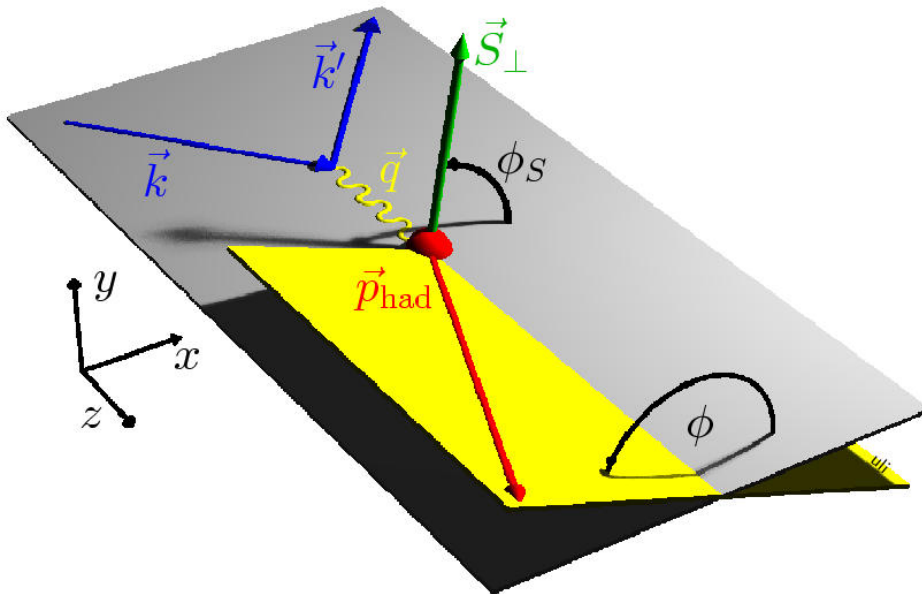


# Collins vs Sivers effect

- Appear at leading twist
- Depend on different azimuthal angles:
  - Collins: correlation spin struck quark and momentum hadron
  - Sivers: correlation spin nucleon and transverse momentum quark

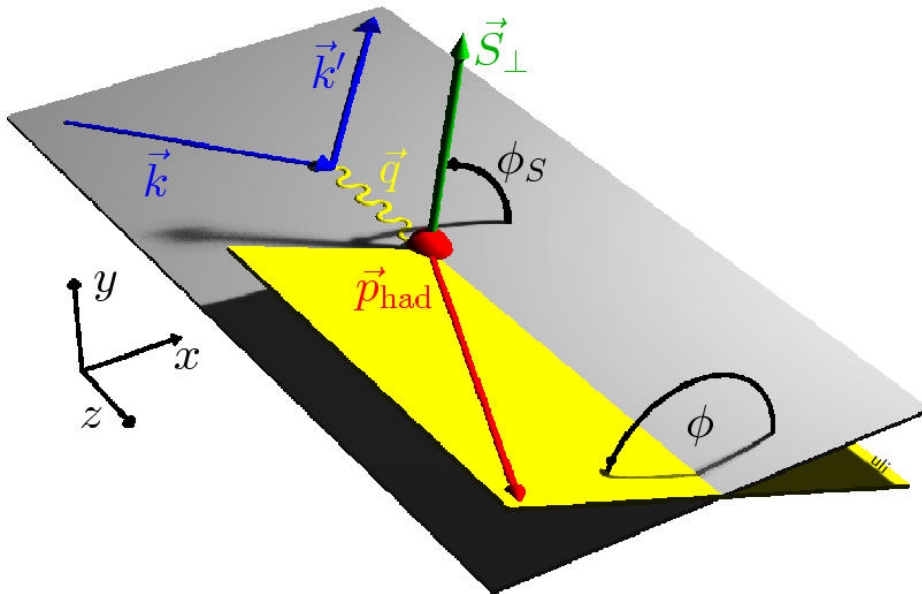
# Collins vs Sivers effect

- Appear at leading twist
- Depend on different azimuthal angles:
  - Collins: correlation spin struck quark and momentum hadron
  - Sivers: correlation spin nucleon and transverse momentum quark



