



with Will Parrott and Christine Davies

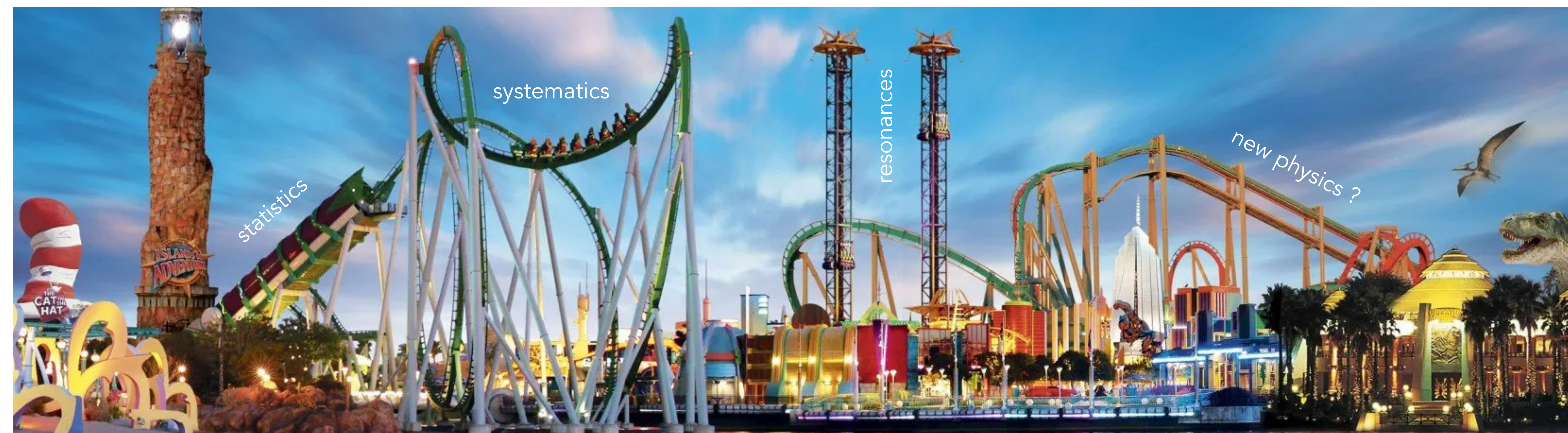
$B \rightarrow K$  form factors and  
associated phenomenology



Chris Bouchard, U. of Glasgow

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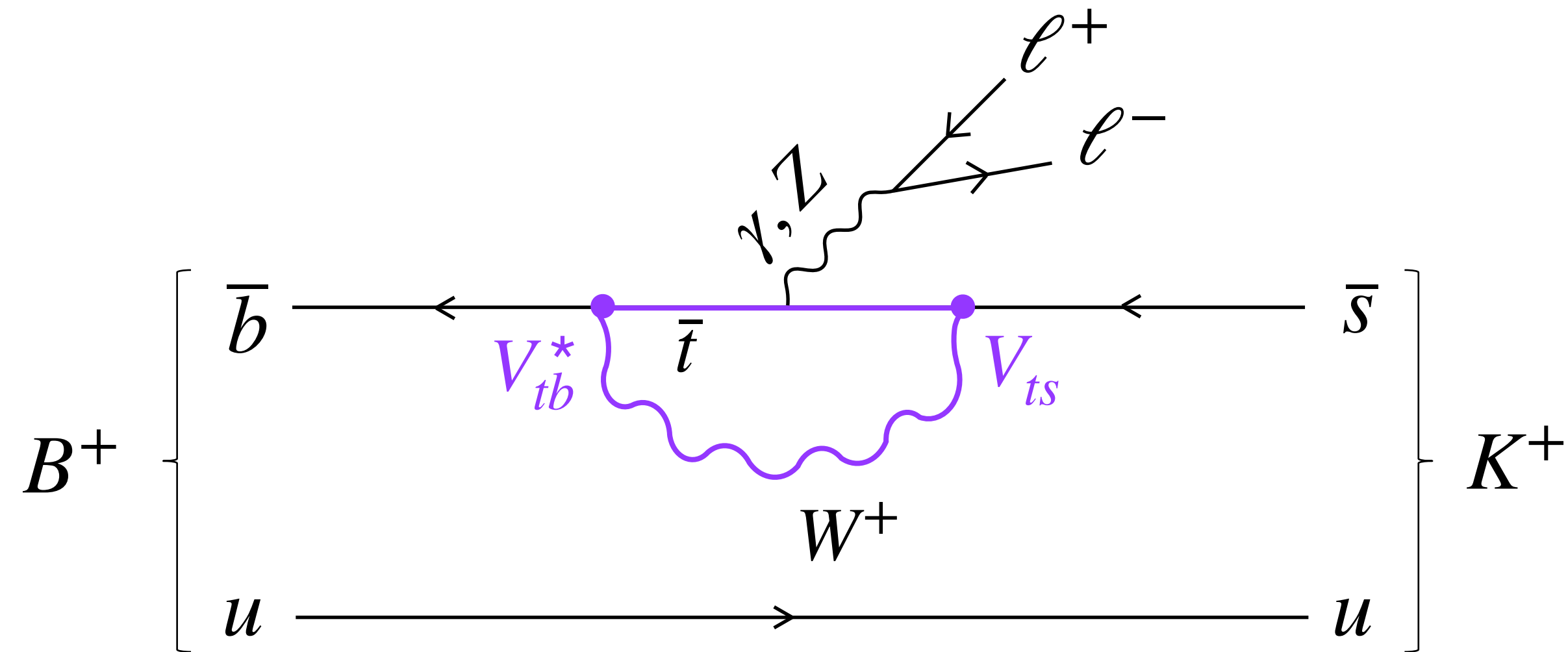
I. Motivation

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III. Phenomenology [Parrot, Bouchard, and Davies, 2207.1337](#)

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# Motivation: SM contribution small

