

# A Status Update on *Ab Initio* Calculations in Nuclear Physics

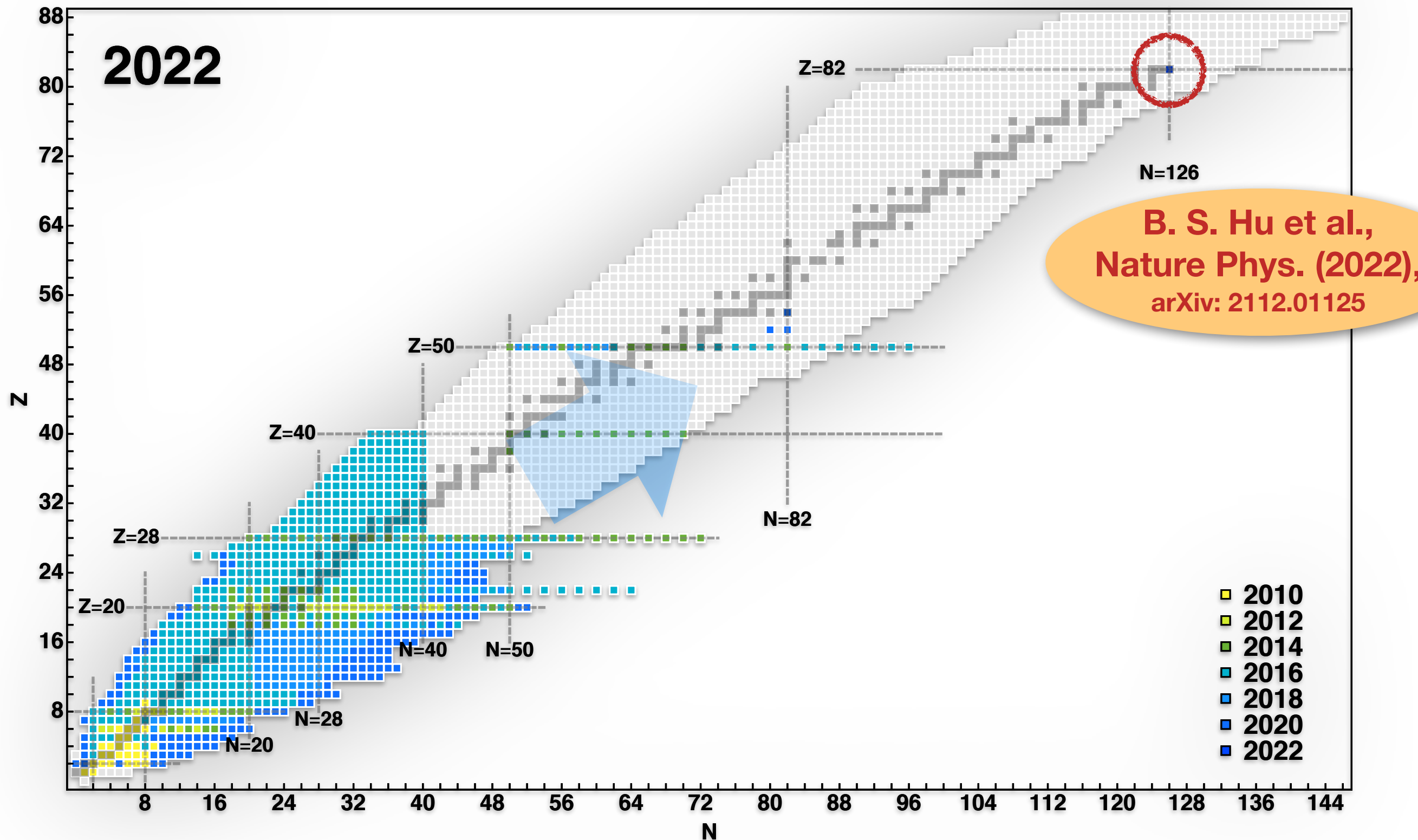
Heiko Hergert  
Facility for Rare Isotope Beams  
& Department of Physics and Astronomy  
Michigan State University



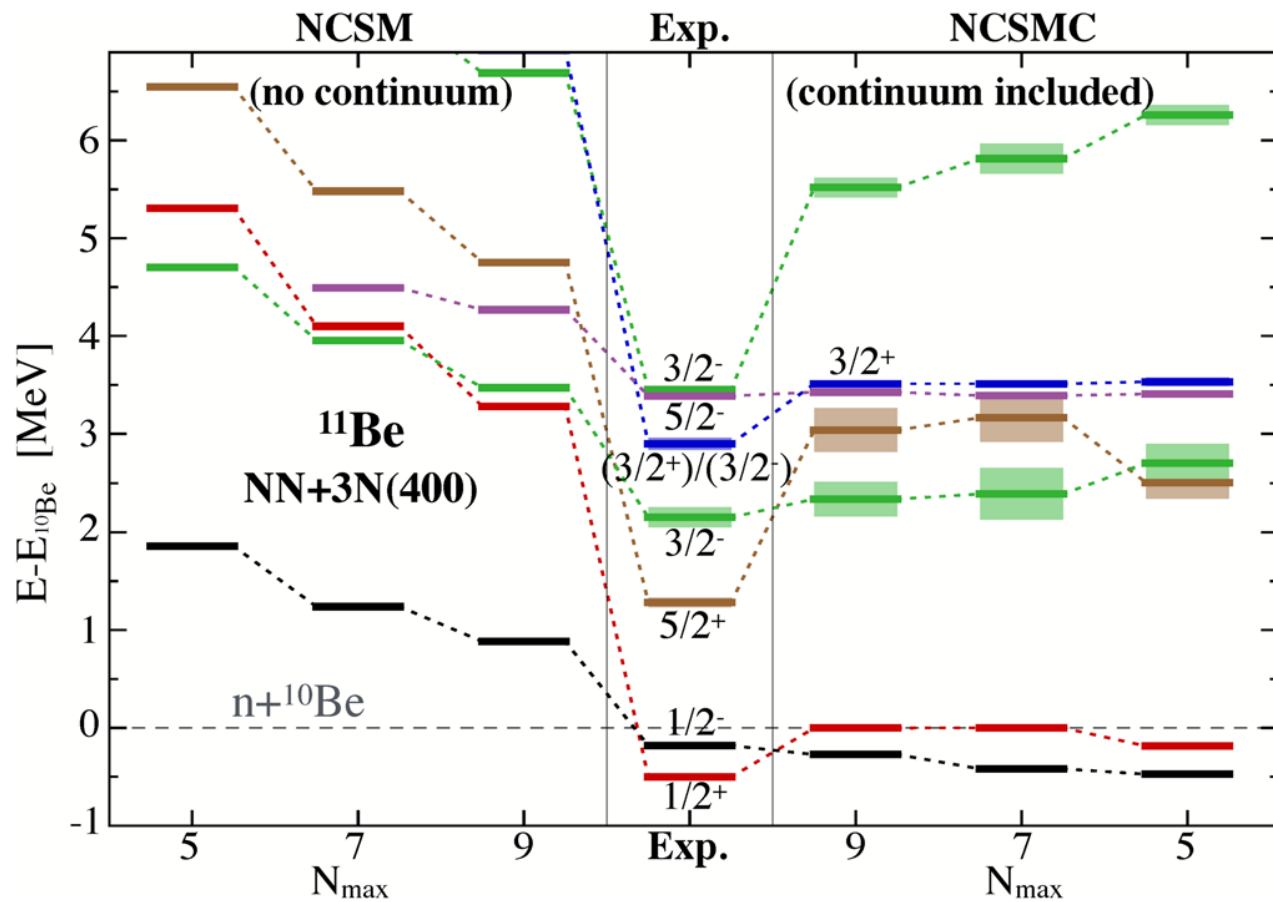
# Progress in *Ab Initio* Calculations



[ cf. HH, *Front. Phys.* 8, 379 (2020) ]



# Progress in *Ab Initio* Calculations



## No-Core Shell Model with Continuum

Calci et al., PRL 117, 242501

Hupin et al., Nature Comm. 10, 351

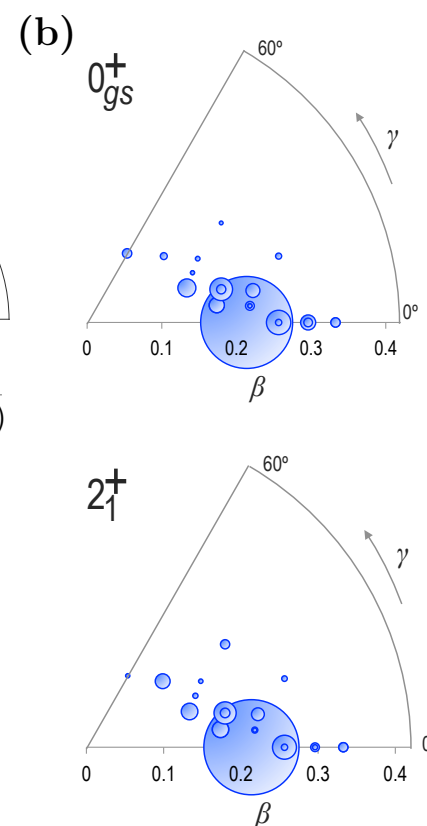
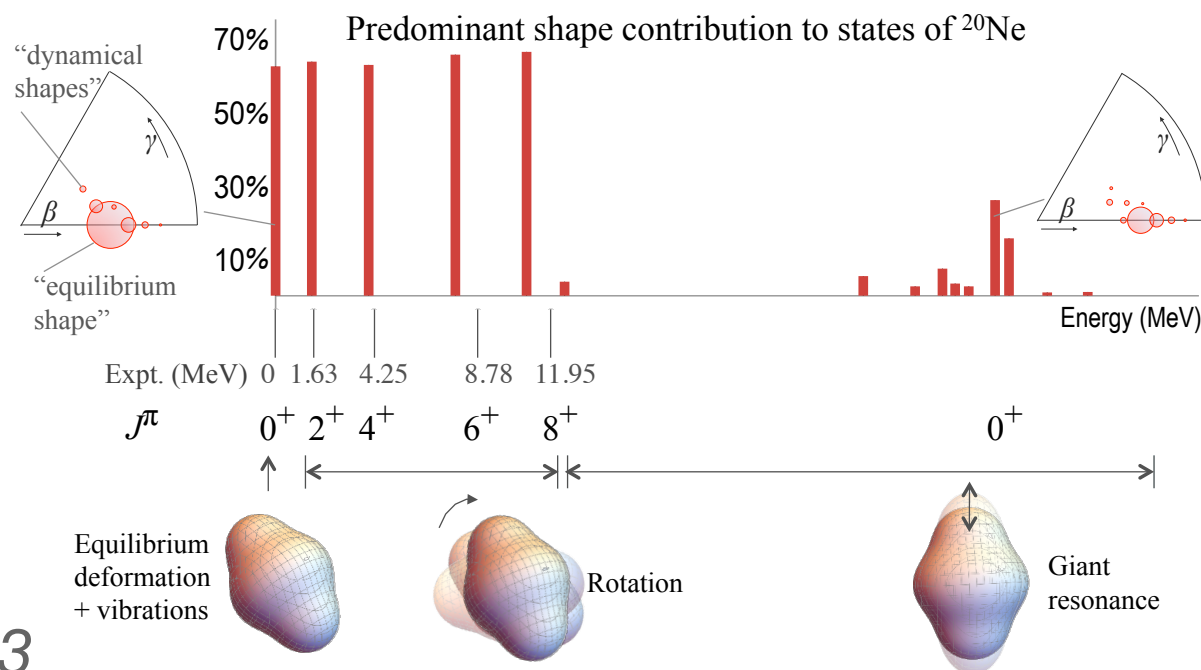
Hebborn et al., PRL 124, 042503

## Symmetry-Adapted NCSM

Dytrych et al., PRL 124, 042501

Burkey et al., PRL 128, 202502

Sargsyan et al., PRL 128, 202503

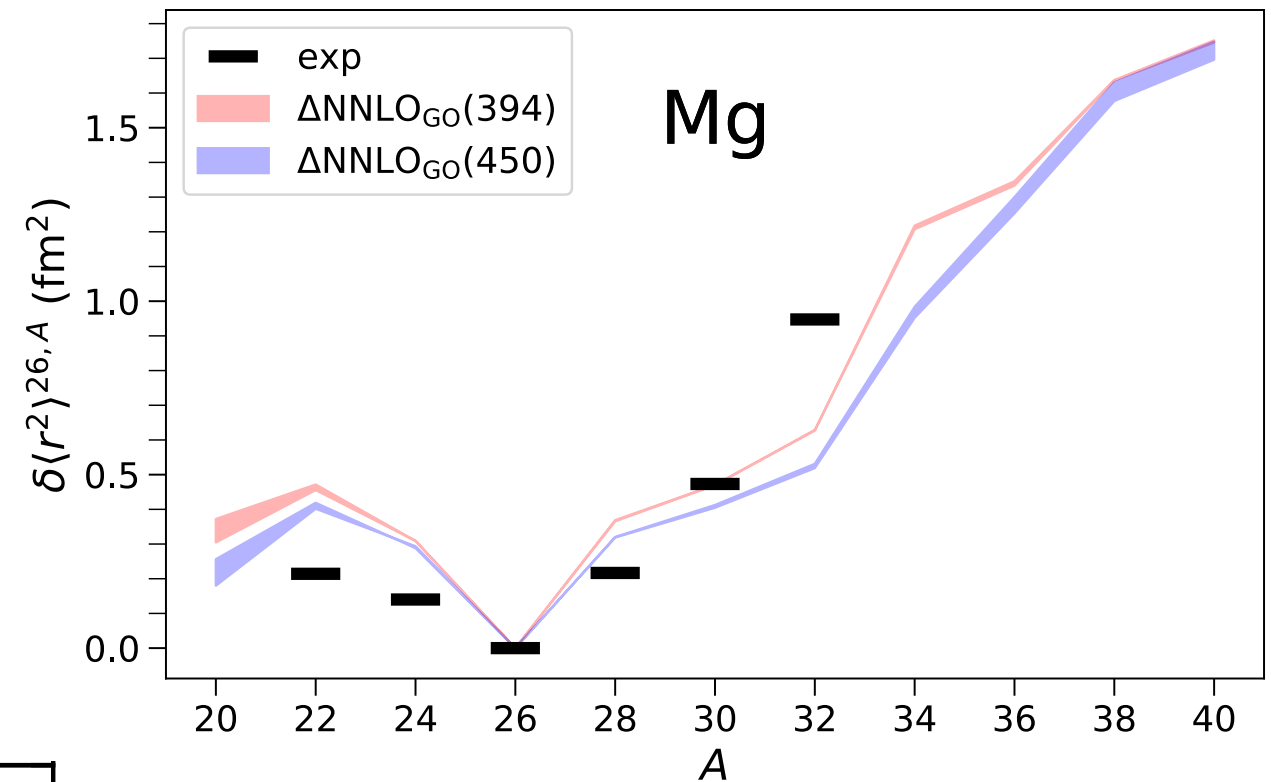
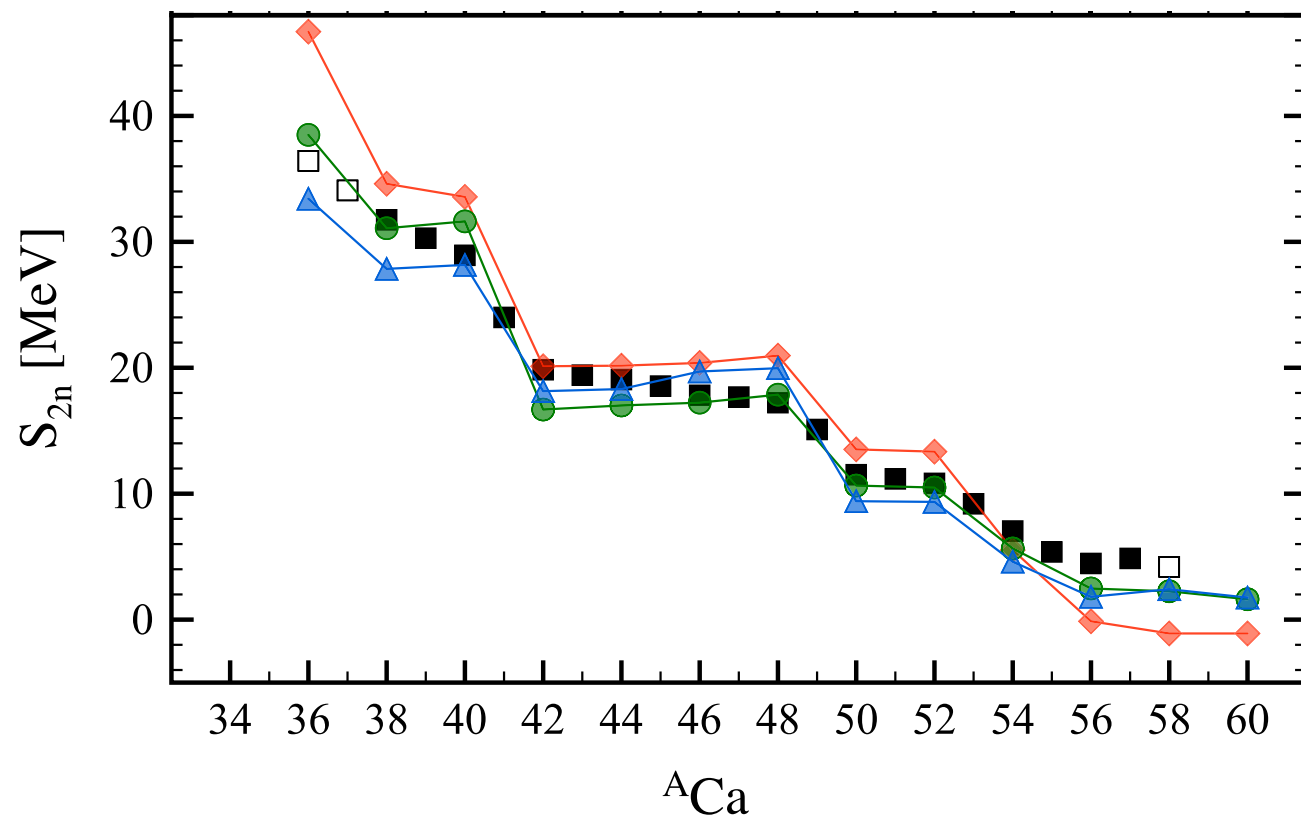


