

Zurab Tavartkiladze
(Oklahoma State University)

**Minimal $SO(10)$ GUT:
Phenomenological and Cosmological
Implications**

with Kaladi Babu and Jogesh Pati
arXive:ph-0905???

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Outline

- Motivations for GUT
- Some shortcomings & problems
- Realistic SO(10) Model with Interesting Predictions :
 - GUT breaking, -TD splitting –Proton lifetime
 - Calculable thresholds, Predictive fermion pattern
- Baryogenesis via Leptogenesis
- Inflation in SO(10) GUT
- Summary

SUSY GUT \rightarrow

- Charge Quantization, Unification of multiplets $\subset 16$ of $SO(10)$
- Neutrino Masses (via \mathbf{V}_R of $SO(10)$); L-violation
- Successful Coupling Unification
- Stab. Hierarchy (Light Higgs) \leftarrow low SUSY scale
- Dark Matter Candidate (LSP)
- Baryogenesis via Leptogenesis
- Prediction: B-violation \rightarrow proton decay

SUSY GUT puzzles:

- GUT Symmetry Breaking? (flat directions/goldstones)
- Doublet-Triplet Splitting
- How/why μ -Term ~ 100 GeV ? (harder in GUT)
- Proton Stability (especially $d=5$ decay)
- Fermion Masses & Mixings (flavor problem)
- SUSY FCNC (sflavor problem)
- Minimal & Economical System
 - Calculability of GUT Threshold Corrections
 - Perturbativity all the way up to M_{Planck}

All these issues are closely related and

**Unless *Unified* solution is found,
none of the predictions can be trusted..**

Realistic SUSY SO(10)

SO(10) ->

Solution of DT hierarchy via missing VEV

Dimopoulos, Wilczek'81

Babu, Barr'93

Barr, Raby'97

- `Higgs' System: $H(10) \supset h_u+h_d + T_H+\bar{T}_H \longleftrightarrow 16_3 16_3 H$

$A(45)+C(16)+\bar{C}(16^*)$ for $SO(10) \xrightarrow{BR} SU(3) \times SU(2) \times U(1)$

$C'(16)+\bar{C}'(16^*)$ and $Z(1)+S(1)$

Insuring desirable sym. br. & **NO** flat dir./pseudo-Golds.

- Additional Symmetries: $U(1)_A \times Z_2$

Symmetry Breaking, All order DT splitting,
mu -term, Nucleon stability (predictions)
Realistic & simple fermion pattern

\$U(1)_{A \times Z_2}\$ Transformations:

	\$A(45)\$	\$H(10)\$	\$H'(10)\$	\$C(16)\$	\$\bar{C}(\overline{16})\$	\$Z\$	\$S\$	\$C'(16)\$	\$\bar{C}'(\overline{16})\$	\$16_{1,2}\$	\$16_3\$
\$\mathcal{U}(1)\$	0	1	-1	\$\frac{k+4}{2k}\$	\$-\frac{1}{2}\$	\$\frac{2}{k}\$	\$\frac{2}{k}\$	\$\frac{k-4}{2k}\$	\$-\frac{k+8}{2k}\$	-1	\$-\frac{1}{2}\$
\$Z_2\$	-	+	-	+	+	-	+	+	+	\$P_{1,2}\$	+

`Scalar' Superpotential (fixed):

$$W(A) = M_A \text{tr} A^2 + \frac{\lambda_A}{M_*} (\text{tr} A^2)^2 + \frac{\lambda'_A}{M_*} \text{tr} A^4 ,$$

$$W(A, C, C') = C \left(\frac{a_1}{M_*} Z A + \frac{b_1}{M_*} C \bar{C} + c_1 S \right) \bar{C}' + C' \left(\frac{a_2}{M_*} Z A + \frac{b_2}{M_*} C \bar{C} + c_2 S \right) \bar{C}$$

$$W(DT) = \lambda_1 H A H' + \lambda_{H'} \frac{(S^k, Z^k)}{M_*^{k-1}} (H')^2 + \lambda_2 H \bar{C} \bar{C} + \frac{\lambda_3}{M_*} A H' C C' .$$