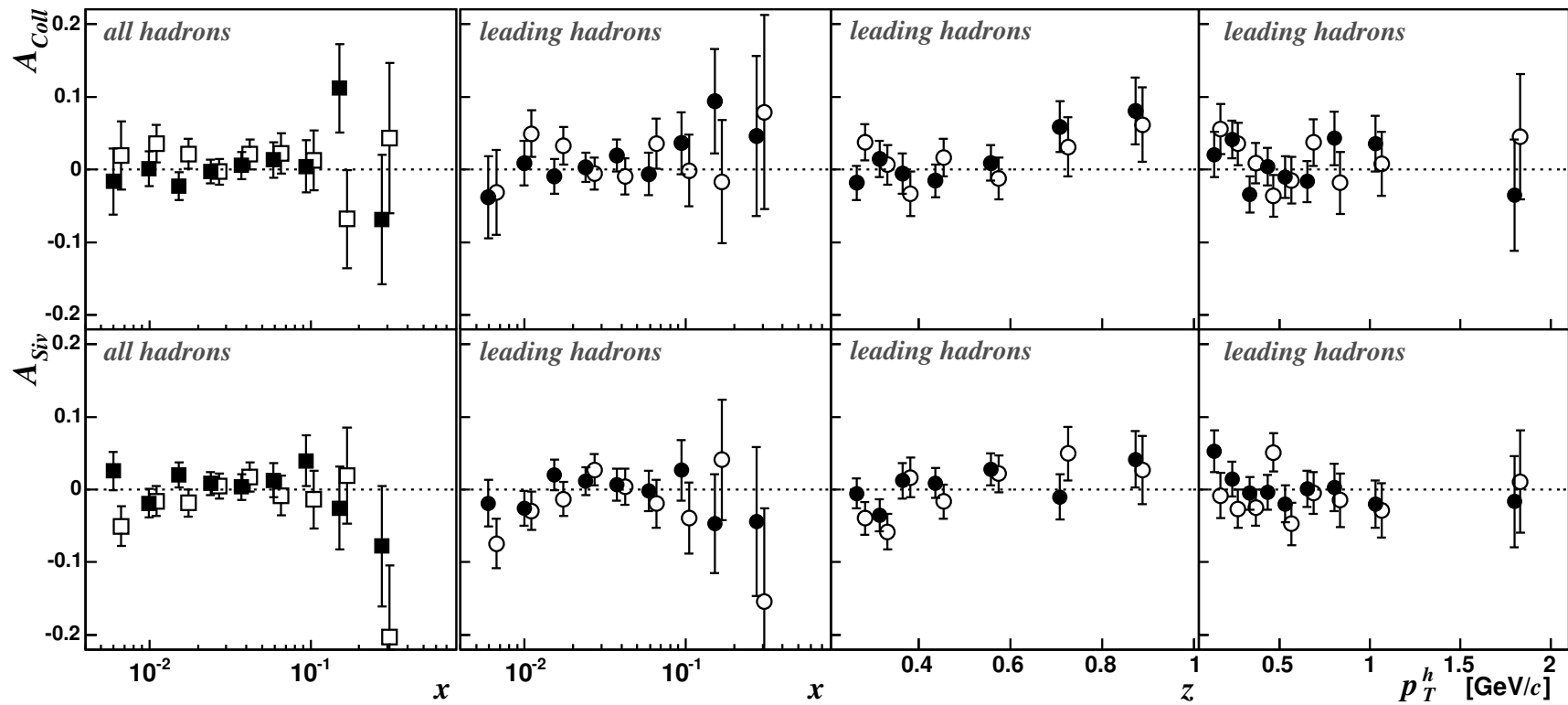
# Collins vs Sivers effect

- Appear at leading twist
- Depend on different azimuthal angles:
  - Collins: correlation spin struck quark and momentum hadron  $\Rightarrow \phi_C = \phi + \phi_S$
  - Sivers: correlation spin nucleon and transverse momentum quark  $\Rightarrow \phi_S = \phi - \phi_S$



