
Fermion masses without Yukawa hierarchies

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Electric Charge
Operator

Model with
Right-Handed
neutrinos

The scalar sector

Up quark sector 1

Up quark sector 2

u quark mass

Down quark sector 1

Down quark sector 2

The charged lepton
sector 1

The charged lepton
sector 2

The charged lepton
sector 3

Neutrinos 1

Neutrinos 2

Conclusions

$$SU(3)_c \otimes SU(3)_L \otimes U(1)_X$$

- Free of gauge anomalies **iff** N_F multiple of 3.
- Peccei-Quinn symmetry can be easily implemented.
- Third quark family different from other two.
- Scalar sector with good candidates for dark matter.
- Suitable for explaining some neutrino properties.
- Strong hierarchy in the Yukawas can be avoided.



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$$Q = \frac{\lambda_{3L}}{2} + \frac{b\lambda_{8L}}{\sqrt{3}} + XI_3$$

$$b = 1/2, 3/2, 5/2, \dots n/2$$

- $b = 1/2$ models without exotic electric charges.
- $b = 3/2$ the Pleitez-Frampton model.

For $b = 1/2$ there are:

- 2 one family models reported in the literature.
- 4 different models reported in the literature.
- 4 more three family models.

If exotic electric charges are allowed, there are an ∞ number of models.



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Quarks: $Q_L^i = (u^i, d^i, D^i)_L \sim (3, 3, 0)$, $i = 1, 2$. Two families.

$Q_L^3 = (d^3, u^3, U)_L \sim (3, 3^*, 1/3)$. Third family.

D_L^i , $i = 1, 2$ two exotic Down quarks.

U_L an exotic Up quark.

Right-Handed quarks $u_L^{ac} \sim (3^*, 1, -2/3)$, $d_L^{ac} \sim (3^*, 1, 1/3)$
 $a = 1, 2, 3$, $D_L^{ic} \sim (3^*, 1, 1/3)$, $i = 1, 2$, $U_L^c \sim (3^*, 1, -2/3)$.

Leptons $L_{lL} = (l^-, \nu_l^0, \nu_l^{0c})_L \sim (1, 3^*, -1/3)$, $l = e, \mu, \tau$.

Singlets $l_L^+ \sim (1, 1, 1)$.

ν_{lL}^0 neutrino related to lepton l_L^- .

ν_{lL}^{0c} the right-handed neutrinos.



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$$\langle \phi_1^T \rangle = \langle (\phi_1^+, \phi_1^0, \phi_1'^0) \rangle = \langle (0, 0, v_1) \rangle \sim (1, 3, 1/3)$$

$$\langle \phi_2^T \rangle = \langle (\phi_2^+, \phi_2^0, \phi_2'^0) \rangle = \langle (0, v_2, 0) \rangle \sim (1, 3, 1/3)$$

$$\langle \phi_3^T \rangle = \langle (\phi_3^0, \phi_3^-, \phi_3'^-) \rangle = \langle (v_3, 0, 0) \rangle \sim (1, 3, -2/3)$$

$$\langle \phi_4^T \rangle = \langle (\phi_4^+, \phi_4^0, \phi_4'^0) \rangle = \langle (0, 0, V) \rangle \sim (1, 3, 1/3),$$

with the hierarchy $v_1 \sim v_2 \sim v_3 \sim 10^2 \text{ GeV} \ll V \sim \text{a few TeV}$.

The anomaly free discrete Z_2 symmetry:

$$Z_2(Q_L^a, \phi_2, \phi_3, \phi_4, u_L^{ic}, d_L^{ac}) = 1$$

$$Z_2(\phi_1, u_L^{3c}, U_L^c, D_L^{ic}, L_{lL}, l_L^+) = 0.$$



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In the basis (u^1, u^2, u^3, U)

$$M_u = \begin{pmatrix} 0 & 0 & h_{13}^u v_3 & h_{14}^u v_3 \\ 0 & 0 & h_{23}^u v_3 & h_{24}^u v_3 \\ 0 & 0 & h_{33}^u v_2 & h_{34}^u v_2 \\ h_{41}^u v_1 & h_{42}^u v_1 & h_{43}^u V & h_{44}^u V \end{pmatrix}$$

$$h_{ij} \approx 1$$

$v_1 = v_2 = v_3 \equiv v \ll V$. A see-saw type mass matrix.