## Coupled Cluster (with deformation)

*Novario et al., PRC 102, 051303*

*Bonaiti et al., PRC 105, 034313*

*Hagen et al., PRC 105, 064311*

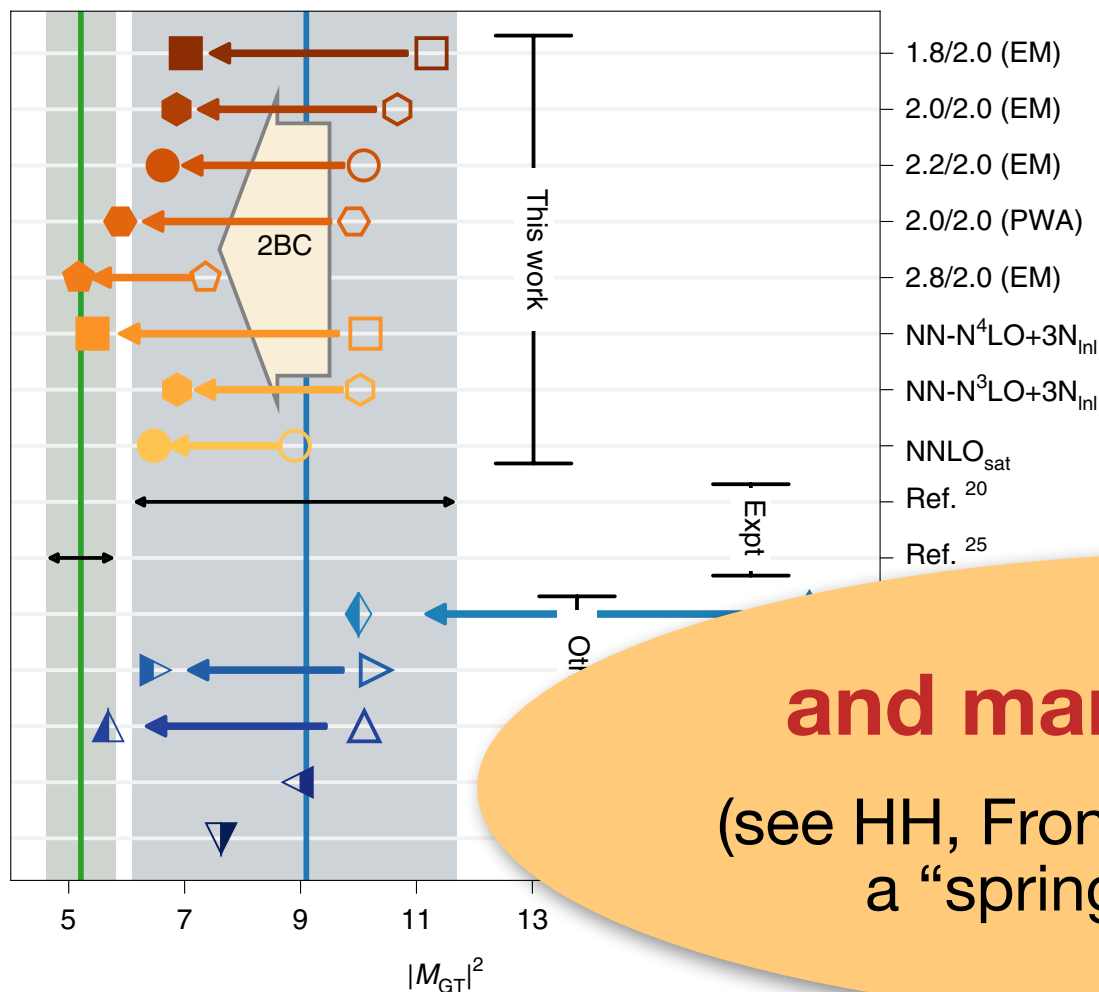


## Gor'kov Greens Functions

*Somà et al., PRC 101, 014318*



# Progress in *Ab Initio* Calculations



## NCSM, CC & Valence-Space In-Medium Similarity RG

*Gysbers et al., Nature Phys. 15, 428*

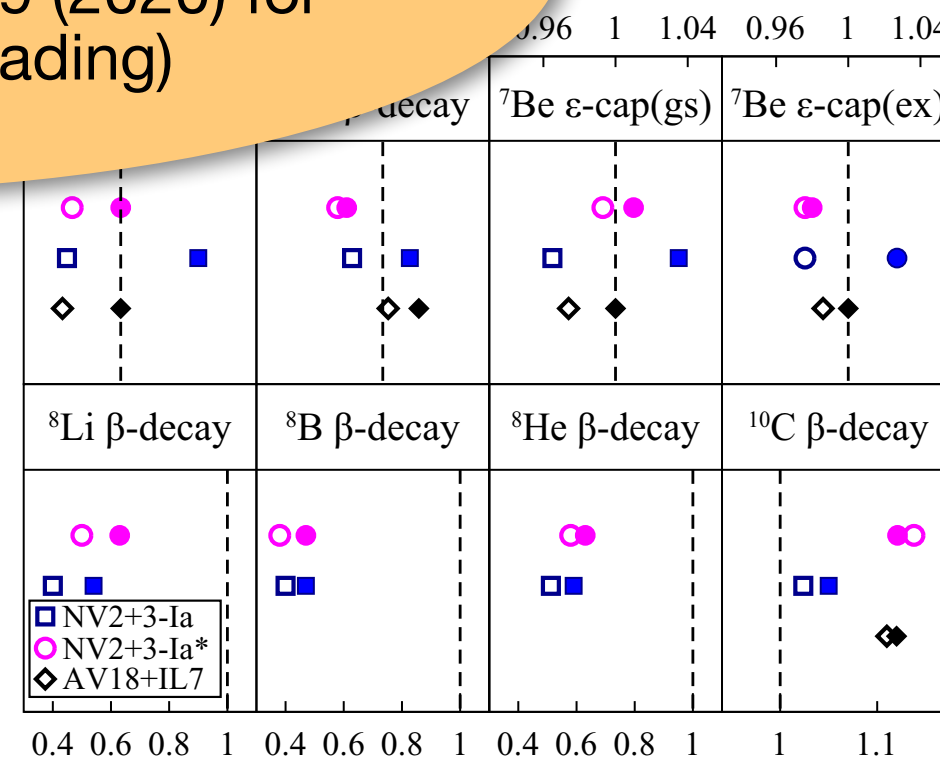
and many, many more...

(see HH, Front. Phys. 8, 379 (2020) for a "springboard" for reading)

cf. talk by S. Gandolfi

## Green's Function Monte Carlo

*King et al., PRC 102, 025501*  
*King et al., arXiv: 2207.11179*



# (Multi-Reference) In-Medium Similarity Renormalization Group

HH, Phys. Scripta **92**, 023002 (2017)

HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tsukiyama, Phys. Rept. **621**, 165 (2016)

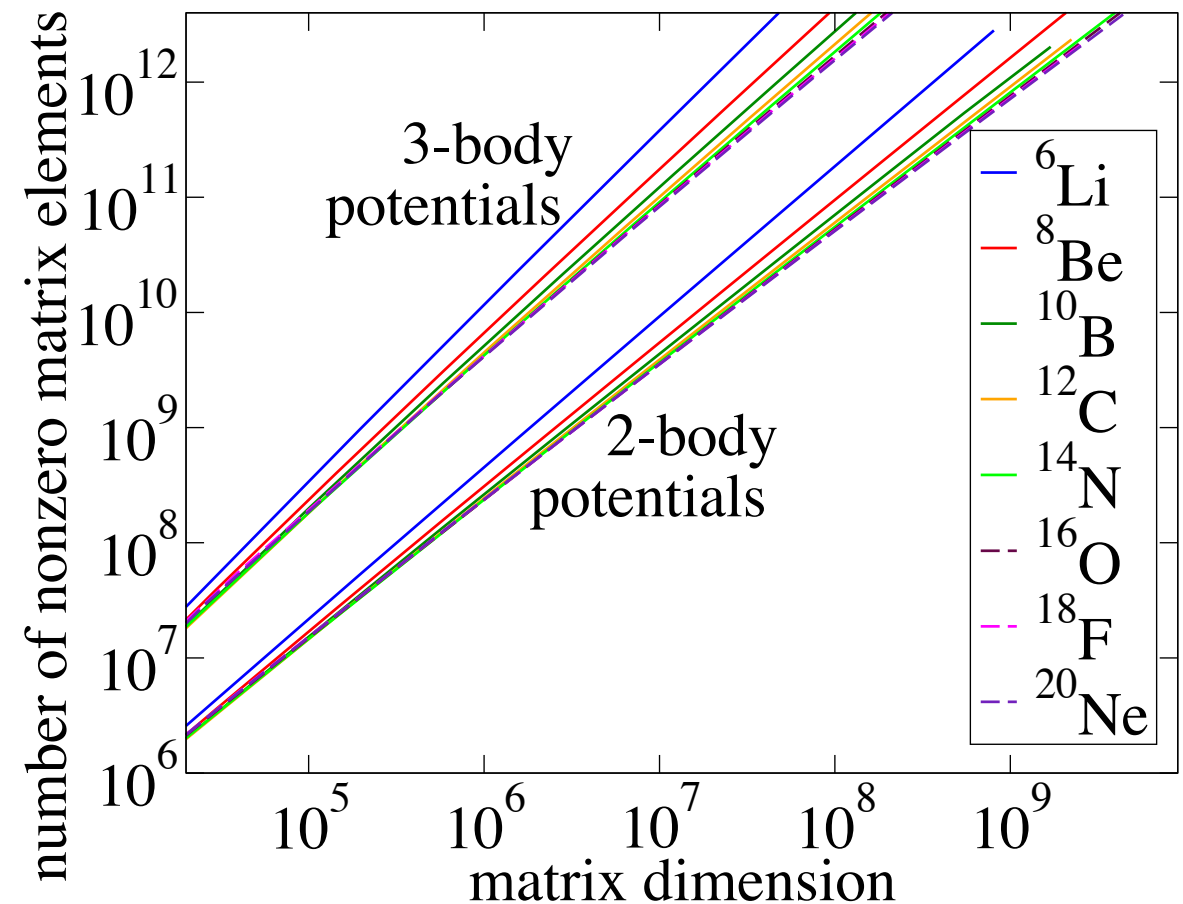
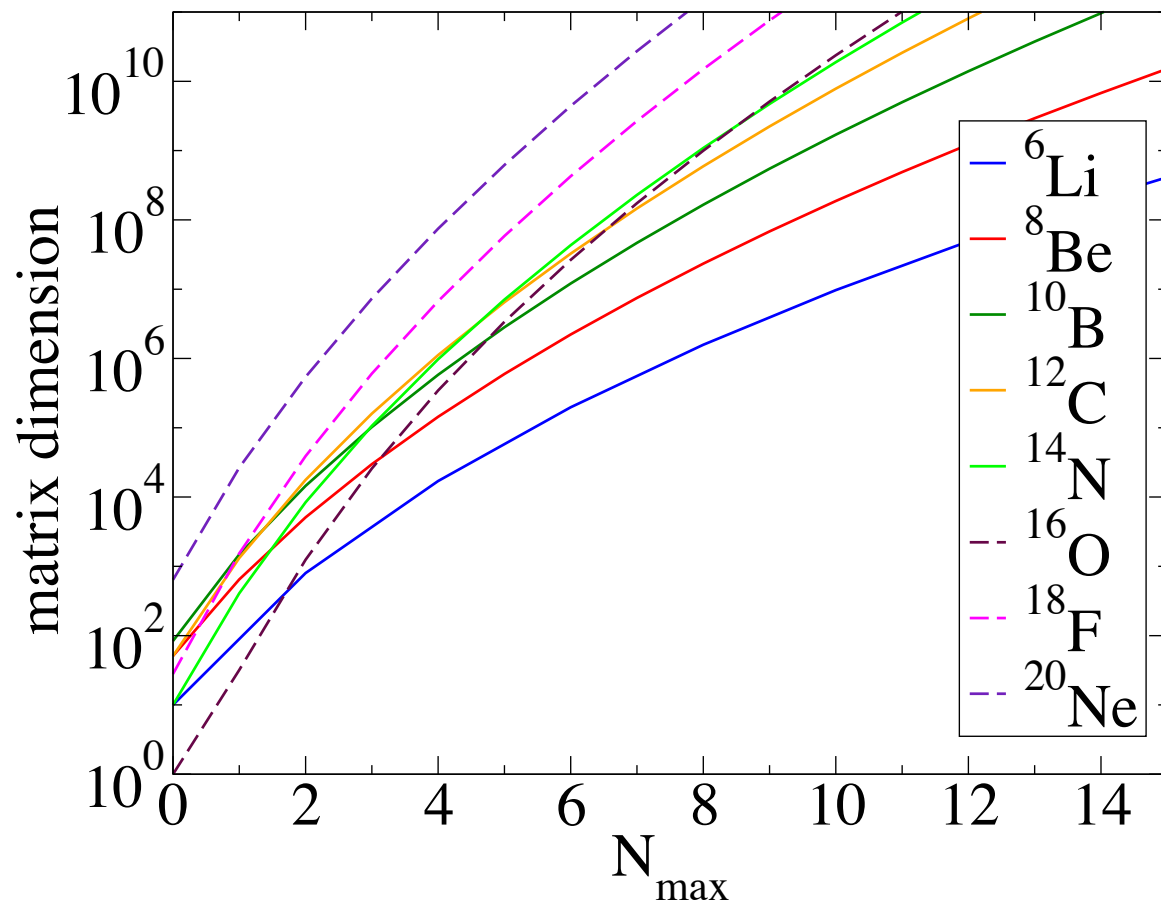
HH, S. K. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C **90**, 041302 (2014)

HH, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

K. Tsukiyama, S. K. Bogner, A. Schwenk, PRL **106**, 222502 (2011)

S. K. Bogner, R. J. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. **65**, 94

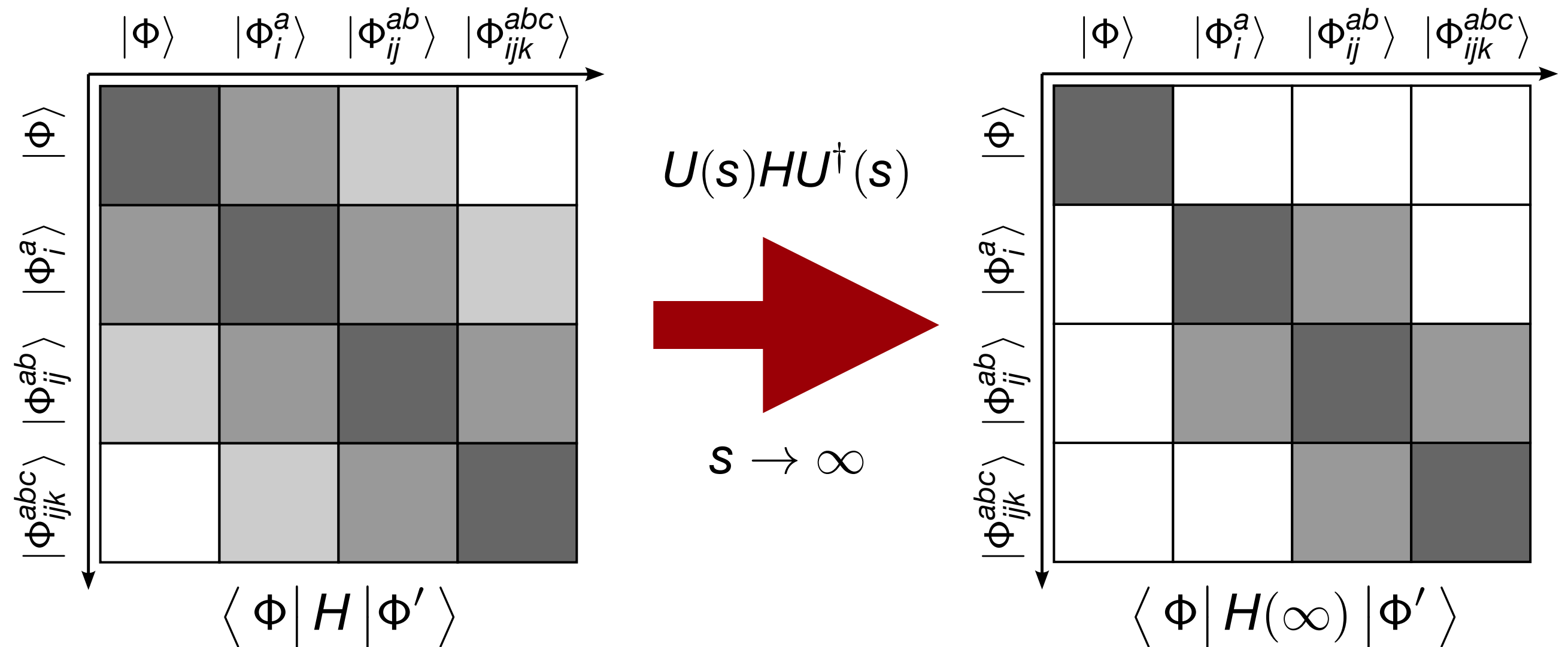
# Large-Scale Diagonalization



from: C. Yang, H. M. Aktulga, P. Maris, E. Ng, J. Vary, Proceedings of NTSE-2013

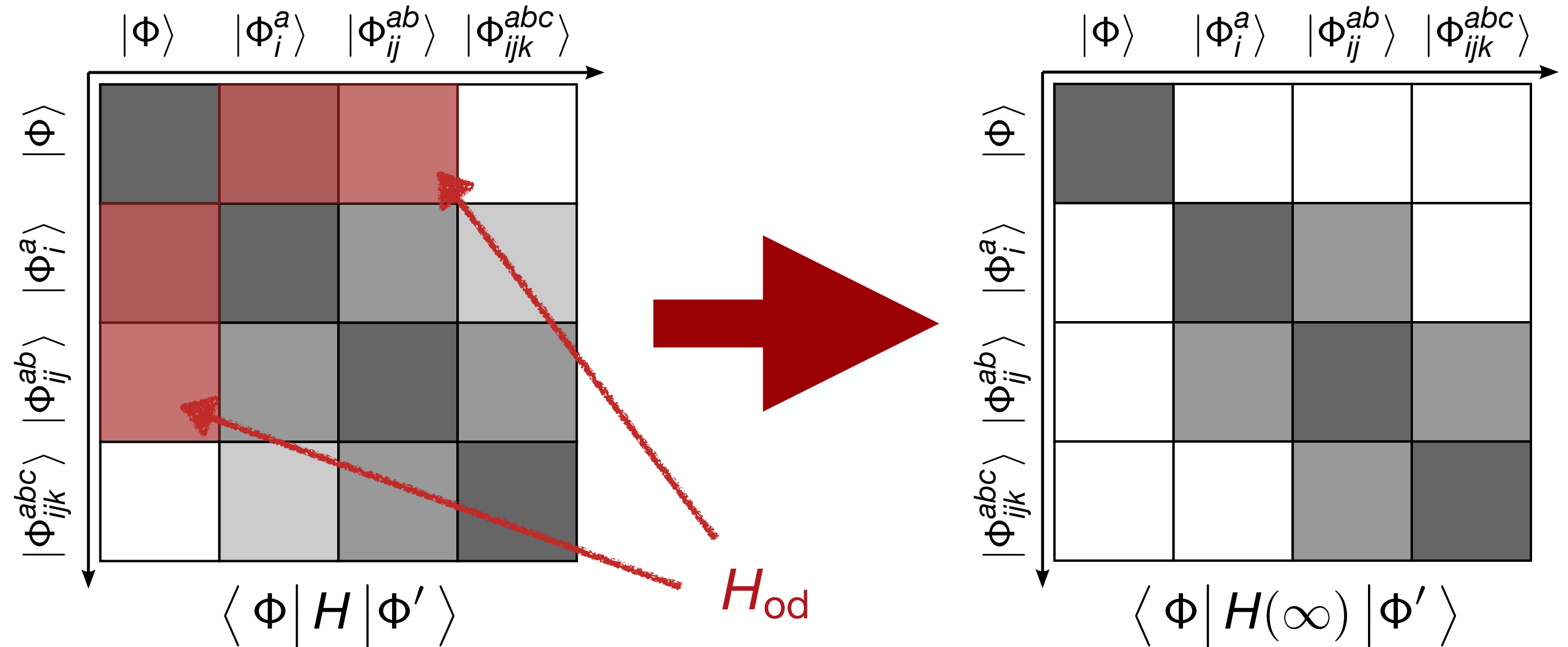
- basis-size “explosion”: **exponential growth**
- **importance truncation** etc. cannot fully compensate this growth as  $A$  increases

# Decoupling in A-Body Space



**goal:** decouple reference state  $|\Phi\rangle$   
from excitations

# Flow Equation



$$\frac{d}{ds} H(s) = [\eta(s), H(s)],$$

Operators truncated at **two-body level** - matrix is never constructed explicitly!