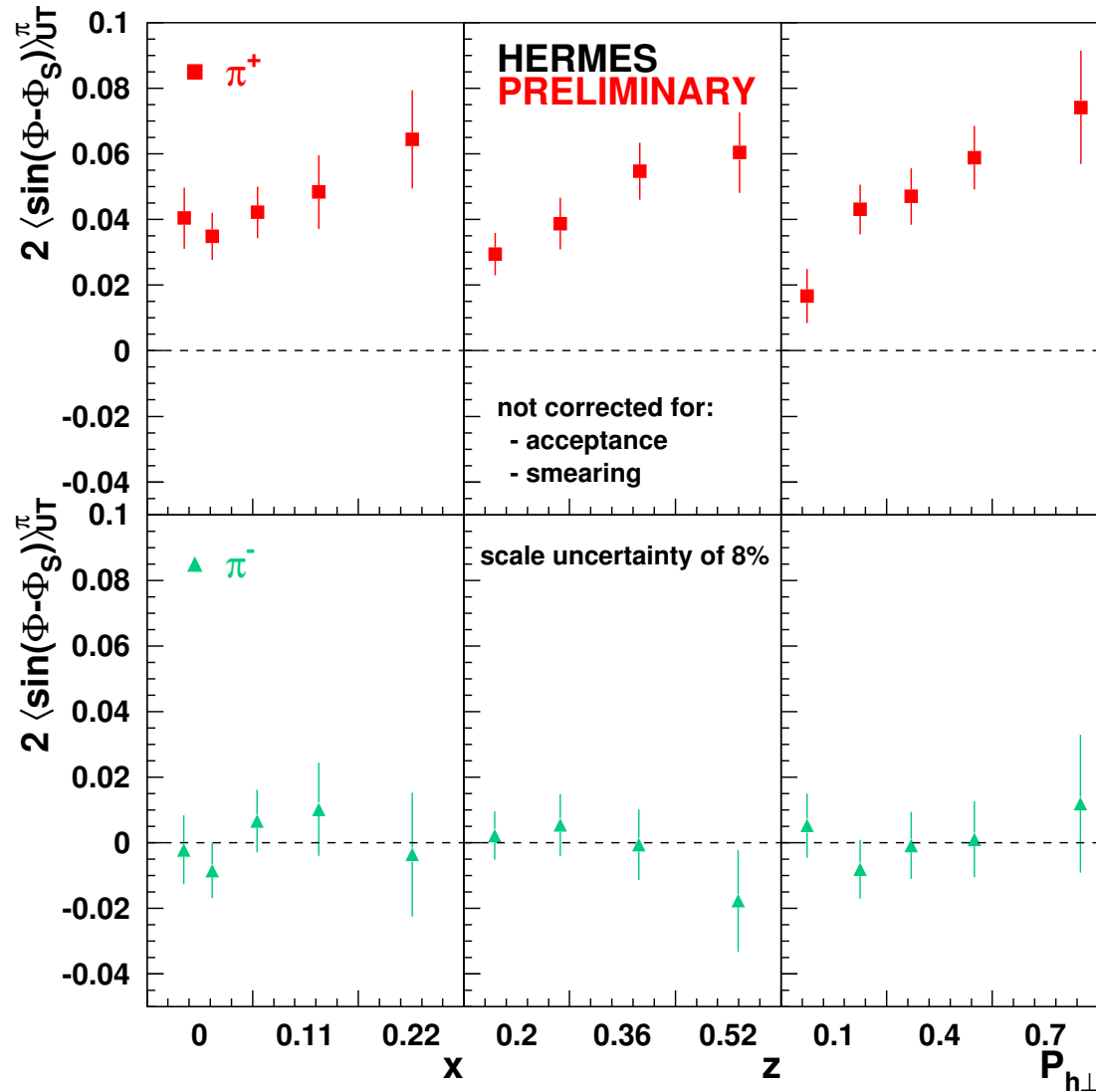
# Sivers asymmetries

New COMPASS results on D -target:



