

# A Search for Lepton Flavor Violation in Upsilon Decays with CLEO-3 at CESR

$$Y \rightarrow \mu \tau, \quad \tau \rightarrow \nu_\tau \bar{\nu}_e e$$



Vladimir Savinov  
University of Pittsburgh



# Standard Model's Accidental Symmetries

## Conservation of lepton and baryon numbers

$$\Upsilon(\text{nS}) \rightarrow \tau^- \mu^+$$

$$\begin{array}{lll} \tau^- \rightarrow e^- \gamma & \tau^- \rightarrow e^+ e^- e^- & \tau^- \rightarrow \mu^+ \mu^- e^- \\ \tau^- \rightarrow \mu^- \gamma & \tau^- \rightarrow \mu^+ \mu^- \mu^- & \tau^- \rightarrow e^+ \mu^- \mu^- \end{array}$$

neutrinoless double beta decay

proton decay

### Breaking of global symmetries

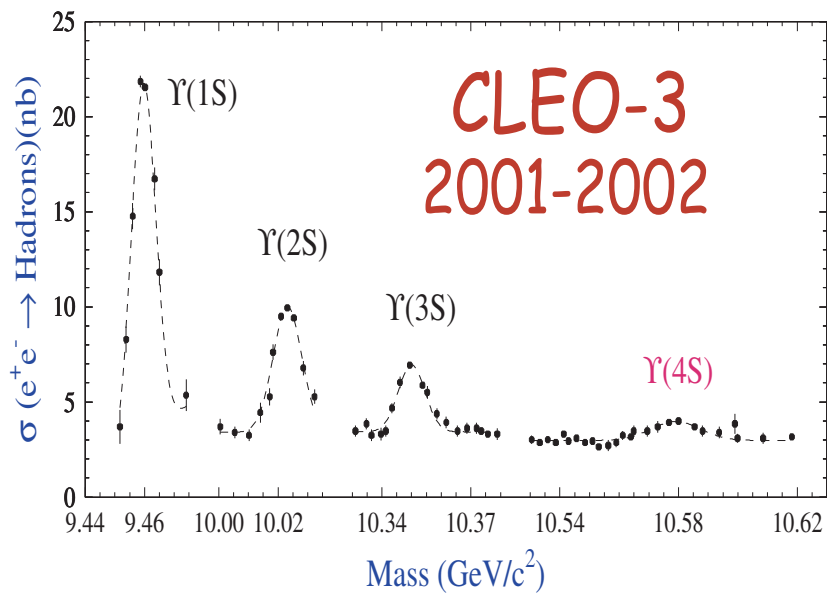
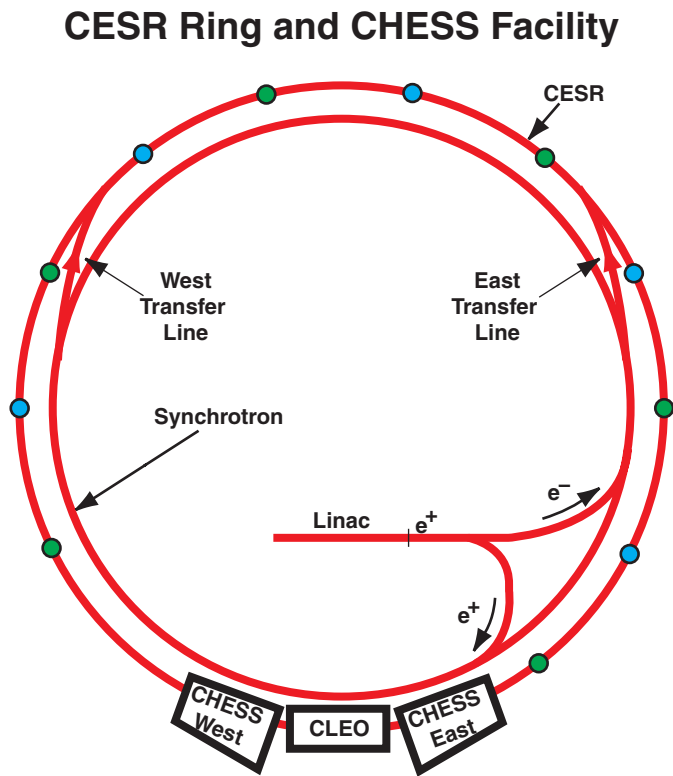
Neutrino oscillations and see-saw mechanism