# IMSRG-Improved Methods



**XYZ**  
define  
reference

\* mean field or  
**explicitly correlated**

**IMSRG**  
evolve  
operators

**XYZ**  
extract  
observables

Could add  
**self-consistency.**

# IMSRG-Improved Methods



- **IMSRG for closed and open-shell nuclei: IM-HF and IM-PHFB**

- HH, Phys. Scripta, Phys. Scripta 92, 023002 (2017)
- HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskuyama, Phys. Rept. 621, 165 (2016)

- **Valence-Space IMSRG (VS-IMSRG)**

- S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, Ann. Rev. Nucl. Part. Sci. 69, 165

- **In-Medium No Core Shell Model (IM-NCSM)**

- E. Gebrerufael, K. Vobig, HH, R. Roth, PRL 118, 152503

- **In-Medium Generator Coordinate Method (IM-GCM)**

- J. M. Yao, J. Engel, L. J. Wang, C. F. Jiao, HH PRC 98, 054311 (2018)
- J. M. Yao et al., PRL 124, 232501 (2020)

XYZ  
define  
reference

IMSRG  
evolve  
operators

XYZ  
extract  
observables



# Merging IMSRG and CI: Valence-Space IMSRG

## **Review:**

S. R. Stroberg, HH, S. K. Bogner, and J. D. Holt, *Ann. Rev. Part. Nucl. Sci.* **69**, 165 (2019)

## **Full CI:**

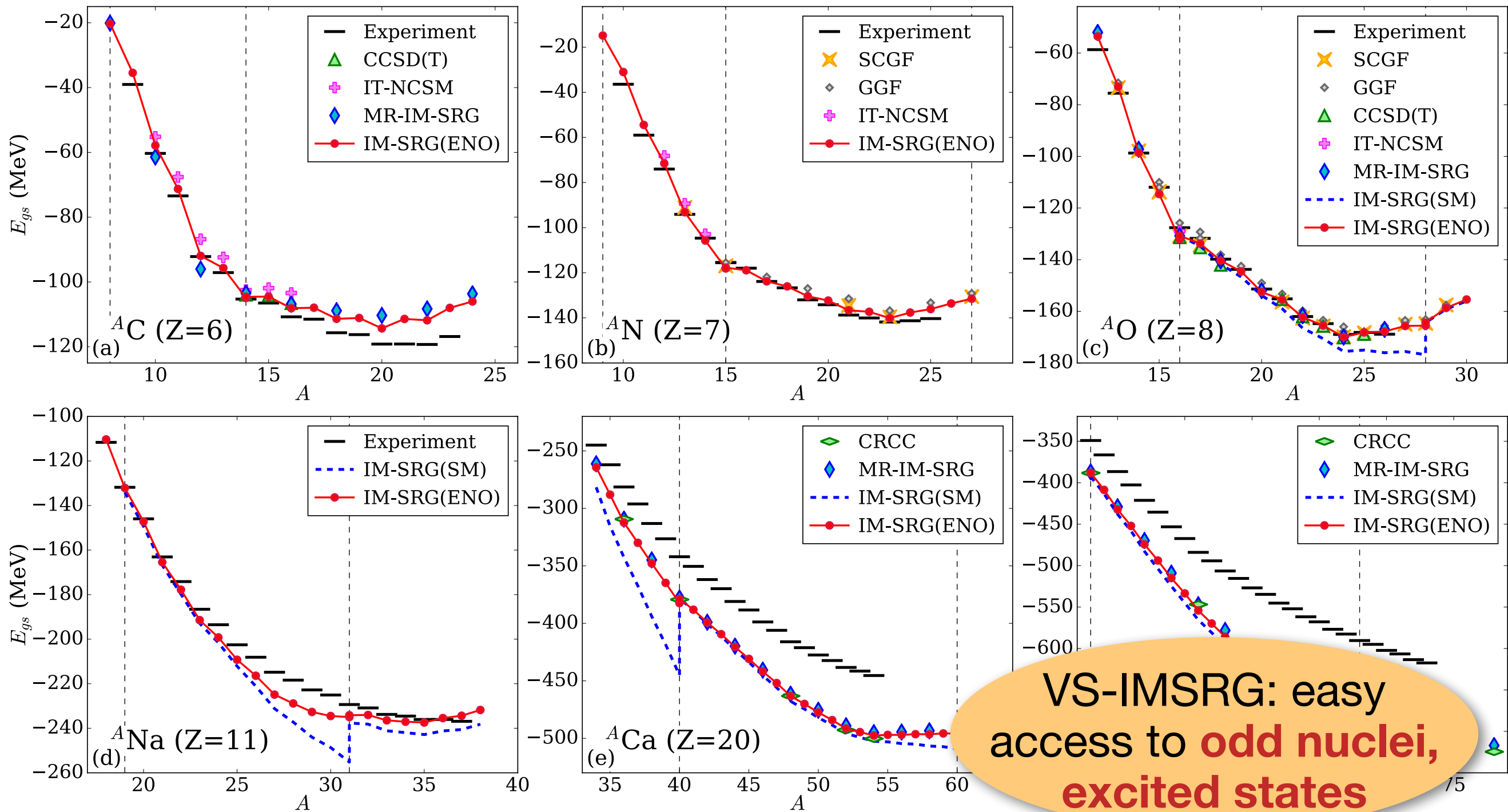
E. Gebrerufael, K. Vobig, HH, and R. Roth, *Phys. Rev. Lett.* **118**, 152503 (2017)

# Ground-State Energies



S. R. Stroberg, A. Calci, HH, J. D. Holt, S. K. Bogner, R. Roth, A. Schwenk, *PRL* **118**, 032502 (2017)

S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, *Ann. Rev. Part. Nucl. Sci.* **69**, 307 (2019)

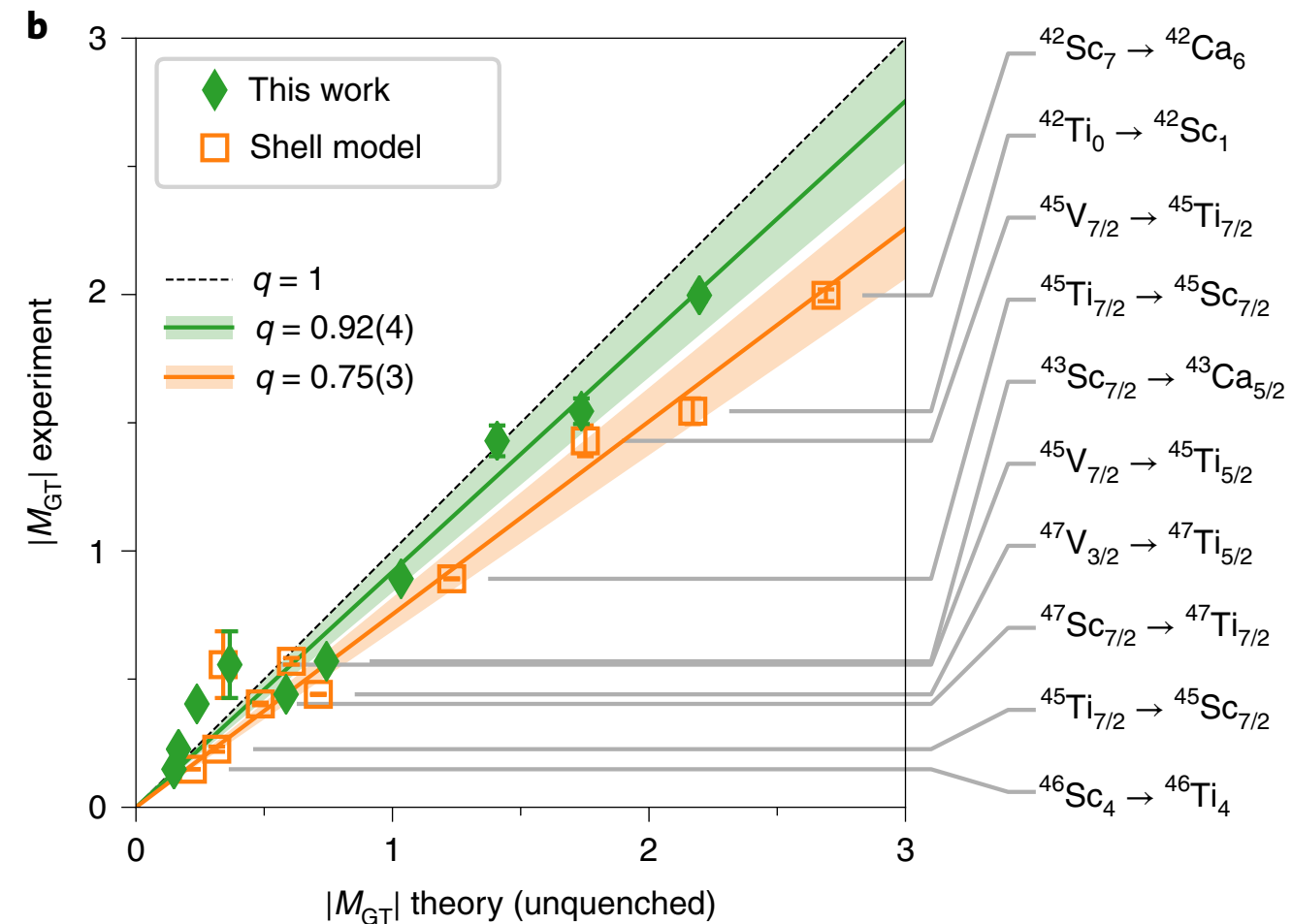
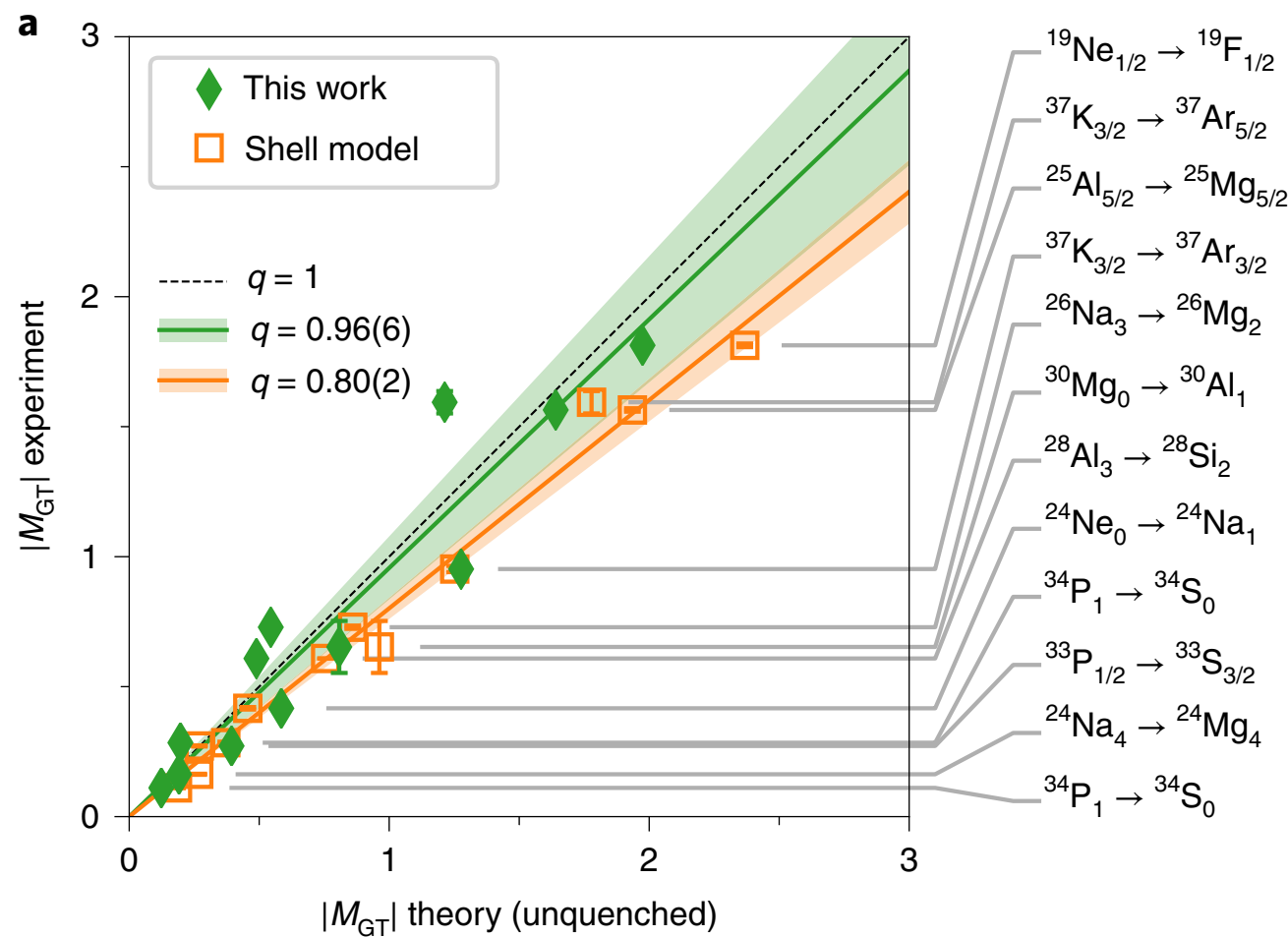


**VS-IMSRG: easy access to odd nuclei, excited states**

# Quenching of Gamow-Teller Decays



*P. Gysbers et al., Nature Physics 15, 428 (2019)*



- **empirical Shell model** calculations require **quenching factors** of the weak axial-vector coupling  $g_A$
- **VS-IMSRG** explains this through consistent **renormalization** of transition operator, incl. **two-body currents**

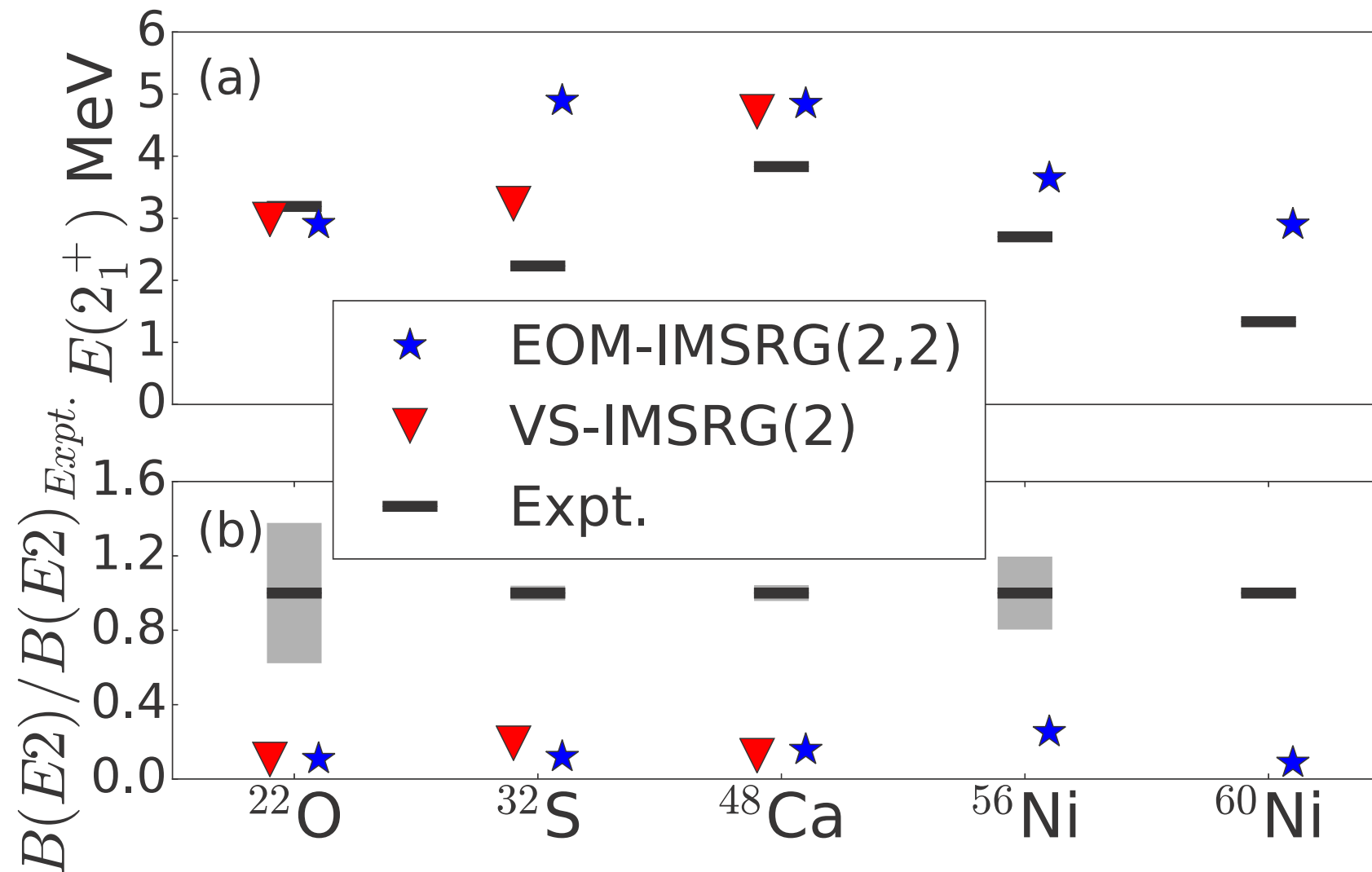
# Transitions



N. M. Parzuchowski, S. R. Stroberg et al., *PRC* **96**, 034324

S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, *Ann. Rev. Part. Nucl. Sci.* **69**, 307 (2019)

S. R. Stroberg et al. *PRC* **105**, 034333 (2022)

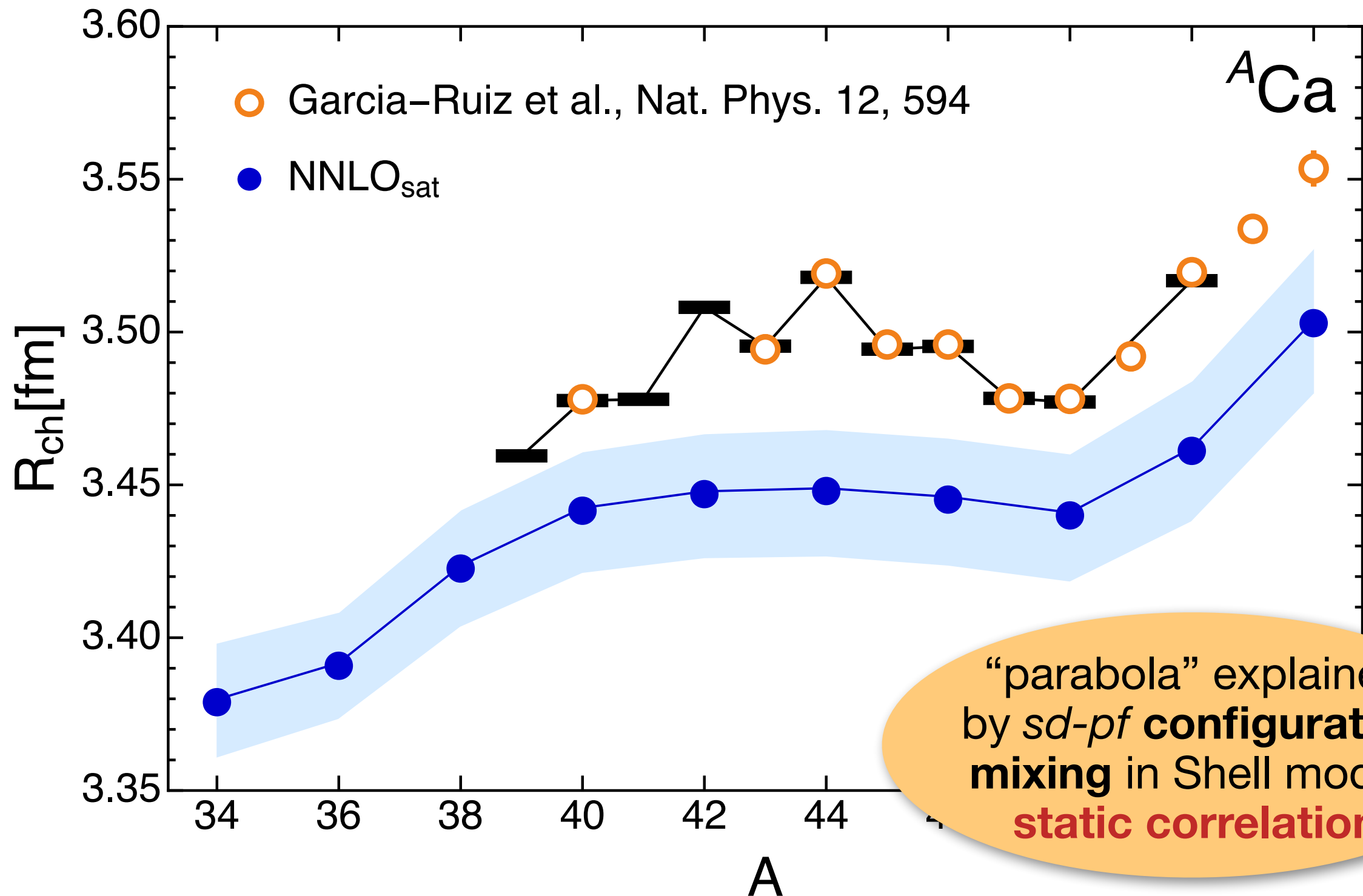


- **$B(E2)$  much too small:** missing collectivity due to intermediate 3p3h, ... states that are truncated in IMSRG evolution (**static correlation**)

# Calcium Isotopes



HH, Front. Phys. 8, 379 (2020)