Missing VEV Solution:

$$\langle A \rangle = i\sigma_2 \otimes \text{Diag} (a, a, a, 0, 0)$$

(incl. FI-term)

Fixed VEVs:

$$\langle A \rangle, \langle C \rangle, \langle \bar{C} \rangle, \langle Z \rangle, \langle S \rangle \neq 0$$

Light MSSM doublets & No other pseudo-goldstones

DT Splitting & GUT Spectrum

$$M_{D,T} = \begin{matrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{matrix} \begin{pmatrix} 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ 0 & \eta_{D,T}\lambda_1 a & \lambda_2 c & 0 \\ -\eta_{D,T}\lambda_1 a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T}Y_1 \\ 0 & Y_{D,T} & \kappa_{D,T}Y_2 & M_{C'} \end{pmatrix} \Rightarrow \begin{matrix} \text{M(hu, hd)}=0, \\ \text{M(triplets)-heavy} \end{matrix}$$

with $\eta_D = 0$, $\eta_T = 1$, $\kappa_D = 3$, $\kappa_T = 2$.

10_H-plets:

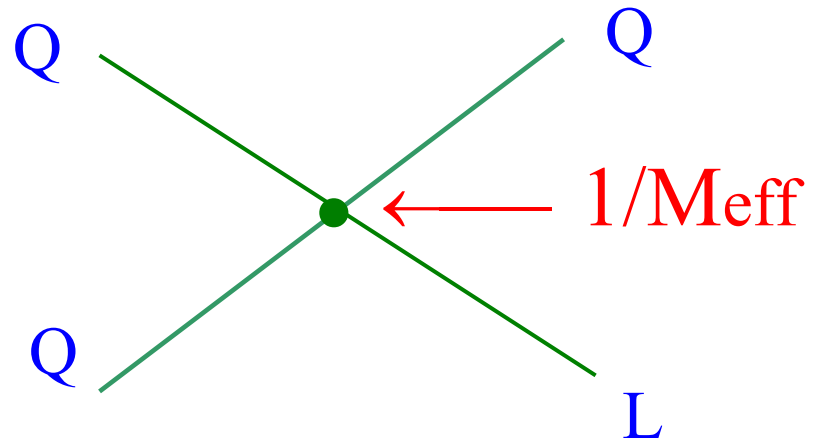
$$M(\Psi^{10}) = \begin{matrix} \Psi_A^{10} \\ \Psi_C^{10} \\ \Psi_{C'}^{10} \end{matrix} \begin{pmatrix} \bar{\Psi}_A^{\bar{10}} & \bar{\Psi}_{\bar{C}}^{\bar{10}} & \bar{\Psi}_{\bar{C}'}^{\bar{10}} \\ M_\Psi & 0 & X_1 \\ 0 & 0 & \kappa_\Psi Y_1 \\ X_2 & \kappa_\Psi Y_2 & M'\eta \end{pmatrix} \rightarrow \text{All heavy}$$

with $\Psi = (u^c, q, e^c)$, $\kappa_\Psi = (2, 1, 0)$, M_Ψ

Well defined spectrum:

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4M_{\text{eff}} \cos \gamma} , \quad \text{with} \quad \frac{1}{M_{\text{eff}}} = (M_T^{-1})_{11} = \frac{M_{H'}}{\lambda_1^2 a^2}$$

M_{eff}: In d=5 decay



In RG Equations \rightarrow

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4M_{\text{eff}} \cos \gamma}$$

$$\cos \gamma \simeq \tan \beta/60$$

$$\alpha_U^{-1}(\Lambda) = \alpha_i^{-1}(M_Z) - \frac{b_i}{2\pi} \ln \frac{\Lambda}{M_Z} + \Delta_{i,w}^{(2)} + \Delta_i^{\text{GUT}}$$

Calculable Thresholds!