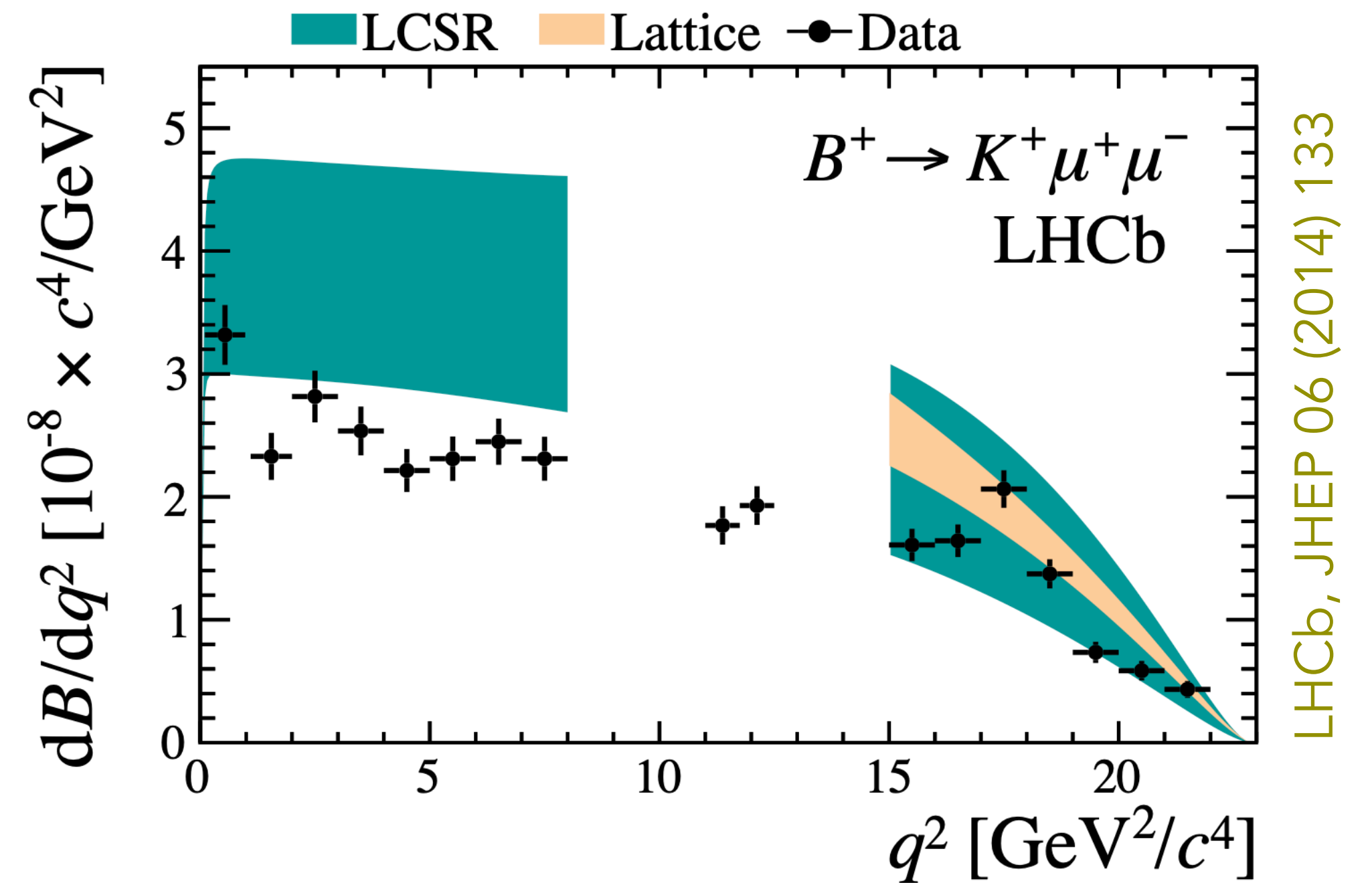
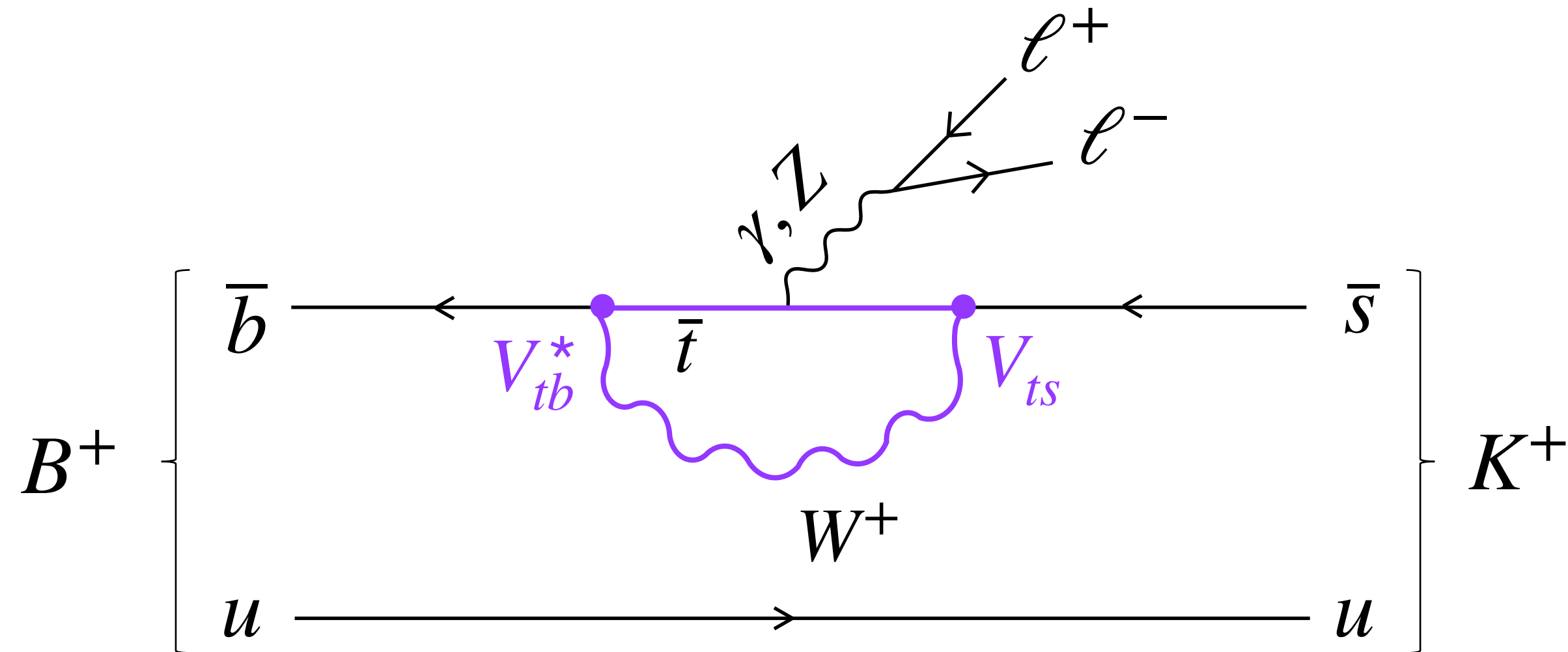


rare decay

- loop suppressed, amplitude  $\propto G_F \sim 10^{-5} \text{ GeV}^{-2}$
- CKM suppressed, amplitude  $\propto |V_{tb} V_{ts}| \sim 0.04$

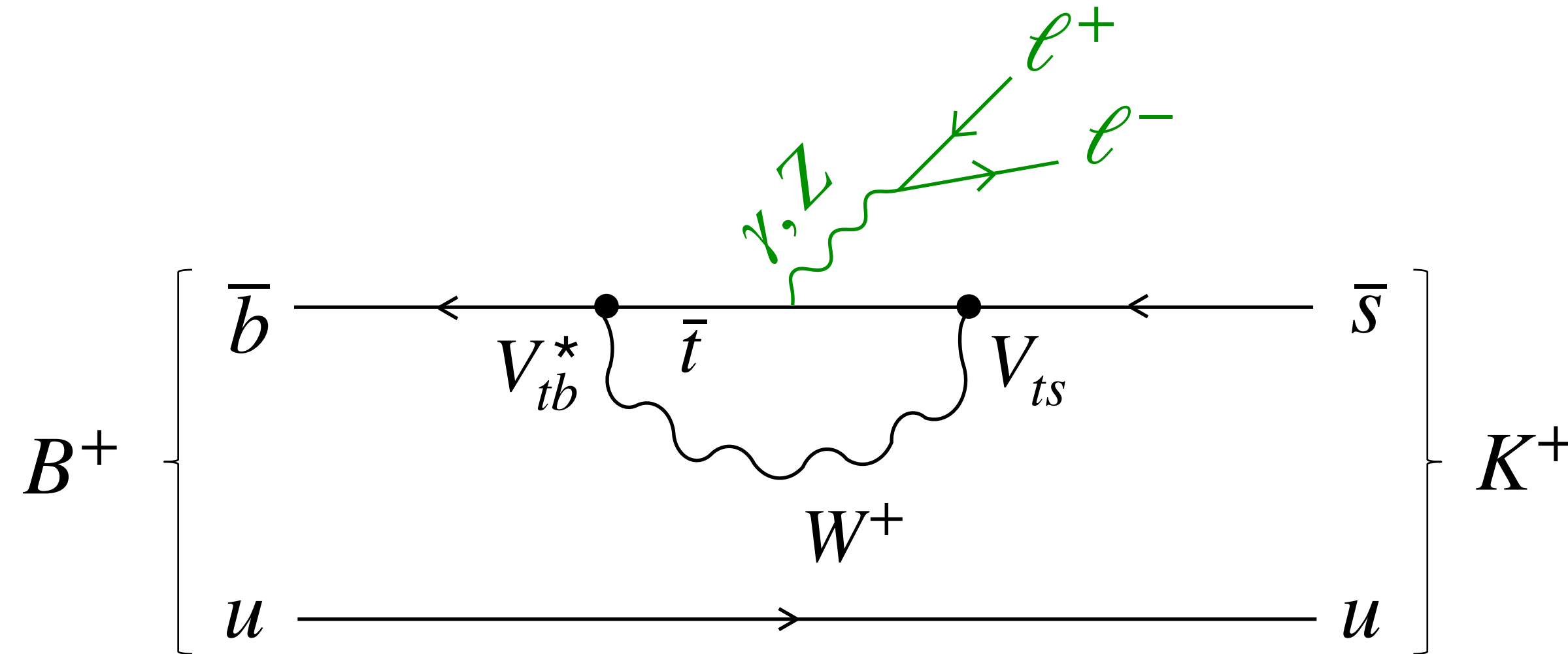
SM suppression makes new physics effects potentially visible.

# Motivation: SM contribution small



- measured by LHCb and will be measured by Belle-II
- persistent tension at low  $q^2$ , need improved form factors