# Capturing Collective Correlations: In-Medium Generator Coordinate Method

J. M. Yao, A. Belley, R. Wirth, T. Miyagi, C. G. Payne, S. R. Stroberg, HH, J. D. Holt, PRC **103**, 014315 (2021)

J. M. Yao, B. Bally, J. Engel, R. Wirth, T. R. Rodriguez, HH, PRL **124**, 232501 (2020)

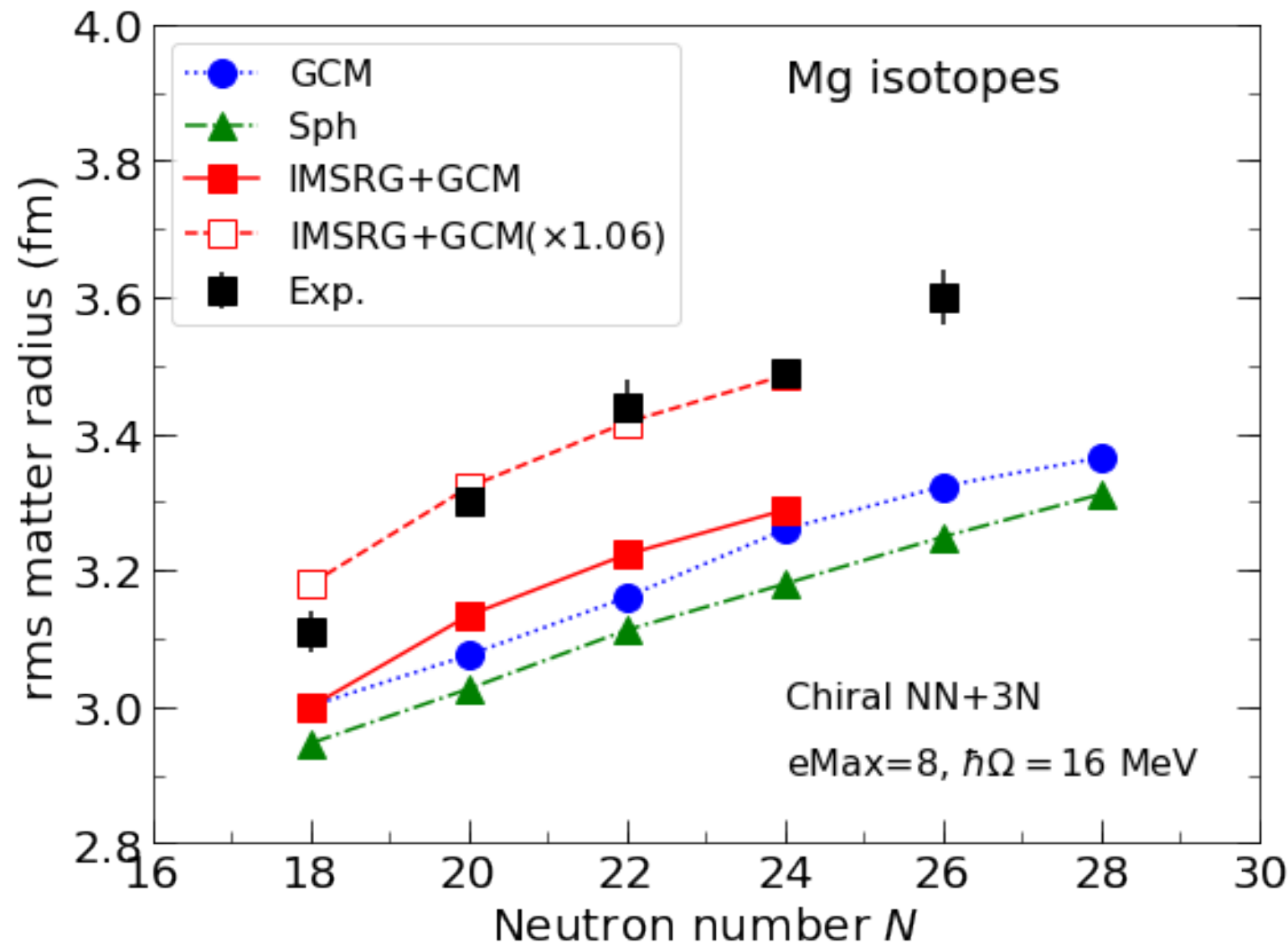
J. M. Yao, J. Engel, L. J. Wang, C. F. Jiao, H. H., PRC **98**, 054311 (2018)

HH, J. M. Yao, T. D. Morris, N. M. Parzuchowski, S. K. Bogner and J. Engel, J. Phys. Conf. Ser. 1041, 012007 (2018)

# Magnesium Isotopes



J. M. Yao, HH, in preparation



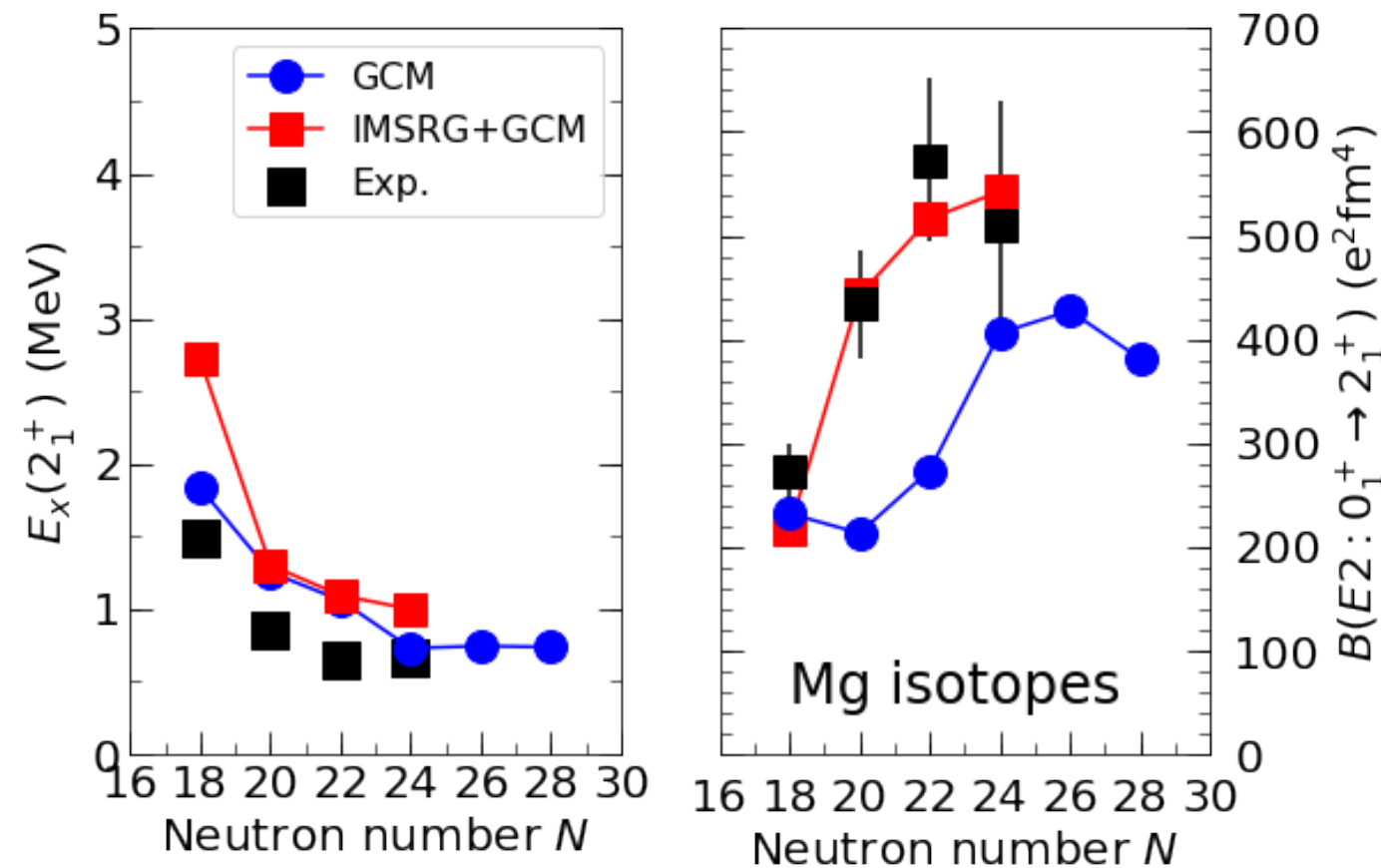
- note **improvement of rms radius trend** from IM-GCM
- global shifts (and/or rotation around “pivot”) often associated with cutoff dependence of interactions



# Magnesium Isotopes



*J. M. Yao, HH, in preparation*

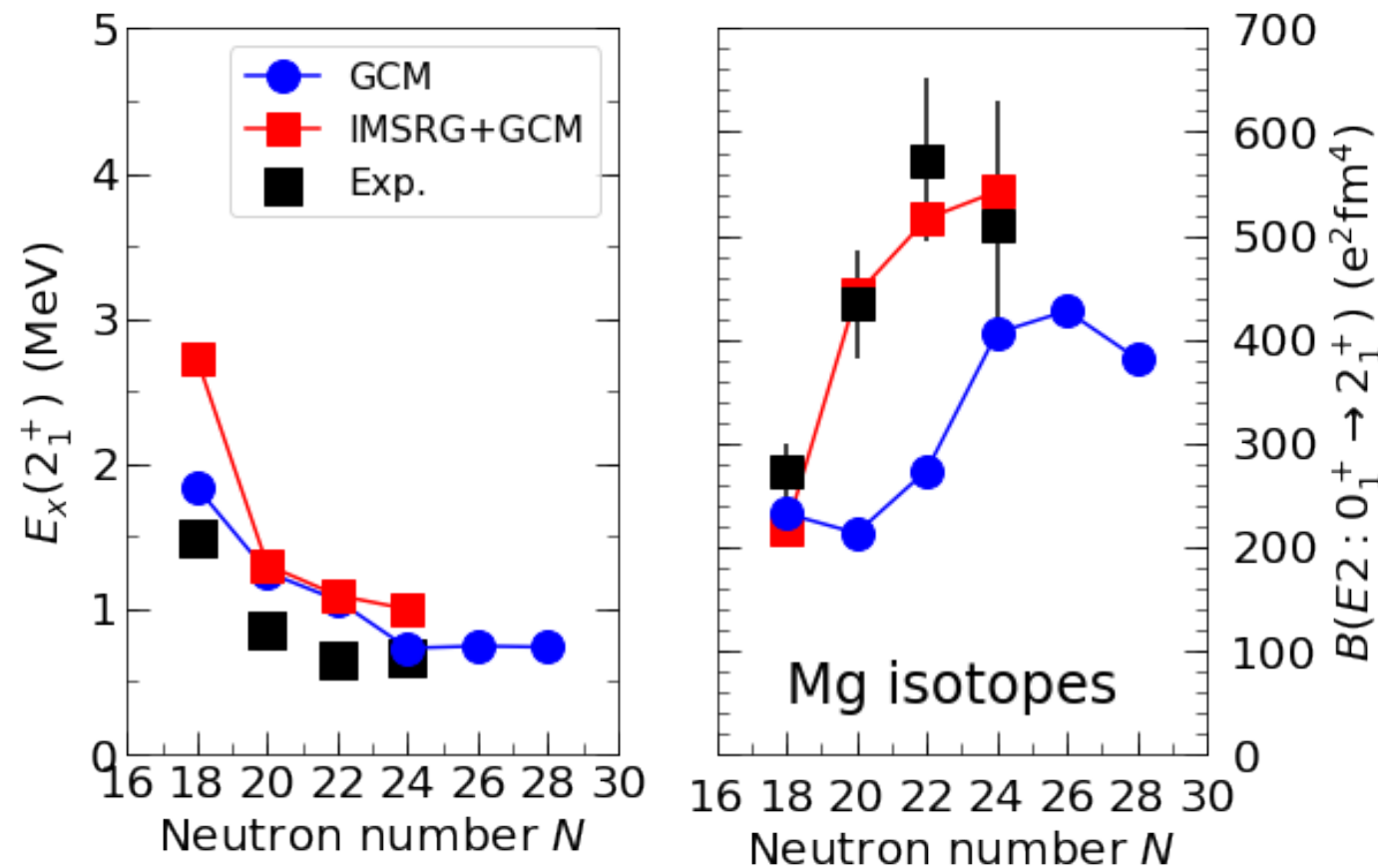


- much **improved  $B(E2)$**  values compared to standard GCM or VS-IMSRG calculations: IM-GCM captures **dynamical and static correlations!**

# Magnesium Isotopes



J. M. Yao, HH, in preparation



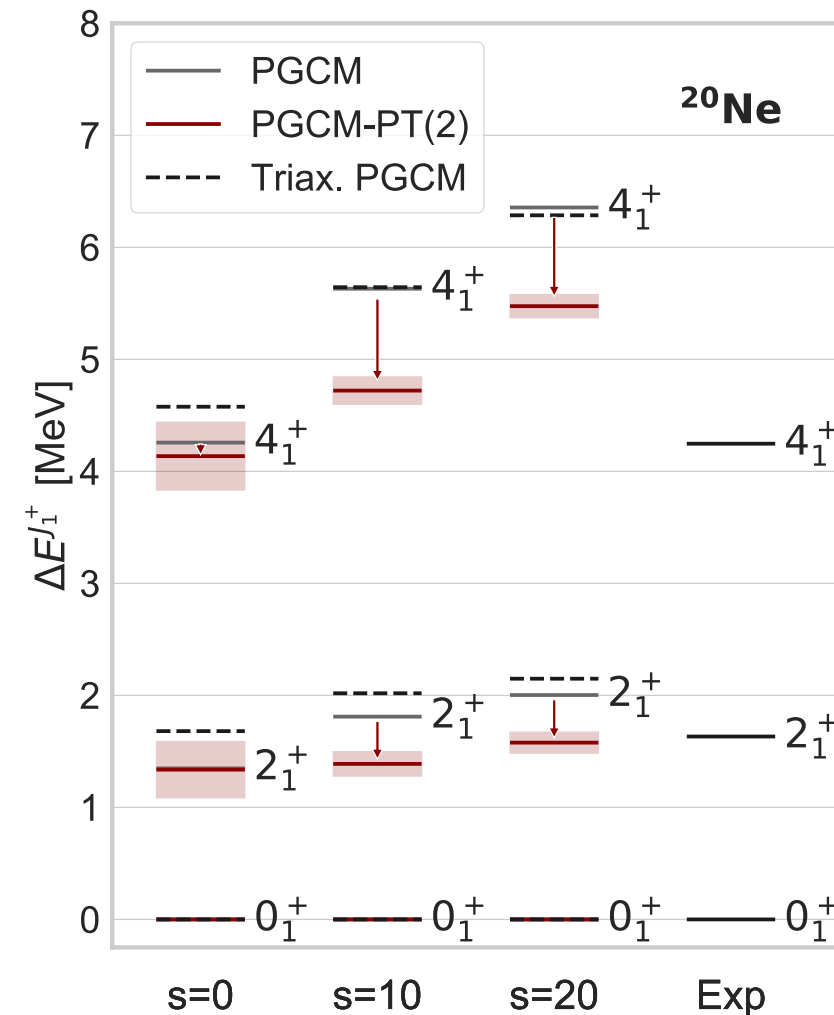
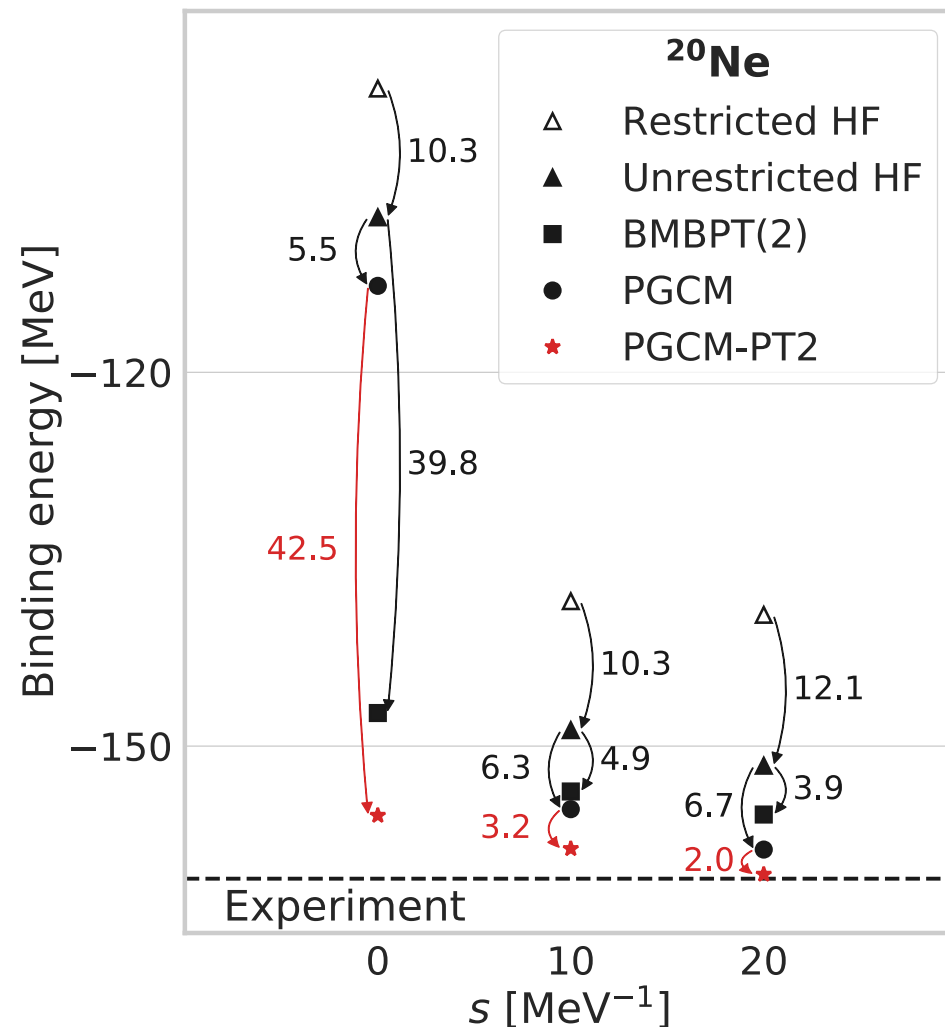
$$O = O^{(1)} \xrightarrow{s \rightarrow \infty} O(s) = O^{(1)}(s) + \underbrace{O^{(2)}(s) + \dots}_{\text{induced contributions}}$$

- induced 2B quadrupole operator is **small (~5%)**, contrary to typical VS-IMSRG (~50%): GCM reference equips operator basis with better capability to capture collectivity

# Perturbative Enhancement of IM-GCM



M. Frosini et al., EPJA 58, 64 (2022)



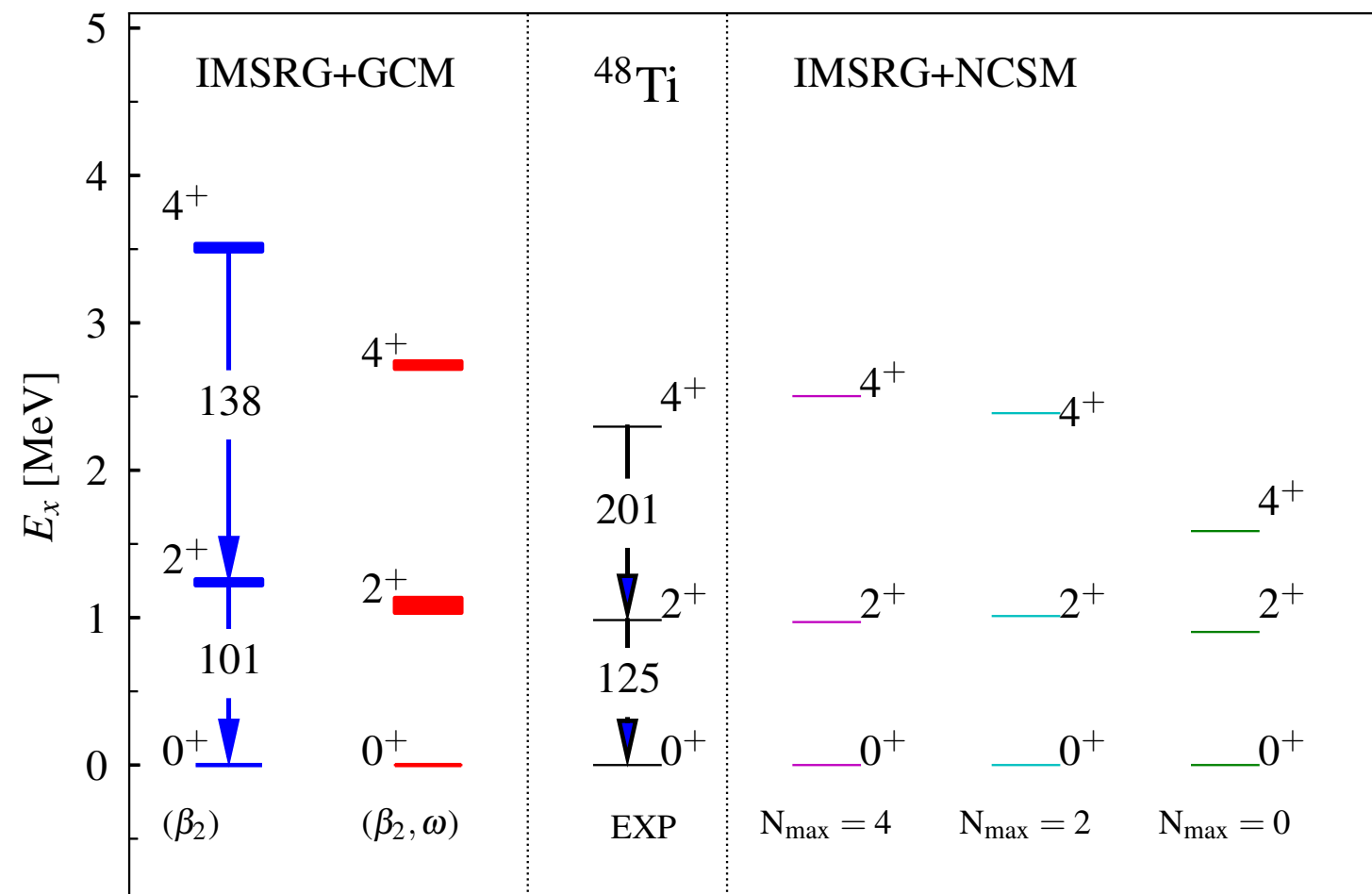
- $s$ -dependence is a **built-in diagnostic tool** for IM-GCM (**not available in phenomenological GCM**)
- if operator and wave function offer sufficient degrees of freedom, evolution of observables is unitary
- need **richer references and/or IMSRG(3)** for certain observables