LFV and new higher-order gauge symmetries

Higgs, SUSY, Dark Matter and possible LFV

Lepton number violation and baryogenesis

# The Experiment

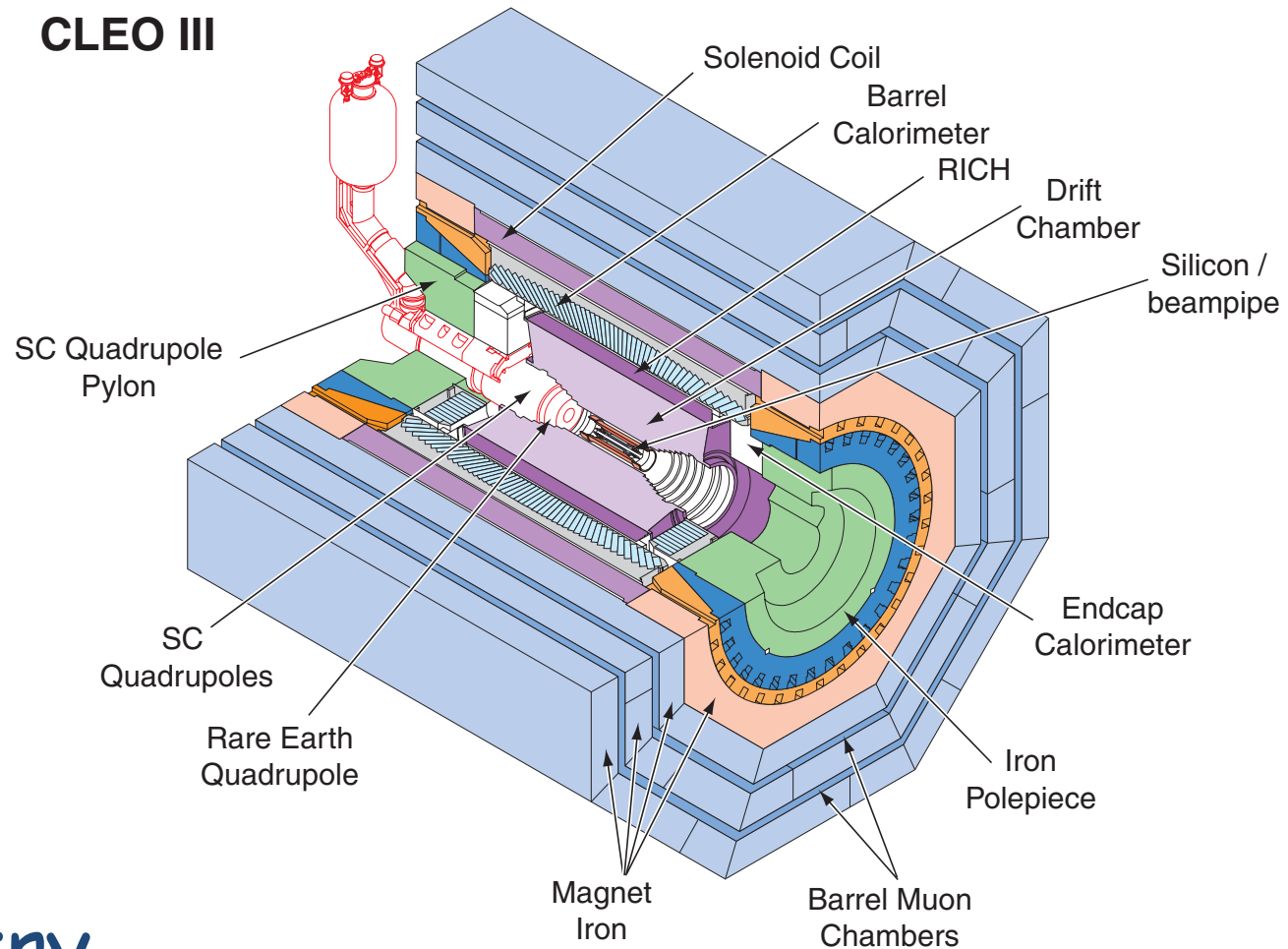




# The Detector, Data Sample and CLEOns



## CLEO III



CsI EM calorimetry,  
muon identification,  $dE/dx$   
 $\delta p/p(@5\text{GeV}) \sim 0.8\%$  tracking

21 million  $Y(1S)$   
5.4 million  $Y(2S)$   
5.0 million  $Y(3S)$

# Accidental Symmetries of the SM and LFV

Lepton number, lepton flavor numbers and baryon numbers are conserved at low energies, however, the absence of LFV terms in the SM Lagrangian is **accidental, not by design!**

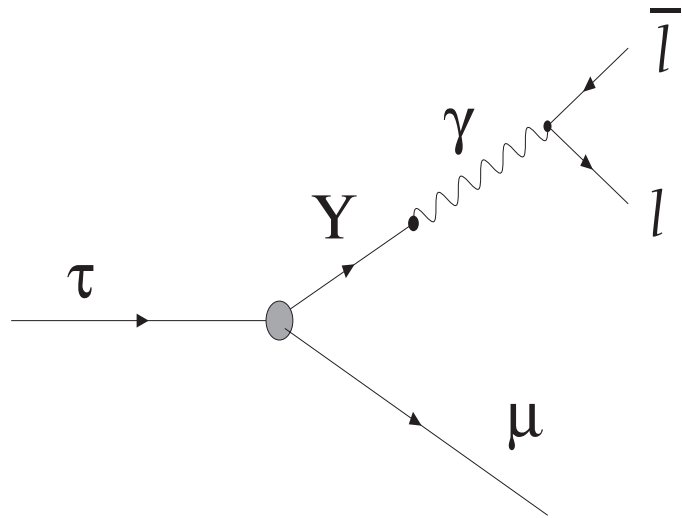
These quantum numbers **are** violated non-perturbatively - this is necessary for baryogenesis (via leptogenesis).

Lepton flavor **is** violated at very high energies - neutrino oscillations are living proof - so what do we search for in our analysis? What kind of New Physics? Any model?

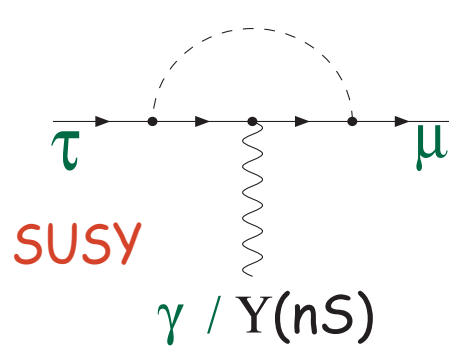
We search for LFV processes that would occur at "intermediate" energies, i.e. between 1 TeV and below GUT scale... LFV at such energies is possible, e.g. in SUSY with broken R-parity...

Not favored by LSP interpretation of Dark Matter... :)

# LFV Decays of $\tau$ lepton and Upsilon Mesons

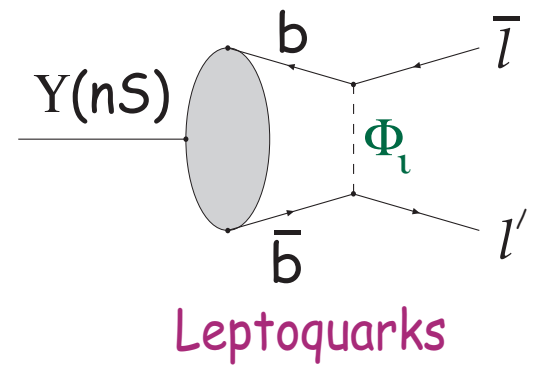


Unitarity arguments

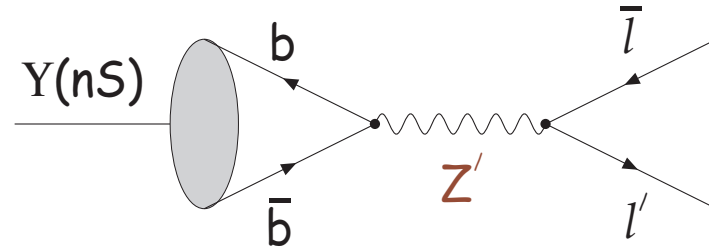


SUSY

$\gamma / Y(nS)$



Leptoquarks



Additional vector bosons and CP-odd Higgs(es)

If we assume  $B(\tau \rightarrow \mu\mu\mu) < 10^{-7}$  then

$B(Y(nS) \rightarrow \mu\tau) < 10^{-3}$

S. Nussinov, R.D. Peccei and X.M. Zhang, *Phys. Rev. D*63 (2000), 016003

model-independent:  $< 3 \times 10^{-5}$

GUT LQ or  $Z'/TC2 < 1.3 \times 10^{-8}$

SUSY  $< 2.2 \times 10^{-9}$

W.J. Huo, C.X. Yue and T.F. Feng, *Phys. Rev. D*67 (2003), 114001

# Analysis Algorithm and Selection Criteria

Exactly two charged tracks

Only one muon candidate (muon ID)

Only one electron candidate ( $E/|p|$ ,  $dE/dx$ )

$$\mathcal{L} = e^{-(N_1 + N_2 + \dots + N_M)} \prod_{i=1}^{N_{\text{ev.}}} \sum_{j=1}^{N_M} N_j \mathcal{P}_j(\{x_i\}, \{s_j\})$$