RG and Gauge Coupling Unification

$$\ln \frac{M_{\text{eff}} \cos \gamma}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right) \\ - \ln \frac{4\kappa^{5/2}}{9} + \ln \frac{(4+p^2)^{3/2}(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)^{3/2}(1+p^2)^2} + \ln \frac{p}{\hat{p}},$$

$$\ln \frac{(M_X^2 M_\Sigma)^{1/3}}{M_Z} = \frac{\pi}{18} \left(5(\alpha_1^{-1} + \Delta_{1,w}^{(2)}) - 3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) \right) \\ + \frac{1}{6} \ln \kappa - \frac{1}{6} \ln \frac{(4+p^2)(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)(1+p^2)^2} - \frac{1}{3} \ln \frac{p}{\hat{p}}.$$

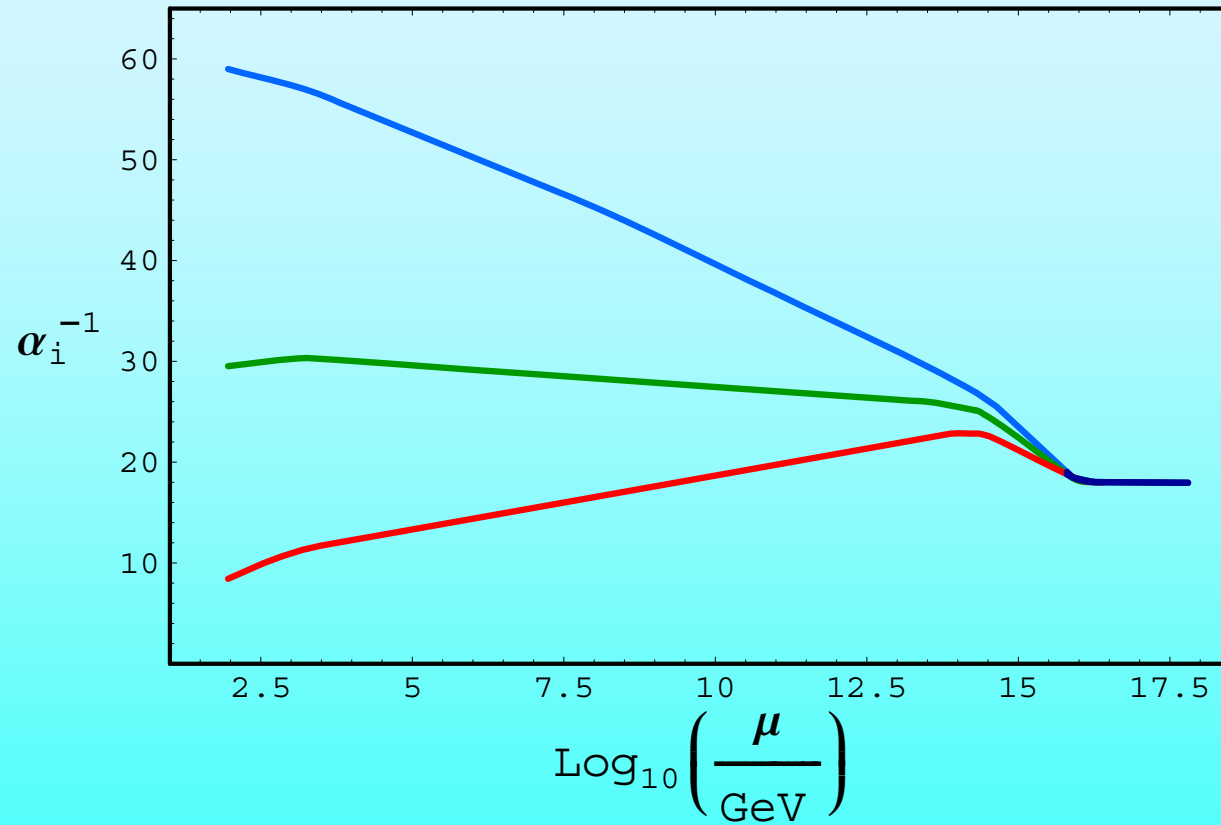
Model Gives: $p=\tilde{p}$; with $\hat{p}/p \sim 10^{-4}$, $\cos \gamma \approx 1/20$

$\alpha_3(M_Z) = 0.1176$, $M_{\text{eff}} = \text{few} \times 10^{19} \text{ GeV}$

