

# An update on muon-induced backgrounds in LEGEND-1000

LEGEND

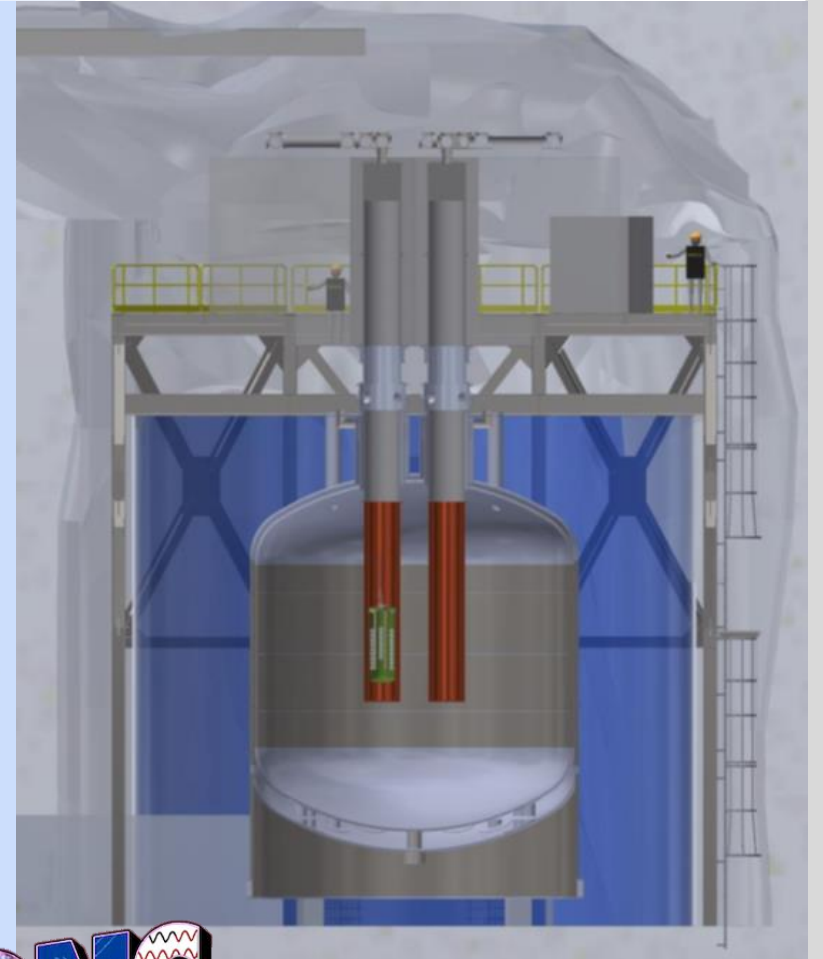


Large Enriched  
Germanium Experiment  
for Neutrinoless  $\beta\beta$  Decay

CJ Barton, for the LEGEND collaboration  
University of South Dakota

September 2022

14<sup>th</sup> Conference on the Intersections of Particle and Nuclear Physics



UNIVERSITY OF  
SOUTH DAKOTA

INTERSECTIONS



# Part 1: LEGEND and cosmogenics



The Large Enriched Germanium Experiment  
for Neutrinoless  $\beta\beta$  Decay

LEGEND-1000 Preconceptual Design Report

- [arXiv:2107.11462](https://arxiv.org/abs/2107.11462)

# LEGEND overview

**Mission:** “The collaboration aims to develop a phased, **Ge-76 based** double-beta decay experimental program with discovery potential at a **half-life beyond  $10^{28}$  years**, using existing resources as appropriate to expedite physics results.”

**Select best technologies**, based on what has been learned from GERDA and the MAJORANA DEMONSTRATOR, as well as contributions from other groups and experiments.

## MAJORANA

- Radiopurity of nearby parts (FETs, cables, Cu mounts, etc.)
- Low noise electronics improves PSD
- Low energy threshold (helps reject cosmogenic background)

## GERDA

- Liquid argon veto
- Light nuclei shield, no lead

## Both

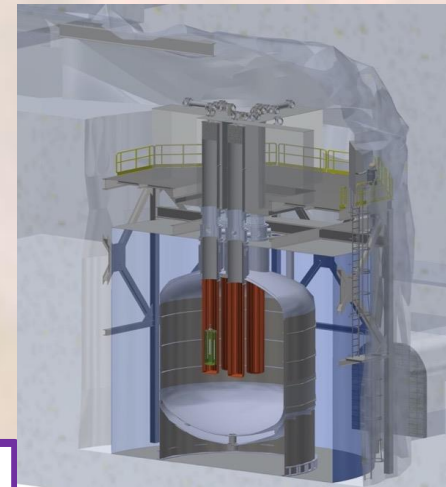
- Clean fabrication techniques
- Control of surface exposure
- Development of large point-contact detectors
- Lowest background and best resolution 0vbb experiments



## First phase:

- (up to) 200 kg in upgrade of existing infrastructure at LNGS
- BG goal:  $<0.6$  c / (FWMH t y)
- Discovery sensitivity at a half-life of  $10^{27}$  years
- Currently taking commissioning data

See also the overview talk “**The search of  $0\nu\beta\beta$  and the LEGEND Experiment**”, W. Xu, session NN6 (Saturday @3:30)

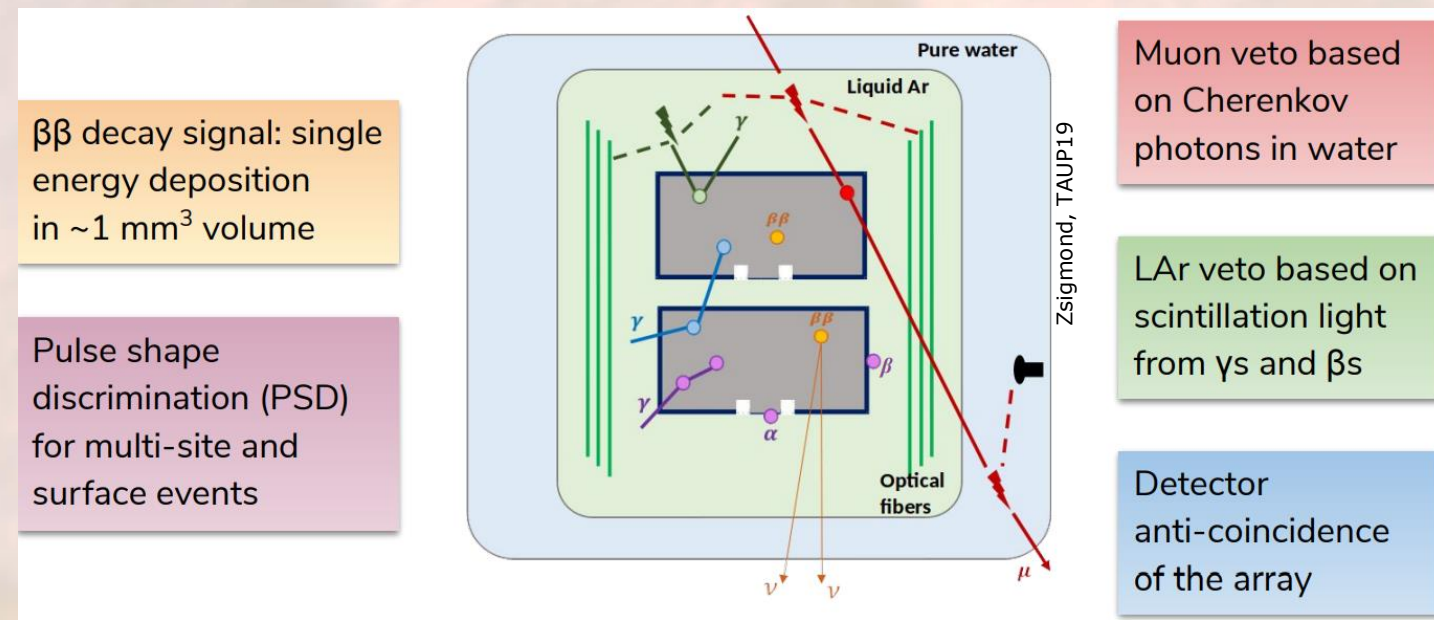


## Subsequent stages:

- 1000 kg, staged via individual payloads
- Timeline connected to review process
- Background goal  $<0.03$  cts / (FWHM t yr)
- *Location to be selected*

# Signal identification in LEGEND

- Complementary systems identify likely sources of signals in detectors
- To qualify as  $0\nu\beta\beta$  background:
  - Single or highly localized energy deposition
  - Energy very close to 2039 keV Q-value (expected signal)
  - Not in coincidence with any external signals in liquid argon (LAr) or water (muon)

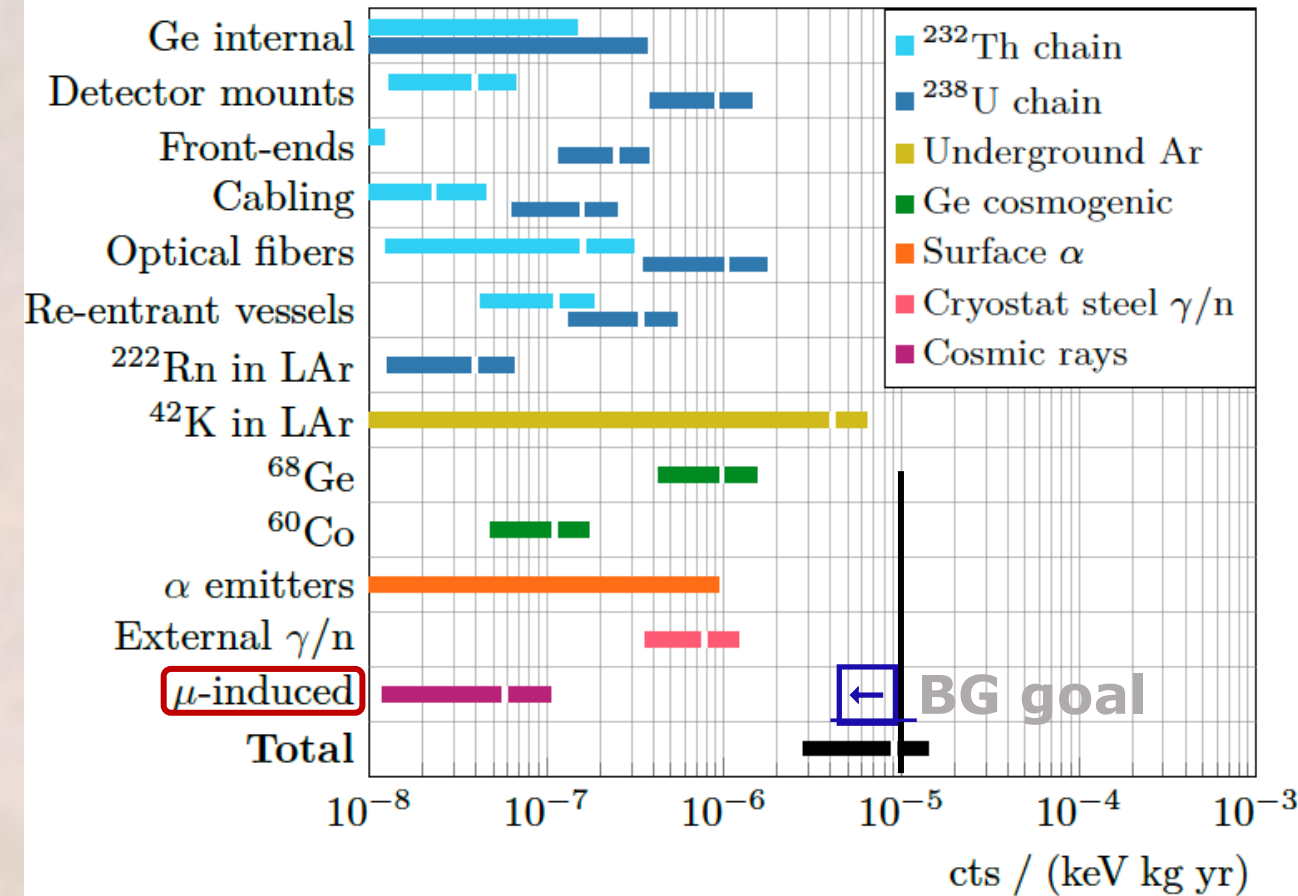


Muon signals and induced prompt backgrounds are rejected with exceptional efficiency



# The LEGEND-1000 background model

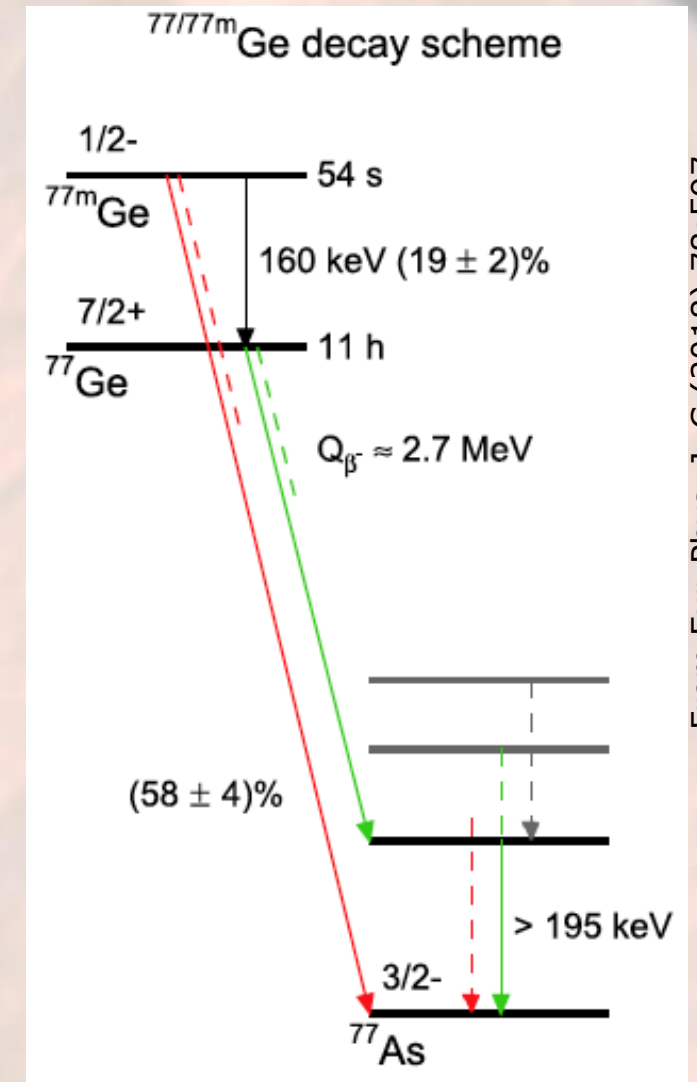
- Background model makes some assumptions
- 6000 meters water equivalent of overburden assumes placement at SNOLab as a host site
- Muon intensity increases exponentially for shallower sites