Muon momentum normalized to beam energy:  $x = \frac{E_\mu}{E_{\text{beam}}}$

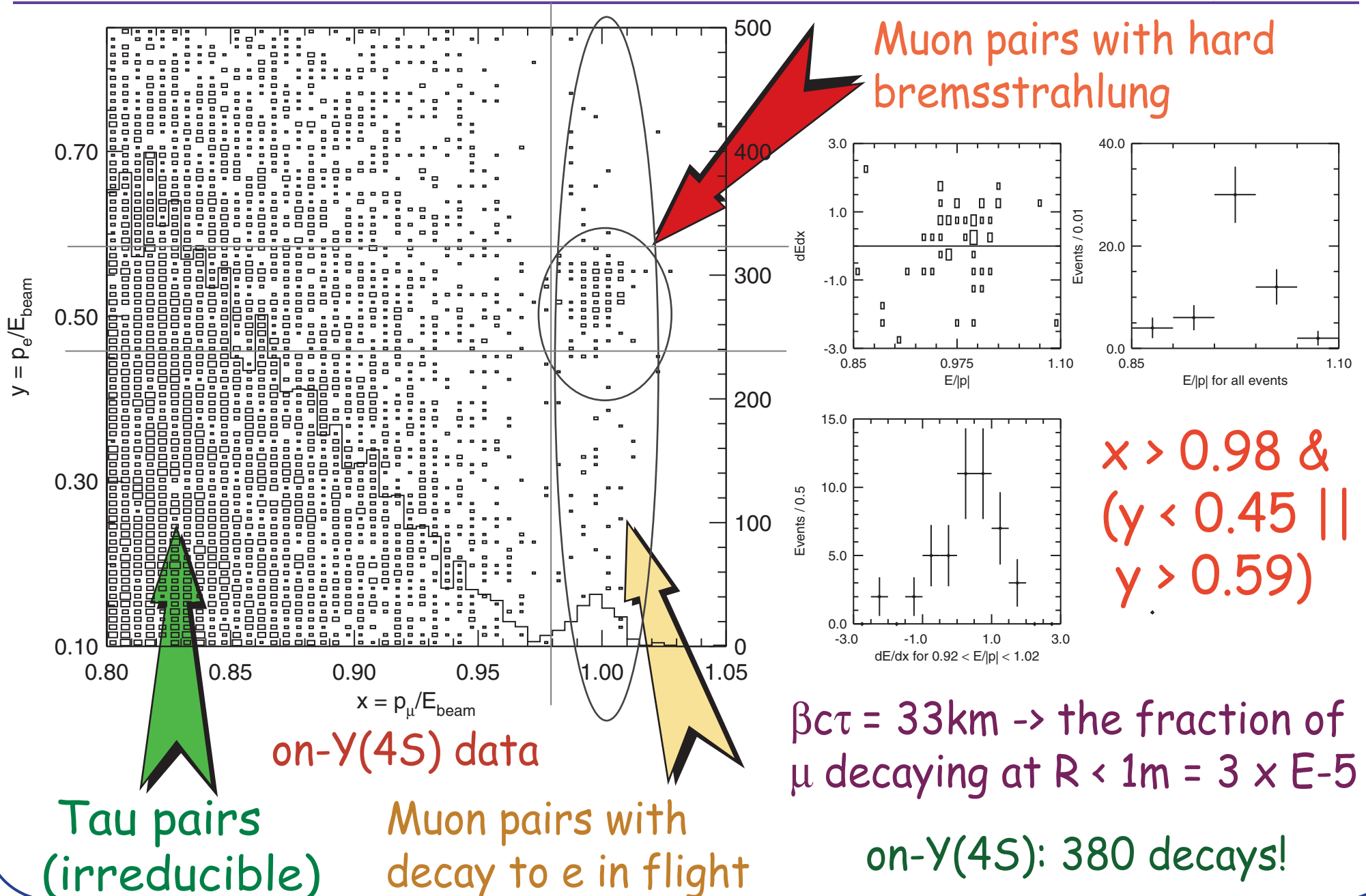
Electron momentum normalized to beam energy:  $y = \frac{E_e}{E_{\text{beam}}}$

$dE/dx$  measurement for electron candidate

$E/|p|$  for electron candidate

Using  $\Upsilon(4S)$  data for efficiency calibration

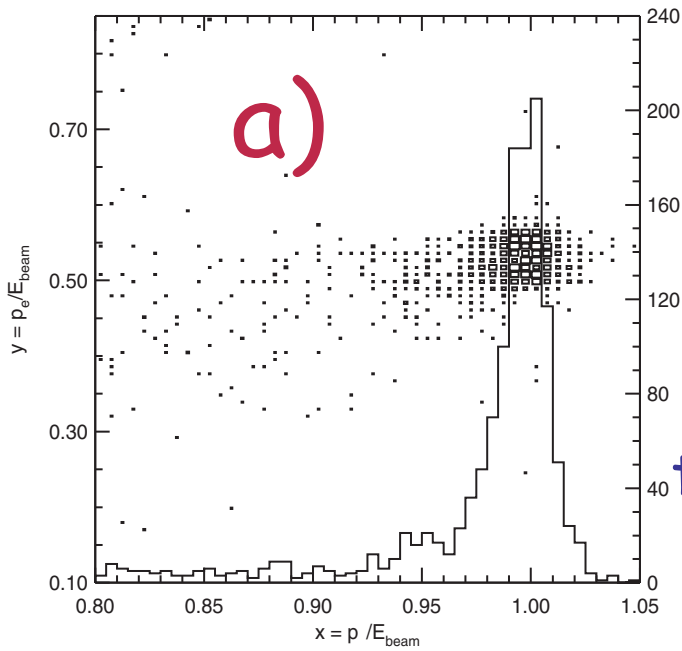
# Backgrounds arise from tau and muon pairs