$(M_X^2 M_\Sigma)^{1/3} \approx 10^{16} \text{ GeV}$

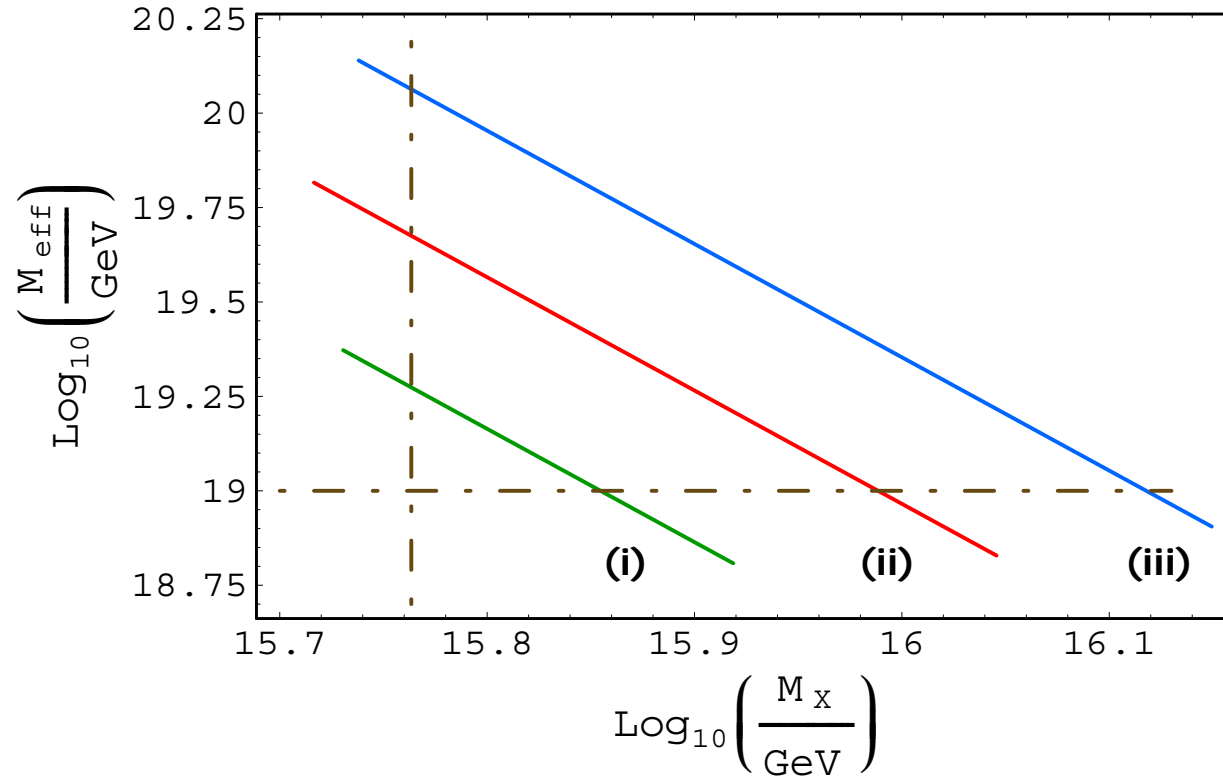
[Compare with SU(5) - Hisano, Murayama, Yanagida'93]

Gauge Coupling Unification



Correlation Between M_{eff} & M_X

$$M_{\text{eff}} \simeq 10^{19} \text{GeV} \cdot \left(\frac{10^{16} \text{GeV}}{M_X} \right)^3 \left(\frac{1/100}{r} \right) \left(\frac{0.63 \times 3}{\eta_\gamma \tan \beta} \right) \left\{ \frac{\exp[2\pi(\Delta_{2,w}^{(2)} - \Delta_{3,w}^{(2)} - \delta\alpha_3^{-1})]}{2.24 \cdot 10^{-2}} \right\}$$



$$r \equiv M_\Sigma / M_X$$

$$(i, ii, iii) \rightarrow \alpha_3(M_Z) = (0.1156, 0.1176, 0.1196)$$

$$\tan \beta = 3, \quad r = 1/200$$

→ Correlation Between $d=5$ & $d=6$ Proton Decays and Upper Bounds on Lifetimes

Symmetries give/suggests ranges: $\frac{1}{10} \lesssim r(= M_{\Sigma}/M_X) \lesssim \frac{1}{200}$

$$M_{\text{eff}} \approx (1/28 - 24)M_* \approx (7 \cdot 10^{16} - 6 \cdot 10^{19}) \text{ GeV}$$