While very high energy muons are difficult to predict individually, high-statistics simulations estimate average behavior

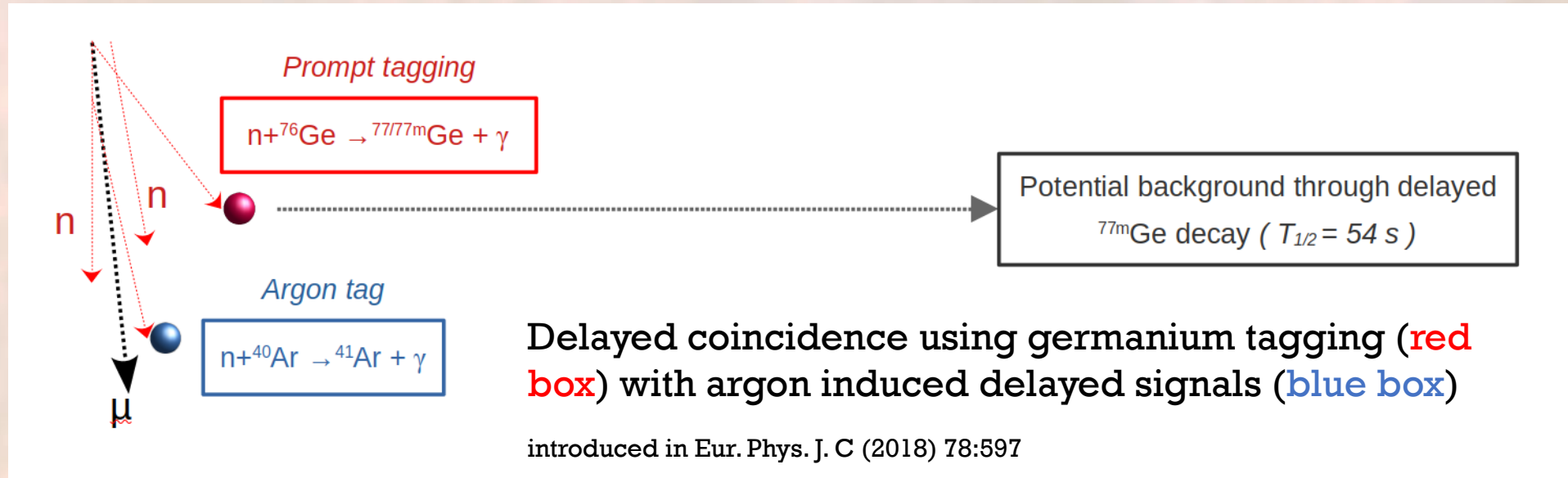
# $^{77}\text{Ge}$ and $^{77\text{m}}\text{Ge}$

- Produced inside the sensitive detectors of LEGEND via neutron capture, primarily from muon-induced neutrons
- High  $\beta^-$  decay Q value can result in signals very close to 2039 keV
- Longer half-lives prevent prompt coincidence/rejection with parent muon
- $^{77\text{m}}\text{Ge}$  mostly undergoes 'quiet' decay, releasing no coincident photons



Delayed decays of  $^{77}\text{Ge}$  and  $^{77\text{m}}\text{Ge}$  are identified as the dominant source of muon-induced backgrounds in LEGEND after cuts

# Delayed Coincidence Cut



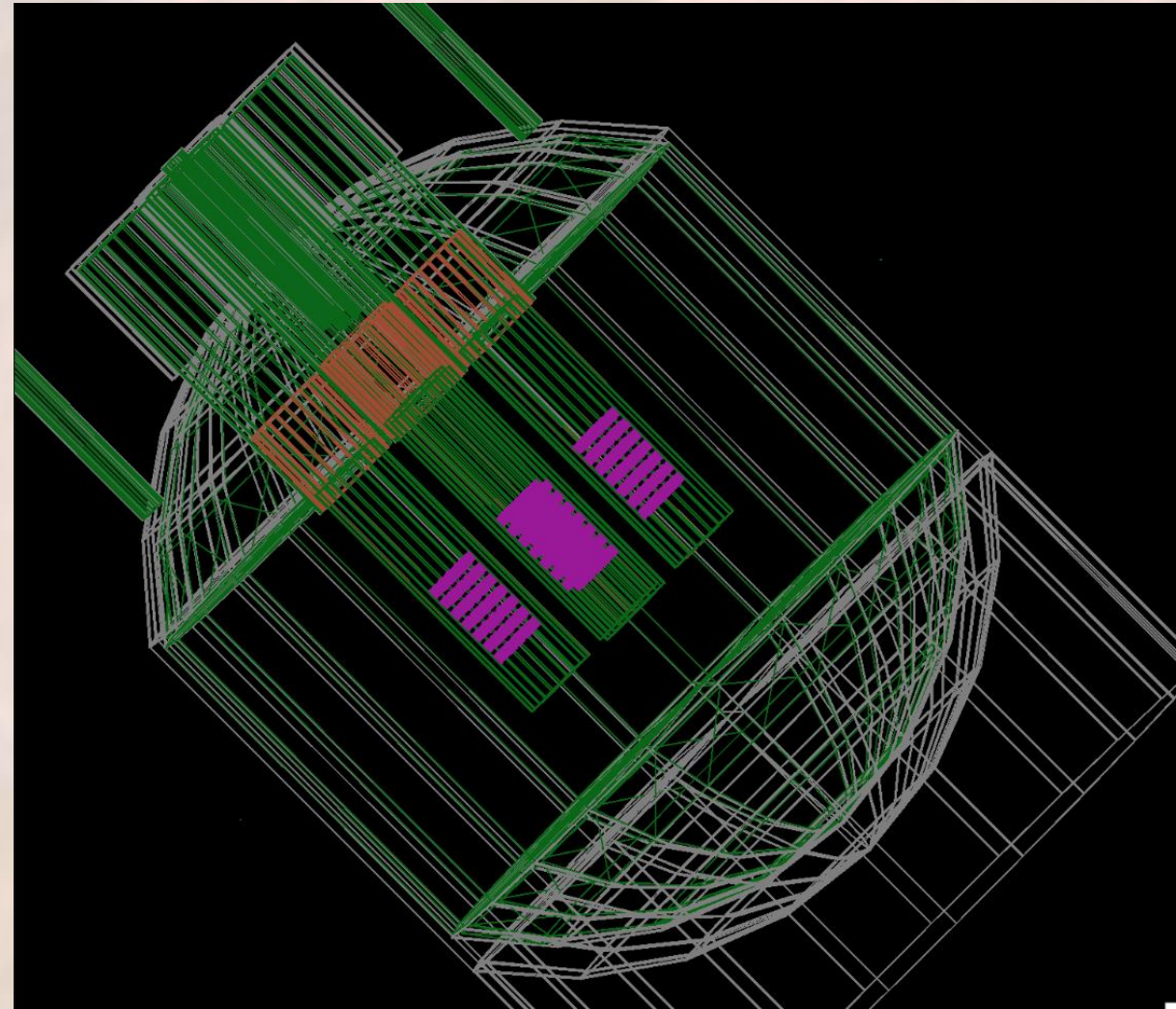
- Typical muon coincidence window is 1 second
- Extending coincidence window to 6 minutes after a potential  ${}^{77,77\text{m}}\text{Ge}$  production event reduces the  ${}^{77\text{m}}\text{Ge}$  background highly effectively
- Anti-coincidences between Ge detectors and muon-veto system identify 94% of the production events, while introducing <4% dead time for a GERDA-like experiment at LNGS



# LEGEND simulations with Geant4

- Muon-induced background has been studied using Geant4 simulation module and analysis suite designed primarily for LEGEND-1000
- Muon sampling is either parametrized to fit depths of interest or taken from input files based on muon data from underground experiments
- LEGEND-1000-like geometry can be easily modified for testing experimental alterations
- Details can be found in LEGEND-1000 preconceptual design report (pCDR)

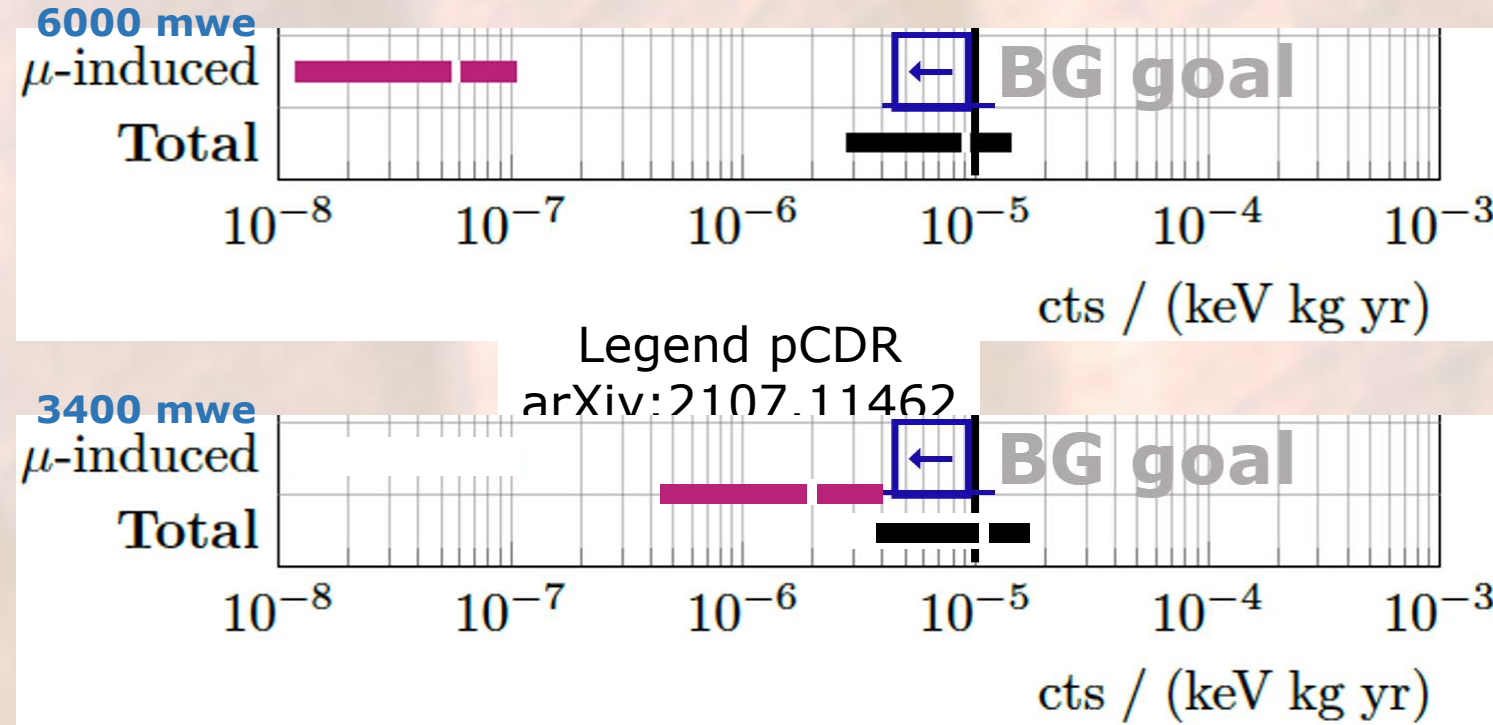
arXiv:2107.11462





# Refining cosmogenic understanding

- Background model makes some assumptions
- 6000 meters water equivalent (mwe) of overburden assumes placement at SNOLab as a host site
- For LNGS as a host site, reduced overburden (3400 mwe) results in increased muon activity