$v = 123 \text{ GeV}$, fixed by $M_{W^\pm}^2 = g_3^2 v^2$, ($g_3 = g_2$)

$$h_{ij} \equiv h_t, \quad \delta = v/V$$



Up quark sector 2

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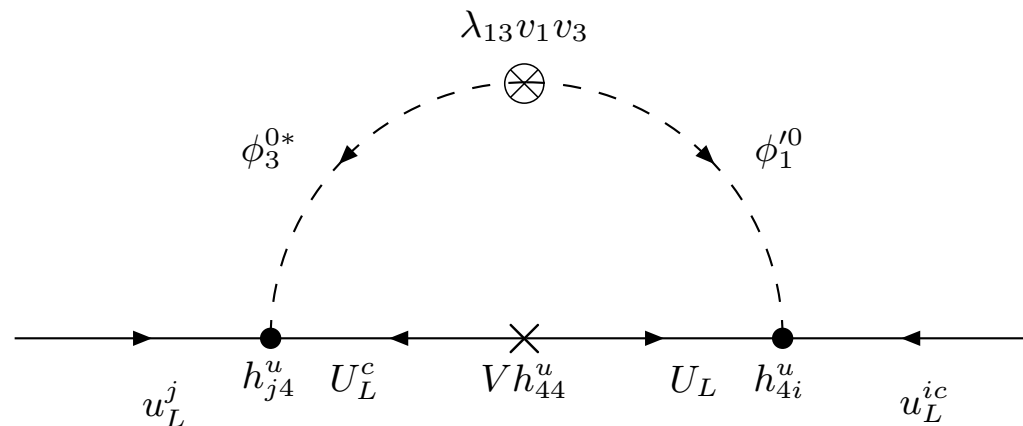
$$M_u M_u^\dagger$$

- A tree-level top quark mass
 $m_t^2 \approx (h_t - 1)^2 v^2 / 2 \longrightarrow |1 - h_t| \approx 2$
- A see-saw mass $m_c^2 \approx 4h_t^2 v^2 \delta^2 \longrightarrow V \geq 25 \text{ TeV}$.
- A zero eigenvalue $(1, -1, 0, 0) / \sqrt{2}$ (The up quark).
- A heavy U quark (mass $\approx V$).



u quark mass

Break the symmetry $u^1 \leftrightarrow u^2$

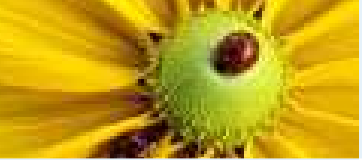


$$\otimes \lambda_{13}(\phi_1^* \phi_1)(\phi_3^* \phi_3); \quad \lambda_{13} \sim 1.$$

$$h_{41}^u = h_t(1 - k) \text{ and } h_{13}^u = h_t(1 + k).$$

$$m_u \approx -\lambda_{13} v k^2 \delta \ln \delta / 8\pi^2 \longrightarrow k \approx 0.1$$

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Down quark sector 1

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In the basis $(d^1, d^2, d^3, D^1, D^2)$.

$$M_d = \begin{pmatrix} 0 & 0 & 0 & h_{14}^d v_2 & h_{15}^d v_2 \\ 0 & 0 & 0 & h_{24}^d v_2 & h_{25}^d v_2 \\ 0 & 0 & 0 & h_{34}^d v_3 & h_{35}^d v_3 \\ h_{41}^d v_1 & h_{42}^d v_1 & h_{43}^d v_1 & h_{44}^d V & h_{45}^d V \\ h_{51}^d v_1 & h_{52}^d v_1 & h_{53}^d v_1 & h_{54}^d V & h_{55}^d V \end{pmatrix}$$

$h_{45}^d = h_{54}^d = 0$ to avoid flavor democracy in the heavy sector.

$$h_{ks}^d \equiv h_b \sim 1$$

- Two heavy eigenvalues ($\sim V$).
- A see-saw eigenvalue $m_b \approx 6v\delta h_b \sim 3m_c h_b / h_t$
- A Null space $(1, -1, 0, 0, 0)/\sqrt{2}$, $(1, 1, -2, 0, 0)/\sqrt{6}$.