‘Naturalness’ Requirement: $m_{\tilde{q}} \leq 1.5 \text{ TeV}$

Empirical Bound: $M_{\tilde{w}} \geq 125 \text{ GeV}$

$$\Gamma_{d=6}^{-1}(p \rightarrow e^+ \pi^0)_{\text{max}} \lesssim 1.2 \cdot 10^{35} \text{ yrs}$$

& Correlation Leads to:

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) \leq 3 \times 10^{34} \text{ yrs}$$

Potentially observable with improvement of exp. sensitivity by factor 10

Comment: For calculating d=5 Proton decay,
well defined Yukawa sector is important

Yukawa Sector with Q4 Flavor Symmetry

Q4 → Interesting (predictive) Textures,
Solves SUSY FCNC problem

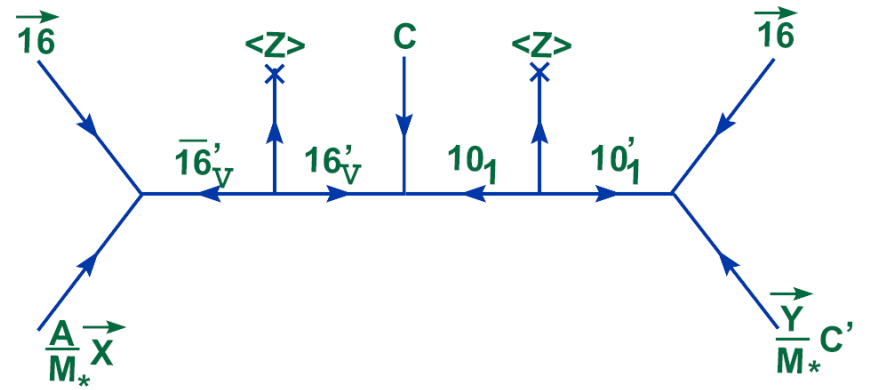
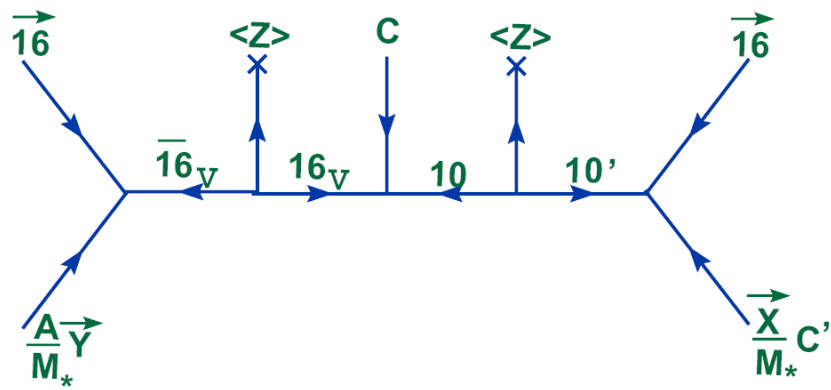
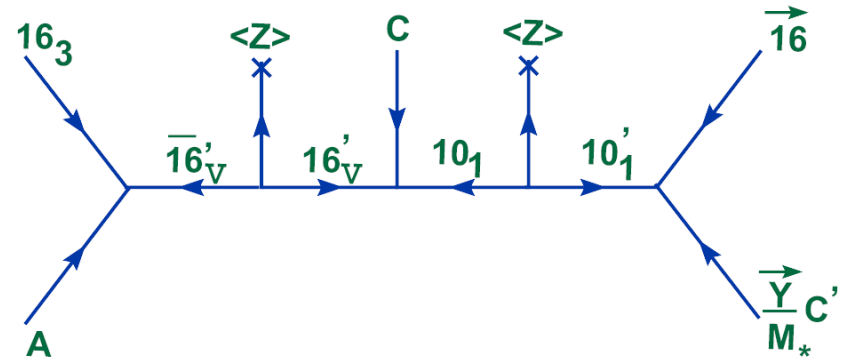
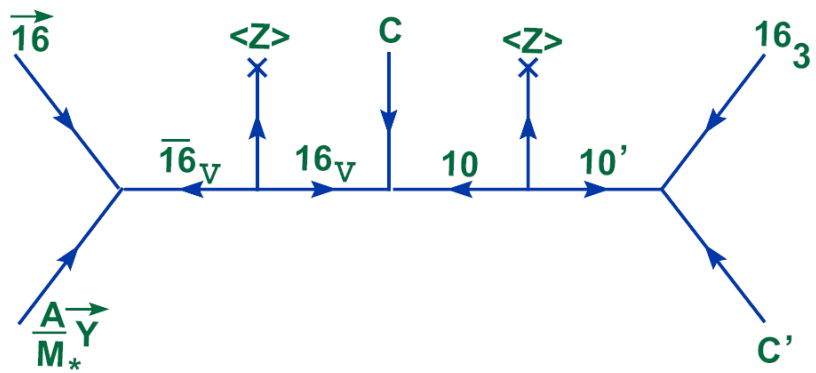
$$Q_4: \vec{16} \equiv (16_1, 16_2) \sim 2, \quad 16_3 \sim 1 \quad \vec{X}, \vec{Y} \sim 2 - \text{Flavons}$$