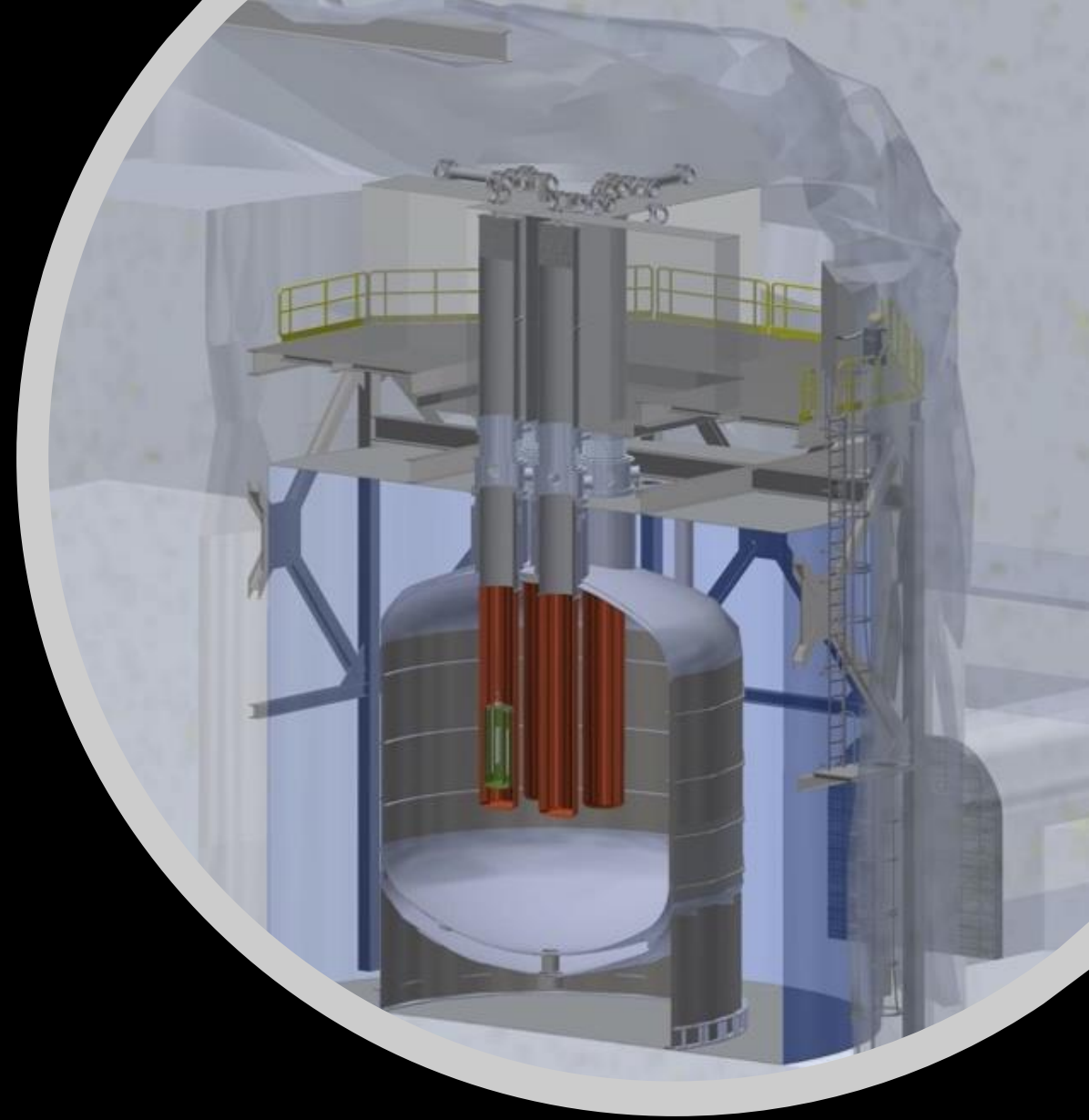


For some candidate host sites, muon background estimates have serious impact on the background model

It's critical to understand project risks and explore options for muon background mitigation

## Part 2: Suppression of cosmogenic background via neutron moderation and absorption

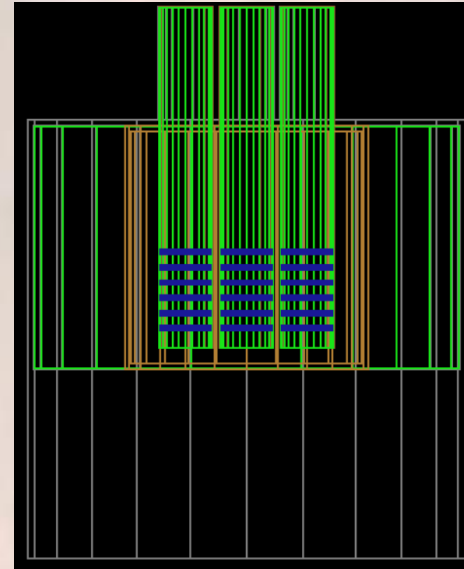
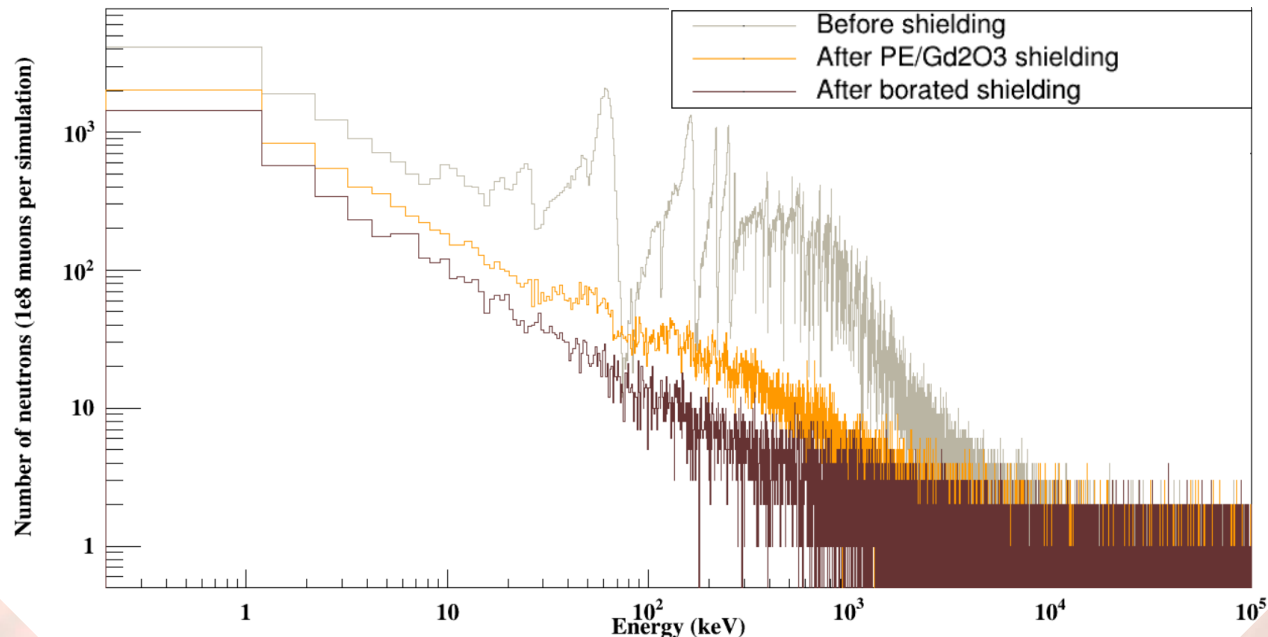
- Updates beyond the LEGEND-1000 baseline design in the pCDR



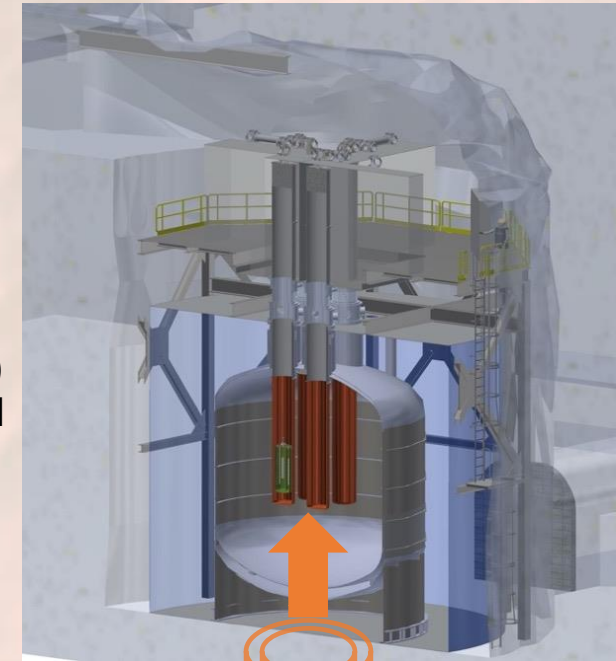
# Solid shield neutron moderators

- Large solid moderators made of low-Z materials have been investigated
- Often with a neutron absorbing layer
- Challenges: sourcing radiopure materials, deploying the solid shield

Neutron energy spectra before and after shielding options



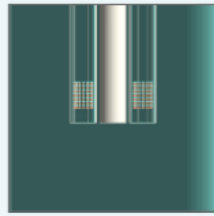
A borated polyethylene (PE) shield (brown) implemented in an older geometry for LEGEND-1000



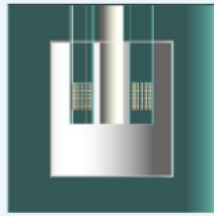
See C. Barton, PANIC 2021 proceedings  
DOI: 10.22323/1.380.0288

# Moderator Simulation

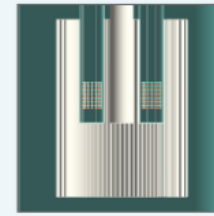
Design 1



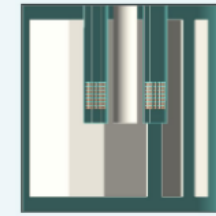
Design 2



Design 3



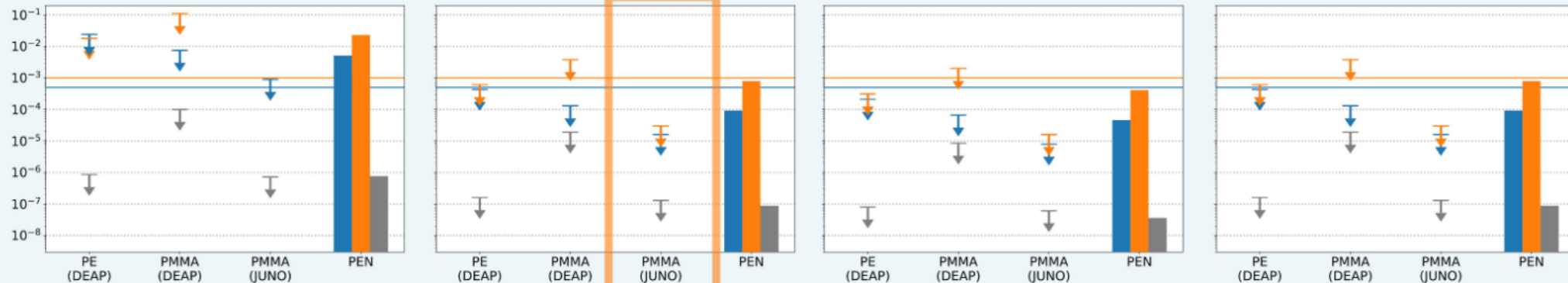
Design 4



## Radiogenic Background before cuts

[cts/kev/kg/yr]

γ from <sup>232</sup>Th
γ from <sup>238</sup>U  
γ from n<sub>radio</sub> capture

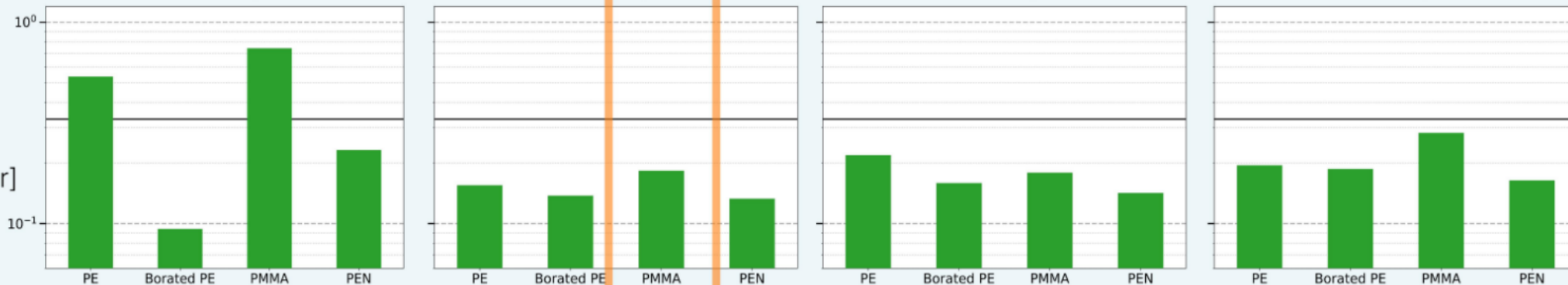


LEGEND-1000 background estimates (before cuts)

## Cosmogenic Background before cuts

[<sup>77(m)</sup>Ge nuclei/kg/yr]

[<sup>77(m)</sup>Ge nuclei/kg/yr]



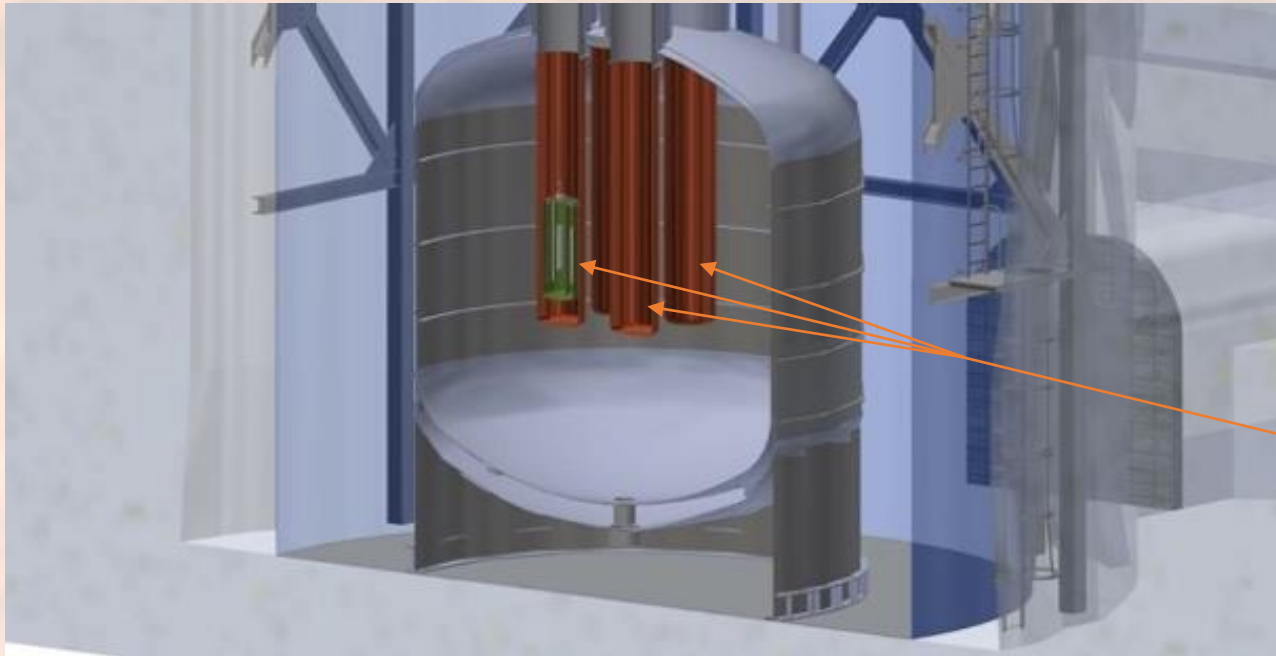
LEGEND-1000 background estimates (before cuts)