# Form Factor calculation: preliminaries

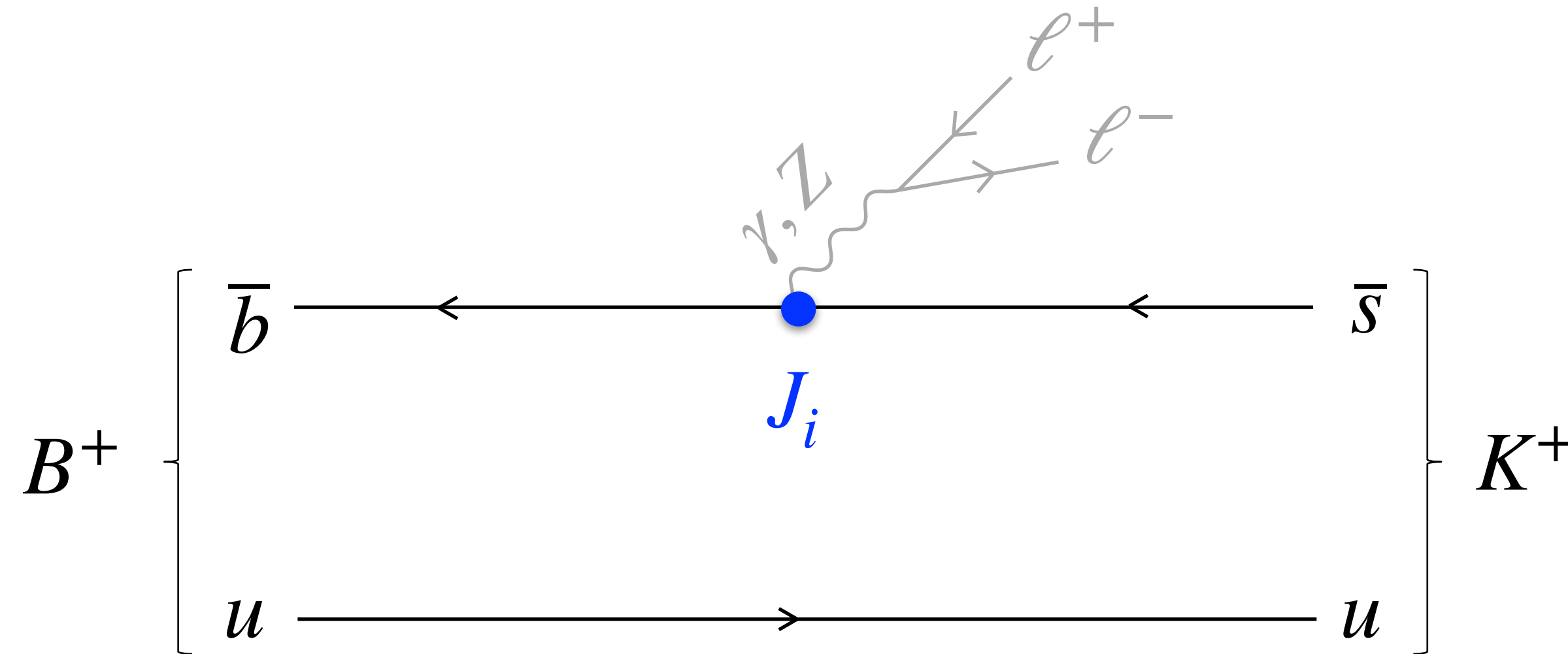


$\langle K | J_i | B \rangle$

hadronic  
matrix  
elements  
have:

- momentum transfer dependence,  $0 \leq q^2 \leq q_{\max}^2 = (M_B - M_K)^2$

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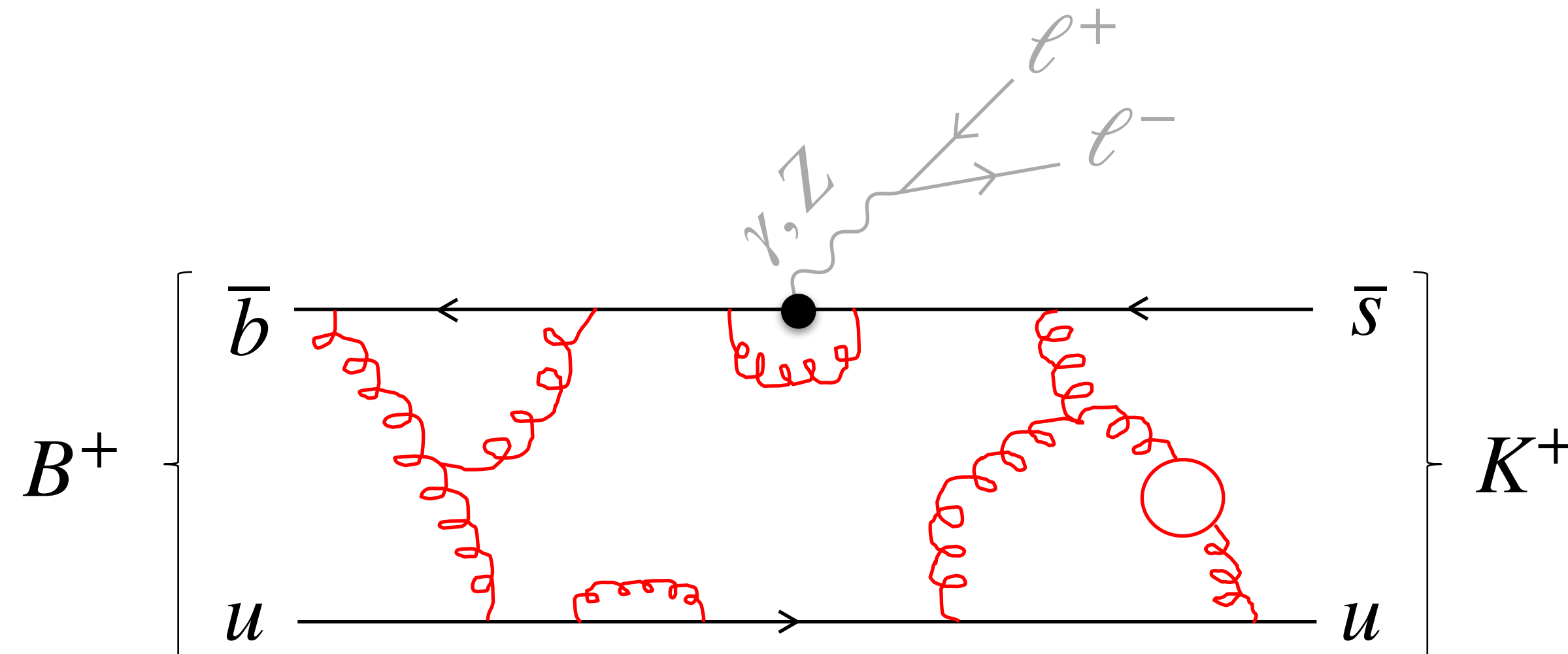


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- short distance weak interactions:  $M_t, M_W \sim \mathcal{O}(100 \text{ GeV})$

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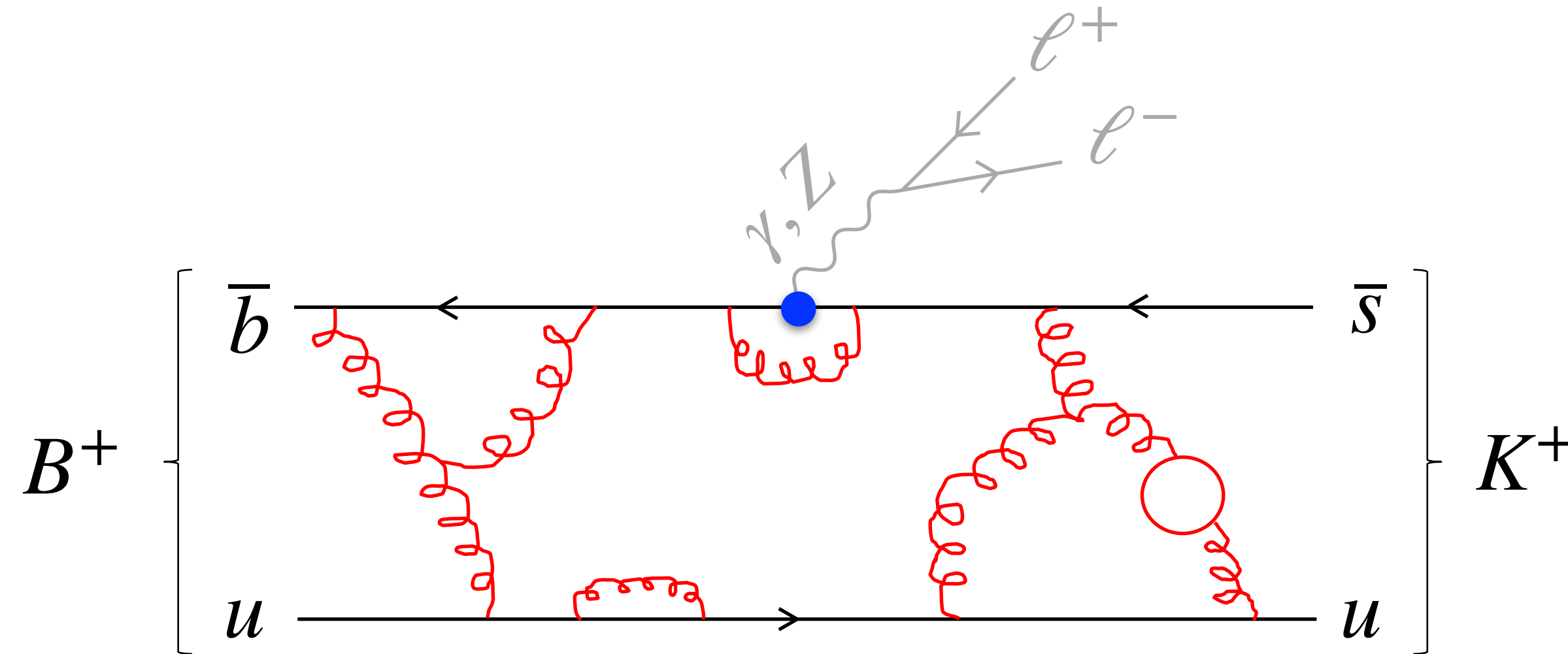


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- momentum transfer dependence:  $0 \leq q^2 \leq q_{\text{max}}^2 = (M_B - M_K)^2$
- short distance weak interactions:  $M_t, M_W \sim \mathcal{O}(100 \text{ GeV})$
- long distance QCD interactions:  $\Lambda_{\text{QCD}} \sim 0.5 \text{ GeV}$

# Form Factor calculation: preliminaries



Physics at disparate scales factorizes (up to small corrections)