Effective Yukawa Interactions are fixed
by symmetries → Predictive

$$W_{\text{Yukawa}}^{(D)} = 16_3 16_3 H + \frac{\vec{X}}{M_*} \vec{16} 16_3 H + \frac{SZ^2 A}{M_*^4} \vec{16} \vec{16} H + \frac{Z^3 C}{M_*^4} \vec{16} \vec{16} C' +$$
$$\frac{AC \vec{Y}}{M_* \langle Z \rangle^2} \left(\vec{16} \cdot 16_3 + 16_3 \cdot \vec{16} \right) C' + \frac{AC}{M_*^2 \langle Z \rangle^2} (\vec{X} \vec{16})(\vec{Y} \vec{16}) C' .$$

Can be obtained through renorm. Interactions:

Generation of Effective Yukawa Couplings



Yukawa Matrices

$$Y_u = \begin{matrix} & u_1^c & u_2^c & u_3^c \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \end{matrix} & \begin{pmatrix} 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \end{matrix} \lambda_t \quad Y_d = \begin{matrix} & d_1^c & d_2^c & d_3^c \\ \begin{matrix} d_1 \\ d_2 \\ d_3 \end{matrix} & \begin{pmatrix} 0 & \epsilon' + \eta' & 0 \\ -\epsilon' - \eta' & \xi_{22}^d & \sigma + \epsilon \\ 0 & \sigma + \bar{\epsilon} & 1 \end{pmatrix} \end{matrix} \lambda_b$$

$$Y_e = \begin{matrix} & e_1^c & e_2^c & e_3^c \\ \begin{matrix} e_1 \\ e_2 \\ e_3 \end{matrix} & \begin{pmatrix} 0 & -3\epsilon' - \eta' & 0 \\ 3\epsilon' + \eta & 3\xi_{22}^d & \sigma + 3\bar{\epsilon} \\ 0 & \sigma + 3\epsilon & 1 \end{pmatrix} \end{matrix} \lambda_b \quad Y_\nu = \begin{matrix} & \nu_1^c & \nu_2^c & \nu_3^c \\ \begin{matrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{matrix} & \begin{pmatrix} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \end{matrix} \lambda_t$$

$$\lambda_b = \lambda_t \cos \gamma$$

Input Parameters: with $\xi_{22}^d = 0$, 5 modulo+2 phases

$$\sigma = 0.051, \quad \epsilon = 0.038, \quad \bar{\epsilon} = 0.129 e^{i 0.63}$$

$$\epsilon' = 1.79 * 10^{-4}, \quad \eta' = 0.0043 e^{i 0.57}$$

Results: $m_b = m_\tau, \quad \frac{m_c}{m_t} = 2.6 * 10^{-3}, \quad \frac{m_s}{m_b} = 1.6 * 10^{-2}, \quad \frac{m_\mu}{m_\tau} = 0.059$