# Sivers asymmetries

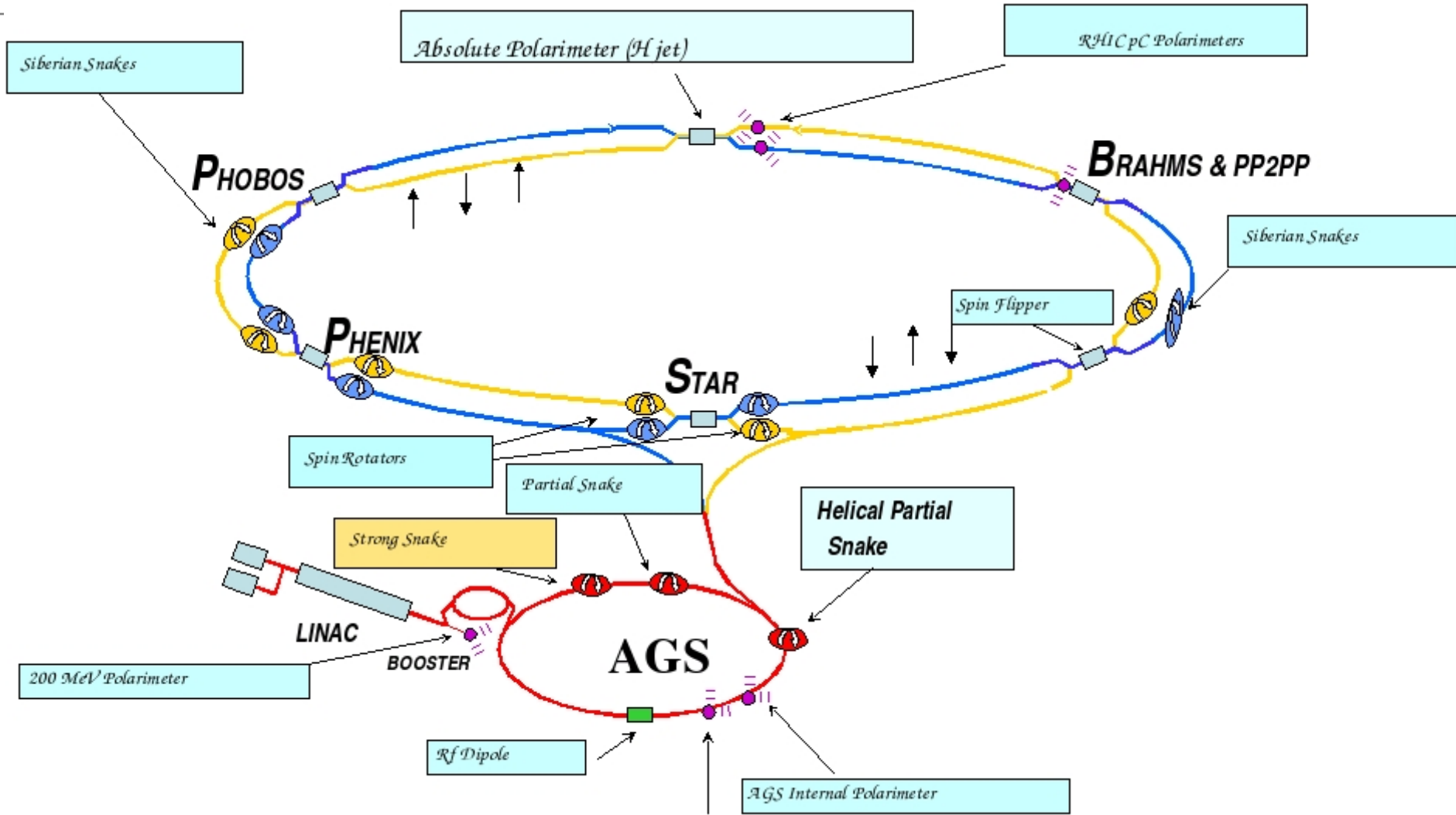
New HERMES results on H-target:



# Sivers asymmetries

- Good statistics data available
- Orbital angular momentum  $L_z \neq 0$
- Flavour separation possible
- Has importance beyond lepton-nucleon scattering  
*pp-scattering*