# IM-GCM: $0\nu\beta\beta$ Decay of $^{48}\text{Ca}$



*J. M. Yao et al., PRL 124, 232501 (2020); HH, Front. Phys. 8, 379 (2020)*

EM1.8/2.0,  $\hbar\Omega = 16$  MeV

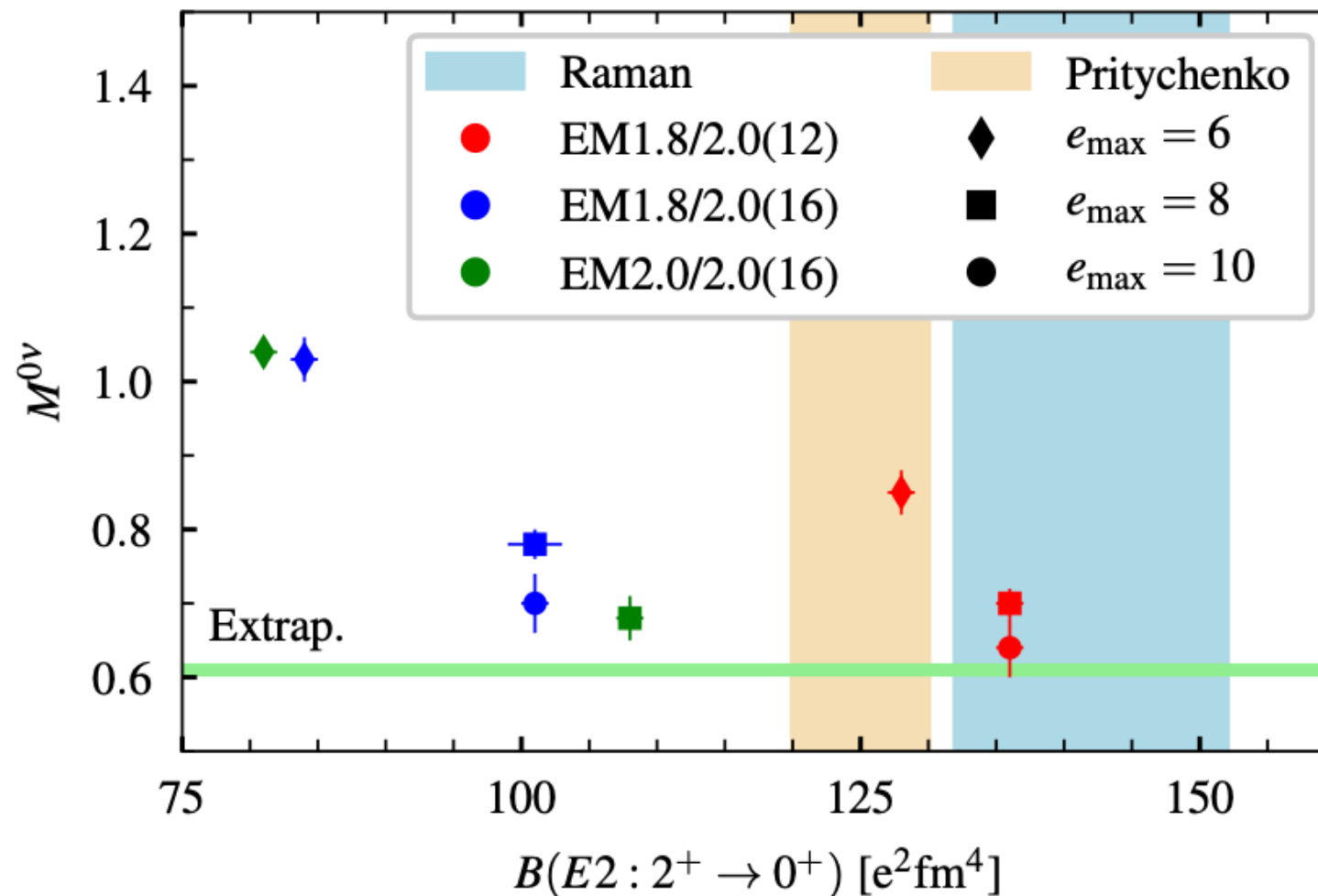


- richer GCM state through **cranking**
- **consistency** between IM-GCM and IM-NCSM

# $0\nu\beta\beta$ Decay of $^{48}\text{Ca}$



*J. M. Yao et al., PRL 124, 232501 (2020); PRC 103, 014315 (2021)*



- NME from different methods **consistent** for consistent interactions & transition operators

(A. Belley et al., PRL 126, 042502, S. Novario et al., PRC 103, 014315 (2021))

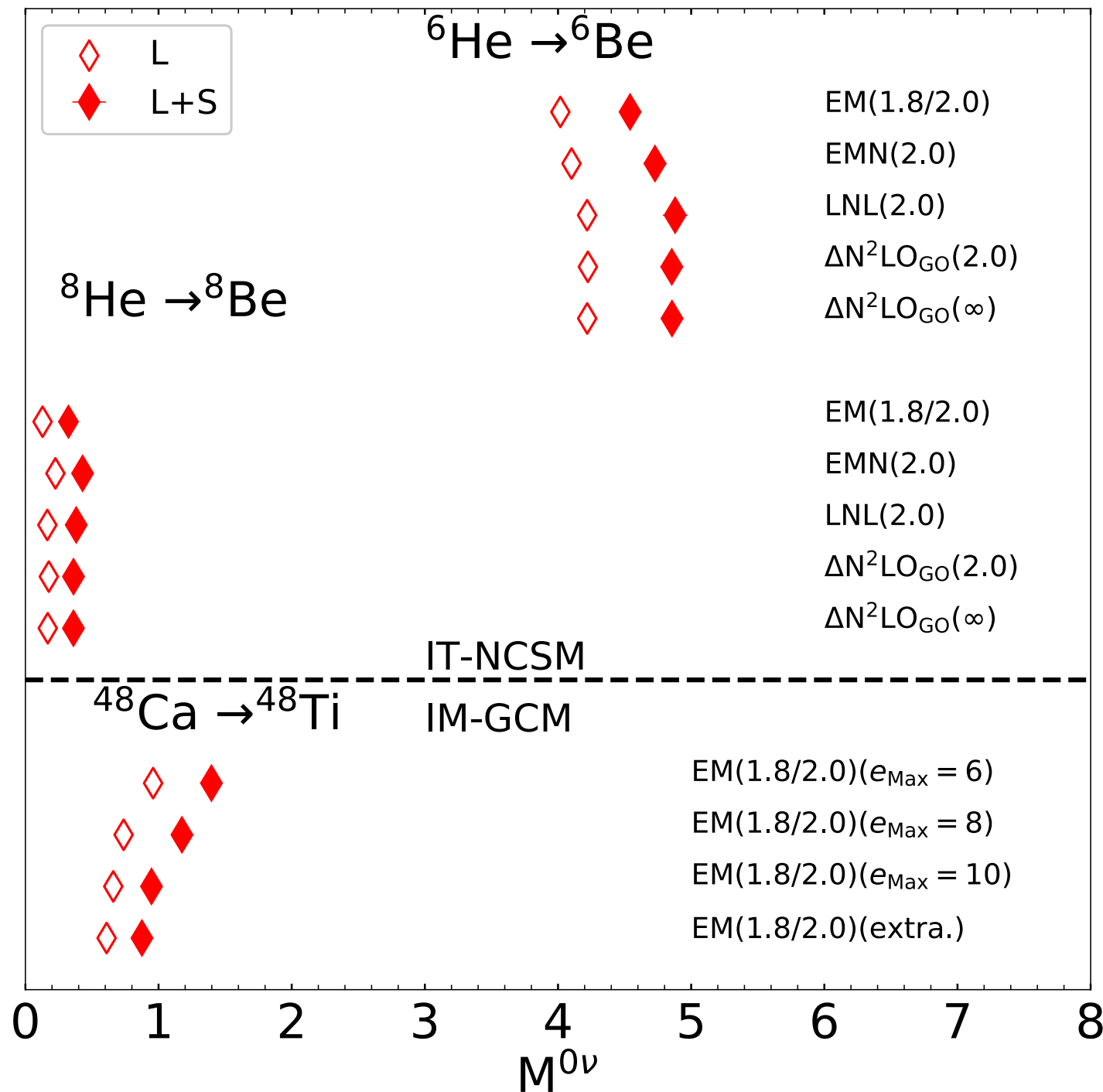
- interpretation and features differ from e.g.  $^{48}\text{Ca}$  only **weak correlation** between NME and  $B(E2)$

**not the full story yet:** improve IMSRG truncations, additional GCM correlations, include currents, ...

# Counterterm in $0\nu\beta\beta$ Operator



R. Wirth, J. M. Yao, H. Hergert, PRL 127, 242502 (2021)



- Cirigliano et al.: RG invariance of the DBD transition operator requires **contact term**
- Counter term yields **robust enhancement**
- varied EFT orders, RG scales, interactions
- **Next:**
  - more interactions
  - inclusion of currents
  - LEC sensitivity / UQ

Looking Ahead



# (Some) Physics Goals



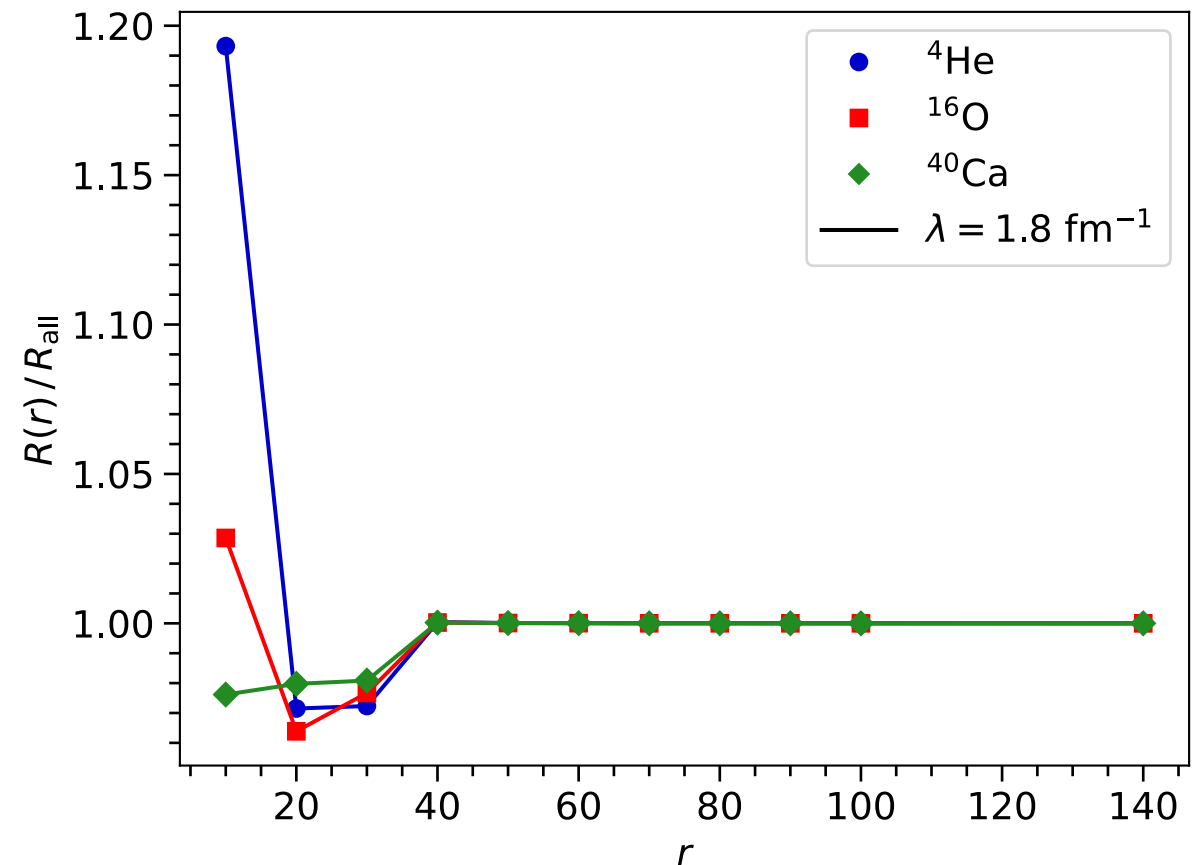
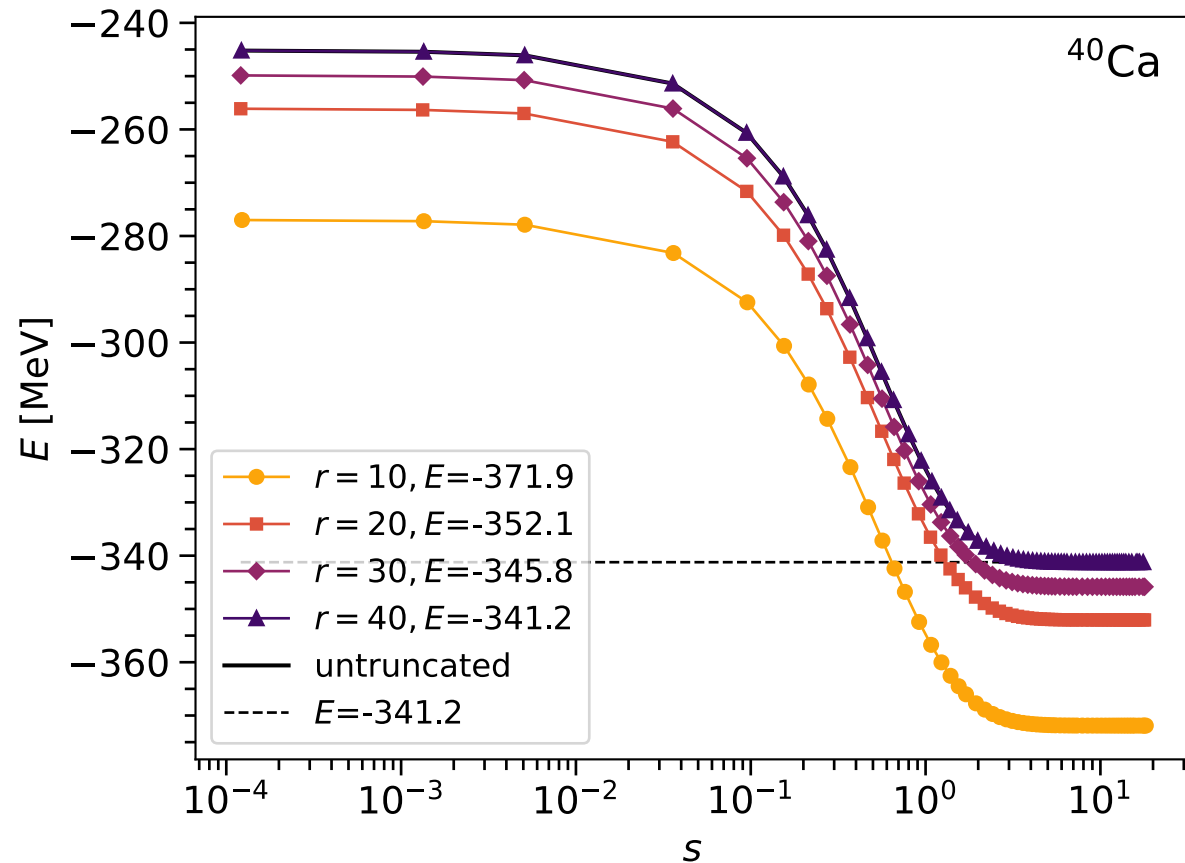
- **Neutrinoless Double Beta Decay** matrix elements for  $^{76}\text{Ge}$  and other candidates
  - use VS-IMSRG for heavy lifting in parameter sensitivity analysis & UQ because IM-GCM is too costly
  - **accelerate IMSRG & IM-GCM** (GPUs, factorization, ...)
- increased precision for **beta decays & Schiff moments**
  - IM-GCM for odd nuclei
  - tackle nuclei for which large multi-shell valence-spaces make VS-IMSRG difficult or prohibitive
- **Uncertainty Quantification / Sensitivity Analysis**
  - need cheap **surrogate models (emulators)**

# Leveraging Low-Rank Structures



B. Zhu, R. Wirth, HH, PRC 104, 044002 (2021)

EM1.8/2.0 NN+3N



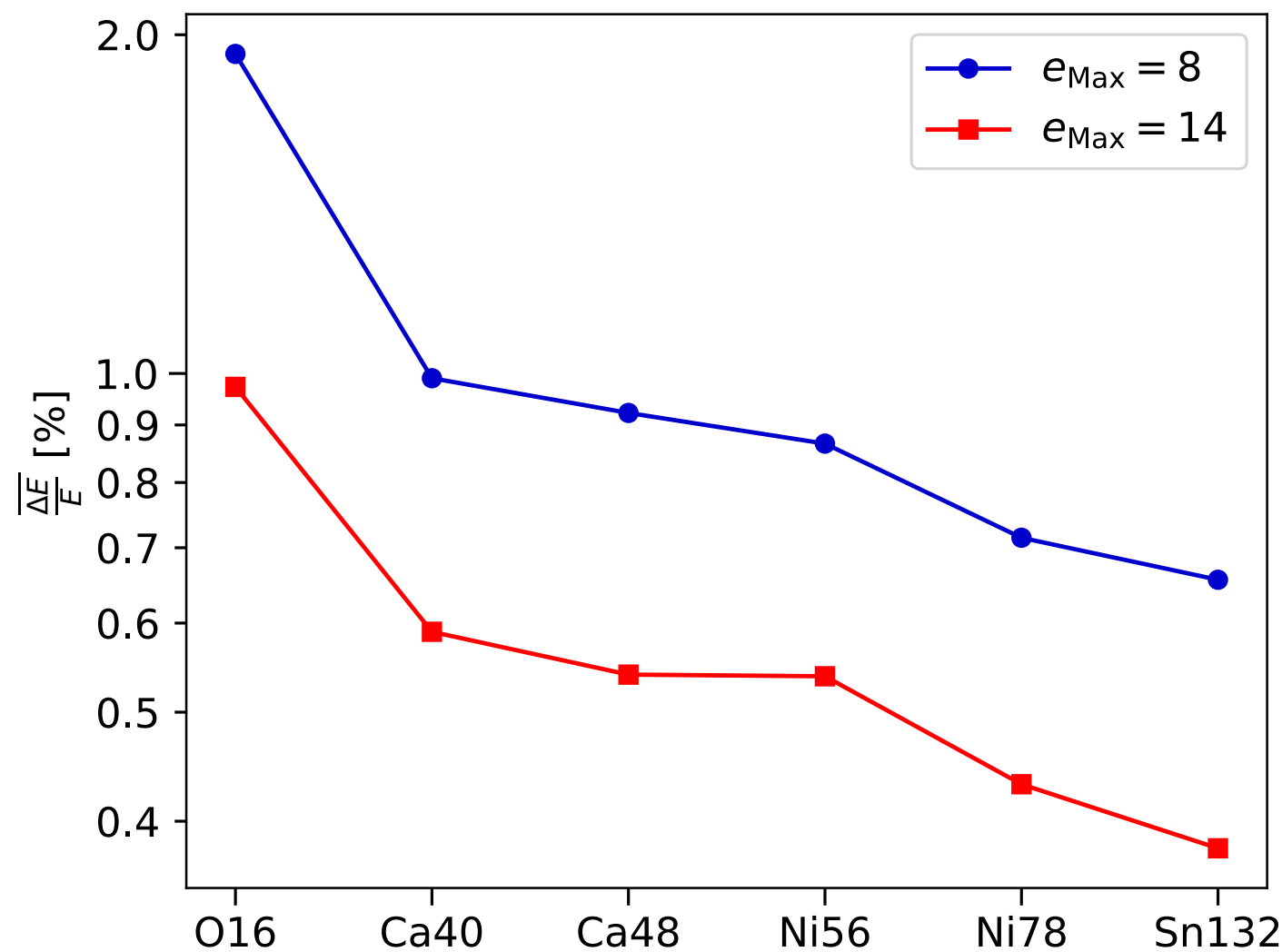
- **principal component analysis** of chiral interactions
- free-space SRG effort and storage **reduced by several orders of magnitude** (but not a major bottleneck anyway)
- **no adverse affect** on other (studied) observables
- **next: 3N & leverage factorization** in many-body calculation