At GUT scale: $\frac{m_u}{m_t} = 1.2 * 10^{-5}, \quad \frac{m_d}{m_b} = 1.14 * 10^{-3}, \quad \frac{m_e}{m_\tau} = 2.8 * 10^{-4}$

At M(Z) scale: $|V_{us}| = 0.227, \quad |V_{cb}| = 0.04, \quad |V_{ub}| = 0.003$

CP violation: $\bar{\eta} = 0.3 \quad \bar{\rho} + i\bar{\eta} = -V_{ud}V_{ub}^*/(V_{cd}V_{cb}^*)$

All in good agreement with experiments
[taking into account FCNC effects
in K, B-system coming from our SO(10)]

'Majorana' Couplings:

$$W_{\text{Yukawa}}^{(M)} = \frac{Z^{k-4} \vec{Y}^2}{M_*^{k-3} M_{N''}^2} \vec{16}^2 \bar{C}^2 + \frac{Z^{k-2} \vec{Y}}{M_*^{k-2} M_{N'}^2} \vec{16} 16_3 \bar{C}^2 + \frac{Z^{k-1} S}{M_*^{k-1} M_N^2} 16_3^2 \bar{C}^2$$

$$M_{\nu^c} = \begin{pmatrix} \nu_1^c & \nu_2^c & \nu_3^c \\ \nu_1^c & n_1 q^2 & 0 \\ \nu_2^c & 0 & n_2 q^2 & q \\ \nu_3^c & 0 & q & 1 \end{pmatrix} M_R$$

Input:

$$q = 0.025 * e^{i 0.05}, \quad n_1 = 4 * e^{-3 i}, \quad n_2 = 0.17 * e^{-0.6 i}$$

$$M_R = 2 * 10^{14} \text{ GeV}$$

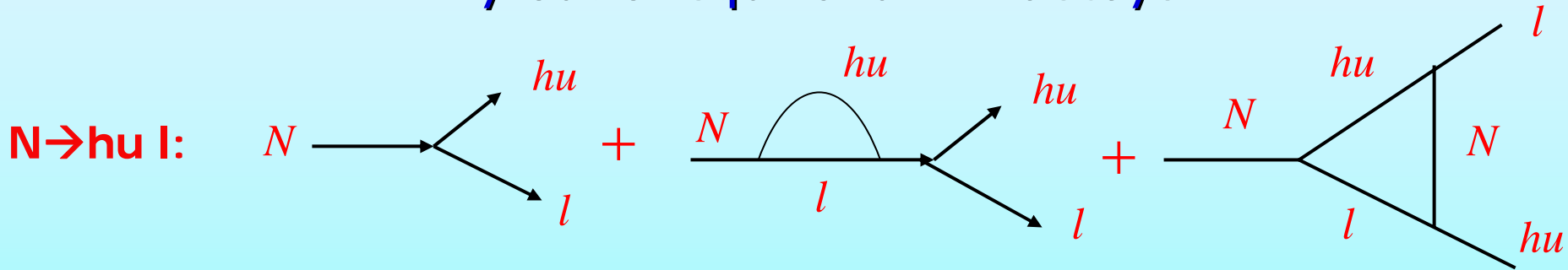
$$m_\nu = m_D \frac{1}{M_{\nu^c}} m_D^T \rightarrow \Delta m_{\text{atm}}^2 = 0.003 \text{ eV}^2, \quad \Delta m_{\text{sol}}^2 = 8 * 10^{-5} \text{ eV}^2$$

$$\theta_{12} = 33^\circ, \quad \theta_{23} = 38^\circ, \quad \theta_{13} = 4^\circ$$

Good choice also for Leptogenesis..