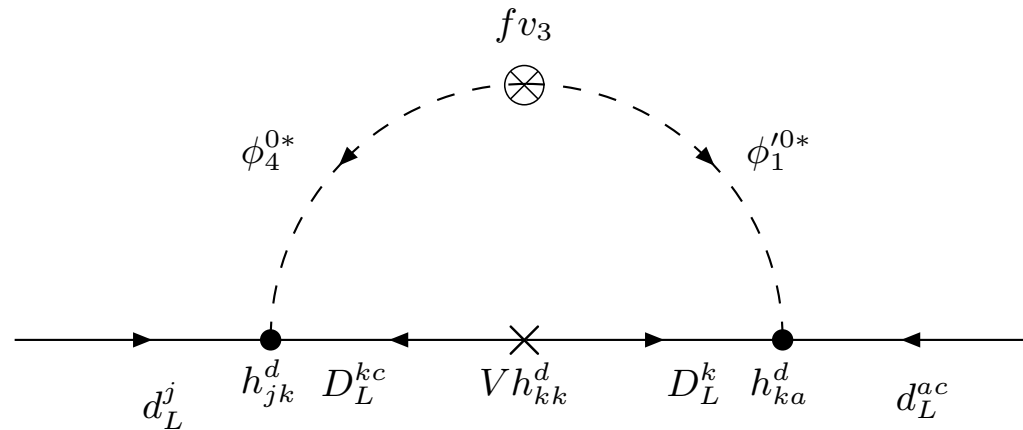
Down quark sector 2

Break the $d^1 \leftrightarrow d^2 \leftrightarrow d^3$ symmetry.

$$h_{35}^d = h_{53}^d \equiv h_s = h_b(1 + k_s).$$

There is a new see-saw eigenvalue

$$m_s \approx 2h_b v k_s^2 / 3, \longrightarrow k_s \approx 3\sqrt{m_s / m_b} \approx 0.47$$



Break the $d^1 \leftrightarrow d^2$ symmetry.

$$f \phi_1 \phi_2 \phi_3, \quad f \sim v.$$

$$h_{14}^d \approx h_b(1 + k_d), \quad h_{11}^d \approx h_b(1 - k_d)$$

$$m_d \approx \frac{h_h^2 k_d^2}{h_t^2 k_u^2} m_u, \quad k_d \approx 1.4 k_u \sim 0.14$$

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The charged lepton sector 1

New ingredients: E^a , $a = 1, 2, 3$ with quantum numbers

$$E_L^{a-} \oplus E_L^{a+} \sim (1, 1, -1) \oplus (1, 1, 1).$$

$$\phi_5^0 \sim (1, 1, 0) \text{ with VEV } \langle \phi_5^0 \rangle = v_5 \approx v$$

In the basis $(e, \mu, \tau, E^1, E^2, E^3)$

$$M_l = \begin{pmatrix} 0 & 0 & 0 & h_{e1}v_3 & h_{e2}v_3 & h_{e3}v_3 \\ 0 & 0 & 0 & h_{\mu1}v_3 & h_{\mu2}v_3 & h_{\mu3}v_3 \\ 0 & 0 & 0 & h_{\tau1}v_3 & h_{\tau2}v_3 & h_{\tau3}v_3 \\ h_{1e}v_5 & h_{2e}v_5 & h_{3e}v_5 & h_{11}M & h_{12}M & h_{13}M \\ h_{1\mu}v_5 & h_{2\mu}v_5 & h_{3\mu}v_5 & h_{21}M & h_{22}M & h_{23}M \\ h_{1\tau}v_5 & h_{2\tau}v_5 & h_{3\tau}v_5 & h_{31}M & h_{32}M & h_{33}M \end{pmatrix}$$

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With the symmetry $e \leftrightarrow \mu \leftrightarrow \tau$

Making $h_{ab} = h_\tau \delta_{ab}$, $h_{al} = h_{la} = h_\tau$, $v_3 = v_5 = v \ll M \equiv V$

- 3 heavy states related with the exotics E^a .
- One see-saw $m_\tau \approx 9h_\tau v\delta$, $\longrightarrow h_\tau \approx h_t/3$.
- see-saw eigenvector $(1, 1, 1, -3\delta, -3\delta, -3\delta)/\sqrt{3 + 27\delta^2}$
- Two zero eigenvalues.
- Eigenvectors $(1, -1, 0, 0, 0, 0)/\sqrt{2}$, $(1, 1, -2, 0, 0, 0)/\sqrt{6}$.