# RHIC - spin

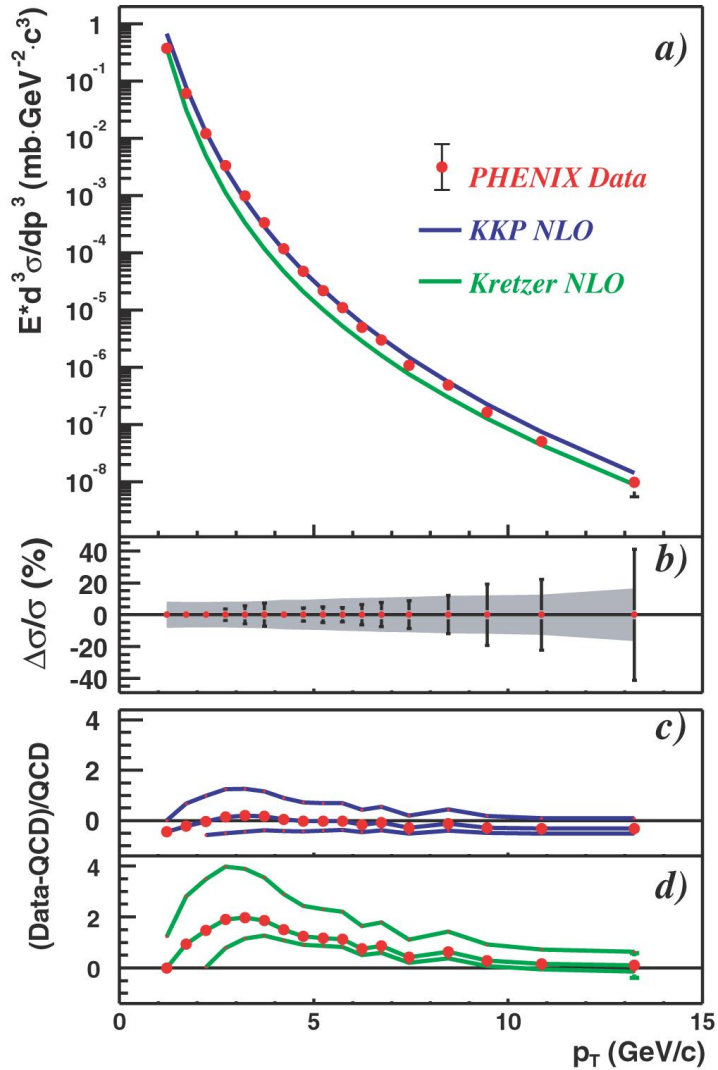


$$\mathcal{L}_{peak} = 1 \cdot 10^{31} \text{cm}^{-2} \text{s}^{-1}$$

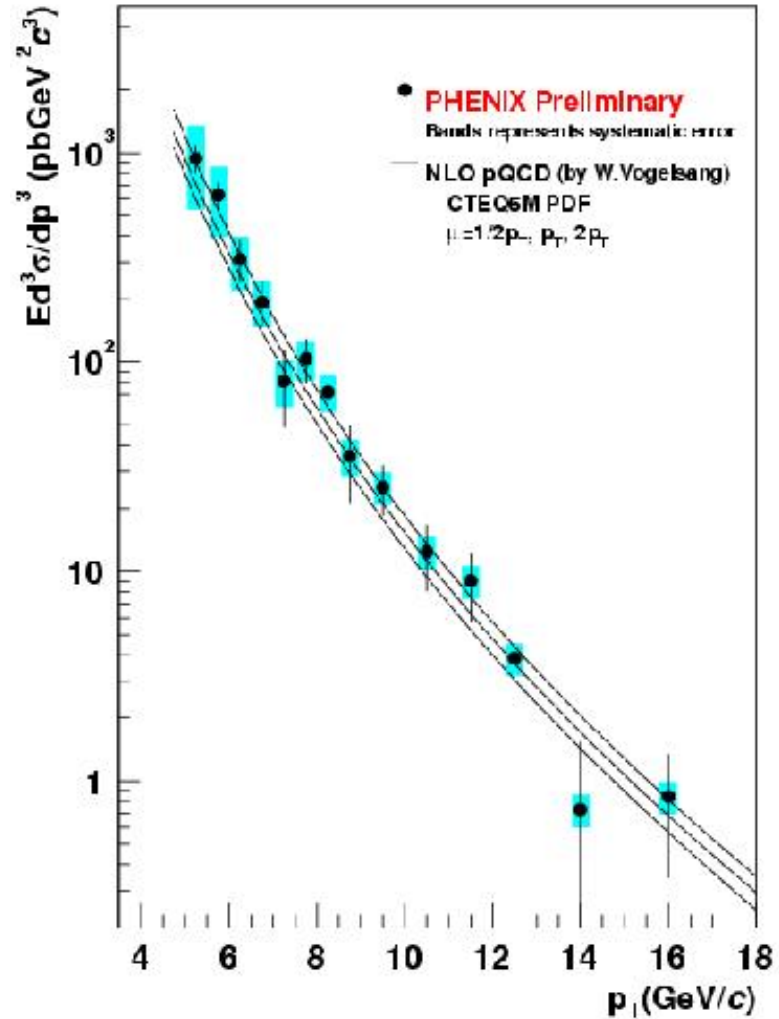
$$P_b \sim 45\%$$