Solid shield design and choice of materials optimization achieve a cosmogenic background reduction factor of ~2, with a small increase in radiogenic background



# Doping liquid argon with a neutron moderator

- Methane ( $\text{CH}_4$ ) has a high hydrogen content, making for an excellent neutron moderator
- Add 1-20% molar fraction methane (0.3-10% mass fraction) to outer LAr tank in LEGEND-1000
  - Scintillation detection in inner tanks would be unaffected by addition of methane in uninstrumented outer tank
- Both the argon and hydrogen atoms can absorb neutrons at sufficiently low energies, removing need for solid neutron absorber



Nuclear Instruments and Methods in Physics  
Research Section A: Accelerators, Spectrometers,  
Detectors and Associated Equipment  
Volume 265, Issue 3, 15 March 1988, Pages 440-444

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Saturation effects in liquid argon doped with methane

D.F. Anderson, D.C. Lamb

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[https://doi.org/10.1016/S0168-9002\(98\)90010-9](https://doi.org/10.1016/S0168-9002(98)90010-9) [Get rights and content](#)

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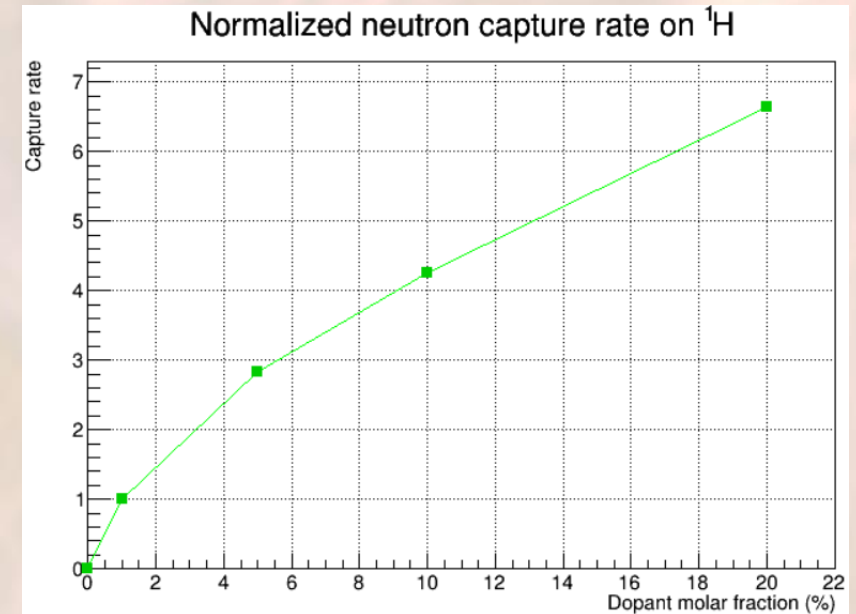
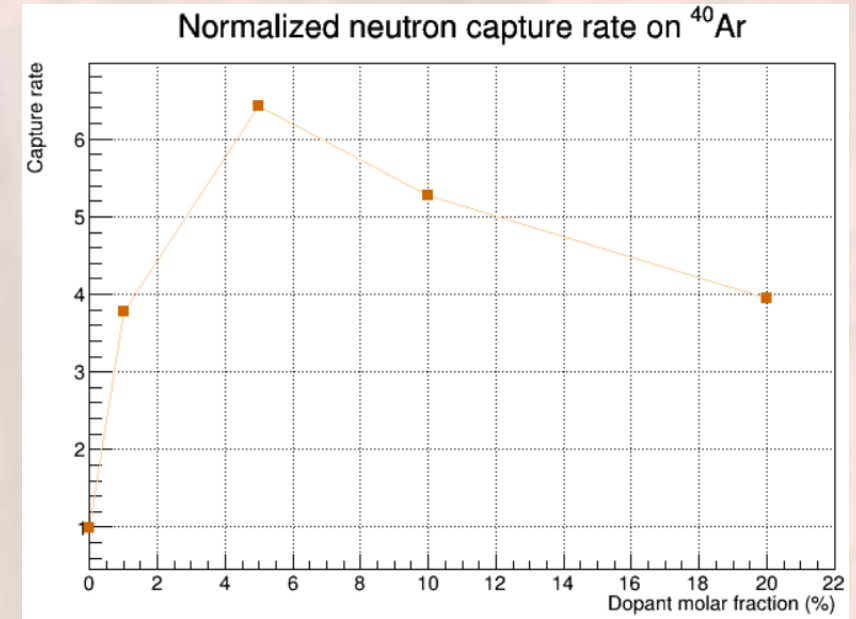
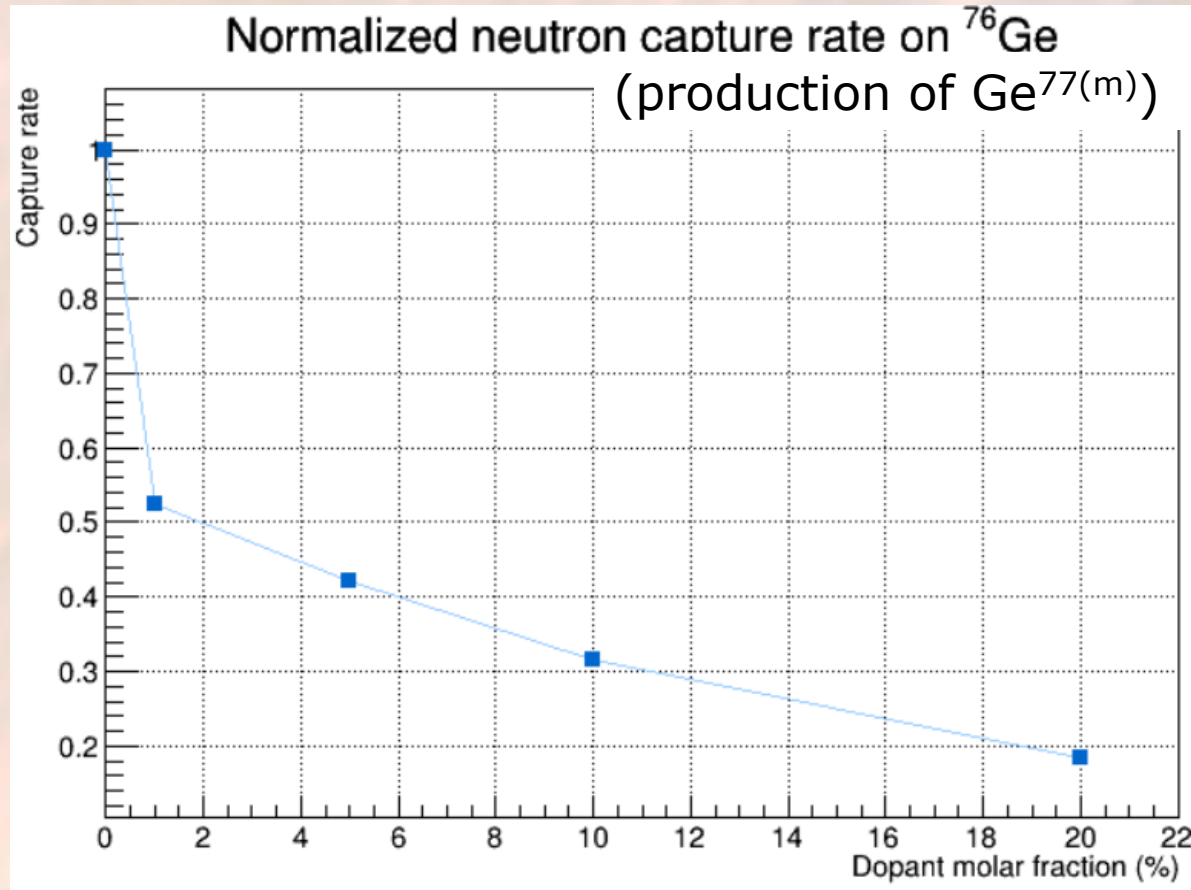
**Abstract**

Measurements of the charge collected for alpha and beta particles in liquid argon, doped with methane concentrations of up to 30% have been measured. It is found that, for concentrations of  $\geq 10\%$  mole fraction of methane, the saturation constant in Birks' law does not change with increasing methane concentration, making the mixture similar to a warm liquid in behavior. The sign **methane concentrations of up to 30%** is also disc

- Technical feasibility under investigation
- A risk reduction option that could be implemented post-assembly

Inner tanks still have undoped underground liquid argon (UGLAr)

# Doping liquid argon with a neutron moderator



Neutrons are captured more on argon or hydrogen instead of germanium with increased doping

# Part 3: validation and estimating uncertainty

Los Alamos  
NATIONAL LABORATORY

<https://mcnp.lanl.gov/>

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## The MCNP® Code

### Overview

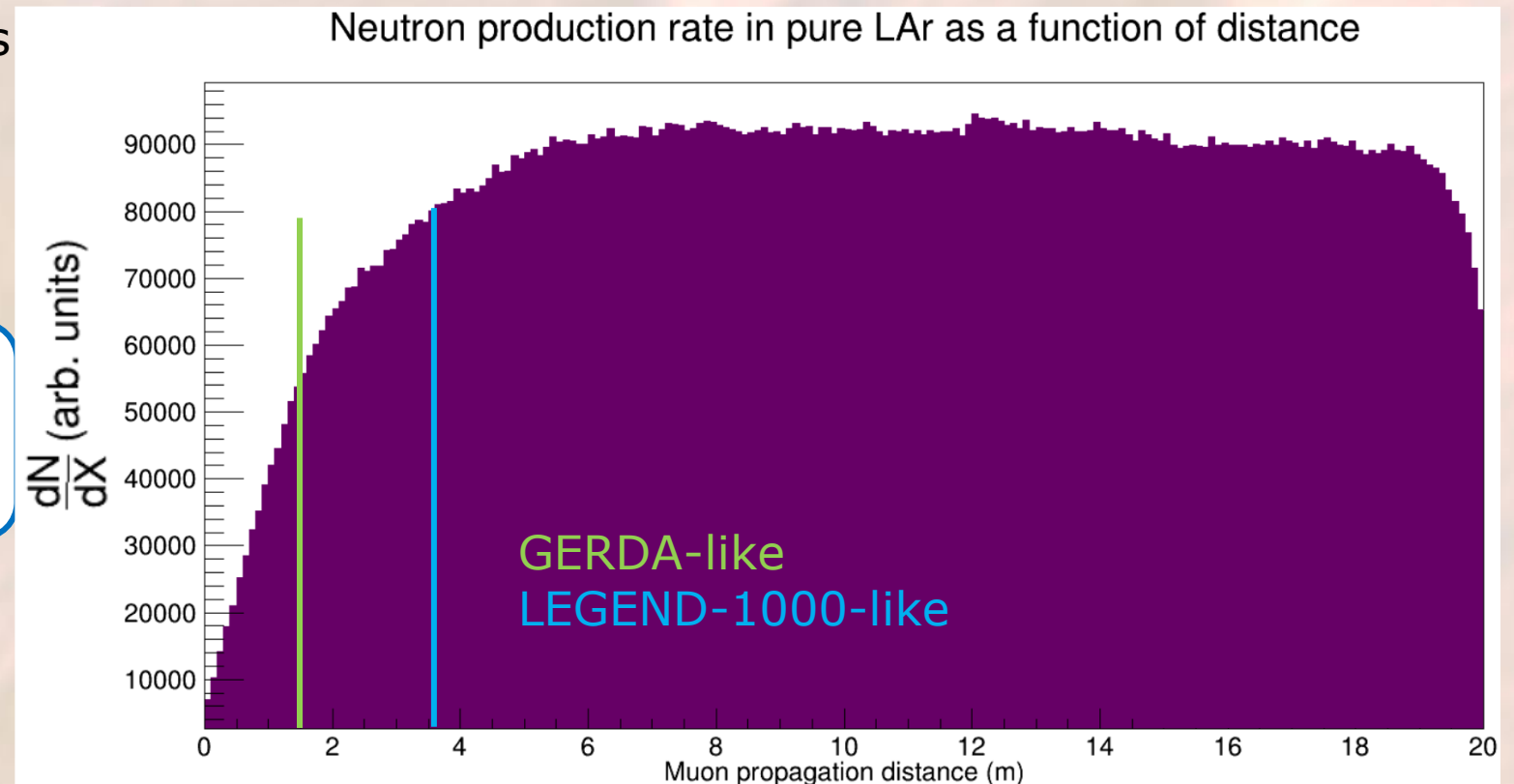
MCNP is a general-purpose Monte Carlo N-Particle code that can be used for neutron, photon, application include, but are not limited to, radiation protection and dosimetry, radiation shielding, analysis, nuclear oil well logging, Accelerator target design, Fission and fusion reactor design, de dimensional configuration of materials in geometric cells bounded by first- and second-degree surface

# Comparing with published study

- $^{76}\text{Ge}$  neutron capture rate previously simulated in a GERDA-like geometry with additional detectors (Eur. Phys. J. C (2018) 78:597)
- LEGEND-like simulations yield  $\sim 2x$  the capture rate
  - ✓ Understood as additional argon in LEGEND increases the development of neutron cascades via muon showering
  - ✓ GERDA-size geometry in this simulation yields results consistent with the reference