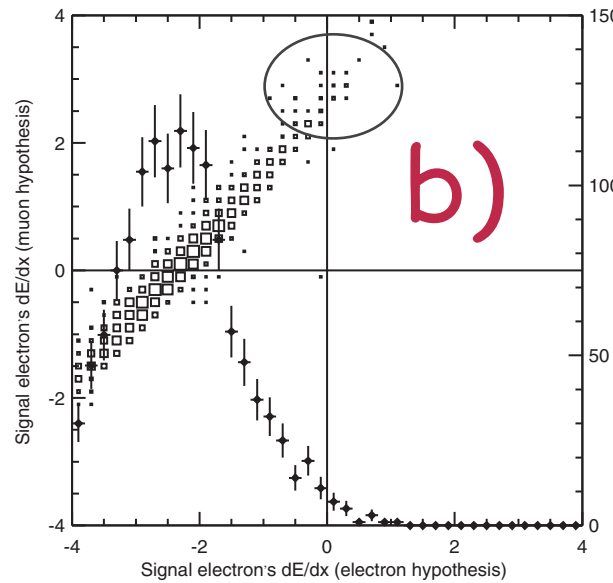


# Muons with Hard Bremsstrahlung + Matching

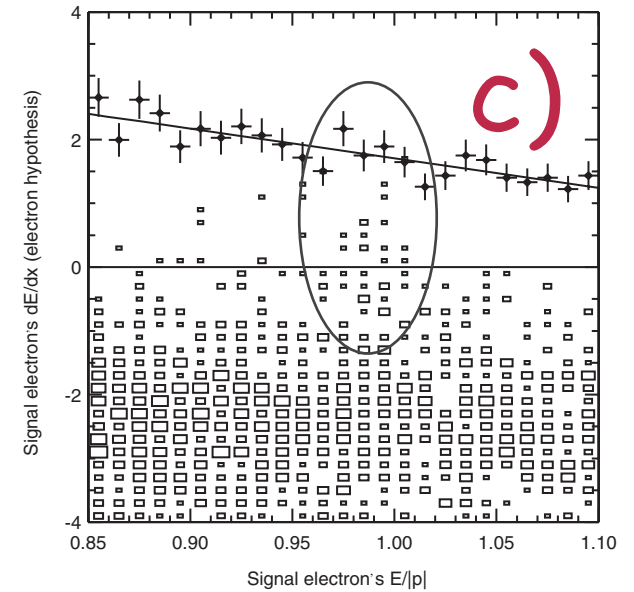
$y$  vs  $x$  for on- $\Upsilon(4S)$  data when "electron" is a poorly reconstructed muon



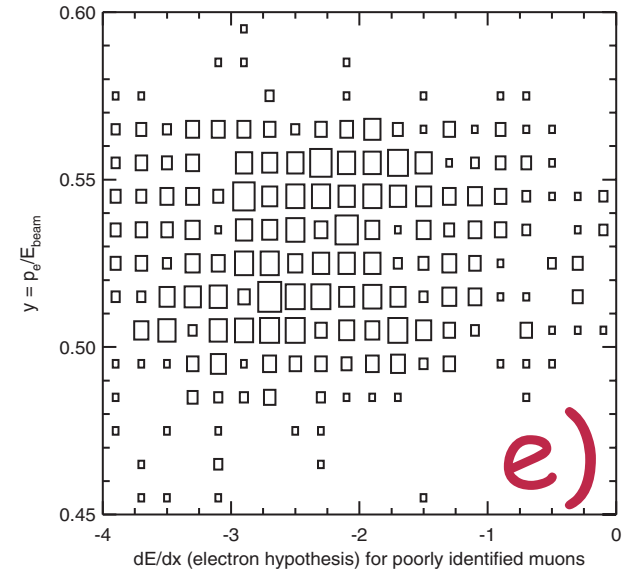
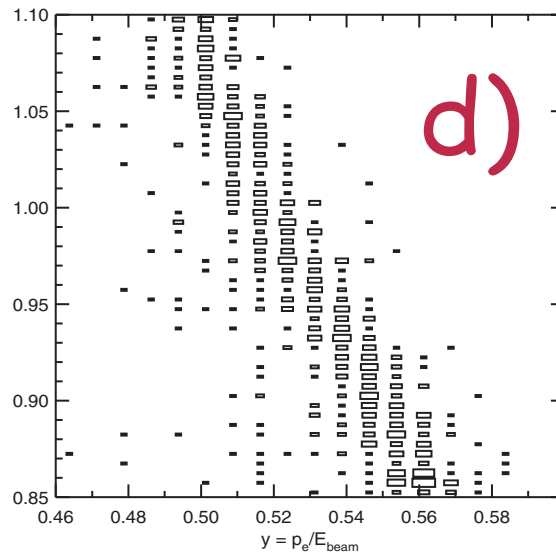
Significant correlations are present between  $E/|p|$  and  $y_{E/|p|}$ . This is now incorporated in our 4D fits.  $dE/dx$  correlation with  $y$  is insignificantly small.