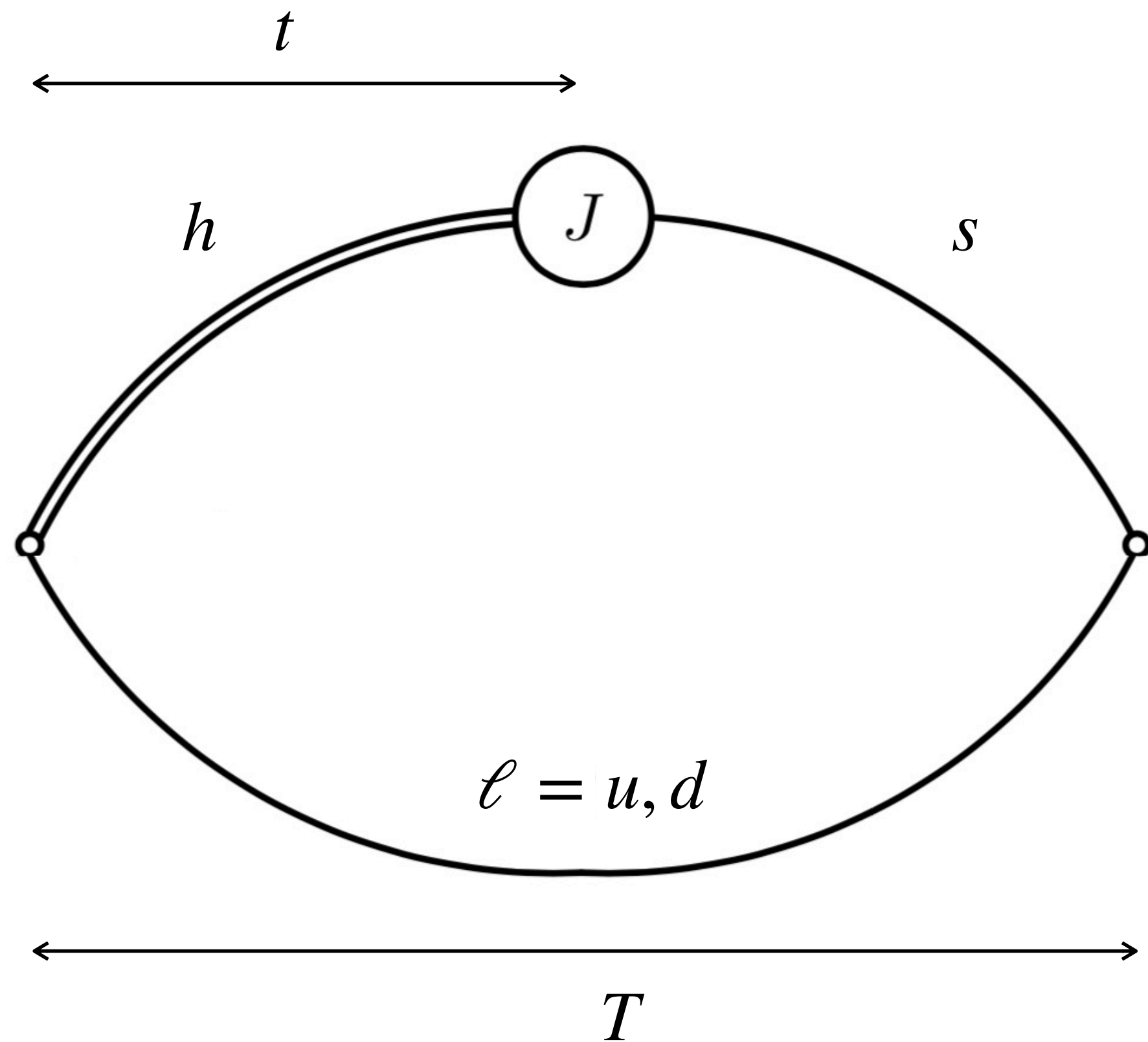
$$\frac{d\mathcal{B}}{dq^2} = \left| \sum_i C_i \langle K | J_i | B \rangle \right|^2 + \dots$$

- **Wilson coefficients:** short distance, *perturbative*
- **hadronic matrix elements:** long distance, *nonperturbative*



# Form Factor calculation: matrix element via LQCD

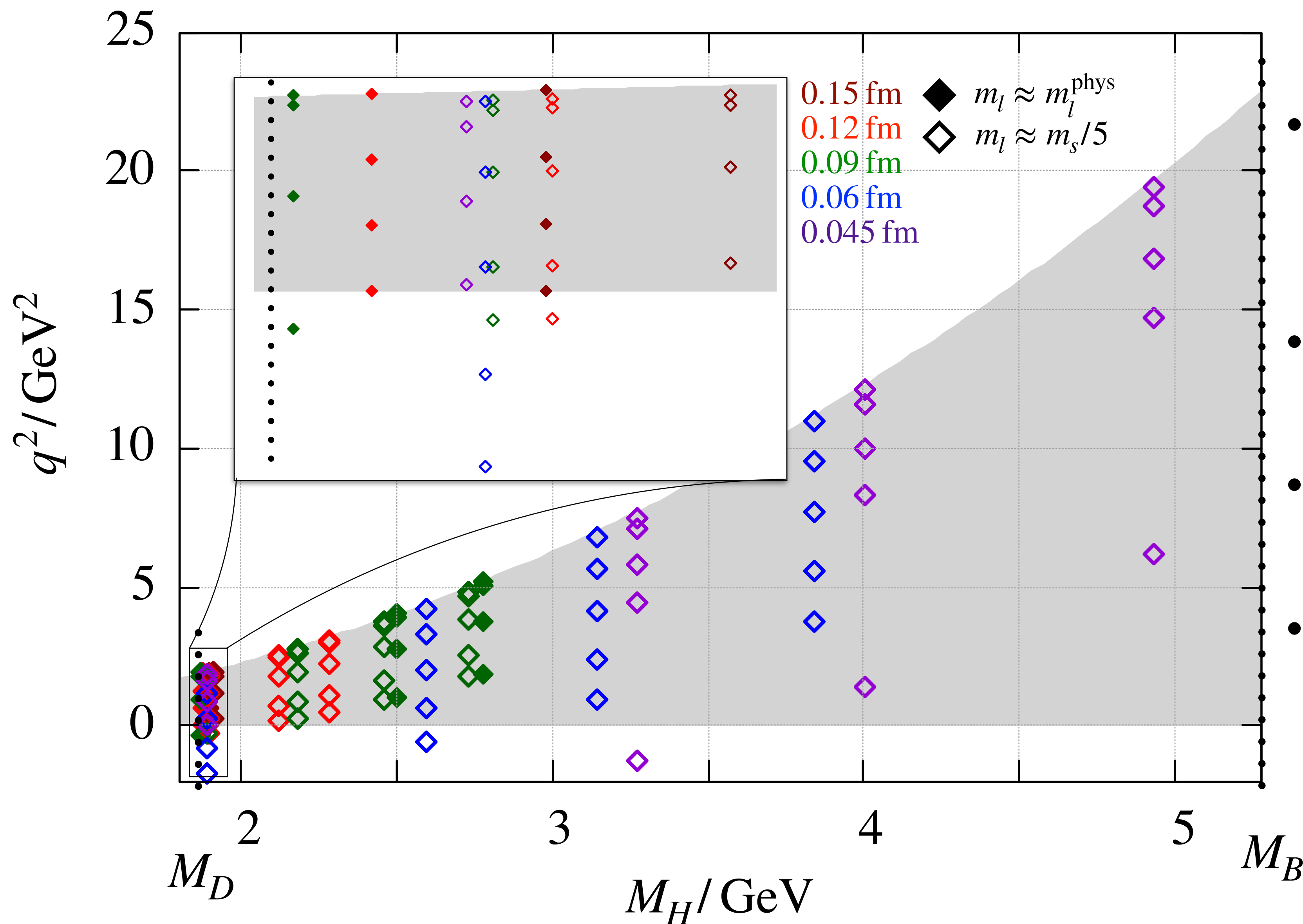
- numerically evaluate path integral representation of 3pt correlator



$$\langle K(T) J(t) H(0)^\dagger \rangle$$

- $H$  a proxy for heavy meson,  $M_D \leq M_H \leq M_B$
  - ranges of  $t$  and  $T$  (also momenta, quark masses, lattice spacings, and volumes)
  - produce data for 3pt correlator at each combination of  $t$  and  $T$
- $J$  specifies matrix element (scalar, vector, or tensor)

# Form Factor calculation: matrix element via LQCD



- For large range of  $M_H$ , cover all  $0 \leq q^2 \leq q_{\text{max}}^2 = (M_H - M_K)^2$
- Near  $M_B$  on finest lattice
- Analysis gives results for  $B$  and  $D$
- MILC's HISQ  $n_f = 2 + 1 + 1$  lattices

Bazavov et al., PRD 82, 074501 (2010);  
 Bazavov et al., PRD 87, 054505 (2012)