# Compression with Random Projections



A. Zare, R. Wirth, C. Haselby, HH, M. Iwen, in preparation

EM1.8/2.0 NN+3N, MBPT(2),  $c_{\text{tot}} < 10^{-3}$



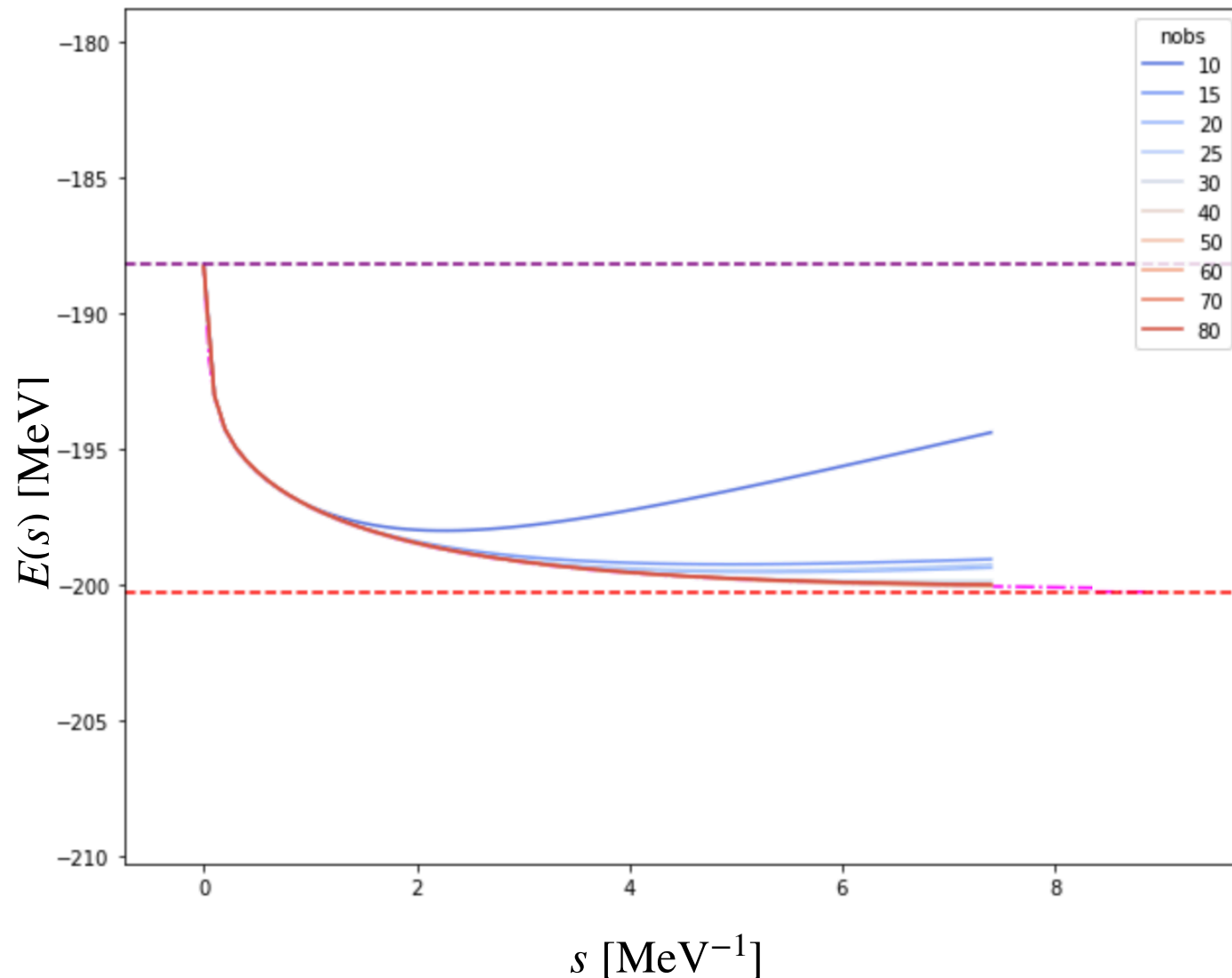
- tensorial (= modewise) **Johnson-Lindenstrauss embeddings**
- purely based on **features of (sparse) big data sets** - integrate with physics-based ideas?
- suitable for **streaming** transforms: compress on the fly while reading from disk

# Emulating IMSRG Flows



*J. Davison, J. Crawford, S. Bogner, HH, in preparation*

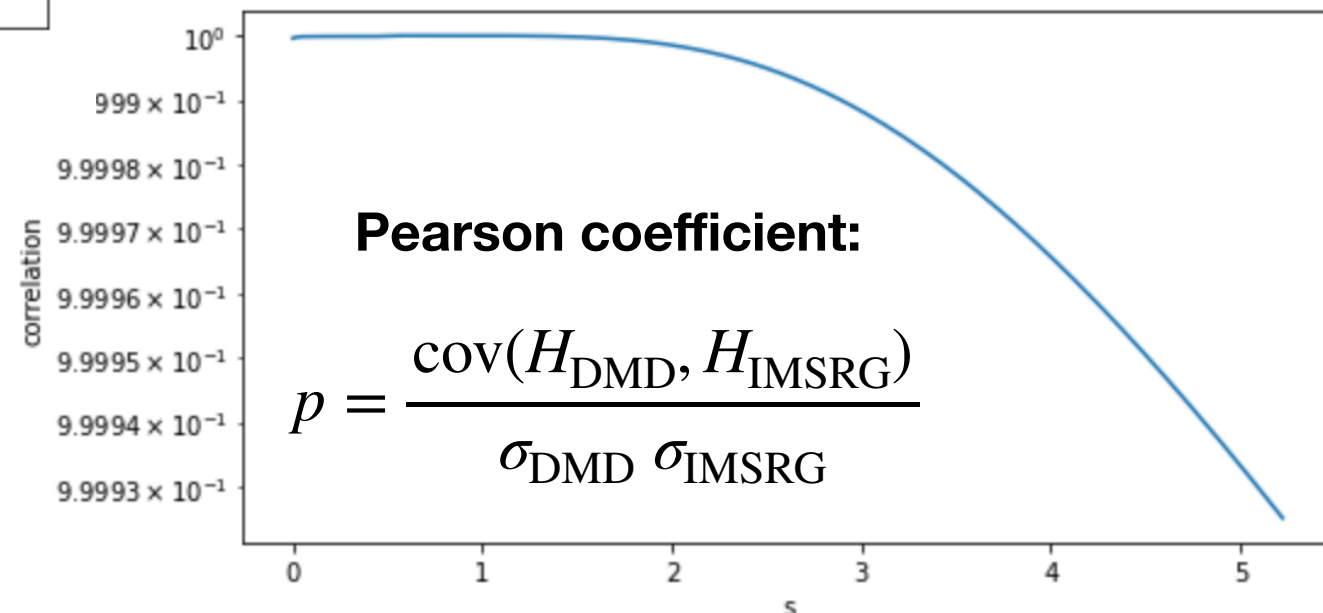
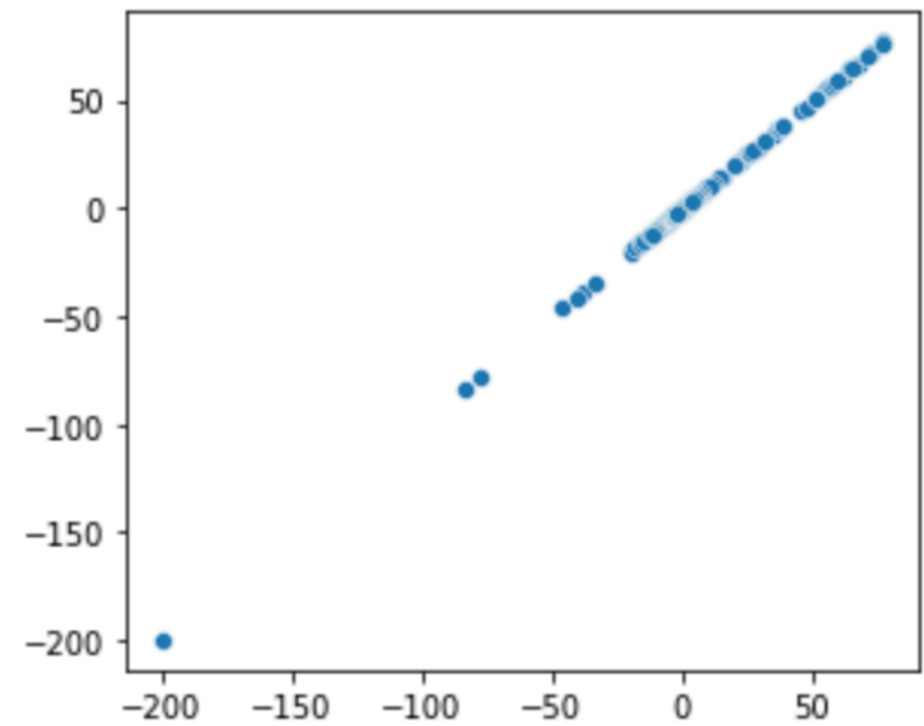
EM(500) N<sup>3</sup>LO,  $\lambda = 2.0 \text{ fm}^{-1}$



Dynamic Mode Decomposition emulator “learns” **all flowing operator coefficients** from snapshots!

$H_{\text{DMD}}(s)$  vs.  $H_{\text{IMSRG}}(s)$

$s = 5.25$



- **predictive *ab initio* theory** with systematic uncertainties & convergence to exact result
- developing new capabilities: spectra, radii, transitions, **clustering**, bridge to **dynamics /reactions...**
- **scalable** techniques and codes: from day-to-day data analysis to leadership calculations

# Acknowledgments



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A. Belley, J. D. Holt, P. Navrátil  
TRIUMF, Canada

G. Hagen, G. Jansen, J. G. Lietz, T. D. Morris, T. Papenbrock  
UT Knoxville & Oak Ridge National Laboratory

B. Bally, T. Duguet, M. Frosini, V. Somà  
CEA Saclay, France

R. J. Furnstahl  
The Ohio State University

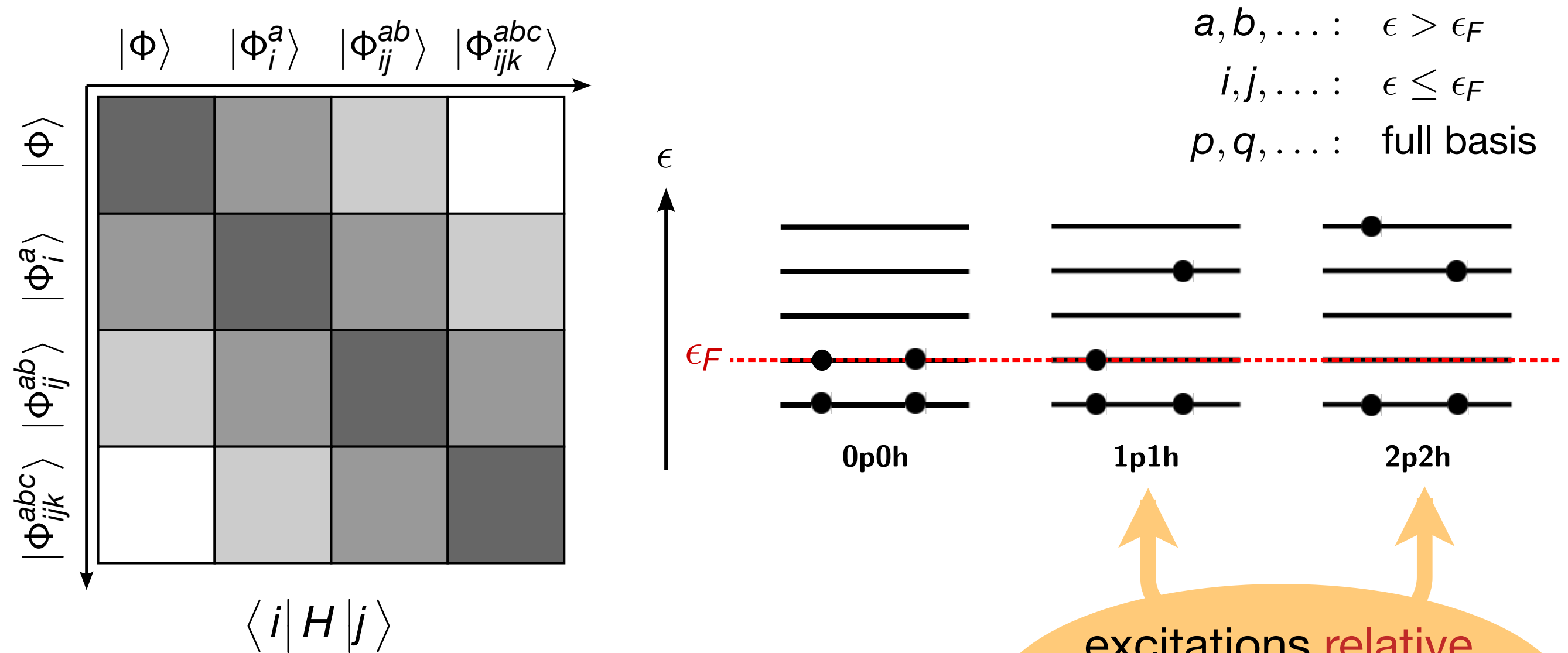
**Grants:** US Dept. of Energy, Office of Science, Office of Nuclear Physics **DE-SC0017887** and **DE-SC0018083**  
(SciDAC-4 NUCLEI Collaboration)



# Supplements

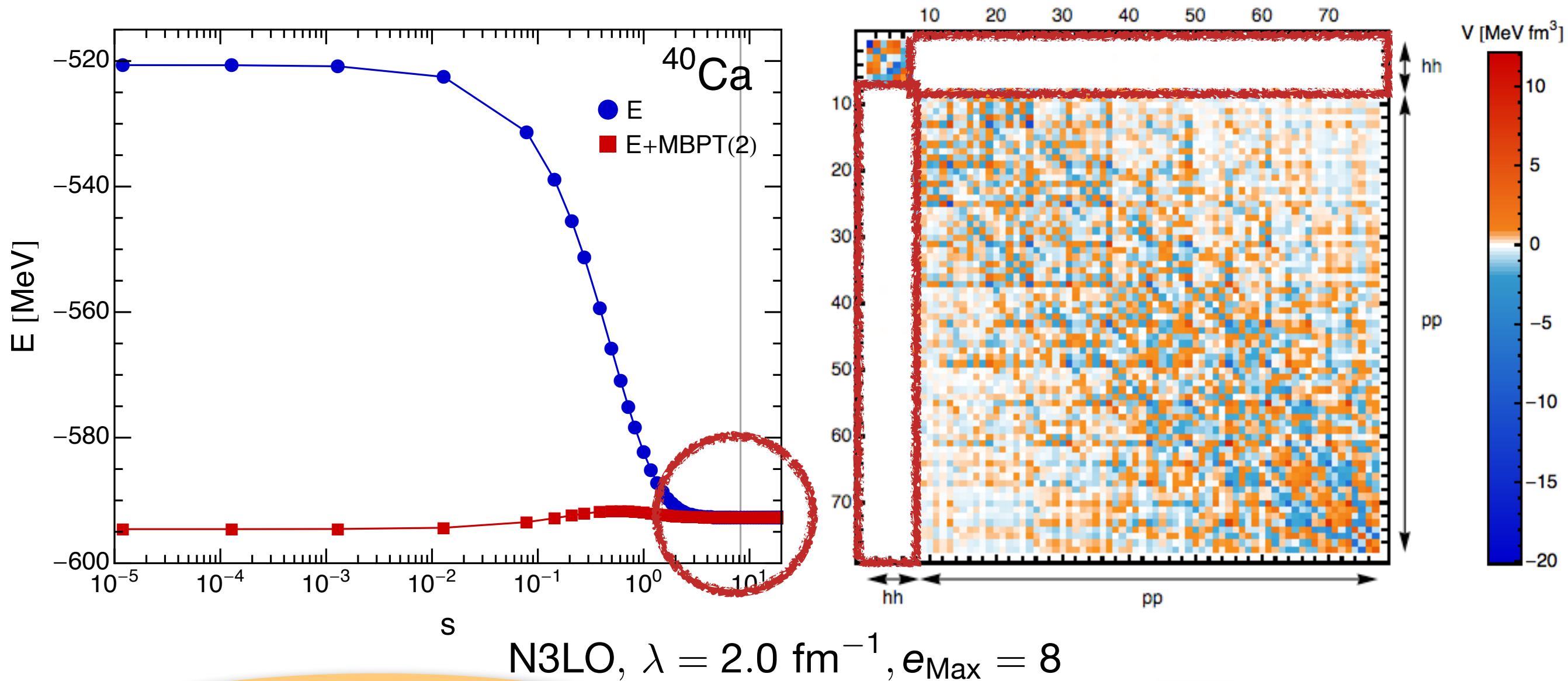


# Transforming the Hamiltonian



- reference state: **single Slater determinant**

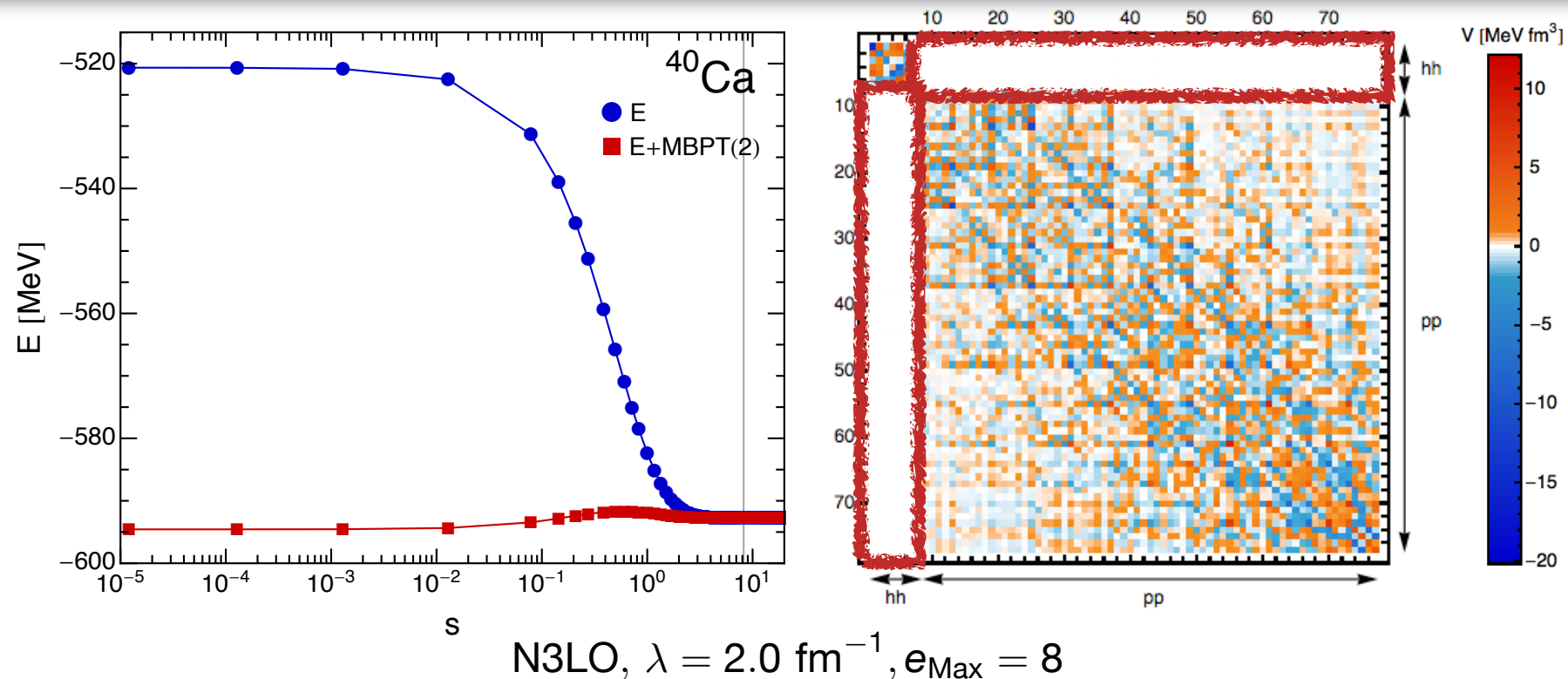
# Decoupling



non-perturbative  
 resummation of MBPT series  
 (correlations)