Larger liquid argon shields produce more neutrons faster than linearly

See C. Barton, ICHEP 2020 proceedings  
DOI: 10.22323/1.390.0195

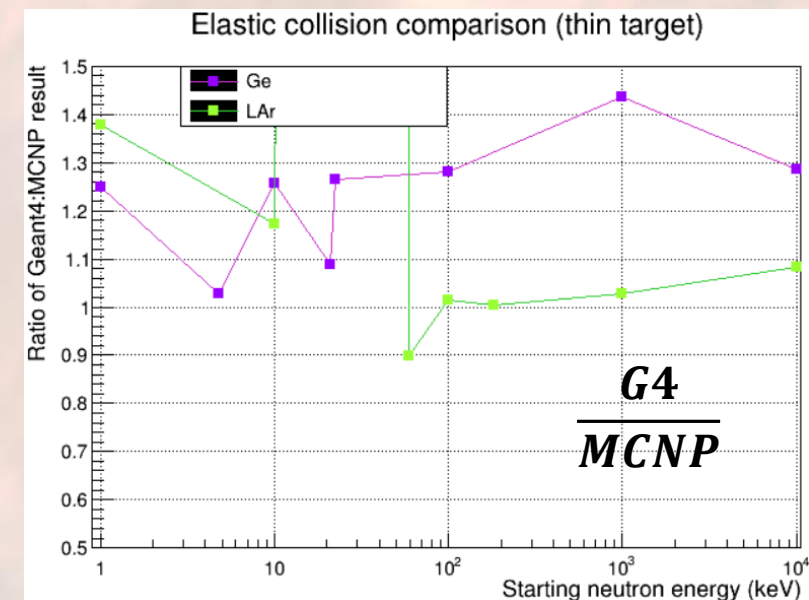
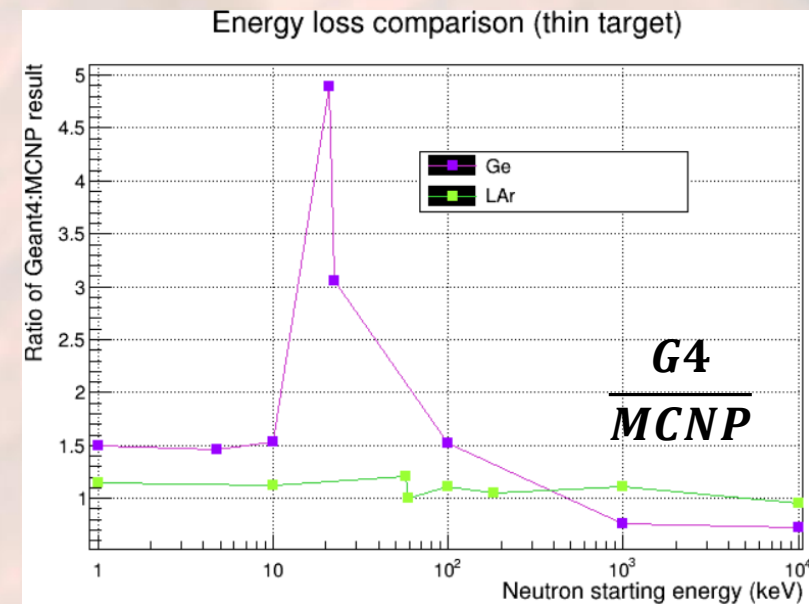
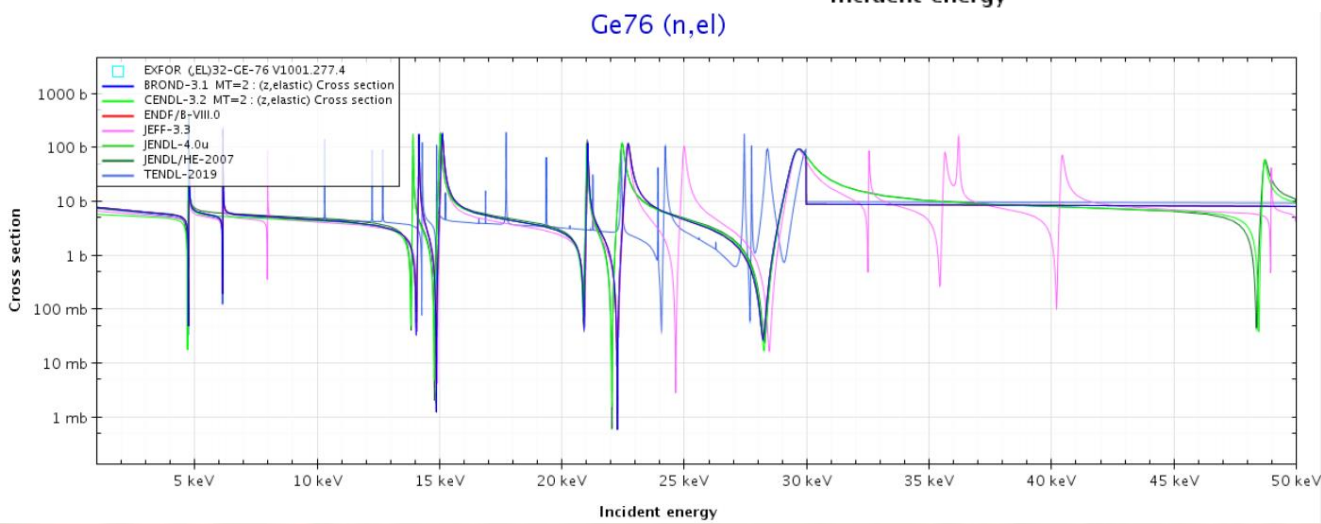
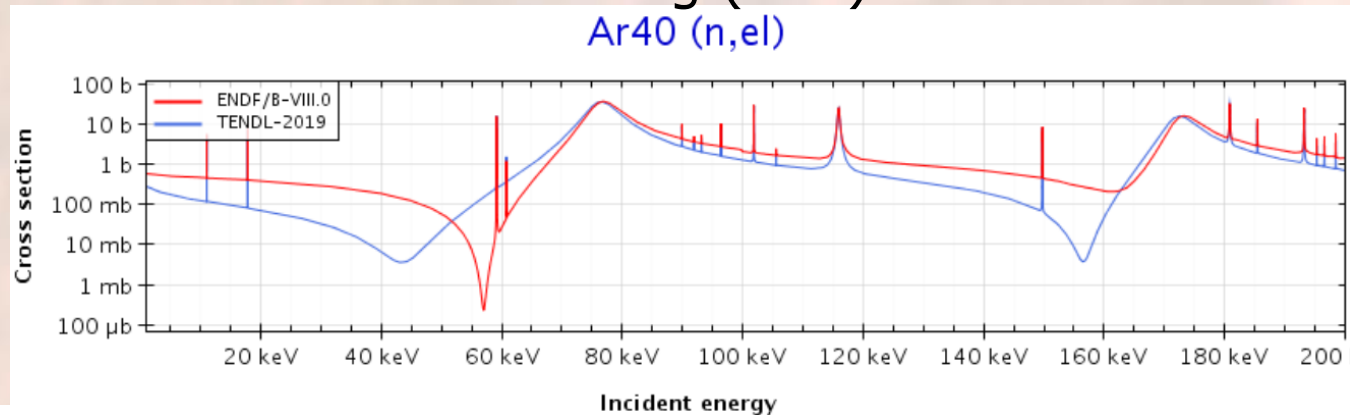




# Systematic comparisons of Geant4 and MCNP

MCNP® -> Monte Carlo N-Particle simulation software

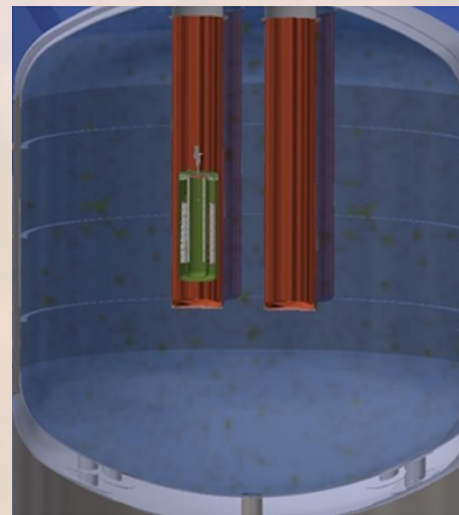
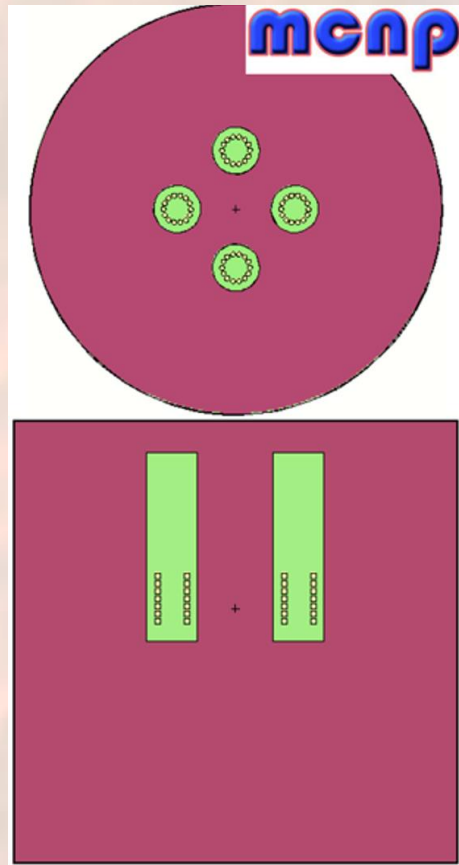
- First, use thin target simulations (right) to compare single neutron scattering
- Typically, higher scatter rate in Geant4
- Largest differences at scattering (anti)resonances



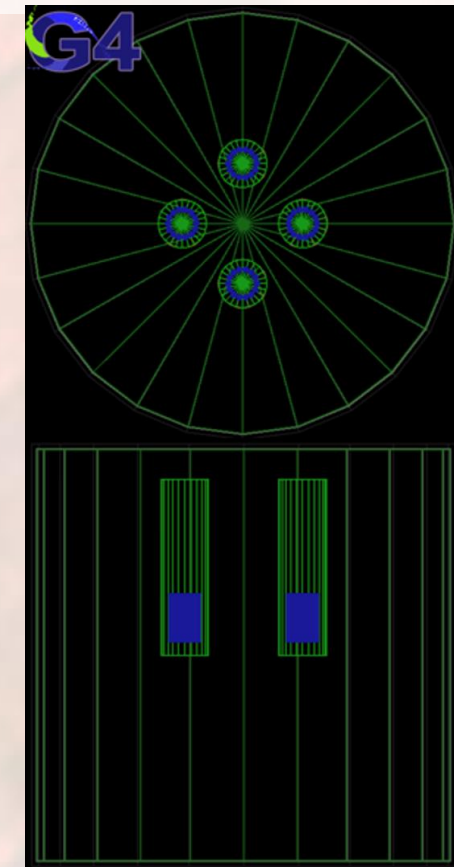
# Representative LEGEND-1000 Geometry

MCNP® -> Monte Carlo N-Particle simulation software

Goal: simulate realistic muon-induced neutrons using identical "LEGEND-like" setups in Geant4 and MCNP simulation packages to address systematic uncertainties



CAD drawing of LEGEND-1000 reference design cryostat



# Muon-induced neutrons

Muons are simulated in Geant4 in step 1, and any neutrons created in the cryostat are saved to a file, to be used as an input for MCNP/Geant4 simulations in step 2

Incident muons:

Sampled for LNGS  
laboratory

Generated by MUSUN  
simulation software

10 years' worth of sim data

Neutrons saved in **4**

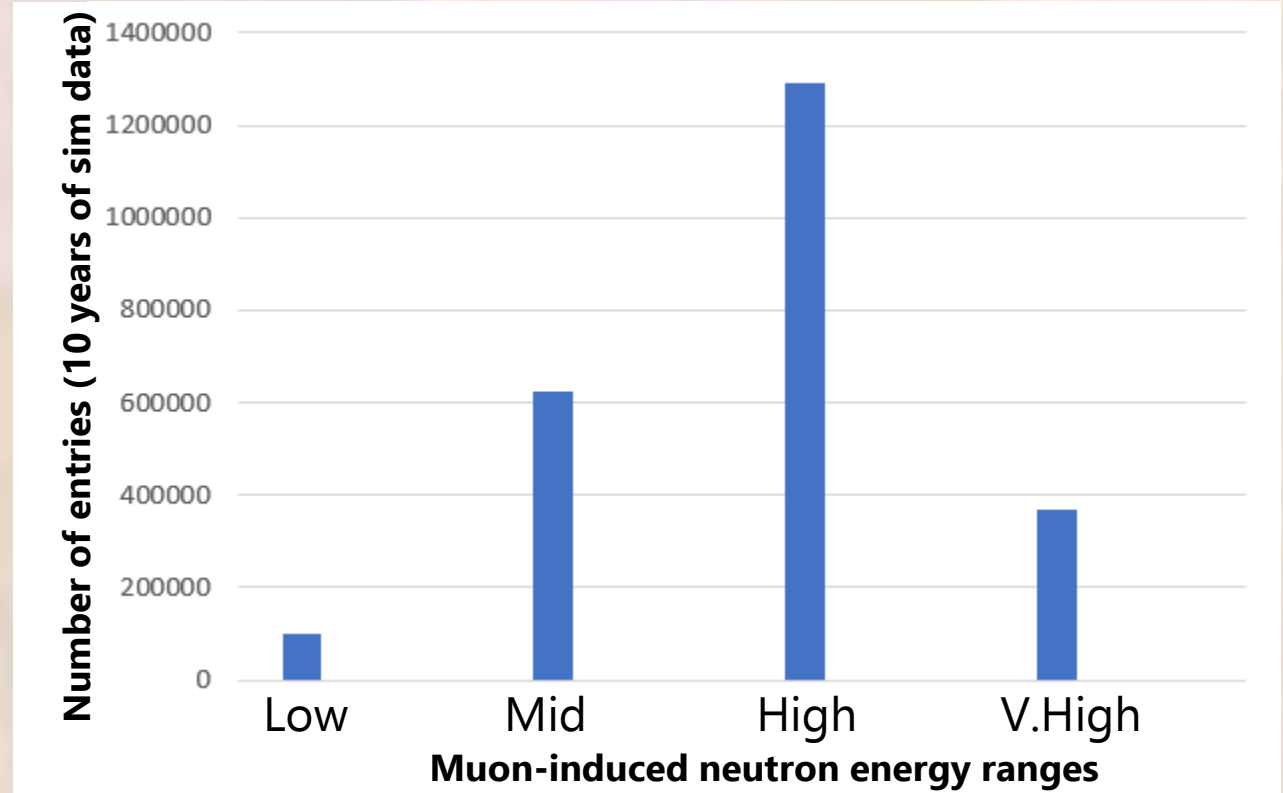
**energy ranges:**

**Low** – 0-100 keV

**Mid** – 100-1000 keV

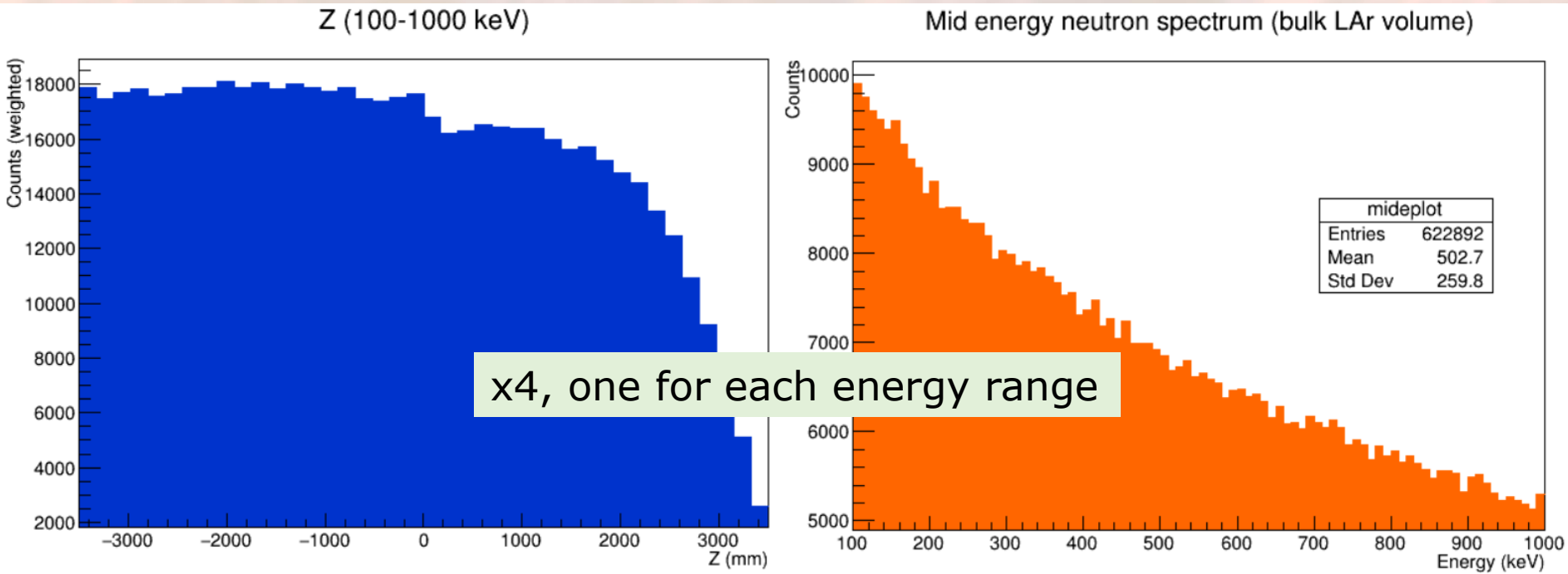
**High** – 1-10 MeV

**Very high** – 10-100 MeV



# Muon-induced neutrons

Neutron parameters are saved to histograms but sampled as distributions, for consistency



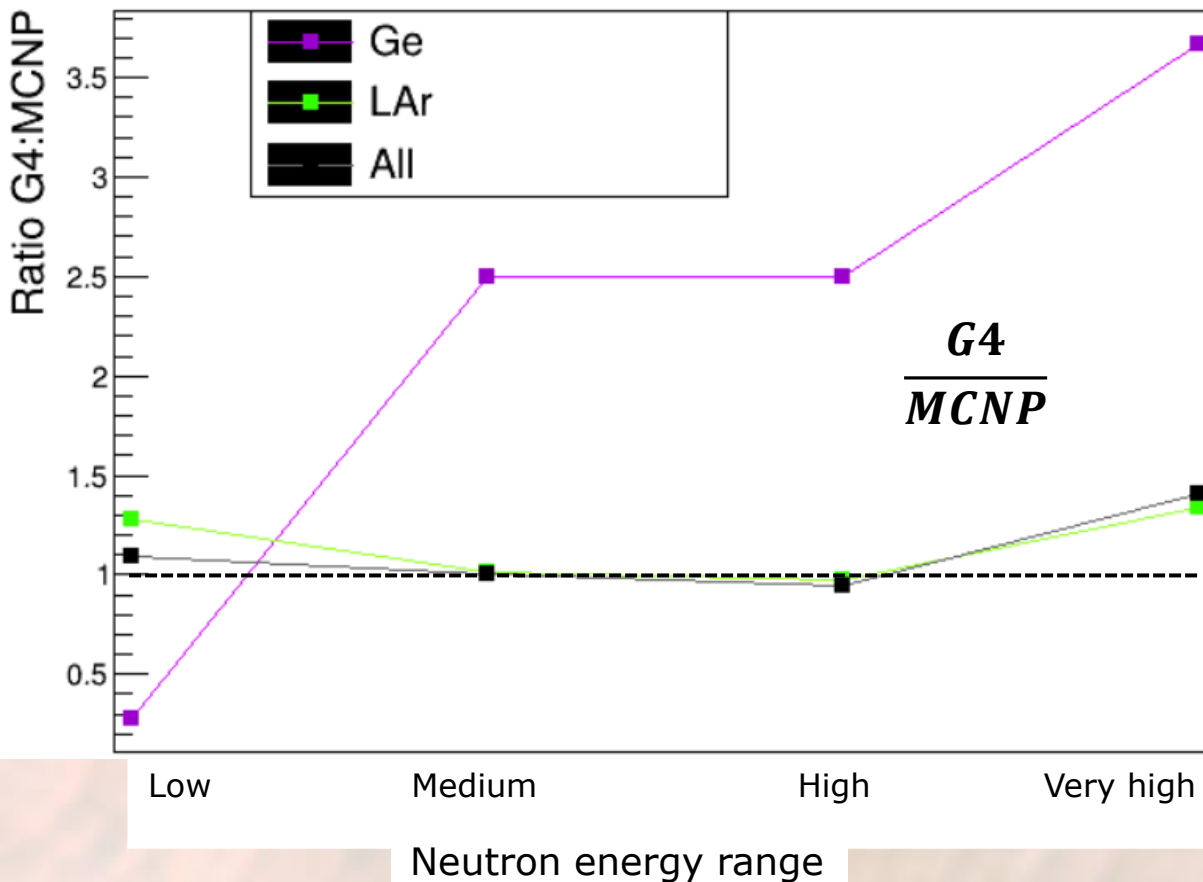
x and y distributions assumed flat  
Angular momentum distribution assumed isotropic



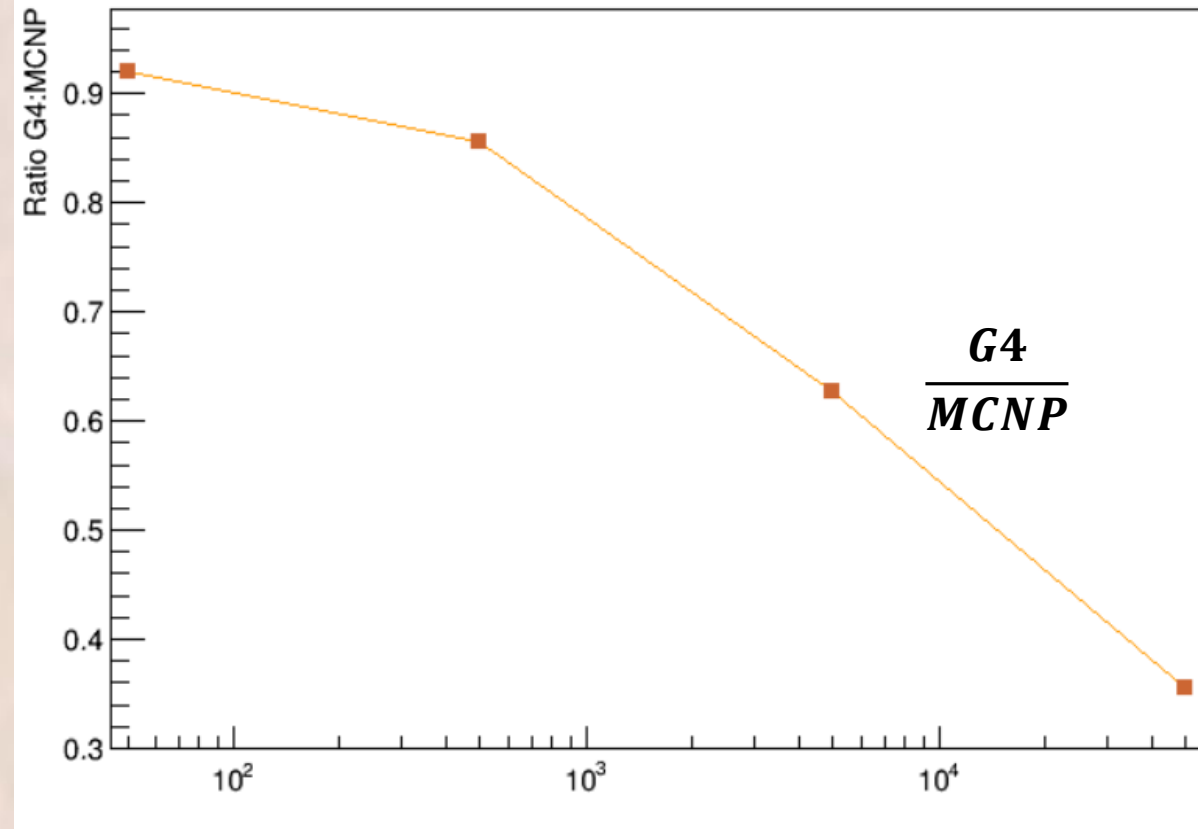
# Comparison study results

Neutron scattering rate and energy loss trended higher in Geant4, resulting in lower energy neutrons, which are captured more effectively

Neutron capture rate in cryostat materials



Average energy of escaping neutrons



# Outlook

**LEGEND** is progressing with LEGEND-200 in commissioning and LEGEND-1000 being actively pursued

LEGEND-1000 preconceptual design report determines muons-induced delayed backgrounds negligible for deep lab (SNOLAB), sizeable for shallower lab (LNGS)

To reduce project risk:

Alternative options are studied with **Geant4** simulations

Solid neutron moderator is promising and extensively studied for effectiveness and radiogenic backgrounds

Doping un-instrumented part of LAr shield with low-Z material such as methane is potential risk-reduction option. Effectiveness, technical feasibility are under study

Neutron simulations are cross-checked using **MCNP**. MCNP predicts lower background than Geant4 predictions

With design optimizations and new analysis techniques, the performance of LEGEND at shallower depths can be improved

# The LEGEND collaboration



Seattle, Dec. 2019

Univ. New Mexico  
L'Aquila University and INFN  
Lab. Naz. Gran Sasso  
University Texas, Austin  
Lawrence Berkeley Natl. Lab.  
University California, Berkeley  
Leibniz Inst. Crystal Growth  
Indiana University  
Comenius University  
Simon Fraser University

University of North Carolina  
University of South Carolina  
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Czech Technical University Prague  
North Carolina State University  
South Dakota School Mines Tech.  
University Washington  
University Tübingen  
University South Dakota  
Williams College  
University Zurich



Thanks for listening!



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# Backups

# Motivation for LEGEND

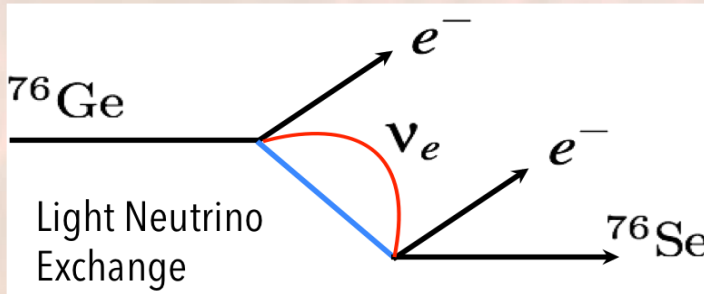
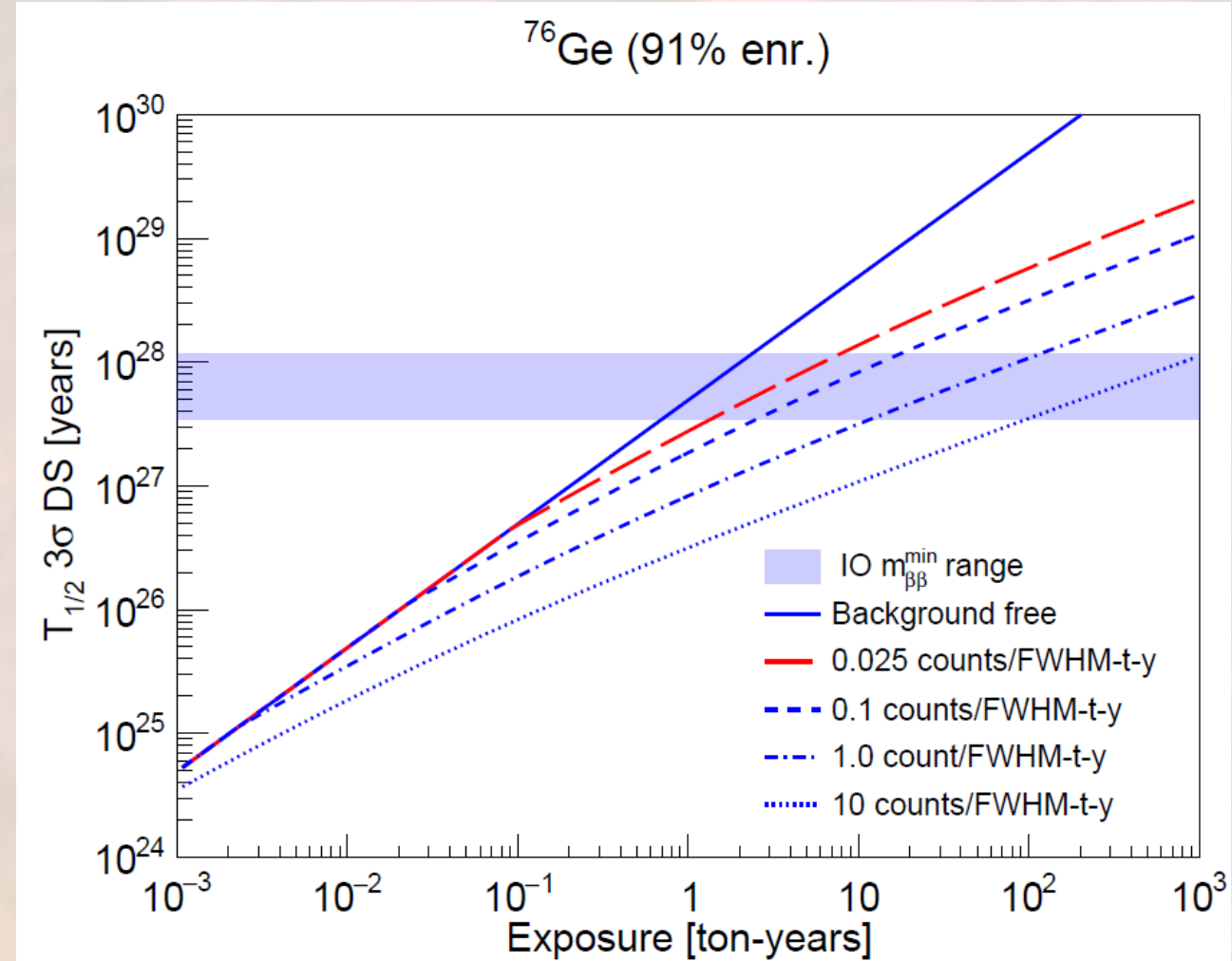