# Form Factor calculation: matrix element via LQCD

- analyze  $t$  and  $T$  dependence of data to extract hadronic matrix element

$$\langle K(T) J(t) H(0)^\dagger \rangle = \sum_{l,m=0}^{\infty} \langle K | E_l^{(K)} \rangle \langle E_l^{(K)} | J | E_m^{(H)} \rangle \langle E_m^{(H)} | H^\dagger \rangle \frac{1}{\sqrt{2E_l^{(K)}}} \frac{1}{\sqrt{2E_m^{(H)}}} e^{-E_l^{(K)}(T-t)} e^{-E_m^{(H)}t}$$

for  $l, m = 0$ , gives  $\langle K | J | H \rangle$

- form factors parameterize matrix elements

$$\langle K | S | H \rangle = \frac{M_H^2 - M_K^2}{m_h - m_s} f_0(q^2) \quad Z_T(\overline{MS}, M_H) \langle K | T^{j0} | H \rangle = \frac{2iM_H p_K^j}{M_H + M_K} f_T(\overline{MS}, M_H; q^2)$$

$$Z_V \langle K | V^\mu | H \rangle = f_+(q^2) \left( p_H^\mu + p_K^\mu - \frac{M_H^2 - M_K^2}{q^2} q^\mu \right) + f_0(q^2) \frac{M_H^2 - M_K^2}{q^2} q^\mu$$

# Form Factor calculation: matrix element via LQCD

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- form factors parameterize matrix elements

$$\langle K | S | H \rangle = \frac{M_H^2 - M_K^2}{m_h - m_s} f_0(q^2) \quad Z_T(\overline{MS}, M_H)$$

Calculated via RI-SMOM at 2 GeV (accounting for nonperturbative contributions)

Hatton, Davies, Lepage, Lytle, PRD 102, 094509 (2020)

$$Z_V \cdot \text{Calculated via PCVC relation, } Z_V = \frac{m_h - m_s \langle K | S | H \rangle}{(M_H - M_K) \langle K | V^0 | H \rangle} \Bigg|_{\vec{p}_K=0}$$

Na, Davies, Follana, Lepage, PRD 82, 114506 (2010)

# Form Factor calculation: extrapolate to real world

- trade  $q^2$  for:  $z(q^2) = \left( \sqrt{t_+ - q^2} - \sqrt{t_+} \right) / \left( \sqrt{t_+ - q^2} + \sqrt{t_+} \right)$ , where  $t_+ = (M_H + M_K)^2$ 
  - $|z| \ll 1$ , allows series expansion of form factor (once pole removed)

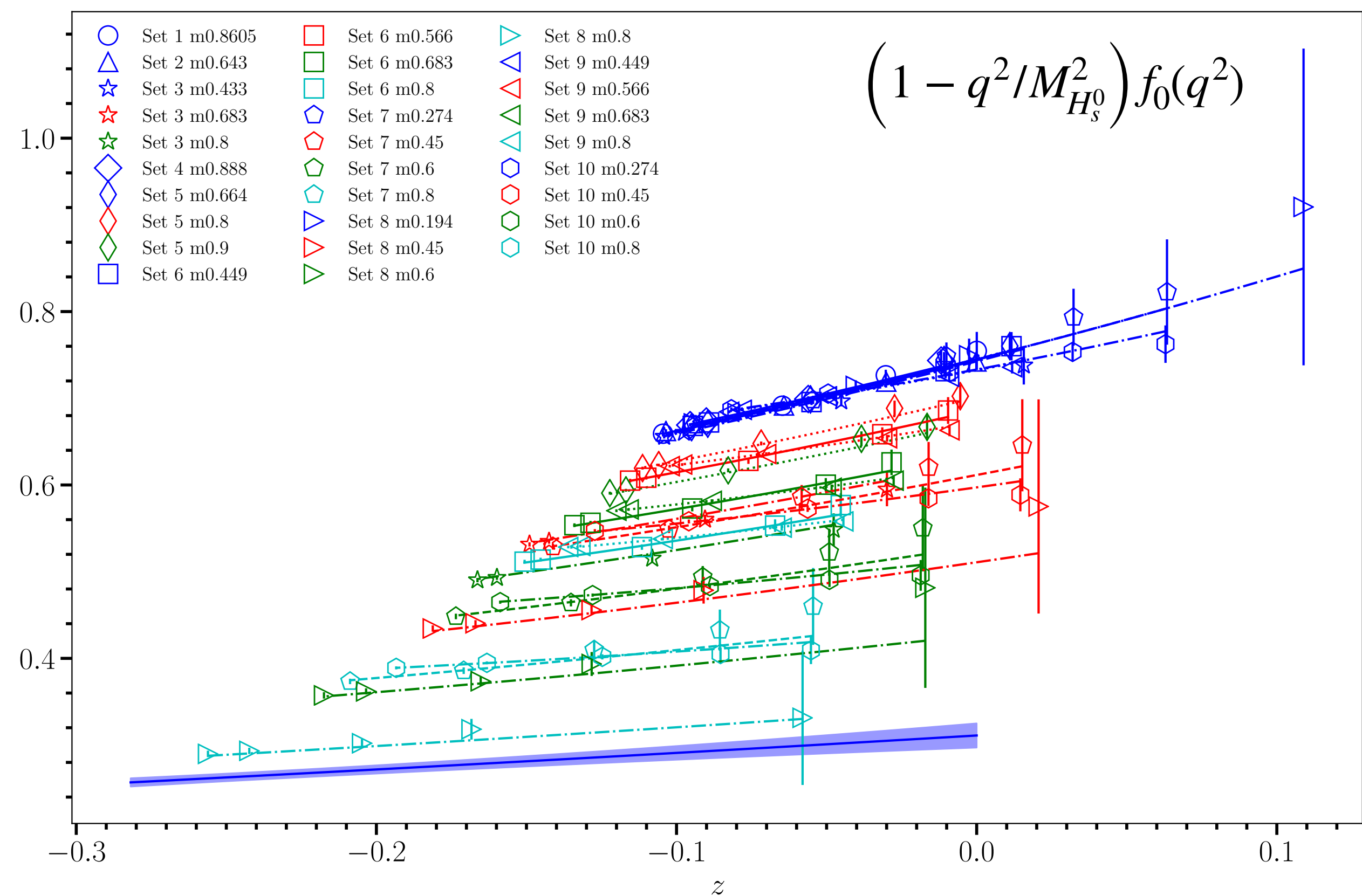
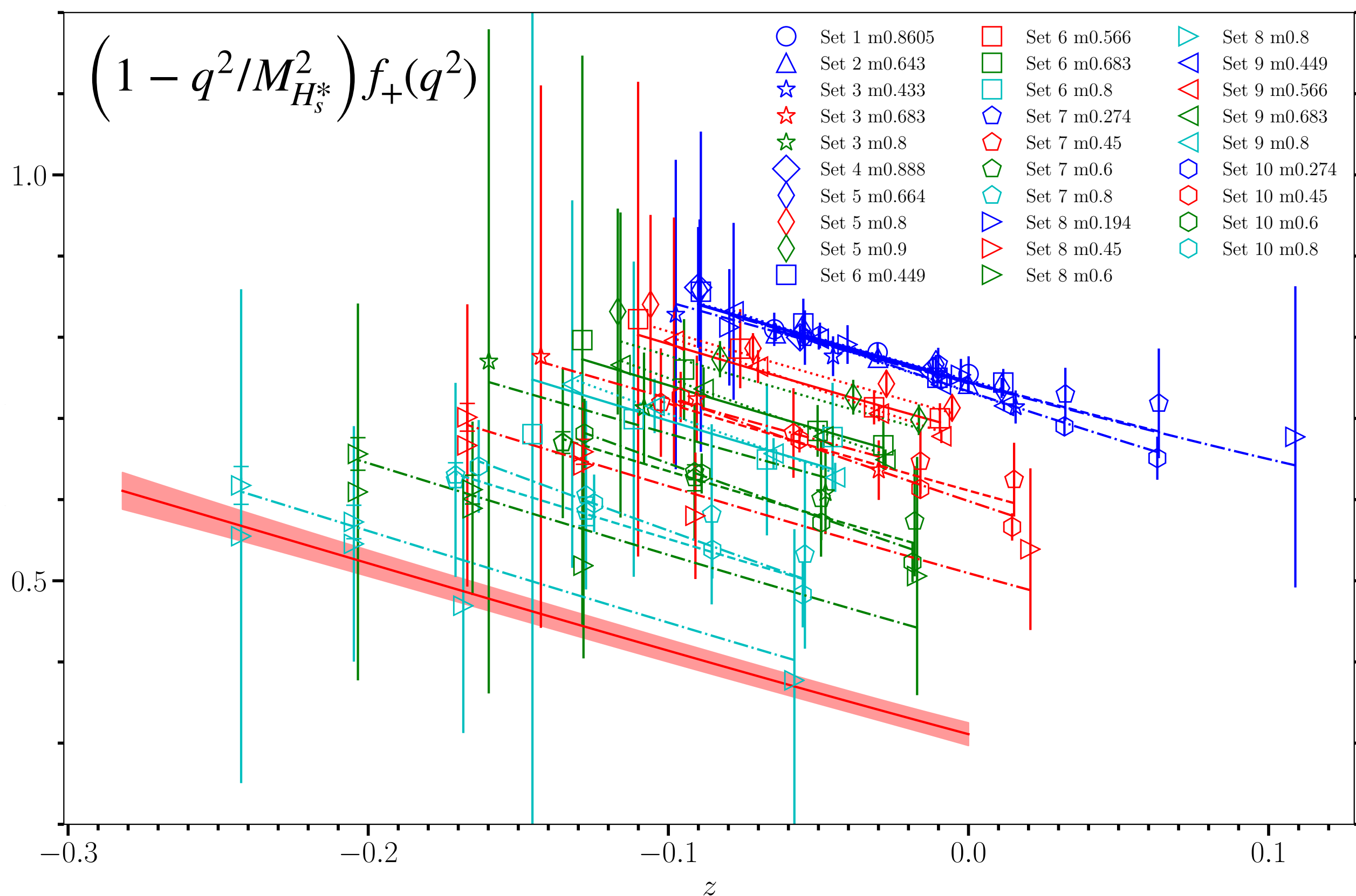
$$f(q^2) \left( 1 - \frac{q^2}{M_{\text{pole}}^2} \right) = \sum_n a_n z^n$$