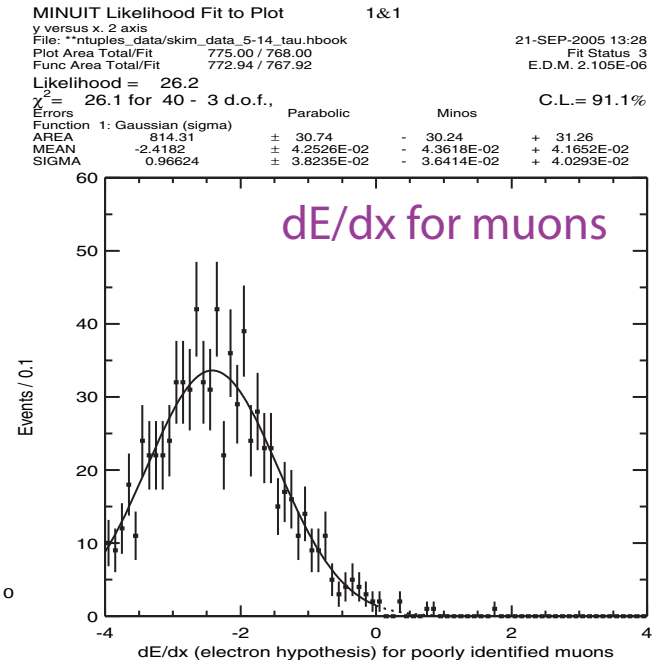
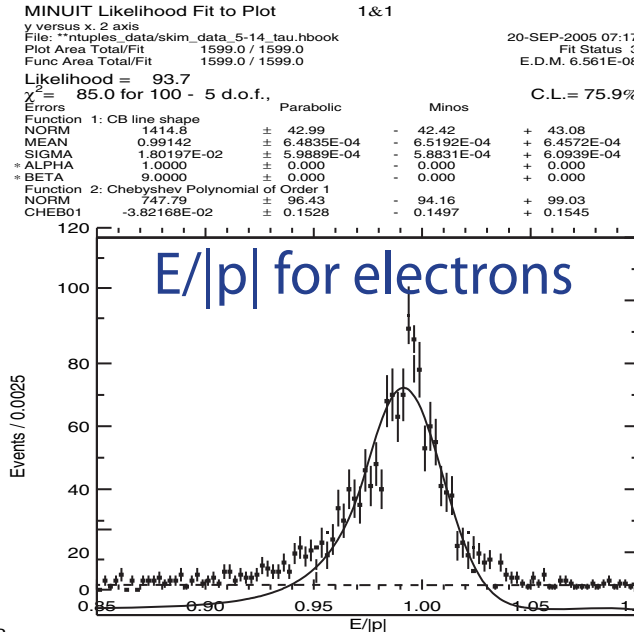
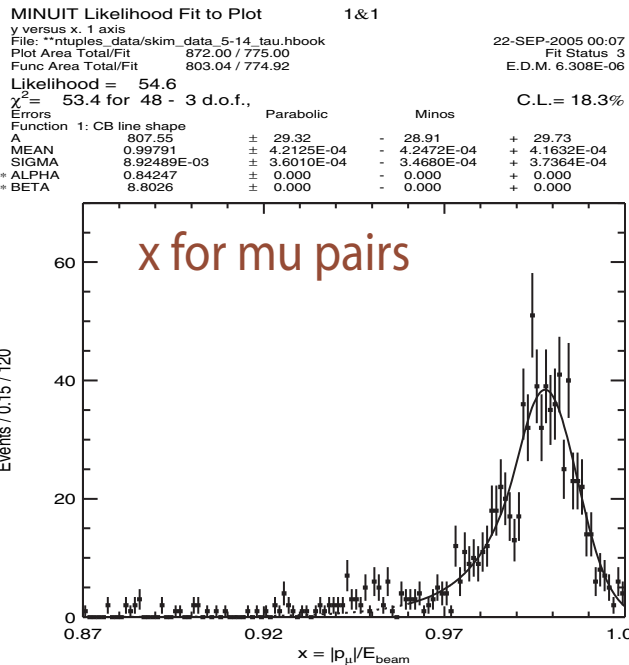
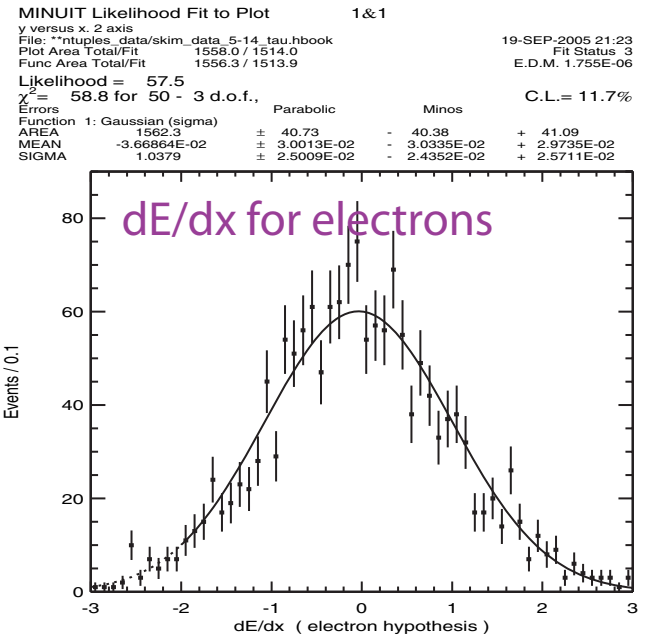
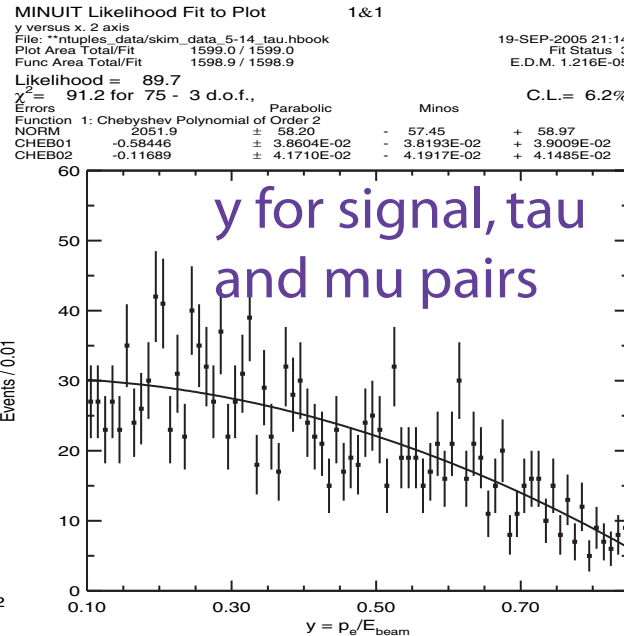
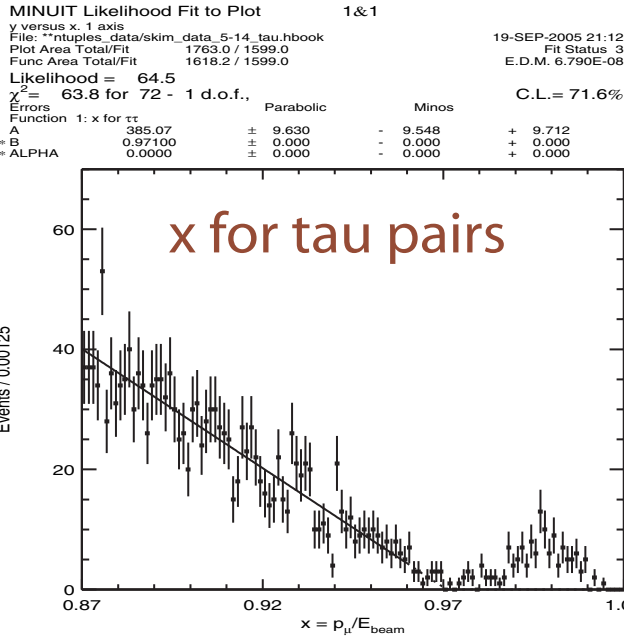
$dE/dx$  interpreted according to muon vs electron hypotheses