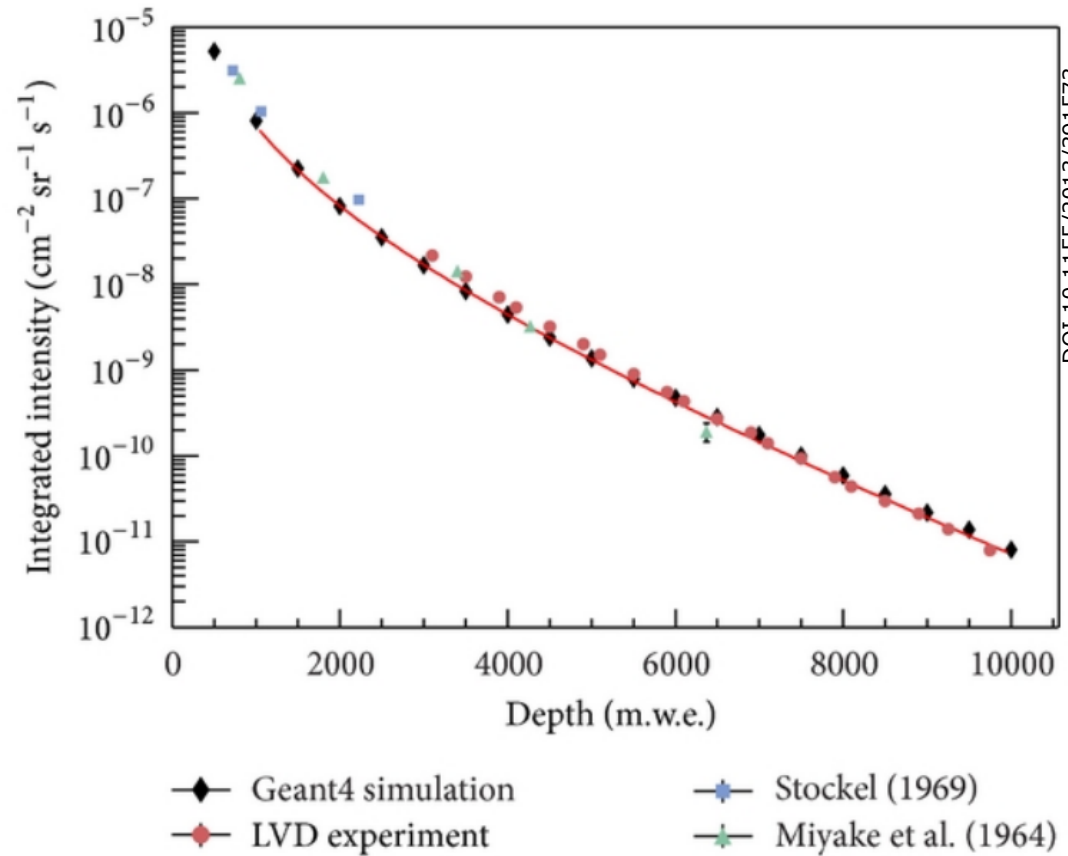
Diagram of  $0\nu\beta\beta$  in  $^{76}\text{Ge}$

- Searches for neutrinoless double beta decay ( $0\nu\beta\beta$ ) only experimentally viable method for establishing neutrino's Majorana nature
- MAJORANA DEMONSTRATOR and GERDA have proven feasibility of a large-scale  $^{76}\text{Ge}$ -based  $0\nu\beta\beta$  experiment
  - World's lowest backgrounds in the ROI, best energy resolutions at Q-value
- GERDA limit [1]:  $T_{1/2}^{0\nu} > 9.0 \times 10^{25} \text{ yr}$
- MJD limit [2]:  $T_{1/2}^{0\nu} > 2.7 \times 10^{25} \text{ yr}$
- To cover entire inverted mass ordering phase space, need to probe half-life beyond  $10^{28} \text{ yr}$ 
  - Requires improvements in background rate and exposure

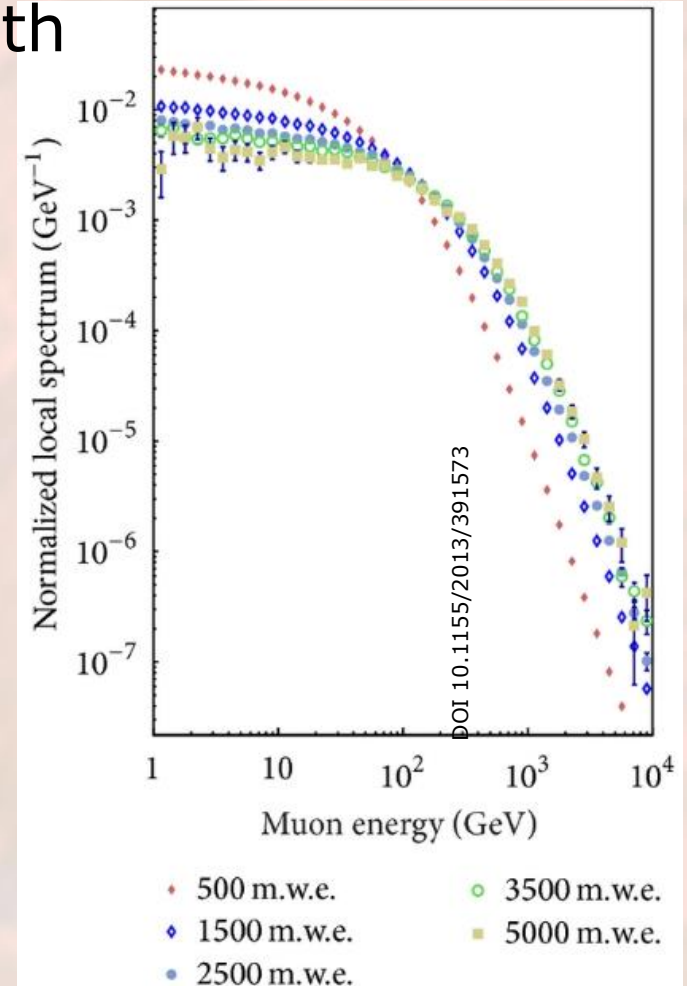


$T_{1/2}$  discovery potential vs. exposure for a few background rates, with projected results for each phase.

# Muons and deep underground sites



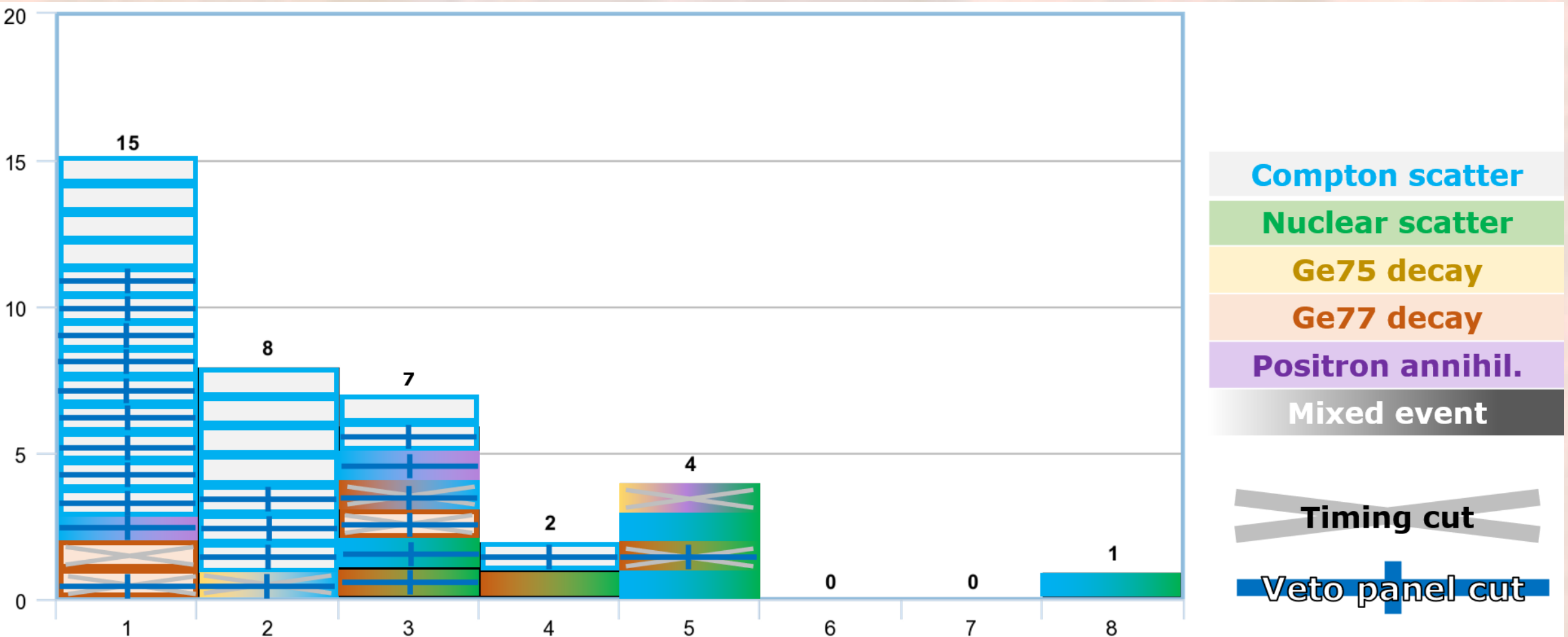
- Muon flux drops exponentially with increasing depth
- Surface profile can have an effect, decreasing with depth



For very deep sites, average muon energy slowly increases, but stays in the 100s of GeV range

# Prompt muon signals

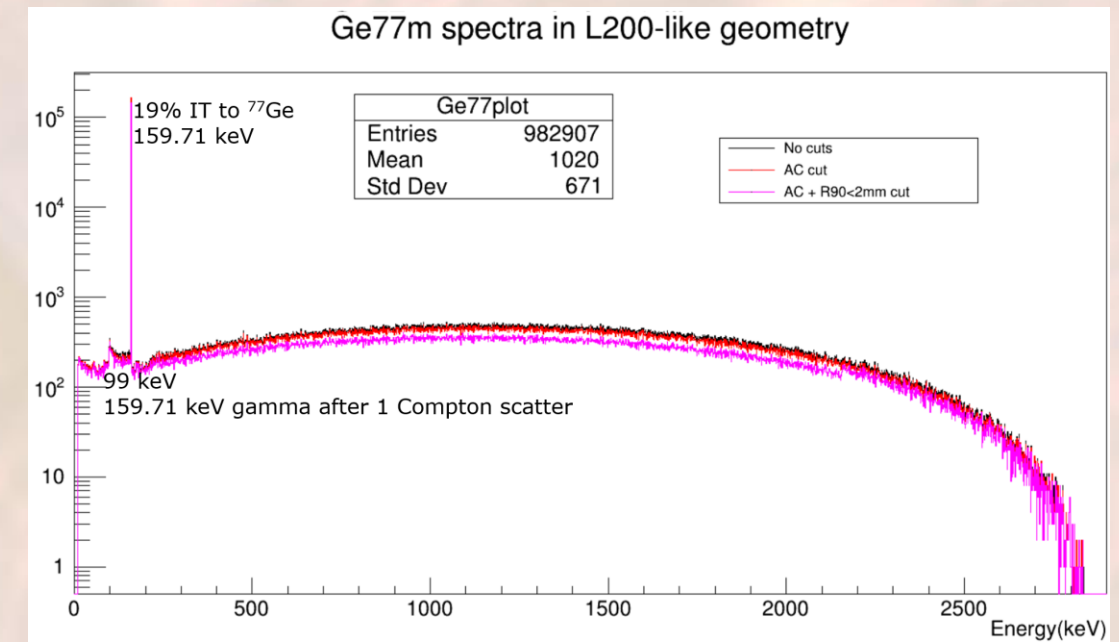
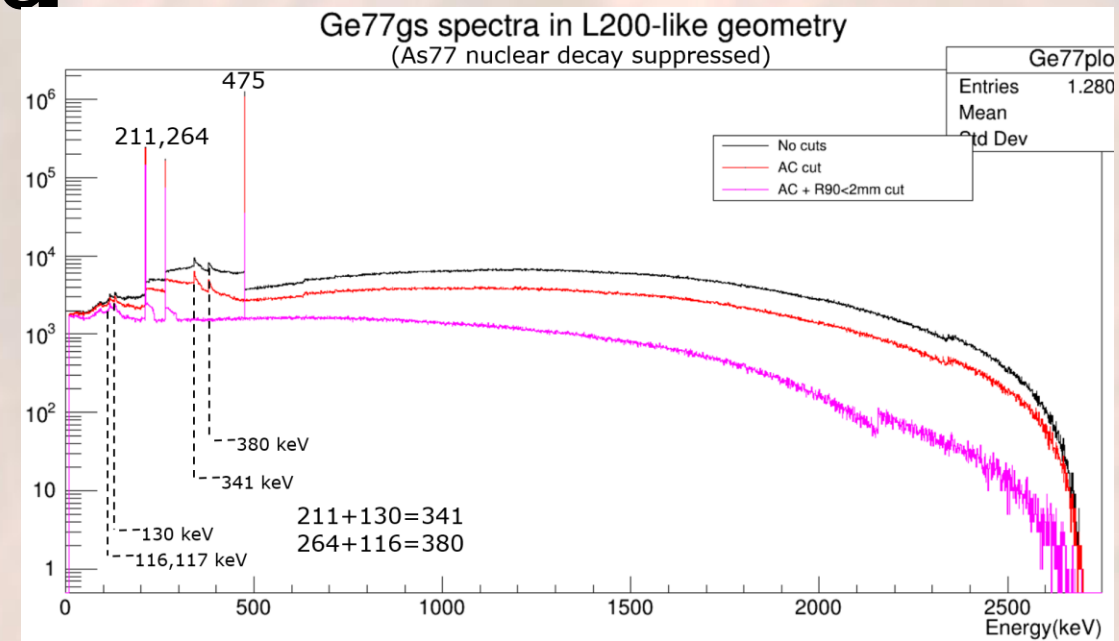
Prompt signal events investigated without water shield veto  
If water shield is included, background contribution  $<0.5\%$  of total budget