# RHIC: pQCD

$\pi^0$   $|\eta| < 0.35$



Direct photon  $|\eta| < 0.35$



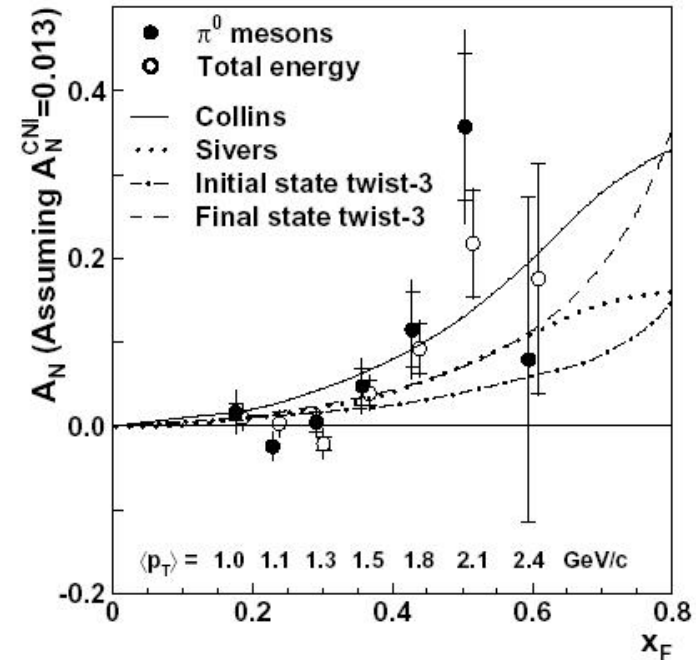
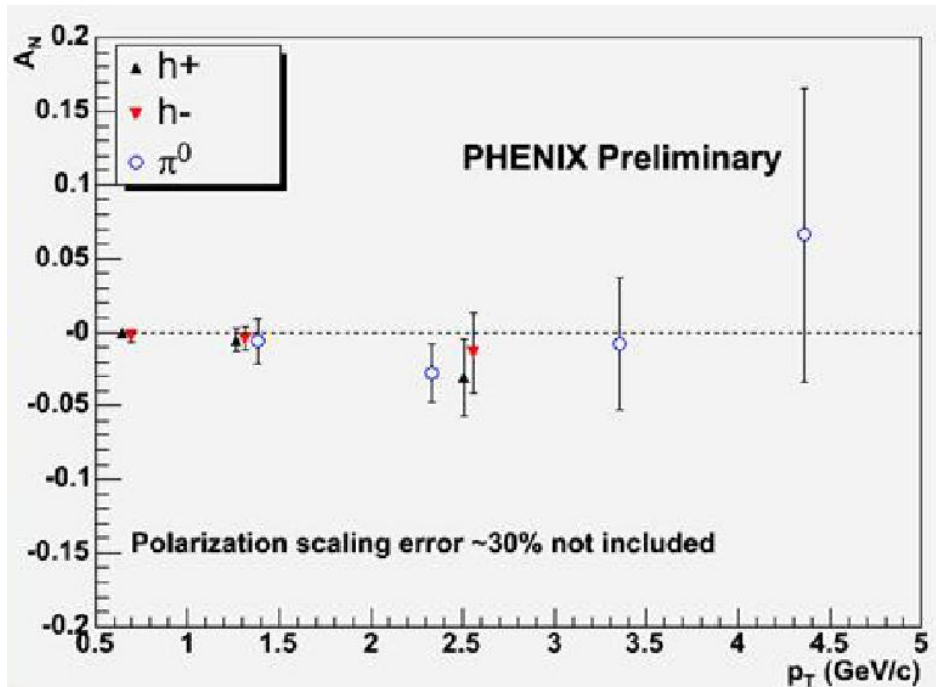
NLO pQCD agrees well with data