off-diagonal couplings  
 are rapidly driven to zero

# Decoupling



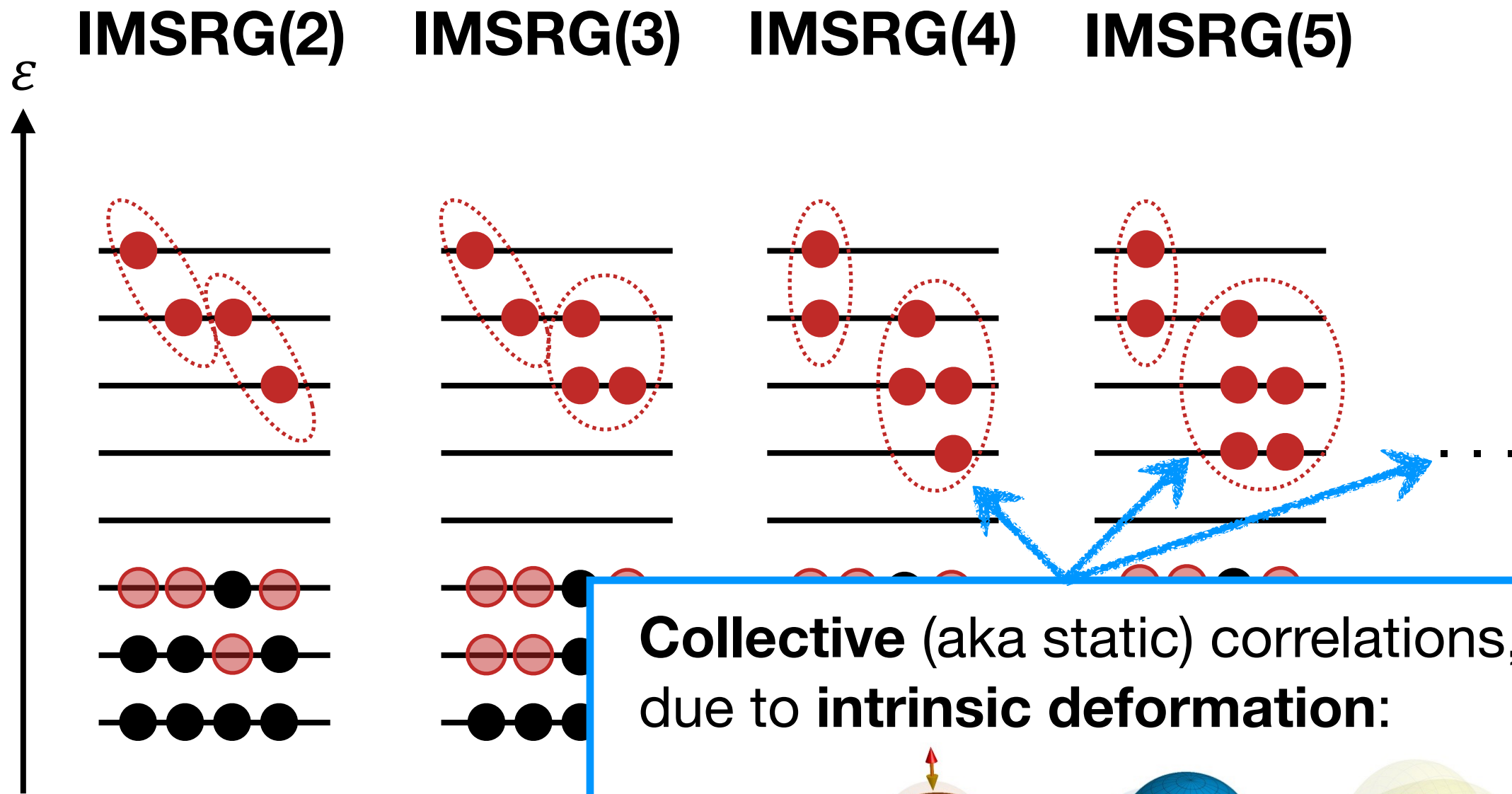
- absorb correlations into **RG-improved Hamiltonian**

$$U(s) H U^\dagger(s) U(s) |\Psi_n\rangle = E_n U(s) |\Psi_n\rangle$$

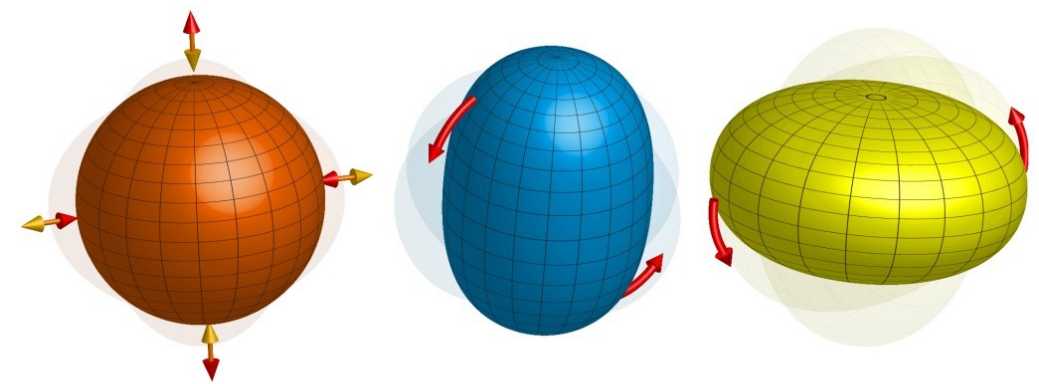
- reference state is ansatz for transformed, **less correlated** eigenstate:

$$U(s) |\Psi_n\rangle \stackrel{!}{=} |\Phi\rangle$$

# Correlated Reference States

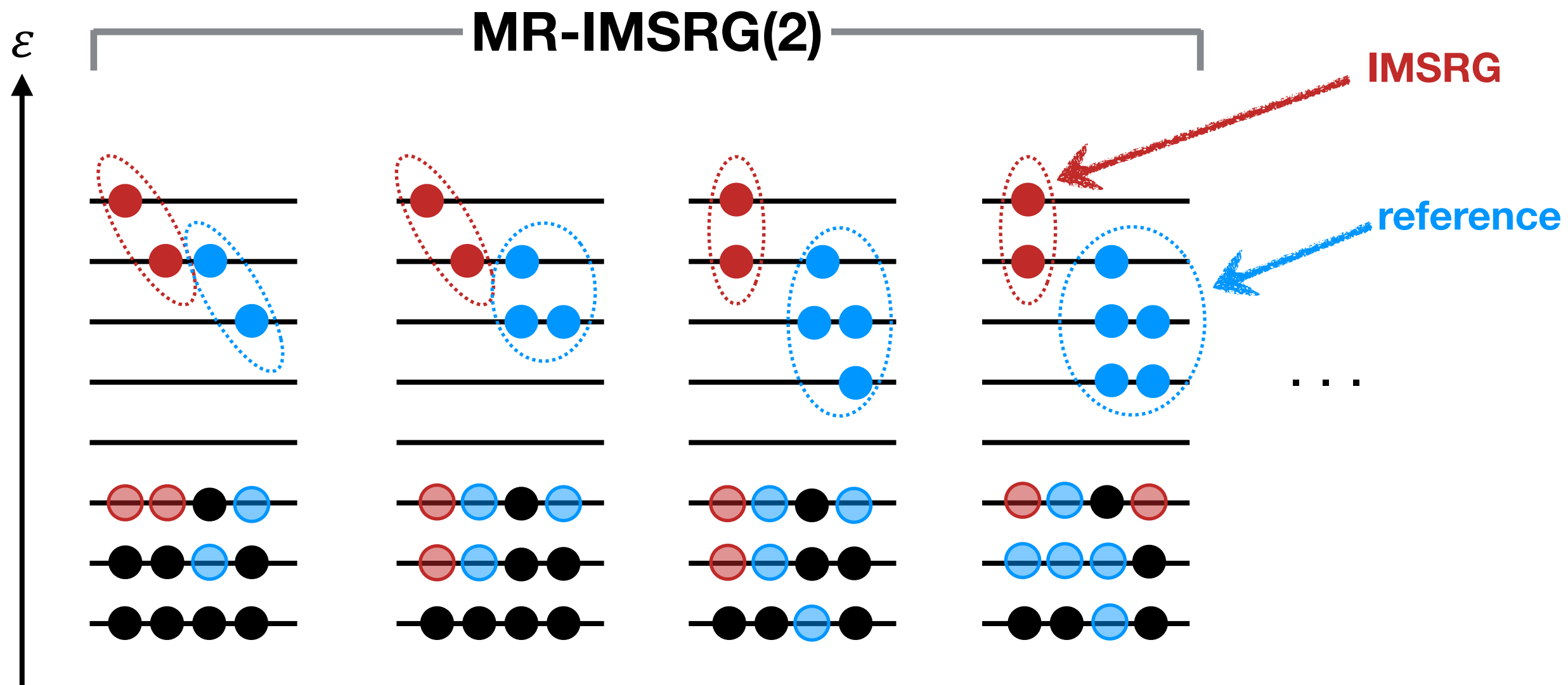


**Collective** (aka static) correlations, e.g. due to **intrinsic deformation**:



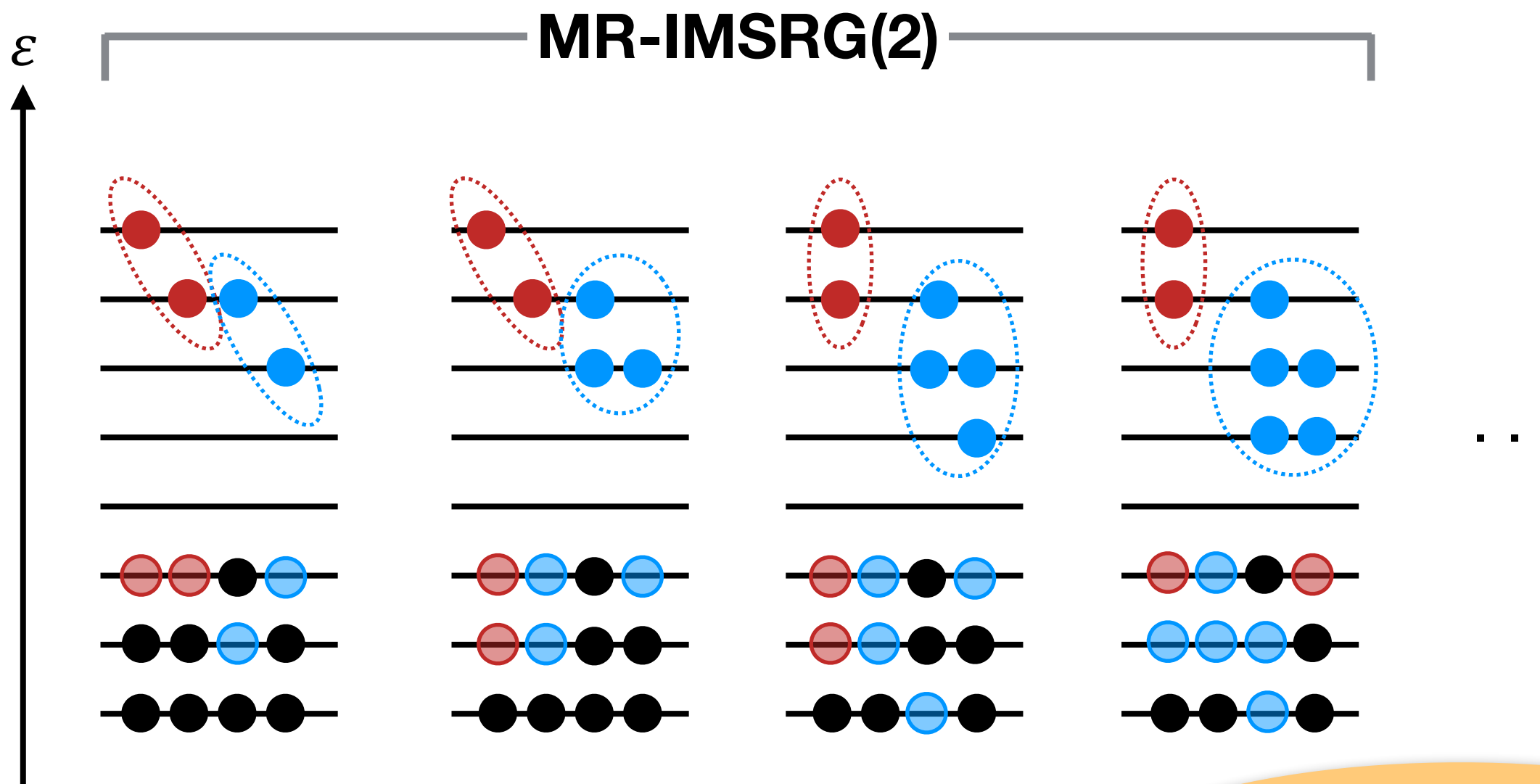
“standard” IMSR  
Slater determinan

# Correlated Reference States



**MR-IMSRG:** build correlations on top of **already correlated** state (e.g., from a method that describes static correlation well)

# Correlated Reference States



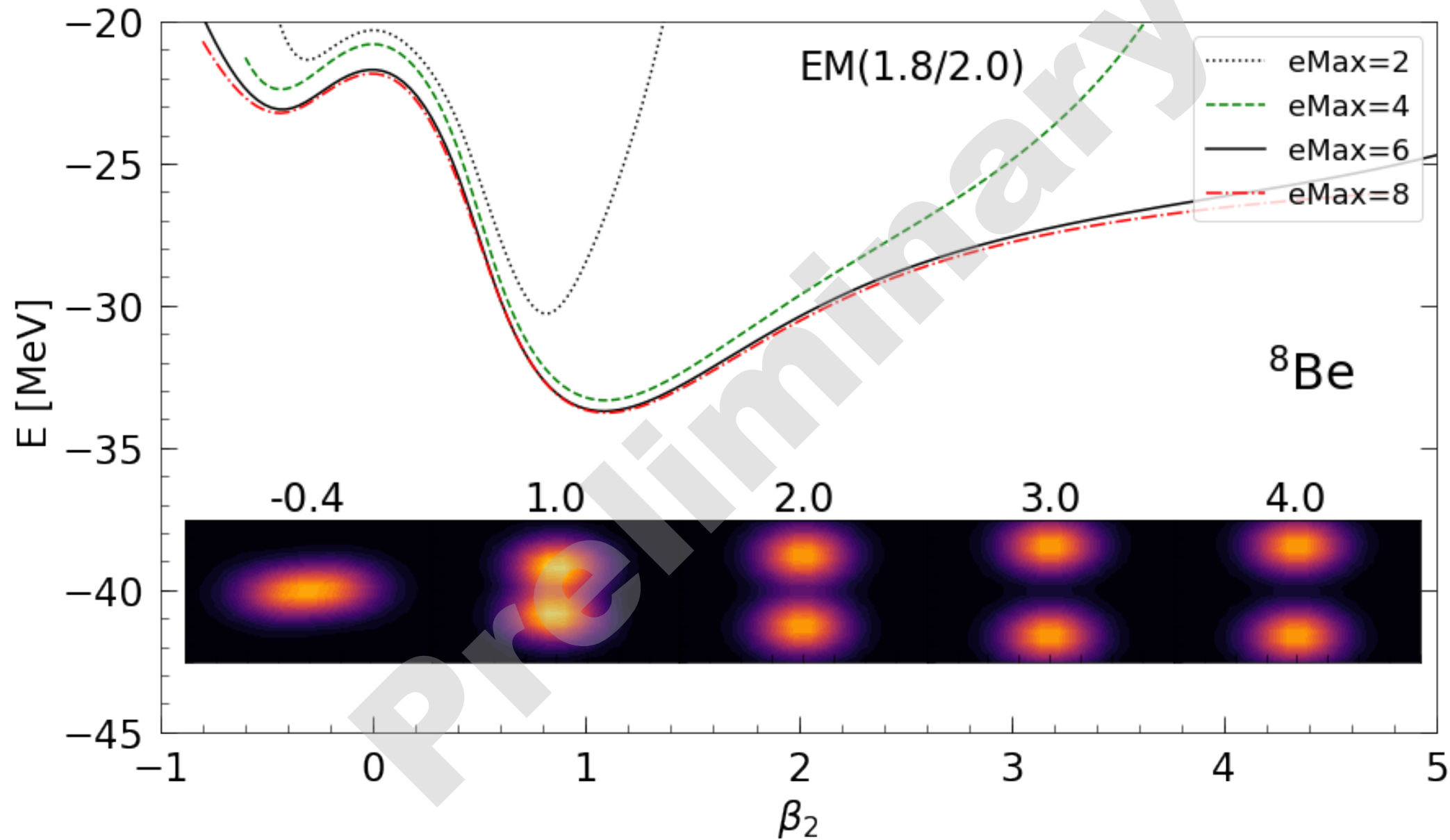
**MR-IMSRG:** build correlations  
**already correlated** state (e.g., from  
 describes static correlation