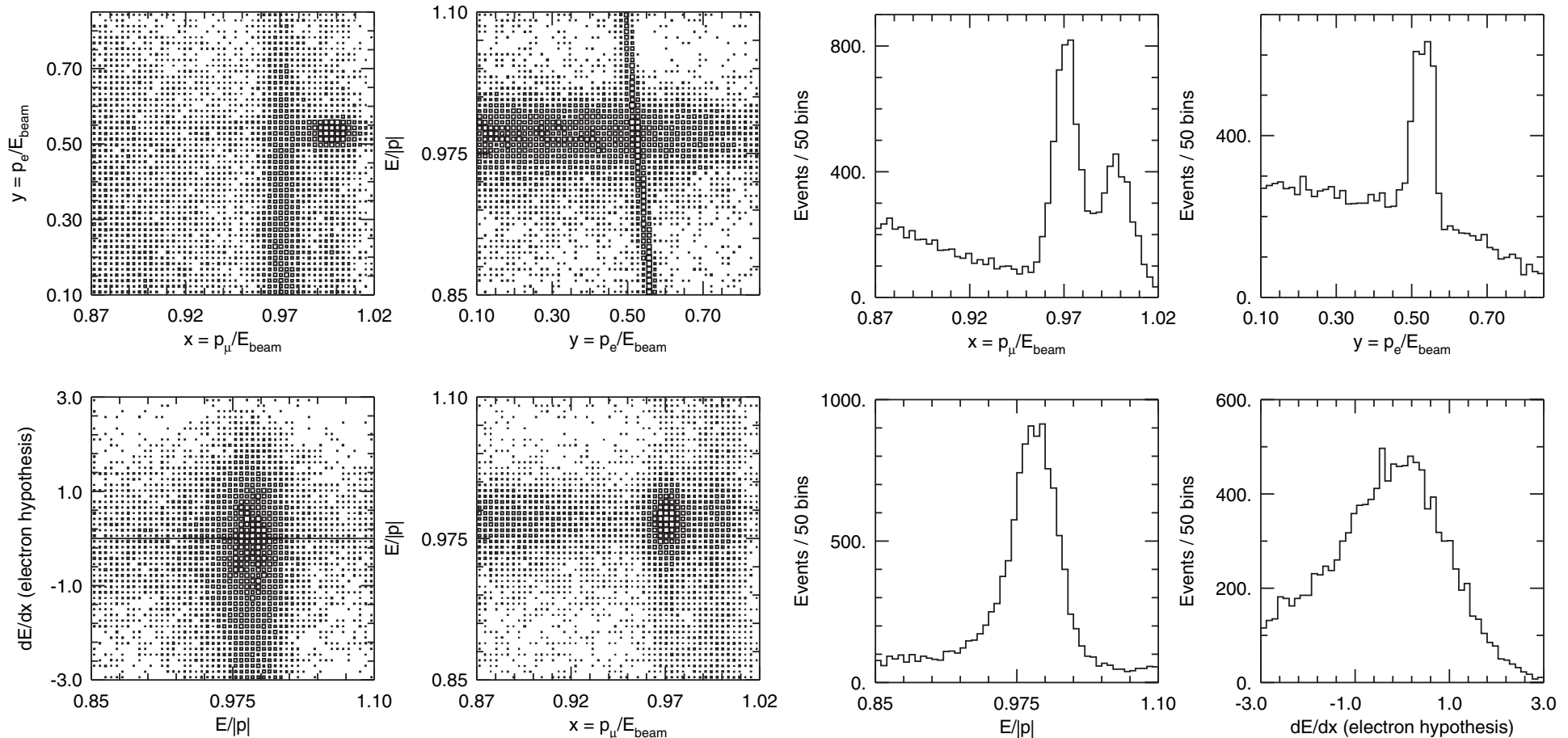
$dE/dx$  vs  $E/|p|$



# Most PDFs were measured from $\Upsilon(4S)$ data



# An Example of Toy MC Fit with All Components

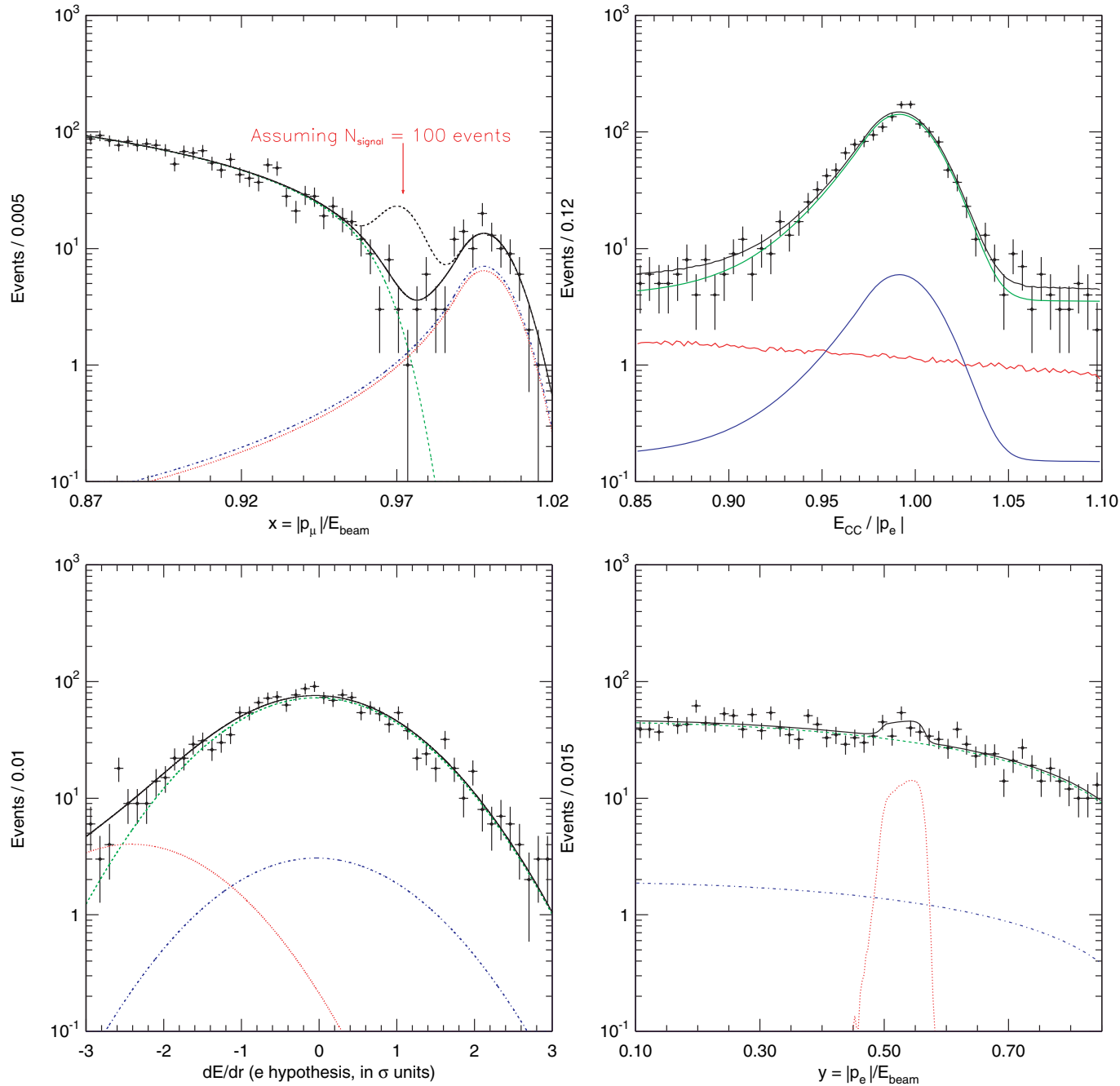


Toy MC Events Generated (all merged together for the 4D fit with four components) and Obtained from the Fit:

Fits to merged toy MC samples consistently predict meaningful distributions of estimators