# Ge<sup>77</sup>+Ge<sup>77m</sup> decay spectra

- <sup>77</sup>Ge produces several de-excitation gammas upon decay, which are very likely to cause signal rejection by multi-site or liquid argon veto cuts
- <sup>77m</sup>Ge decay tends to be 'quiet', possibly necessitating the use of preemptive cuts such as the delayed coincidence cut



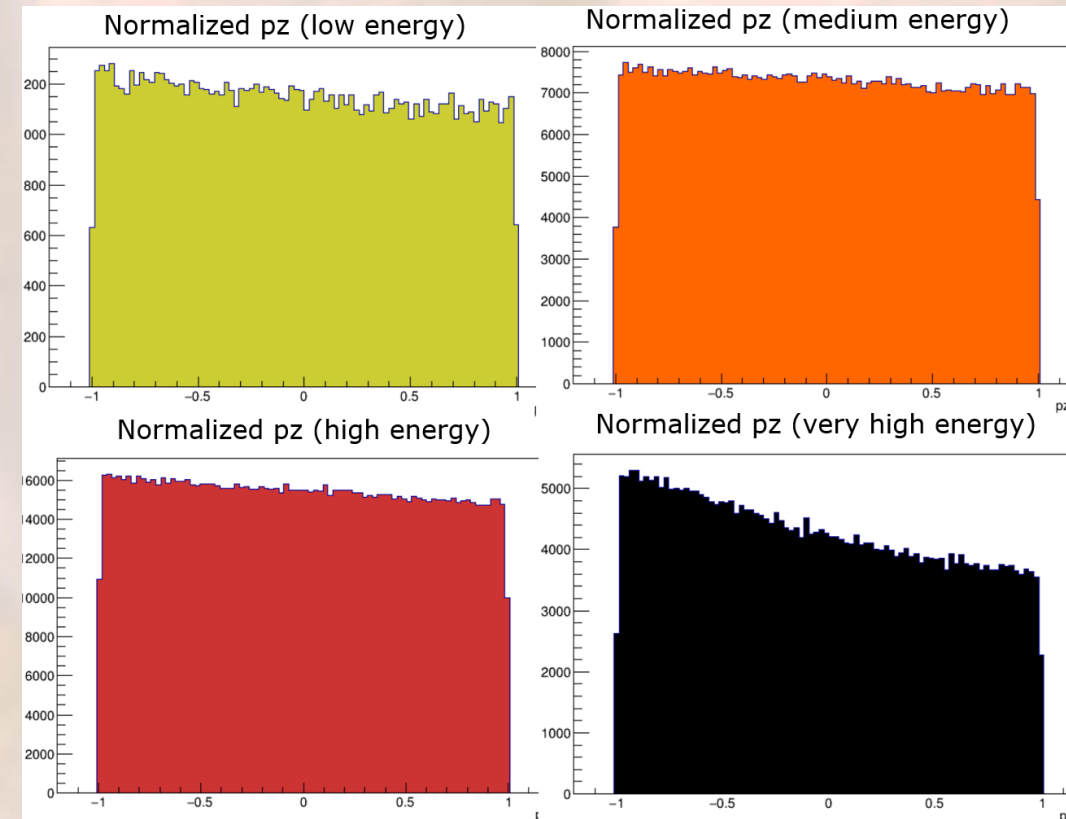
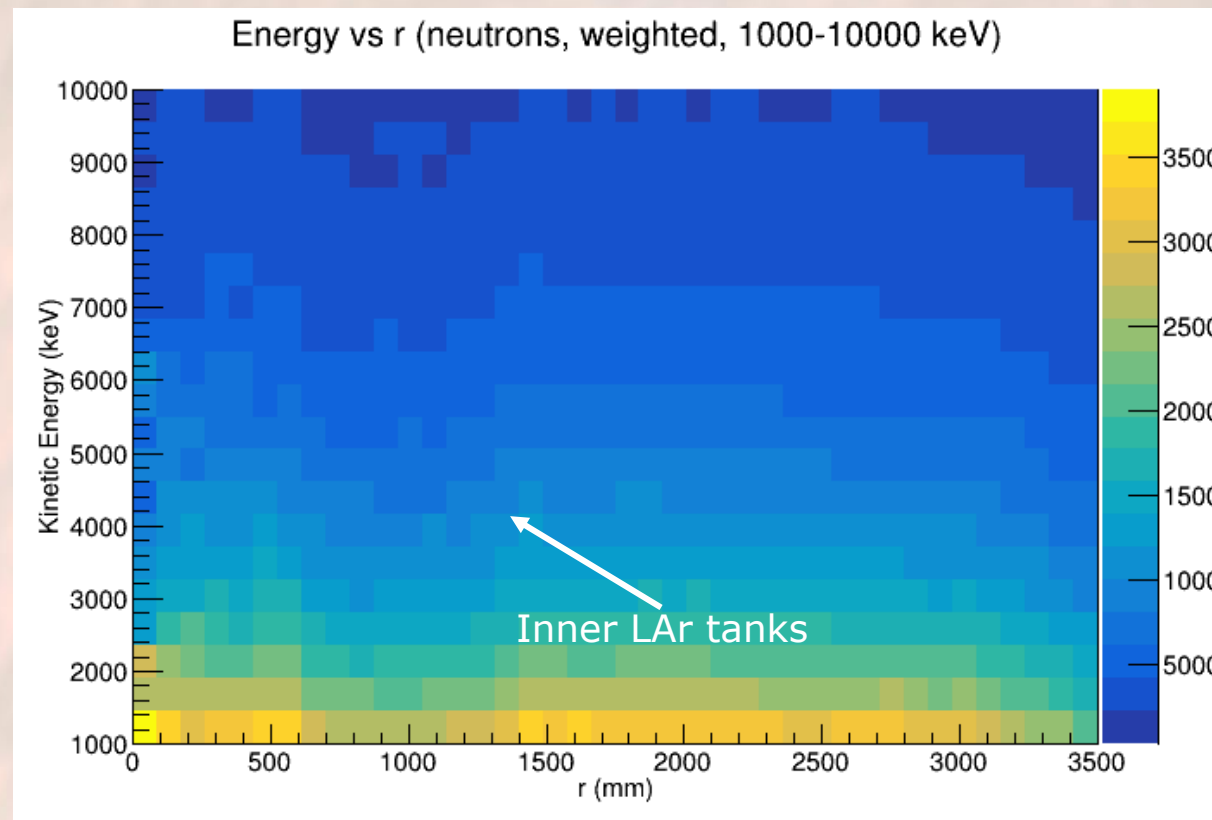
# Other cosmogenic isotopes

- All other isotopes with delayed decay times produced at less than half the rate of  $^{77/77m}\text{Ge}$  when *combined*
- $\beta^+$  decay isotopes produce annihilation gammas and are rejected efficiently
- Electron capture decay isotopes produce x-rays which should be detectable

isotope	natural detectors ( $10^{-2}$ cts /keV/t/yr)	enriched detectors ( $10^{-2}$ cts /keV/t/yr)
$^{58}\text{Co}$	0.02	< 0.01
$^{60}\text{Co}$	0.09	0.01
$^{61}\text{Cu}$	0.02	< 0.01
$^{62}\text{Cu}$	0.17	0.08
$^{66}\text{Cu}$	0.22	0.16
$^{62}\text{Zn}$	0.26	< 0.01
$^{63}\text{Zn}$	0.19	< 0.01
$^{71}\text{Zn}$	0.2	0.02
$^{73}\text{Zn}$	0.04	0.15
$^{74}\text{Zn}$	0.01	0.04
$^{66}\text{Ga}$	0.75	0.2
$^{68}\text{Ga}$	4.94	0.27
$^{70}\text{Ga}$	0.12	< 0.01
$^{72}\text{Ga}$	0.28	1.07
$^{73}\text{Ga}$	0.47	1.00
$^{74}\text{Ga}$	0.03	0.11
$^{75}\text{Ga}$	2.19	1.18
$^{76}\text{Ga}$	0.05	0.19
$^{66}\text{Ge}$	0.03	0.01
$^{67}\text{Ge}$	0.6	0.15
$^{69}\text{Ge}$	3.29	0.03
$^{77/77m}\text{Ge}$	2.55	9.56

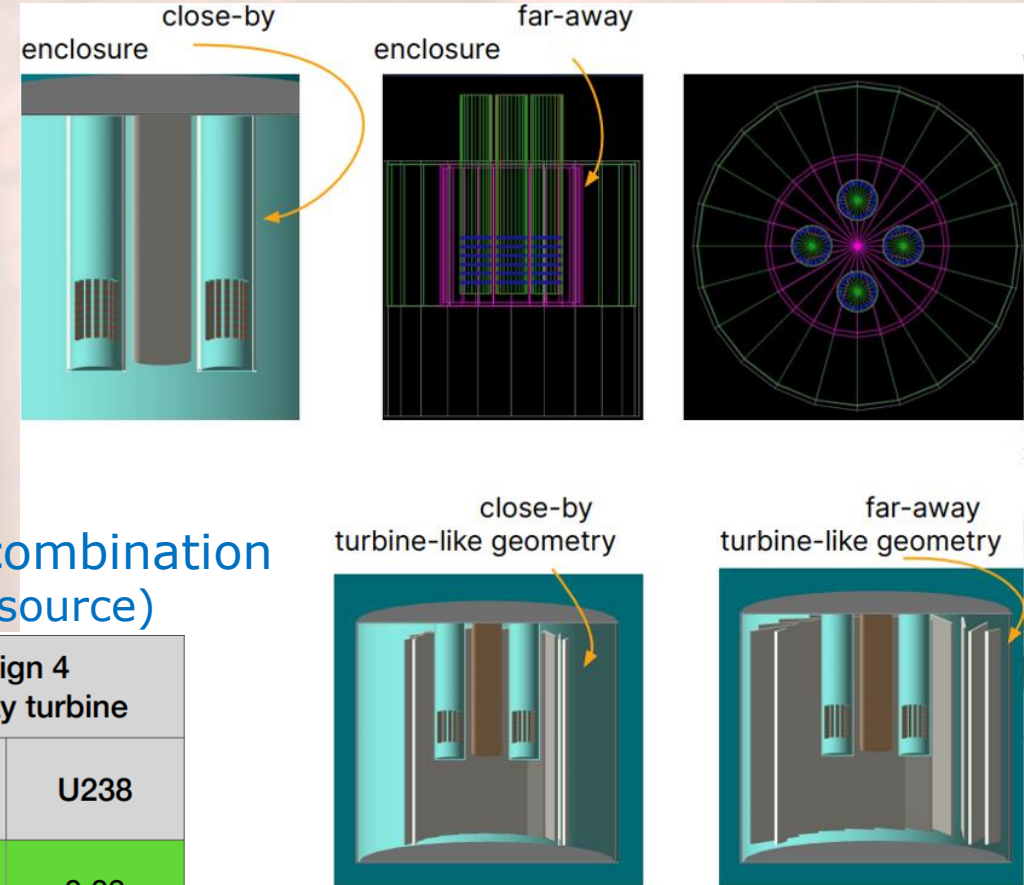
# Neutron distributions in G4/MCNP

- To simplify simulation, radial position and angular momentum distributions were assumed to have no features
- Inner LAr tanks not tallied
- Assumption accurate to within  $\sim 20\%$



# Optimizing solid shield designs

- Best configuration reduces cosmogenic backgrounds by a factor of  $\sim 2$ , while introducing an additional  $\sim 3\%$  radiogenic background



Radiogenic background contribution of each design/material combination (expressed as fraction of total allowable background from each source)

	Design 1 Close-by enclosure		Design 2 Far away enclosure		Design 3 Close-by turbine		Design 4 Far away turbine	
	Th232	U238	Th232	U238	Th232	U238	Th232	U238
(Borated) PE	18	48	0.6	0.9	0.3	0.4	0.04	0.03
PMMA (DEAP)	110	15	4	0.3	2	0.1	0.2	0.01
PEN	23	10	0.8	0.2	0.4	0.09	0.05	7E-03
PMMA (JUNO)	0.9	1.8	0.03	0.03	0.02	0.02	2E-03	1E-03

Big Cylinder + JUNO Acrylic