**use generalized  
 normal ordering with  
 2B,... densities**

# Cluster Structures: $^8\text{Be}$



*J. M. Yao, R. Wirth, HH, in progress*



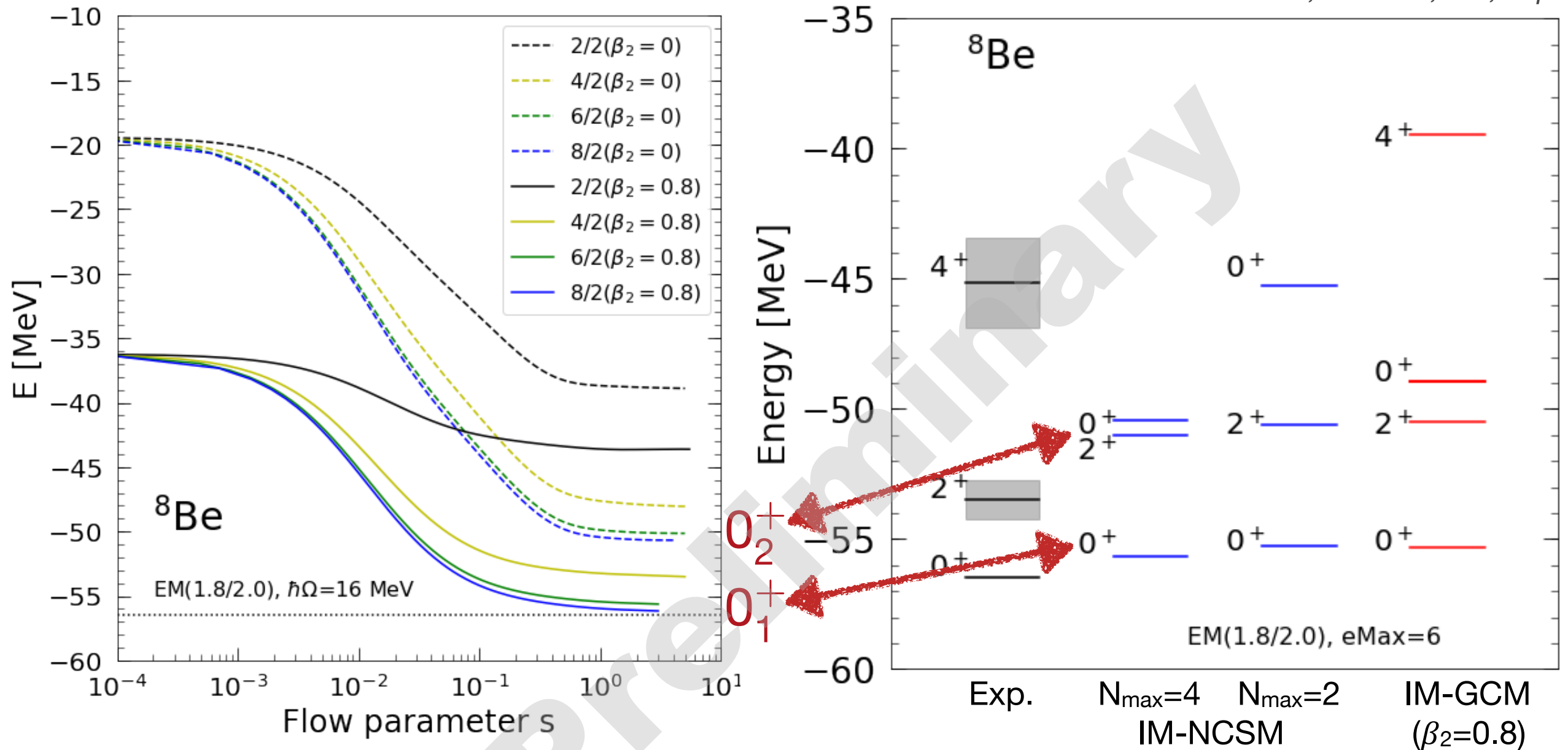
HFB potential energy surface



# Cluster Structures: $^8\text{Be}$



J. M. Yao, R. Wirth, HH, in progress



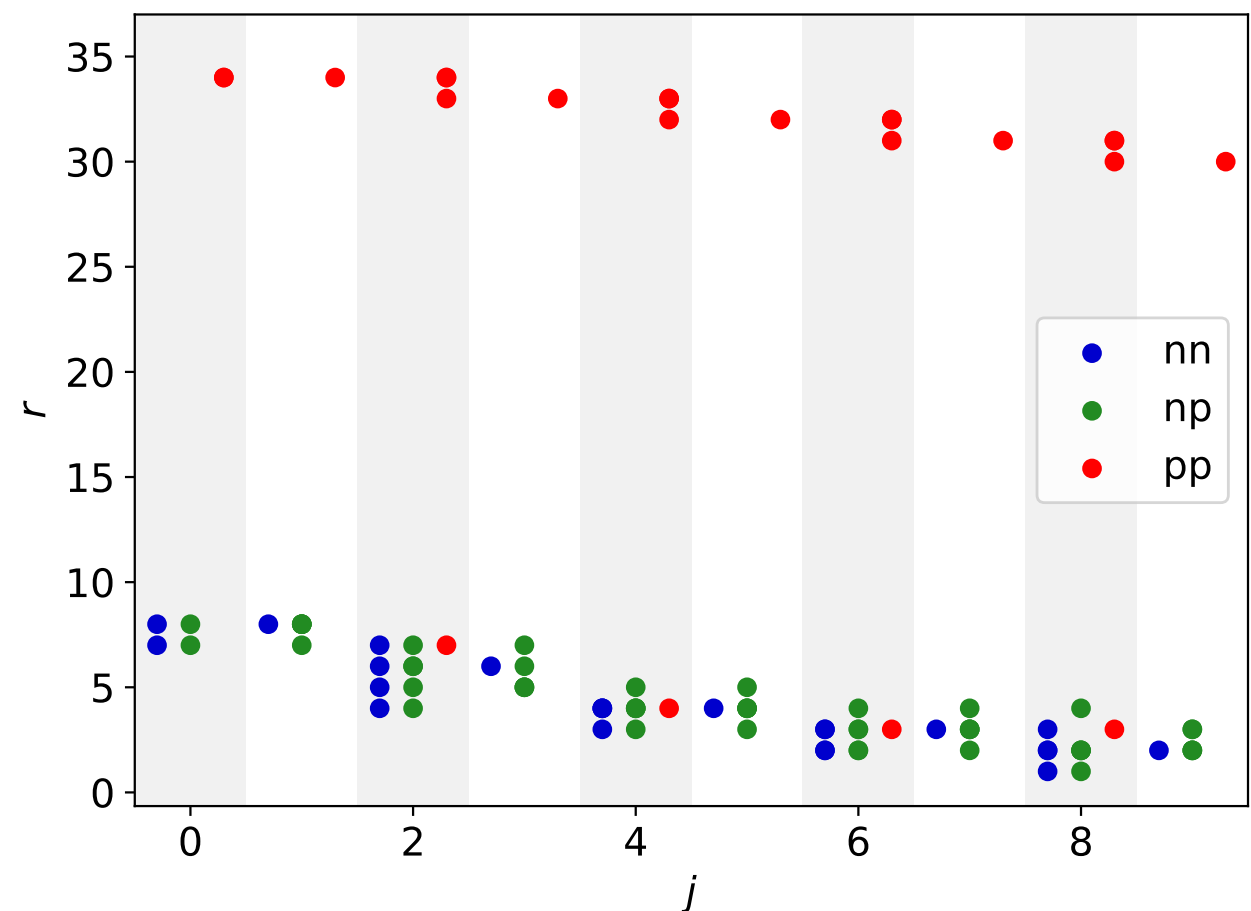
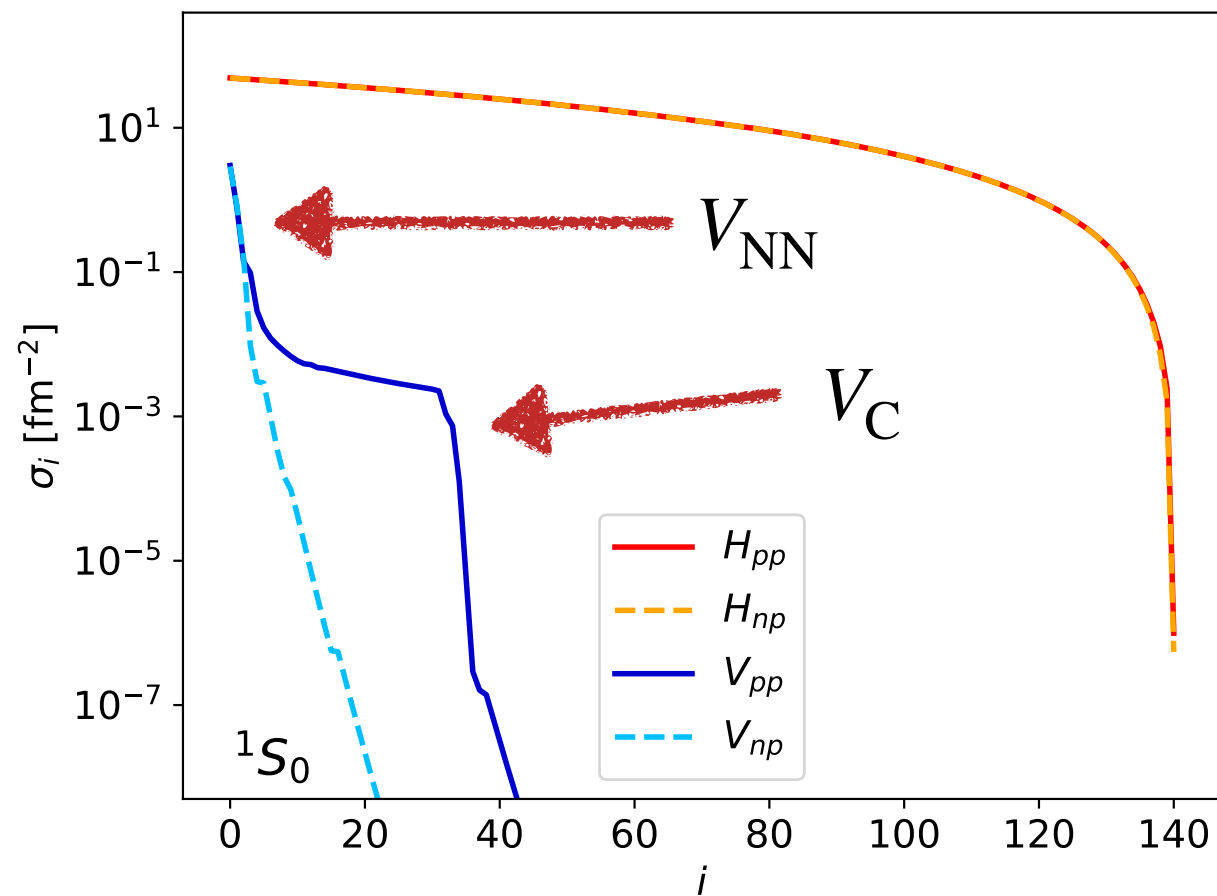
- Spherical and prolate references flow towards **different  $0^+$  states**.
- Consistent with IM-NCSM:
  - **prolate reference:** ground state and excited  $2^+$  state
  - **spherical reference:** first excited  $0^+$



# Factorized Interactions



B. Zhu, R. Wirth, HH, PRC **104**, 044002 (2021)

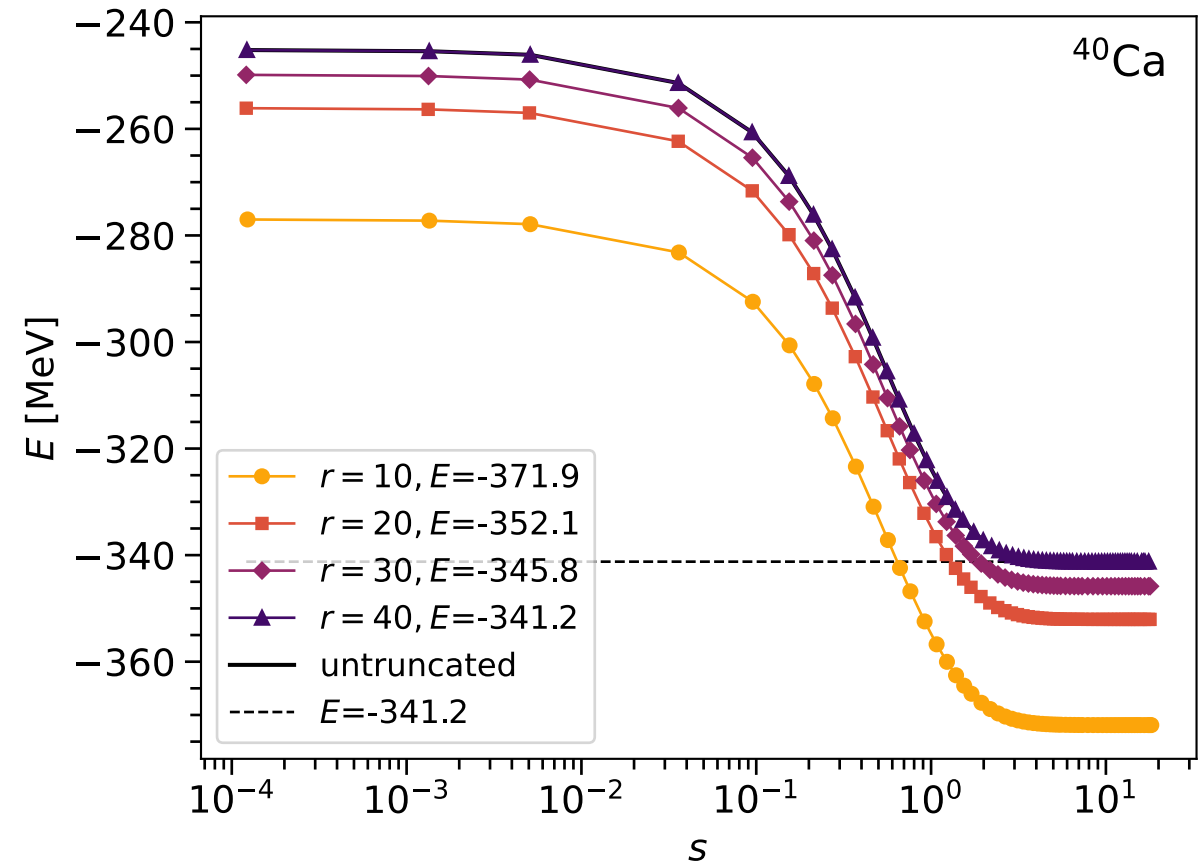
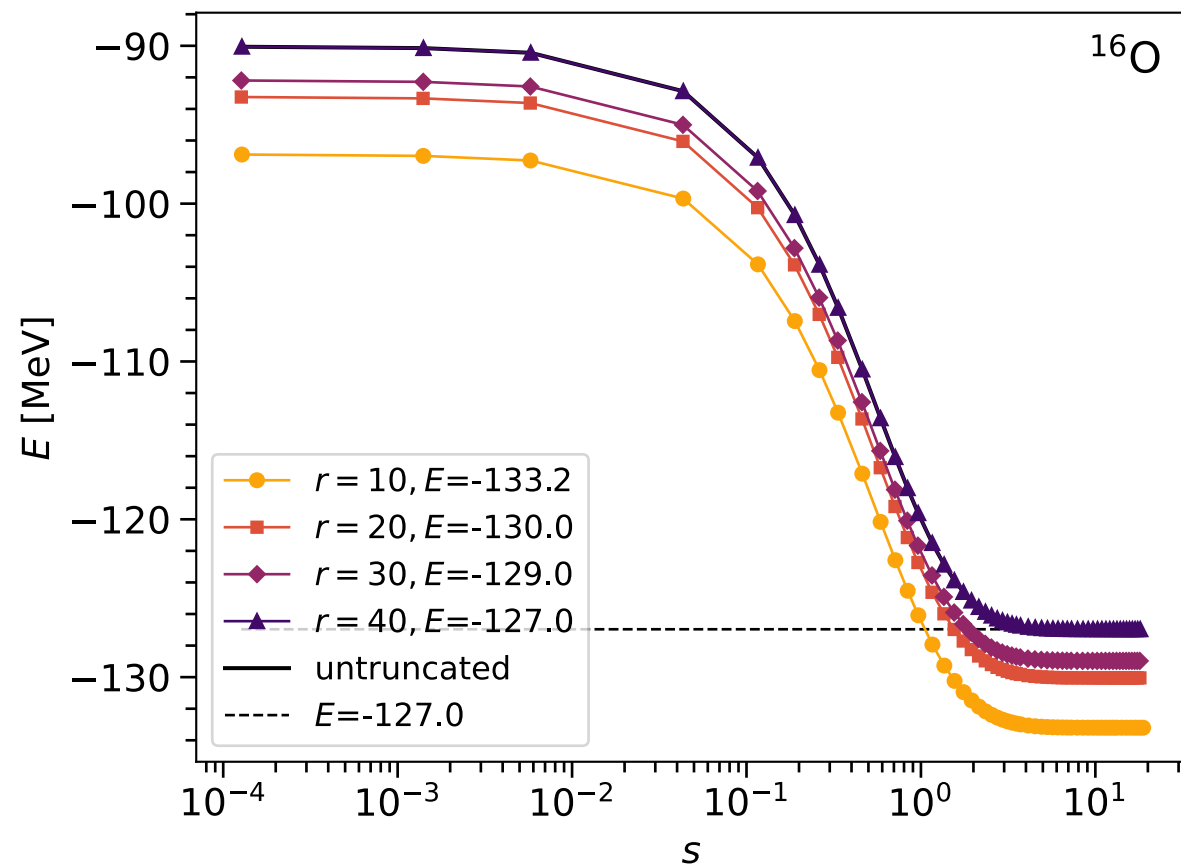


- $O(10)$  operators,  $O(100)$  particles, but  $O(10^8-10^{12})$  flow equations, basis dimension... there must be **redundancy**
- **NN interaction:** 5-10 SVD components (**short range**)
- **Coulomb interaction:** less well-behaved, but  $\sim 25-30$  components sufficient (**long range, no explicit scale**)

# Factorized Interactions



*B. Zhu, R. Wirth, HH, PRC 104, 044002 (2021)*

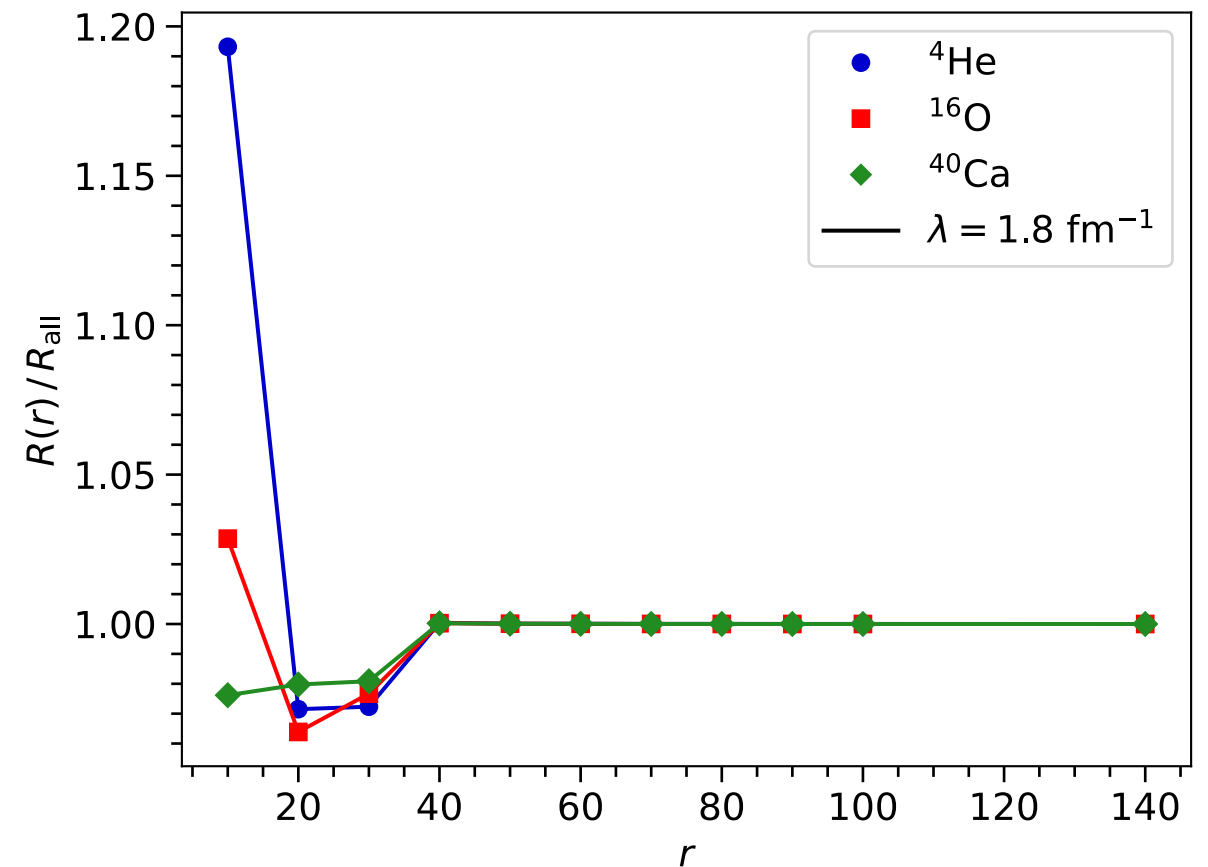
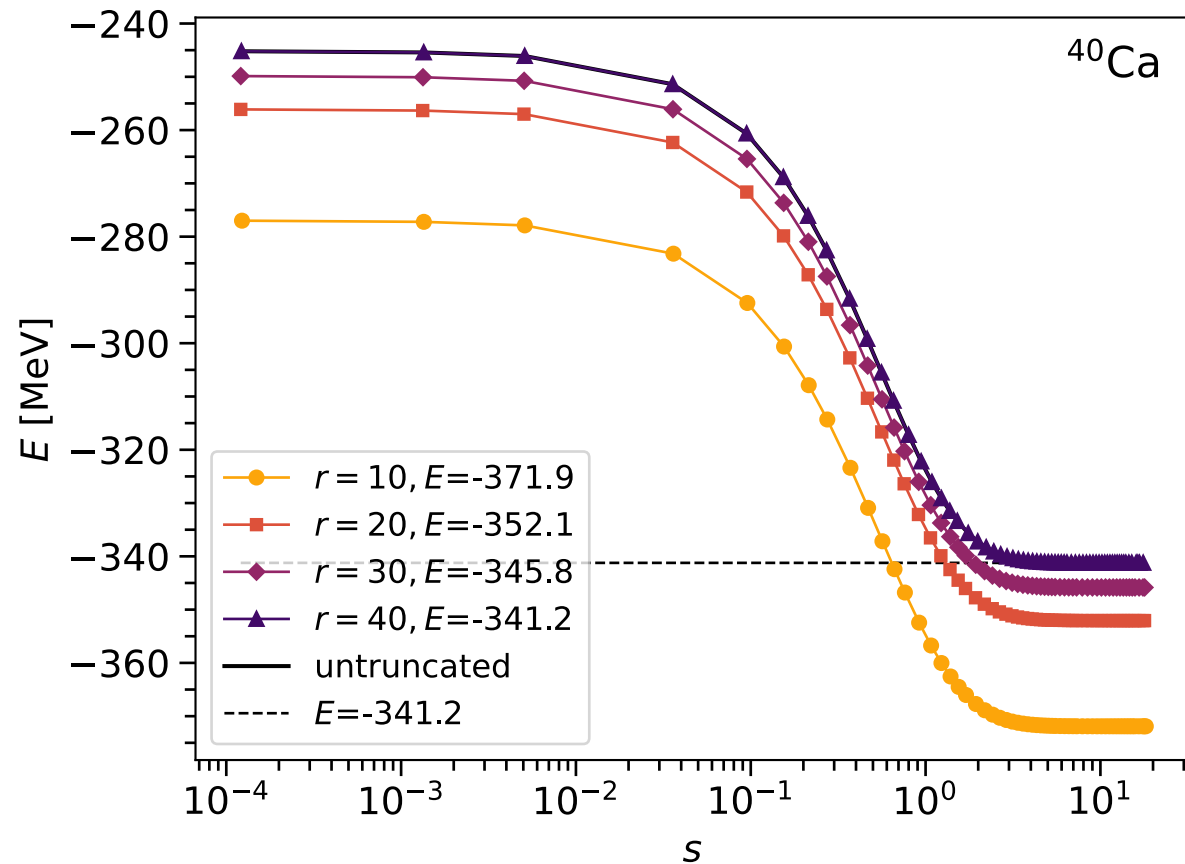


- NN interaction: free-space SRG evolution in component form (**IMSRG not yet**)
  - (3N interaction added to produce realistic binding / radii)
- free-space SRG effort and storage **reduced by several orders of magnitude**

# Factorized Interactions



B. Zhu, R. Wirth, HH, PRC 104, 044002 (2021)



- implementing factorized SRG flow has **no adverse affect** on other observables / expectation values