Leptogenesis

RHNs \rightarrow L, CP viol \rightarrow **Leptogenesis** (Fikugita, Yanagida'86)
By out of equilibrium N-decays



Hierarchical RHNs: $M_R = (10^{11}, 5 \cdot 10^{11}, 2 \cdot 10^{14}) \text{ GeV}$

High $T_R \rightarrow$ SUSY gravitino problem
unless very light or heavy gravitino ☹

CP-assymetry:

$$\epsilon_1 \simeq -\frac{3}{8\pi} \frac{1}{[\mathbf{Y}_\nu \mathbf{Y}_\nu^\dagger]_{11}} \sum_j \text{Im} \{ [\mathbf{Y}_\nu \mathbf{Y}_\nu^\dagger]_{1j}^2 \} \left(\frac{M_1}{M_j} \right)$$

Leads to observed baryon assymetry:

$$\frac{n_b}{s} \simeq 8 \cdot 10^{-11}$$

Inflation in SO(10)

Singlet sector: $W_{Sing} = \kappa \bar{P}(P^2 + \mu Z)$

P cancels D_A-term & triggers other VEVs

$$V(P) = g_A^2 (-\xi + P^2 + \dots)^2 + \kappa^2 |P^2 + \mu Z|^2 \quad Z > Z_c \rightarrow P = 0$$

D-term flatness trajectory:

$$|Z|^2 - |q||\varphi|^2 = \xi$$

$$Z = \sqrt{\xi} \text{Cosh}\left(\frac{\rho}{\sqrt{\xi}}\right), \quad \varphi = \sqrt{\xi/q} \text{Sinh}\left(\frac{\rho}{\sqrt{\xi}}\right),$$

With flat W & F-term, $\varphi = \tilde{v}^c, H'_u + H'_d, \dots$

$$Z, \varphi \propto \text{Exp}\left(2\frac{\rho}{\sqrt{\xi}}\right), \quad |\partial Z|^2 + |\partial \varphi|^2 \propto \text{Exp}\left(2\frac{\rho}{\sqrt{\xi}}\right) (\partial \rho)^2 = 1/2 (\partial \eta)^2$$

For large fields:

$$\text{Exp}\left(\frac{\rho}{\sqrt{\xi}}\right) \approx \sqrt{2\eta}/\sqrt{\xi}$$

$$V_{infl} = (\kappa\mu)^2 \xi \text{Cosh}^2\left(\frac{\rho}{\sqrt{\xi}}\right) \propto \text{Exp}\left(2\frac{\rho}{\sqrt{\xi}}\right) \sim \frac{1}{2} (\kappa\mu)^2 \eta^2 !$$

Inflation in SO(10) - results, implications:

Quadratic pot. $V_{infl} = \frac{1}{2}(\kappa\mu)^2 \eta^2 \Rightarrow n_s = 0.967, r = 0.13$
(Testable by PLANCK)

$$\delta T / T \approx 6 \cdot 10^{-6} \text{ with } \kappa\mu / M_{Pl} \sim 10^{-5}$$

?-Large field inflation ($\eta_Q \sim \sqrt{N} M_{Pl}$) Effects from SUGRA corrections?

* $D_A=0, W=0, F(\phi)=0$ conditions can help (under investigation)

Some more implications:

- During inflation $\langle A \rangle \neq 0 \rightarrow$ SO(10) broken & no monopole problem
- If $\varphi = \tilde{V}^c$ inflation breaks L-number & can produce L-asymmetry via decays at the end of inflation
- Due to multifield system, phase transitions can happen can hybrid/small field inflation can be realized?
If yes, then all SUGRA cors. Under controll

Summary

Presented minimal/economical SUSY SO(10)

- Minimal Higgs System, Simple GUT Breaking and Natural DT Splitting to all orders
- Stable Proton with Predictive (& Testable) Correlation Between $d=5$ & $d=6$ Decay Modes
- Simple Fermion Pattern by flavor symmetry, and No SUSY FCNC problem
- Realistic Neutrino sector
 - Baryogenesis through Leptogenesis
- Good potential for successful inflation

Thank You