Break the $\mu \leftrightarrow \tau$ symmetry (keep the $e \leftrightarrow \mu$)

$$h_{\tau 3} = h_{3\tau} = h_\mu \neq h_\tau$$

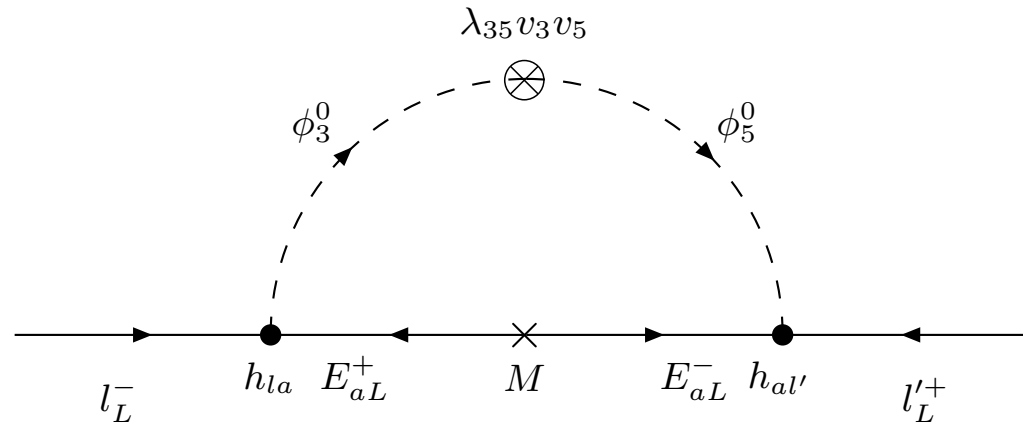
A new see-saw eigenvalue $\longrightarrow h_\mu \approx 2.87h_\tau$.

A zero eigenvalue (the e) with eigenvector $(1, -1, 0, 0, 0, 0)/\sqrt{2}$.



The charged lepton sector 3

Generate e mass via radiative corrections.



Break $e \leftrightarrow \mu$ symmetry.

$$\lambda_{35} |\phi_3|^2 |\phi_5|^2, \quad \lambda_{35} \sim 1.$$

$$h_{e1} \approx h_\tau (1 - k_e), \quad h_{1e} \approx h_\tau (1 + k_e)$$

$$k_e \approx 0.1$$

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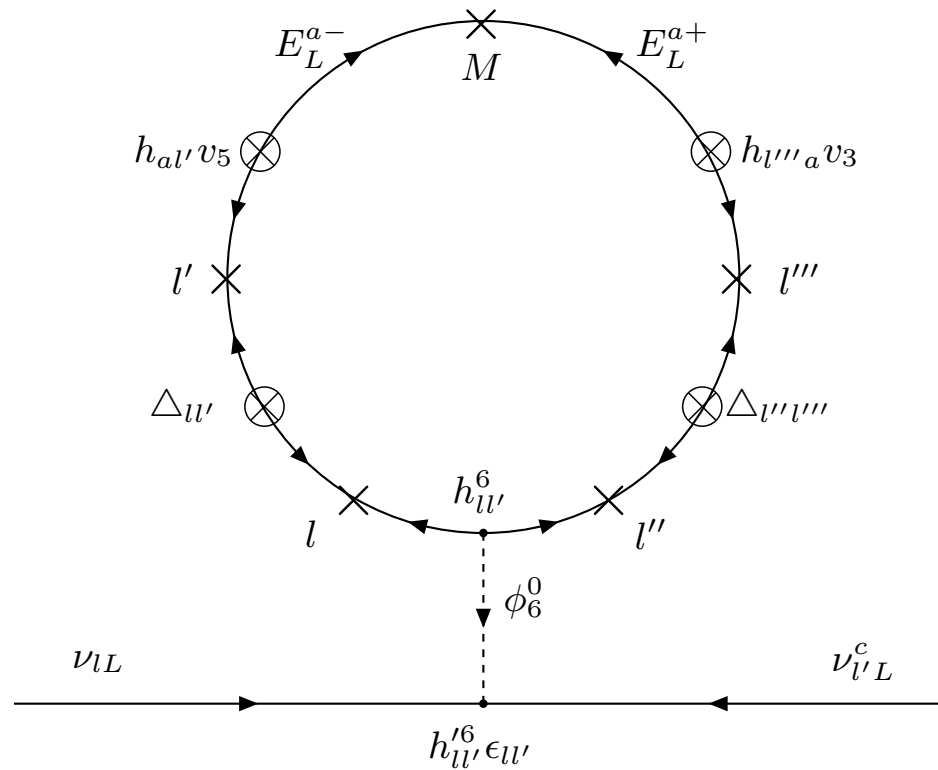


Neutrinos 1

No tree-level mass terms for ν_l . No radiative corrections.