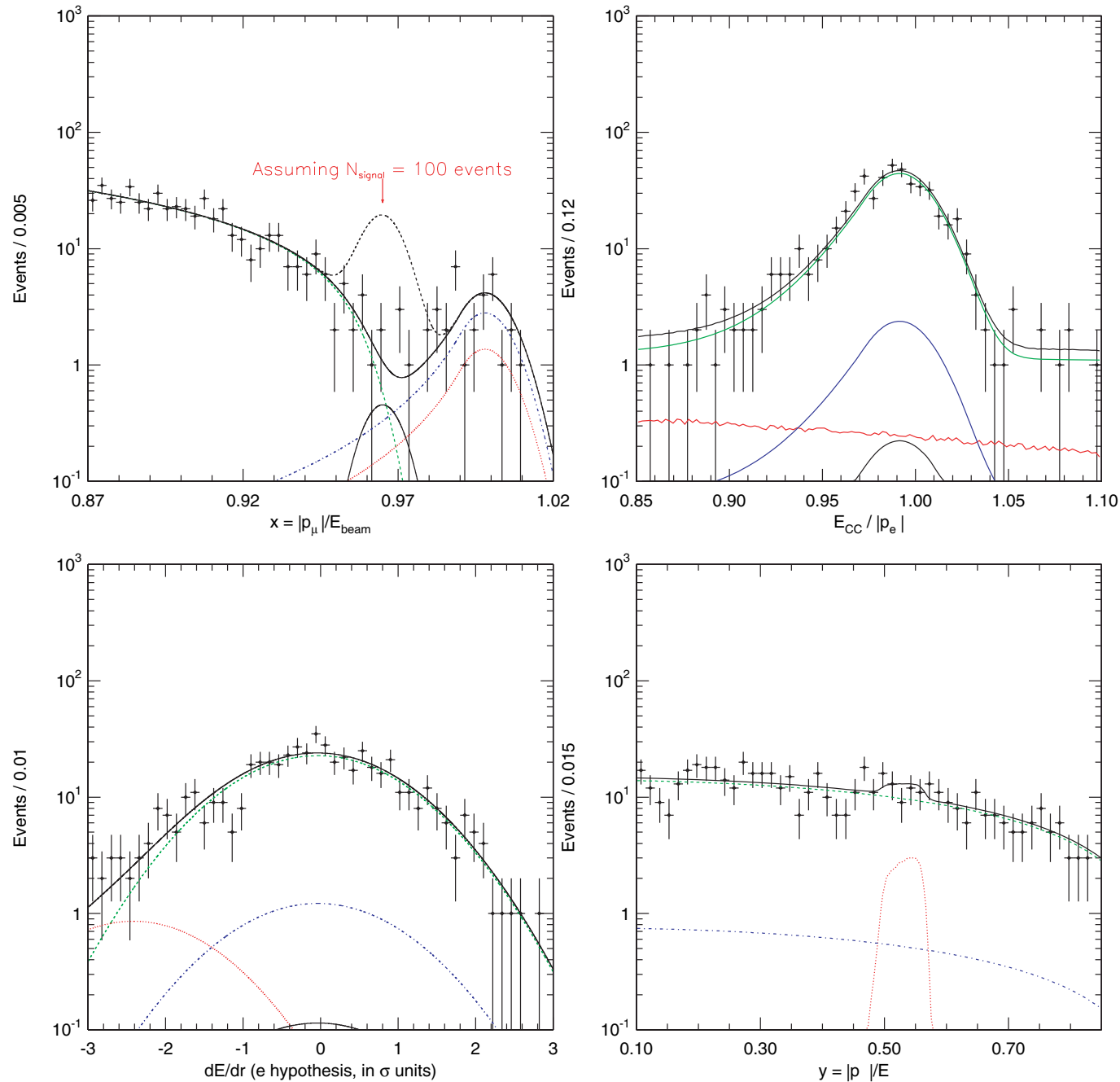
$\tau\tau$	4000	3965 +- 65
$\mu\mu(\gamma)$ with hard radiation	2000	2015 +- 48
$\mu\mu(\gamma)$ with decay to e	2000	2008 +- 50
LFV signal	4000	4012 +- 67

# 4D Four-Components Fits to Calibration Data





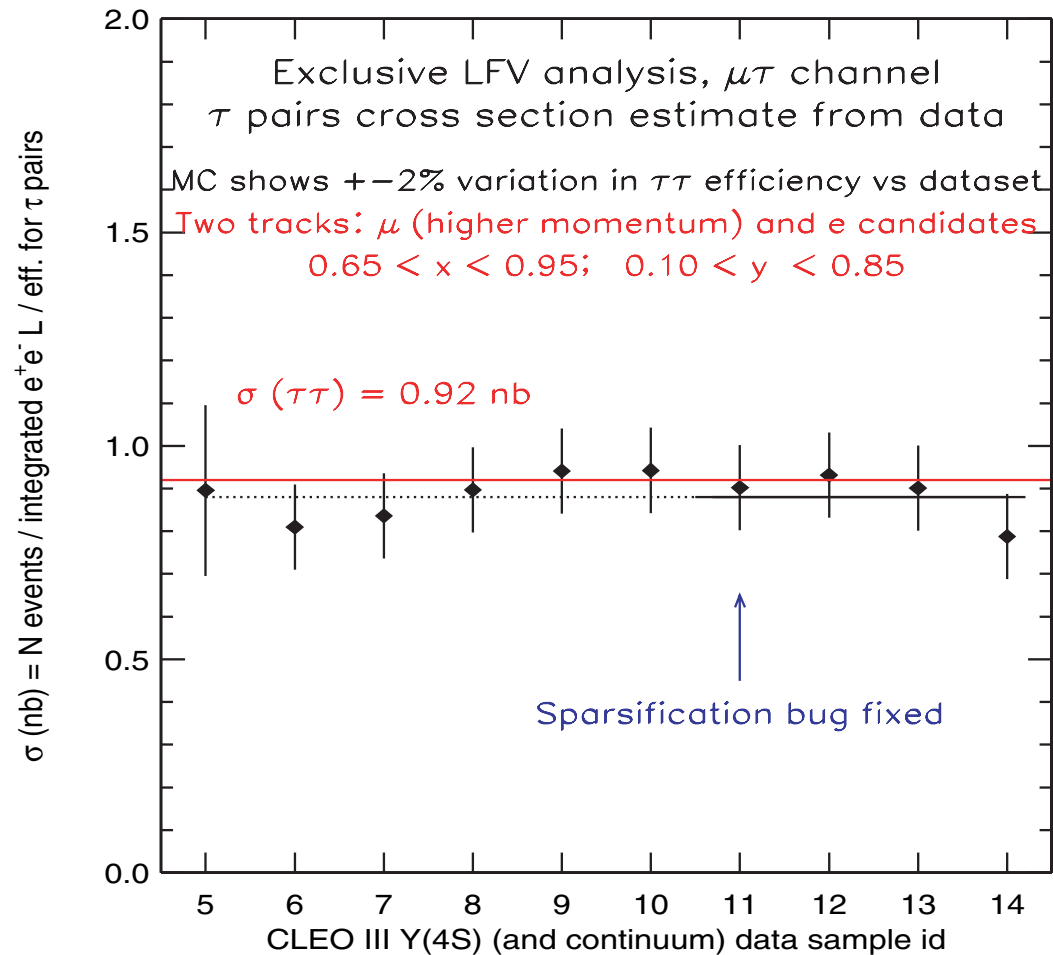
# 4D Four-Components Fits to $\Upsilon(1S)$ Data