- modified  $z$ -expansion fit
  - extrapolate to  $a \rightarrow 0$ , volume  $\rightarrow \infty$ , and quark masses  $\rightarrow$  physical
  - interpolate over full range of  $q^2$

$a_n$  contains **chiral**, **mistuning**, **heavy quark expansion**, and **discretization** terms

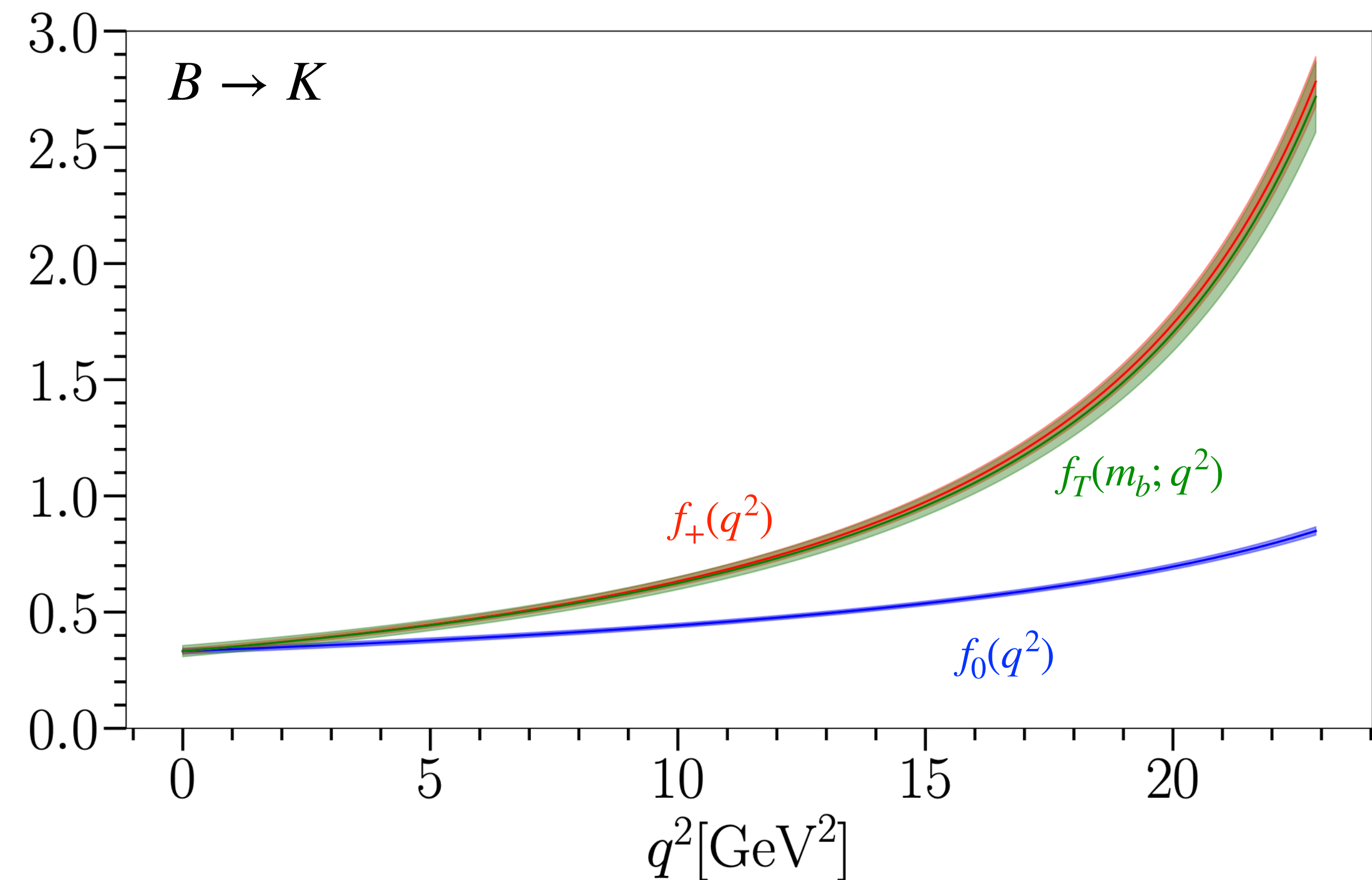
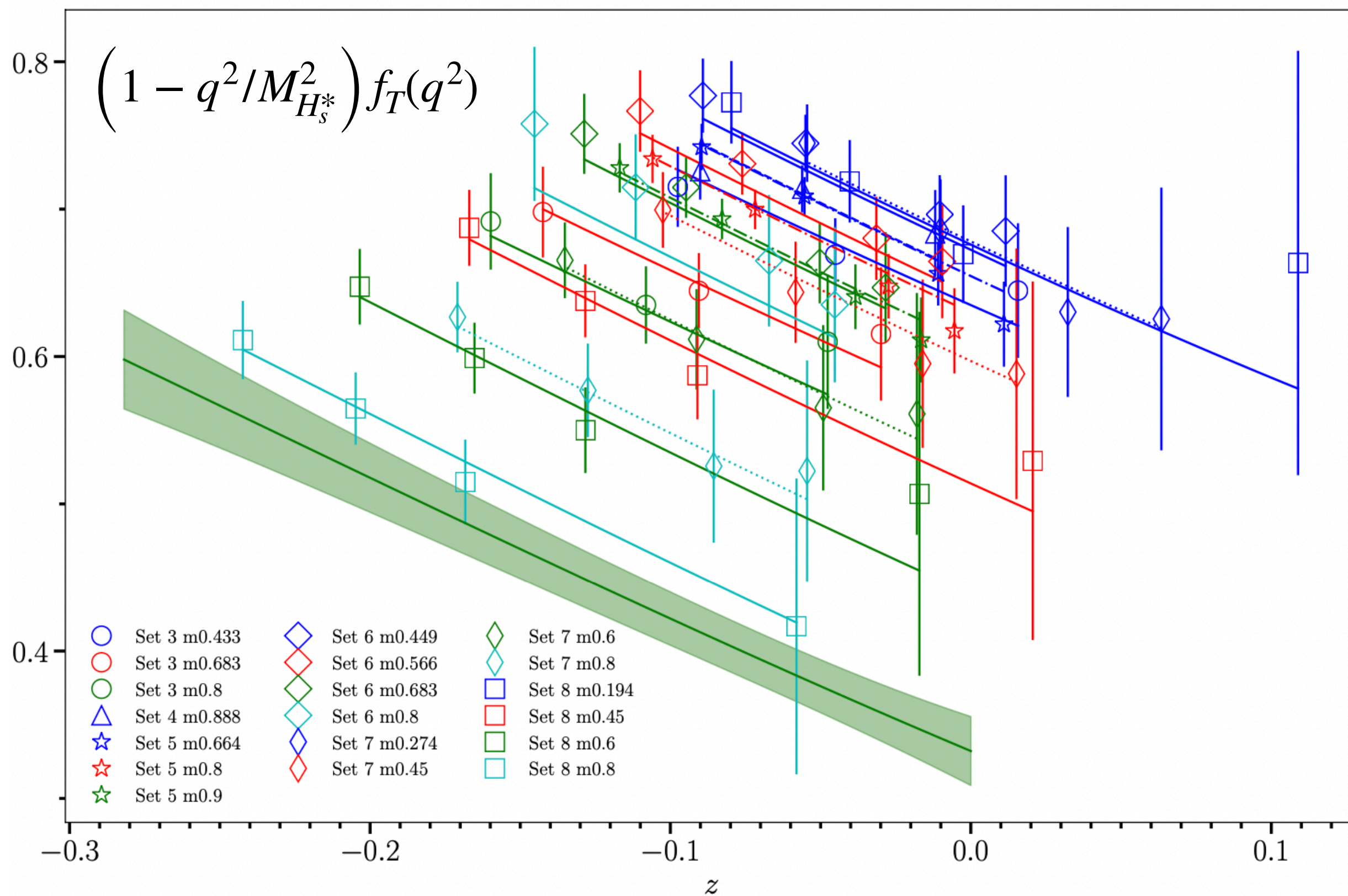
$$a_n = (1 + L(m_l, V)) \left( 1 + \epsilon_n \right) \left( 1 + \rho_n \log \left( \frac{M_H}{M_D} \right) \right) \sum_{i,j,k,l=0}^{N_{ijkl}-1} d_{ijkln} \left( \frac{\Lambda}{M_H} \right)^i \left( \frac{am_h}{\pi} \right)^{2j} \left( \frac{a\Lambda}{\pi} \right)^{2k} \left( \frac{m_\pi^2 - (m_\pi^{\text{phys}})^2}{(4\pi f_\pi)^2} \right)^l$$