New ingredient:

$$\phi_6^T = (\phi_6^0, \phi_6^-, \phi_6'^-) \sim (1, 3, -2/3), \quad \langle \phi_6 \rangle = 0, \quad Z_2(\phi_6) = 0$$



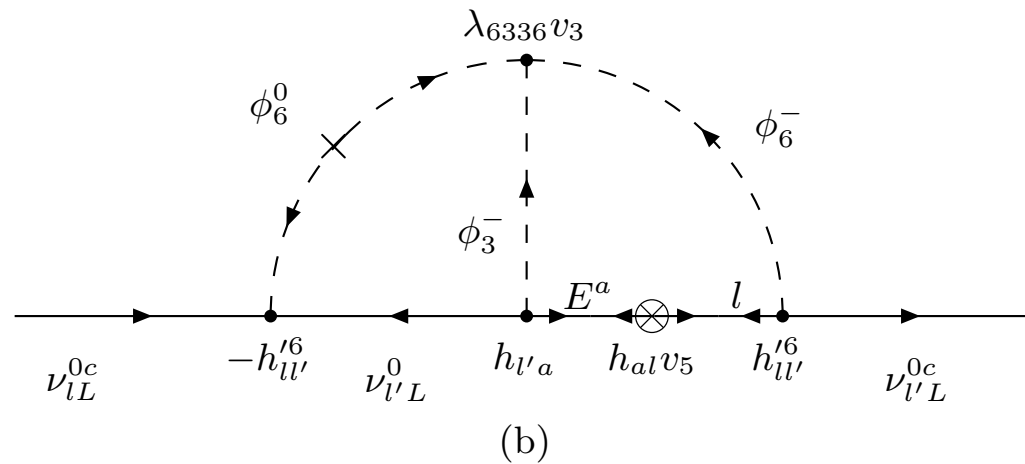
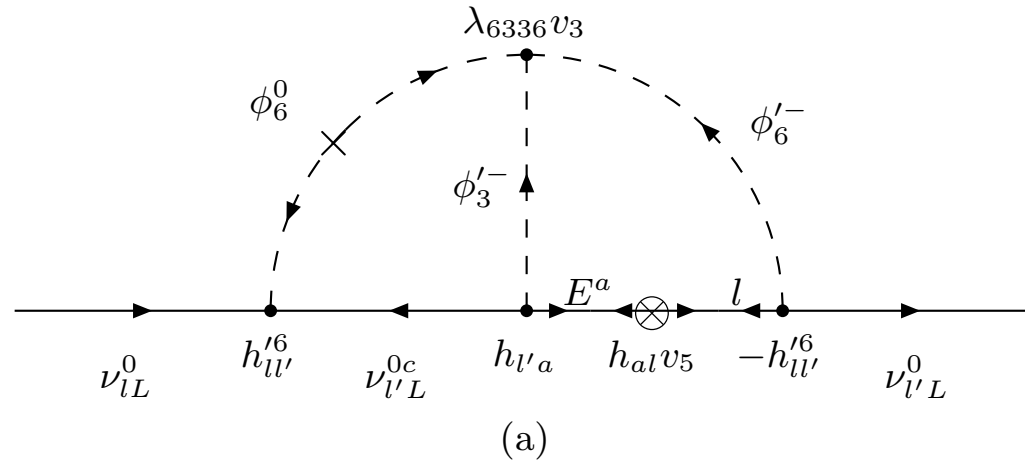
Radiatively induced VEV. Dirac masses $\sim 0.1 - 1$ eV

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Neutrinos 2



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Majorana masses.



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Conclusions

- Fermion mass spectrum without Yukawa hierarchies.
- No predictive power.
- Unitarity violation of the CKM mixing matrix: $\sim \delta^4$.
- FCNC: $\sim \delta^4$
- High price payed: 6 Higgs scalars + 3 exotic leptons + Alignment of the vacuum state.