# Uncertainties in Selection Criteria

MINUIT  $\chi^2$  Fit to Plot 1&0  
 Fitting mu efficiency versus time (dataset)  
 File: Generated internally  
 Plot Area Total/Fit 8.8436 / 3.5223  
 Func Area Total/Fit 7.9251 / 3.0908  
 26-JAN-2006 13:16  
 Fit Status 3  
 E.D.M. 3.036E-21  
 $\chi^2 = 1.2$  for 4 - 1 d.o.f., C.L. = 75.0%

Errors	Parabolic	Minos
Function 1: Polynomial of Order 1		
NORM	0.88057 ± 5.0000E-02	- 5.0000E-02 + 5.0000E-02
* POLY01	0.0000 ± 0.000	- 0.000 + 0.000
* OFFSET	5.0000 ± 0.000	- 0.000 + 0.000



# Preliminary Results

Resonance	$\Upsilon(1S)$	$\Upsilon(2S)$	$\Upsilon(3S)$
Signal in data (events, 90% CL)	10.0	10.7	8.5
Overall efficiency (% , central value)	8.9	8.9	8.9
Systematics in efficiency (% , $\sigma$ )	8.5	8.5	8.5
Overall efficiency (% , $-1\sigma$ )	8.1	8.1	8.1
Statistics (number of $\Upsilon$ 's)	$21 \pm 1$	$5.4 \pm 0.2$	$5.0 \pm 0.3$
$\mathcal{B}(\Upsilon \rightarrow \mu\tau)$ (90% CL UL, $\times 10^{-6}$ )	5.9	24	21
With $\Upsilon$ statistics included	6.2	25	22

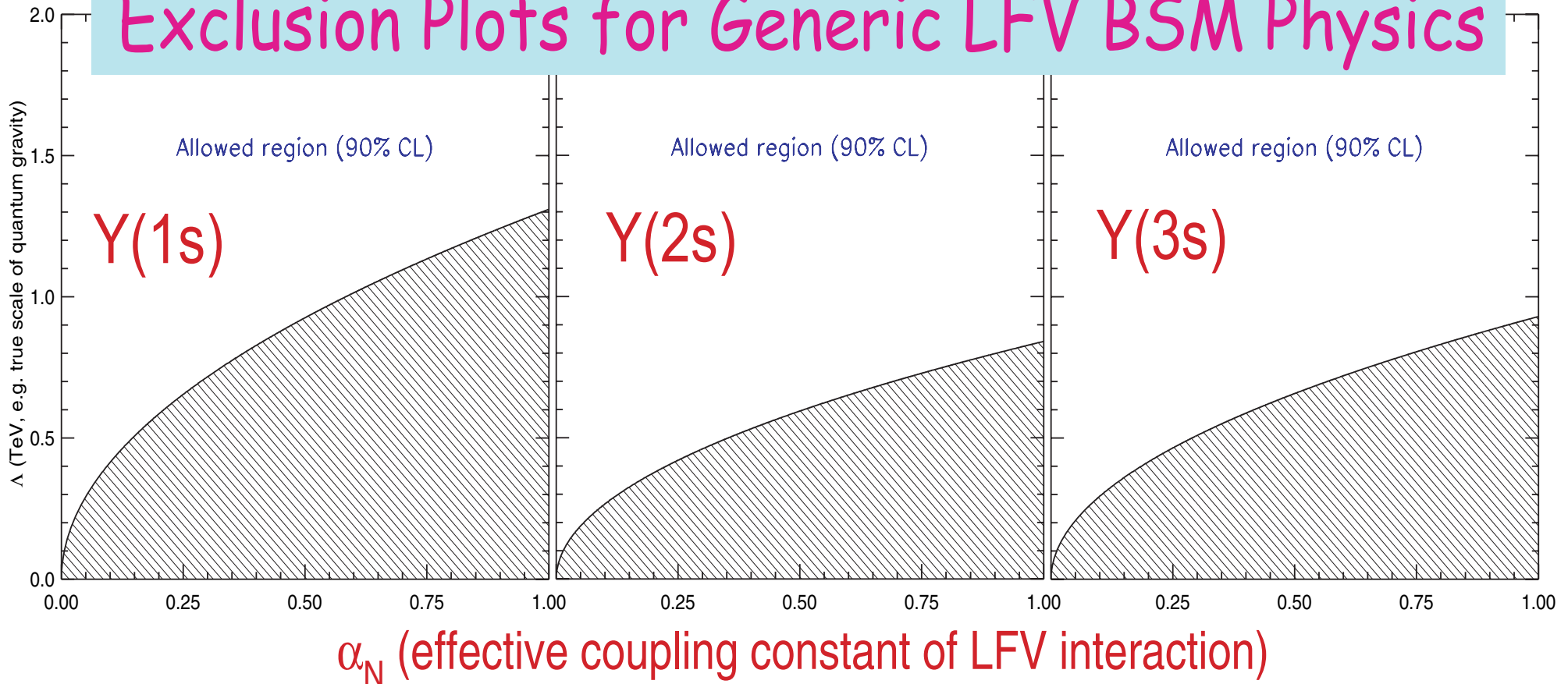
Resonance	$\Upsilon(1S)$	$\Upsilon(2S)$	$\Upsilon(3S)$
Resonance mass (GeV/ $c^2$ )	9.46	10.02	10.36
$\Gamma(\Upsilon \rightarrow \mu\mu)$ (keV, central value)	1.336	0.616	0.425
$\Gamma(\Upsilon)$ (keV, central value)	53.0	43.0	26.3
$\mathcal{B}(\Upsilon \rightarrow \mu\mu)$ ( $\times 10^{-3}$ )	25.2	14.3	16.2
$\mathcal{B}(\Upsilon \rightarrow \mu\tau)$ (90% CL UL, $\times 10^{-6}$ )	5.9	24	21
$\mathcal{B}(\Upsilon \rightarrow \mu\tau)/\mathcal{B}(\Upsilon \rightarrow \mu\mu)$	0.00023	0.0017	0.0013
$\Lambda$ from Equation 2 assuming $\alpha_N = 1.0$ (90% CL lower limit, TeV)	1.3	0.84	0.93

# The End: No LFV discovered in decays of Upsilon Mesons

$$\frac{\Gamma(\Upsilon \rightarrow \mu^\pm \tau^\mp)}{\Gamma(\Upsilon \rightarrow \mu^+ \mu^-)} = \frac{1}{2e_b^2} \left( \frac{\alpha_N}{\alpha} \right)^2 \left( \frac{M_\Upsilon}{\Lambda} \right)^4$$

Leading-order estimate from Z.Silagadze (*Phys. Scripta* 64 (2001), 128):

## Exclusion Plots for Generic LFV BSM Physics



SUSY LSP still qualifies as a candidate for Dark Matter :)