# Form Factor calculation: extrapolate to real world



- bands show form factors in continuum, infinite volume, with physical quark masses, and for  $m_h = m_b$

# Form Factor calculation: extrapolate to real world



- improved precision, especially at low  $q^2$ , where it is needed
- errors statistics dominated, so improvement straightforward

# Phenomenology: $B \rightarrow K\ell^+\ell^-$

- differential decay rate (or branching fraction  $\mathcal{B} = \tau_B\Gamma$ ) is measured

$$\frac{d\Gamma(B \rightarrow K\ell^+\ell^-)}{dq^2} = 2a_\ell + \frac{2}{3}c_\ell$$

$$a_\ell = \mathcal{C} \left[ q^2 |F_P|^2 + \frac{\lambda(q, M_B, M_K)}{4} (|F_A|^2 + |F_V|^2) + 4m_\ell^2 M_B^2 |F_A|^2 + 2m_\ell (M_B^2 - M_K^2 + q^2) \text{Re}(F_P F_A^*) \right]$$

$$c_\ell = -\frac{\mathcal{C}\lambda(q, M_B, M_K)\beta_\ell^2}{4} (|F_A|^2 + |F_V|^2)$$

- prediction depends on  $F_{P,A,V}$  - functions of form factors and Wilson coefficients



# Phenomenology: $B \rightarrow K \ell^+ \ell^-$

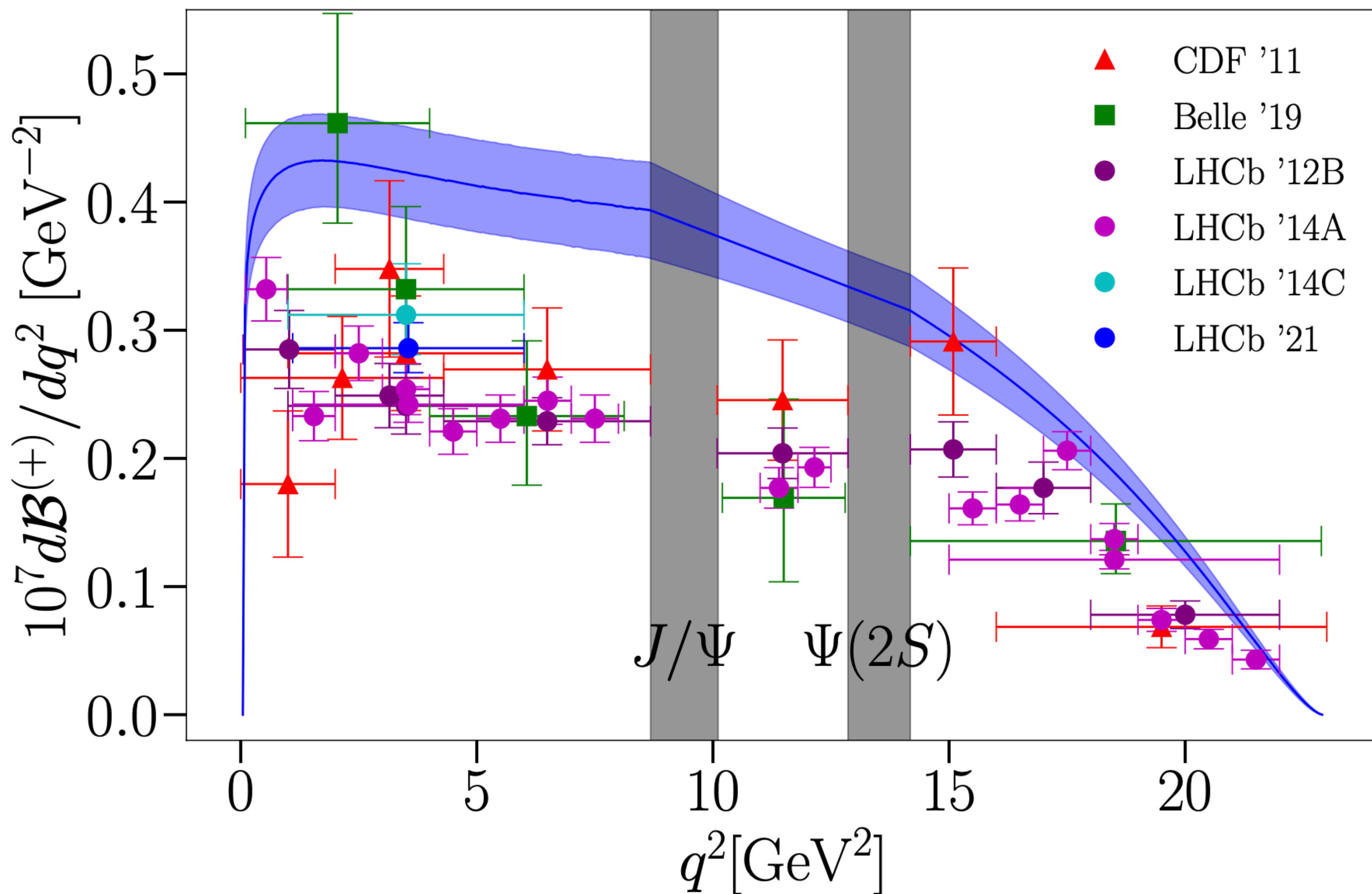
$$F_P = -m_\ell C_{10} \left[ f_+ - \frac{M_B^2 - M_K^2}{q^2} (f_0 - f_+) \right]$$

$$F_A = C_{10} f_+$$

$$F_V = C_9^{\text{eff},1} f_+ + \frac{2m_b^{\overline{\text{MS}}}(\mu_b)}{M_B + M_K} C_7^{\text{eff},1} f_T(\mu_b)$$

- $C_9^{\text{eff},1}$  includes nonfactorizable and  $\mathcal{O}(\alpha_s)$  perturbative QCD corrections
- $C_7^{\text{eff},1}$  includes  $\mathcal{O}(\alpha_s)$  corrections FNAL/MILC, PRD 93, 034005 (2016)
- corrections amount to  $< 1\sigma$  shift, slightly reducing tension with experiment