# RHIC: single spin asymmetries

PHENIX  $|\eta| < 0.35$

STAR  $3.4 < \eta < 4.0$



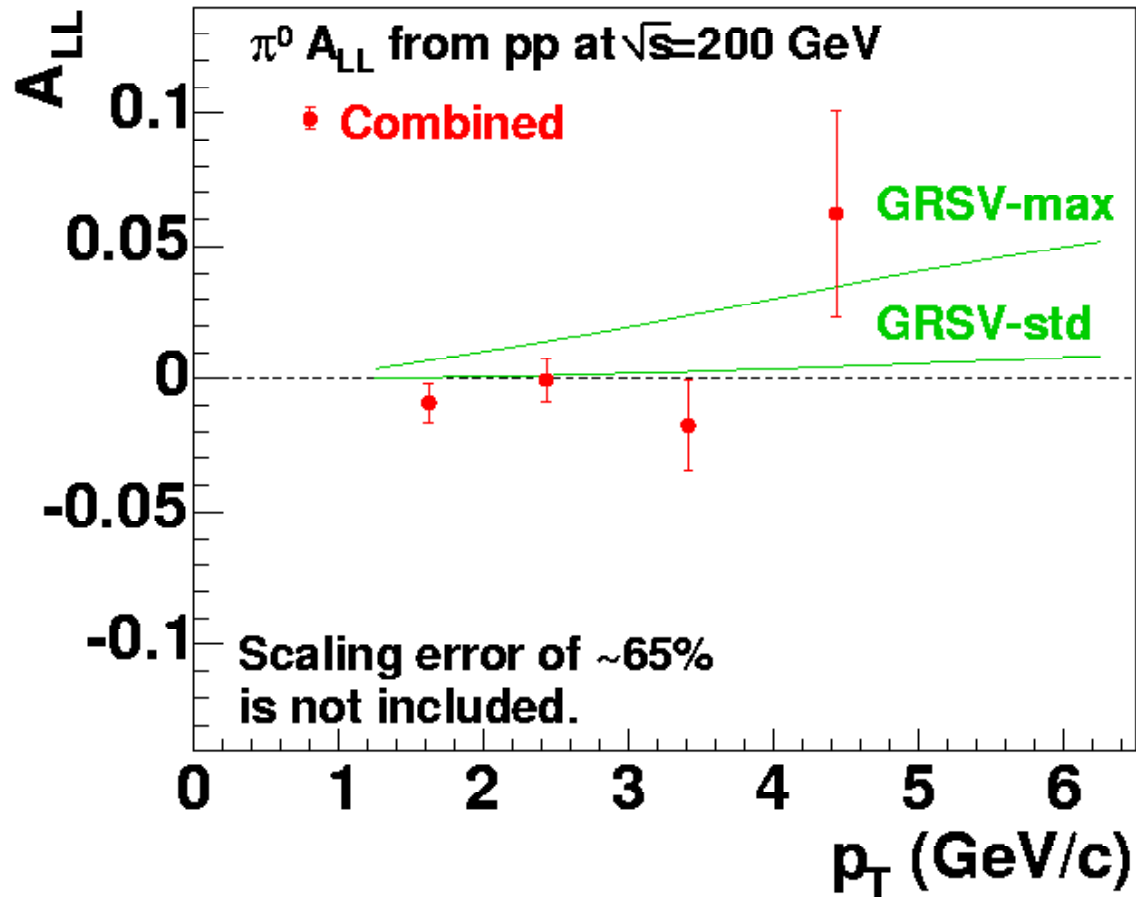
Run 02 data ( $P_b \sim 0.15$ )

Experiments ready for high-statistics runs

→ talks by S.Heppelmann, M.Chiu, F.Videbaek

# RHIC: double spin asymmetries

Polarized  $\vec{p}\vec{p}$  scattering also offers access to  $\Delta G$



→ talks by A.Desphande, R.Cadman

# Higher twist effects

- $g_2$  measurements
- HERMES longitudinal SSA  
contain Collins, Sivers and Twist-3 part  
→ talk by M.Diefenthaler
- Beam-spin SSA  
depend on Twist-3 DF  $\otimes$  Collins FF  
→ talk by H.Avakian

# Summary

- Very active field, also theory
- “Old” topics: much improved accuracy
- “New” topics, new facilities

Looking forward to lively discussions in Working Group