# Phenomenology: $B \rightarrow K\ell^+\ell^-$

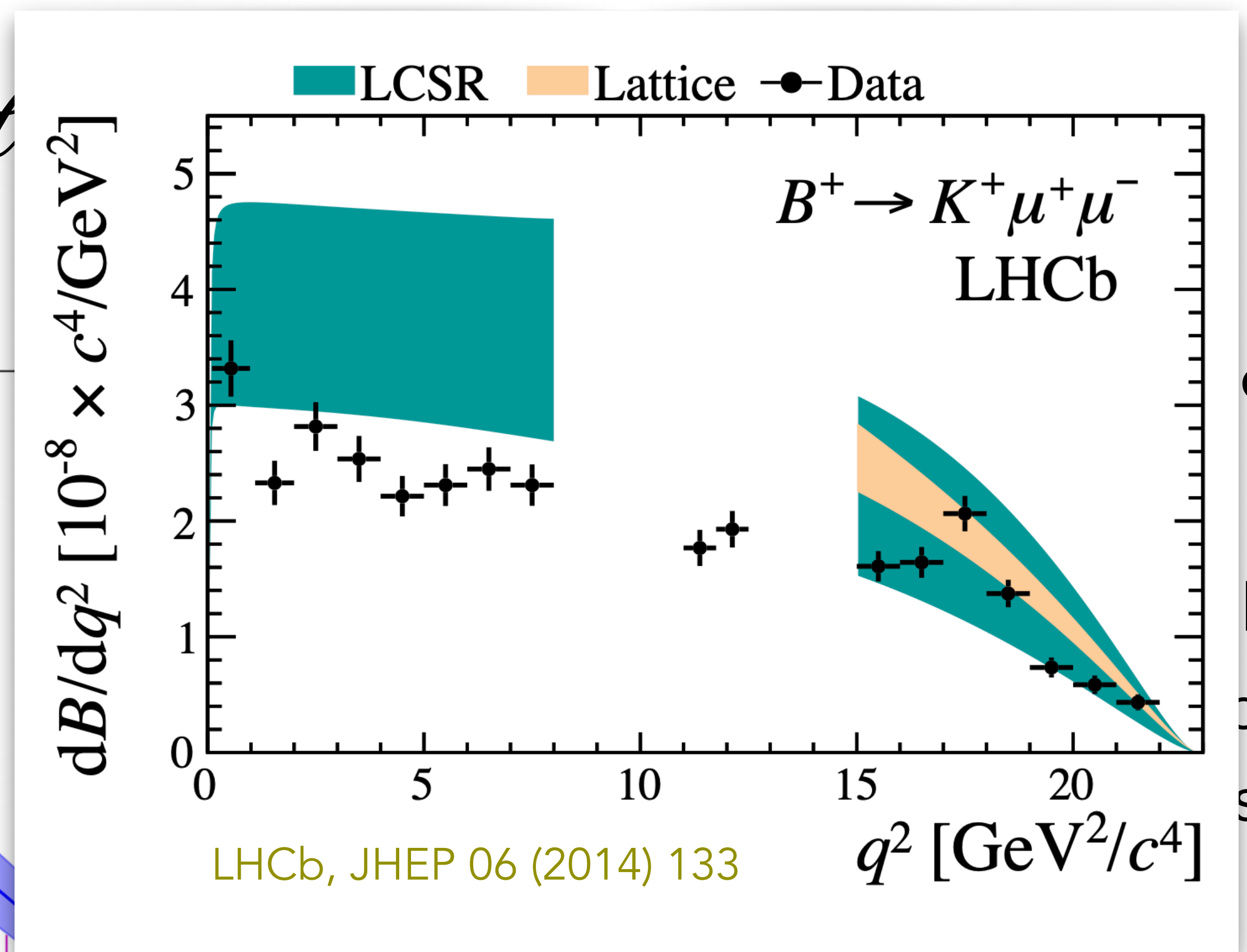
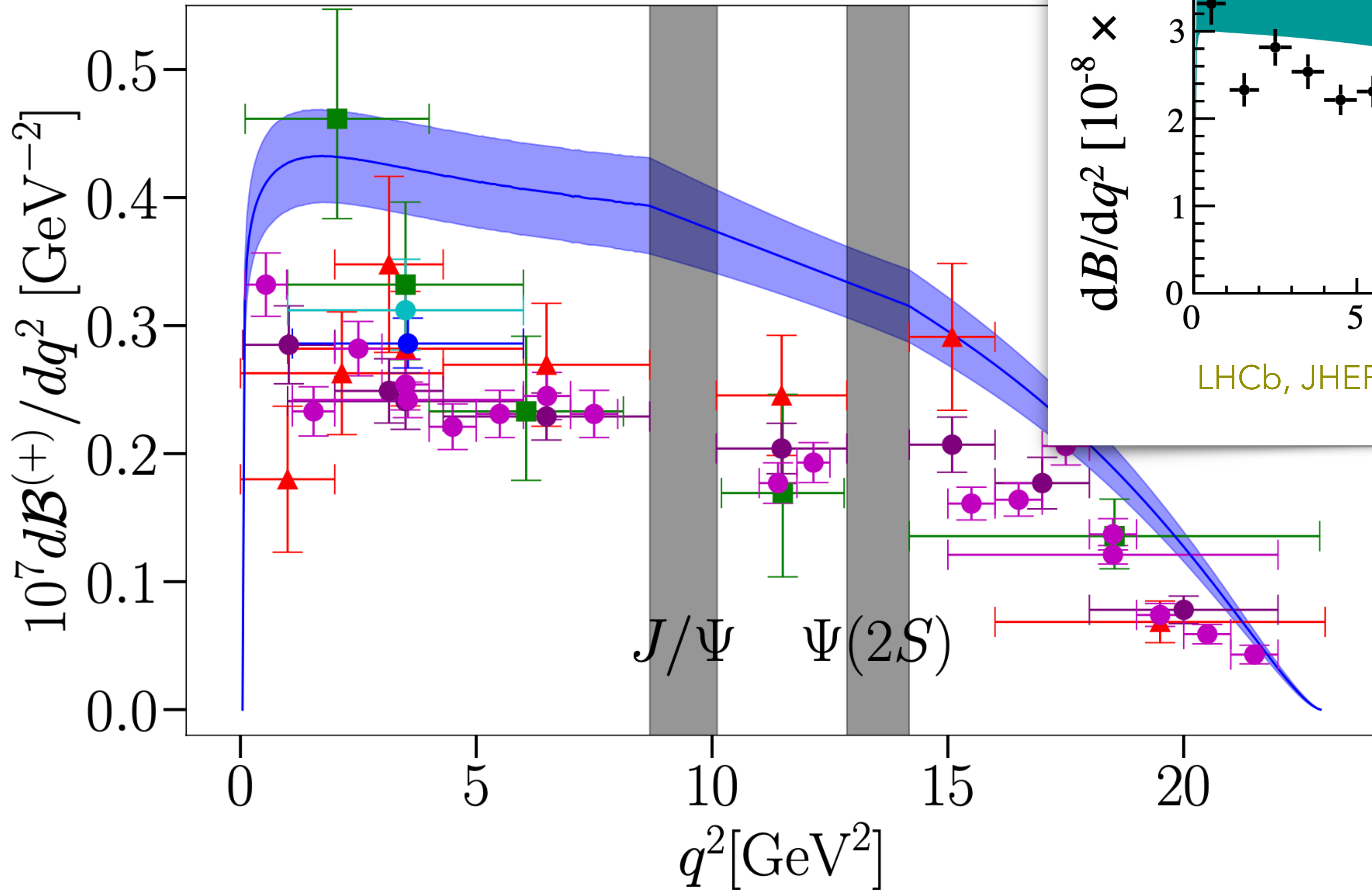


Focus on two well-behaved regions:

- $1.1 \leq q^2/\text{GeV}^2 \leq 6$ : below  $c\bar{c}$  resonances; improved precision and increased tension
- $15 \leq q^2/\text{GeV}^2 \leq 22$ : above (dominant)  $c\bar{c}$  resonances, include 2% uncertainty for others

LHCb, *Eur. Phys. J. C* 77, 161 (2017)

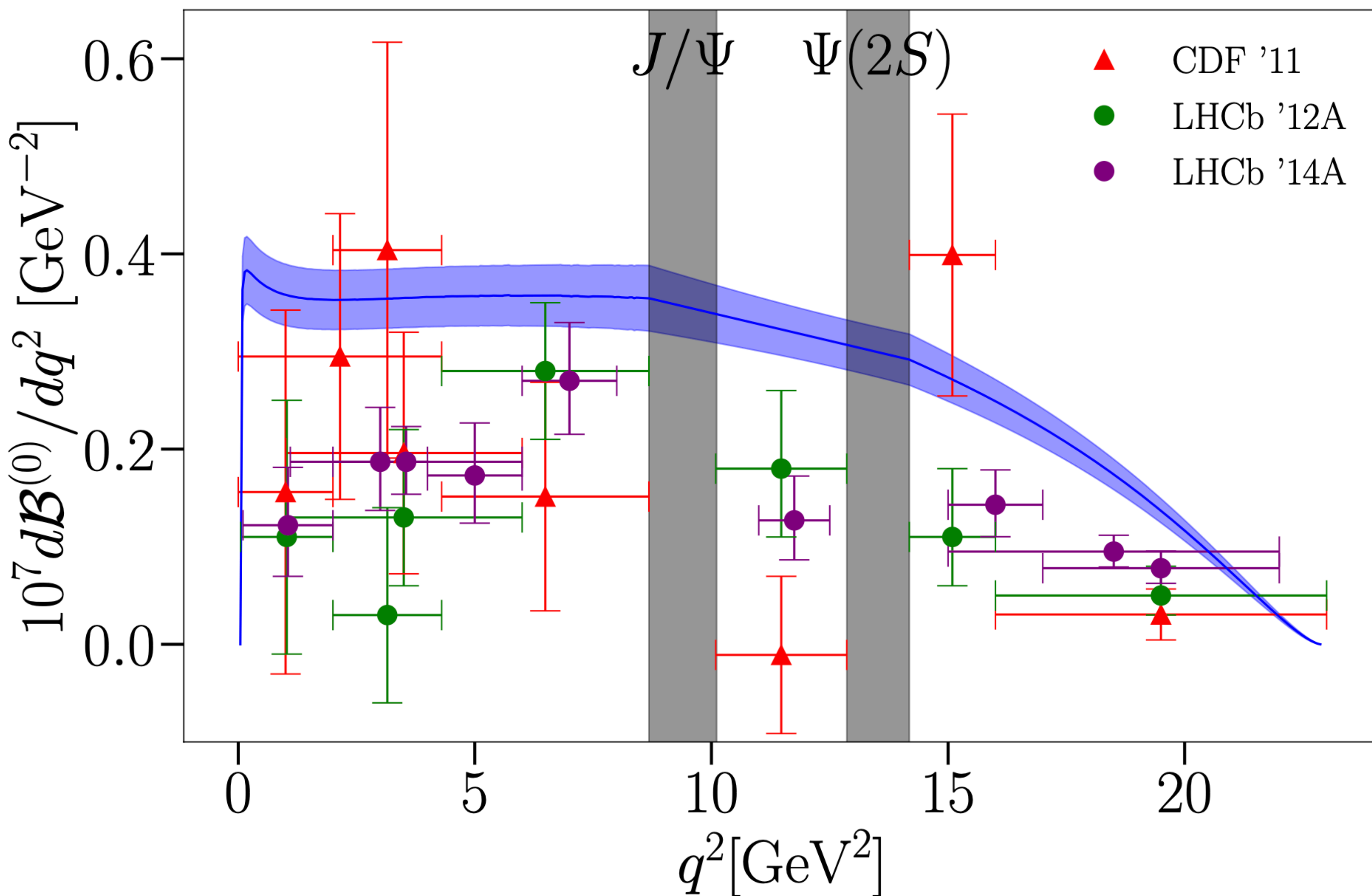
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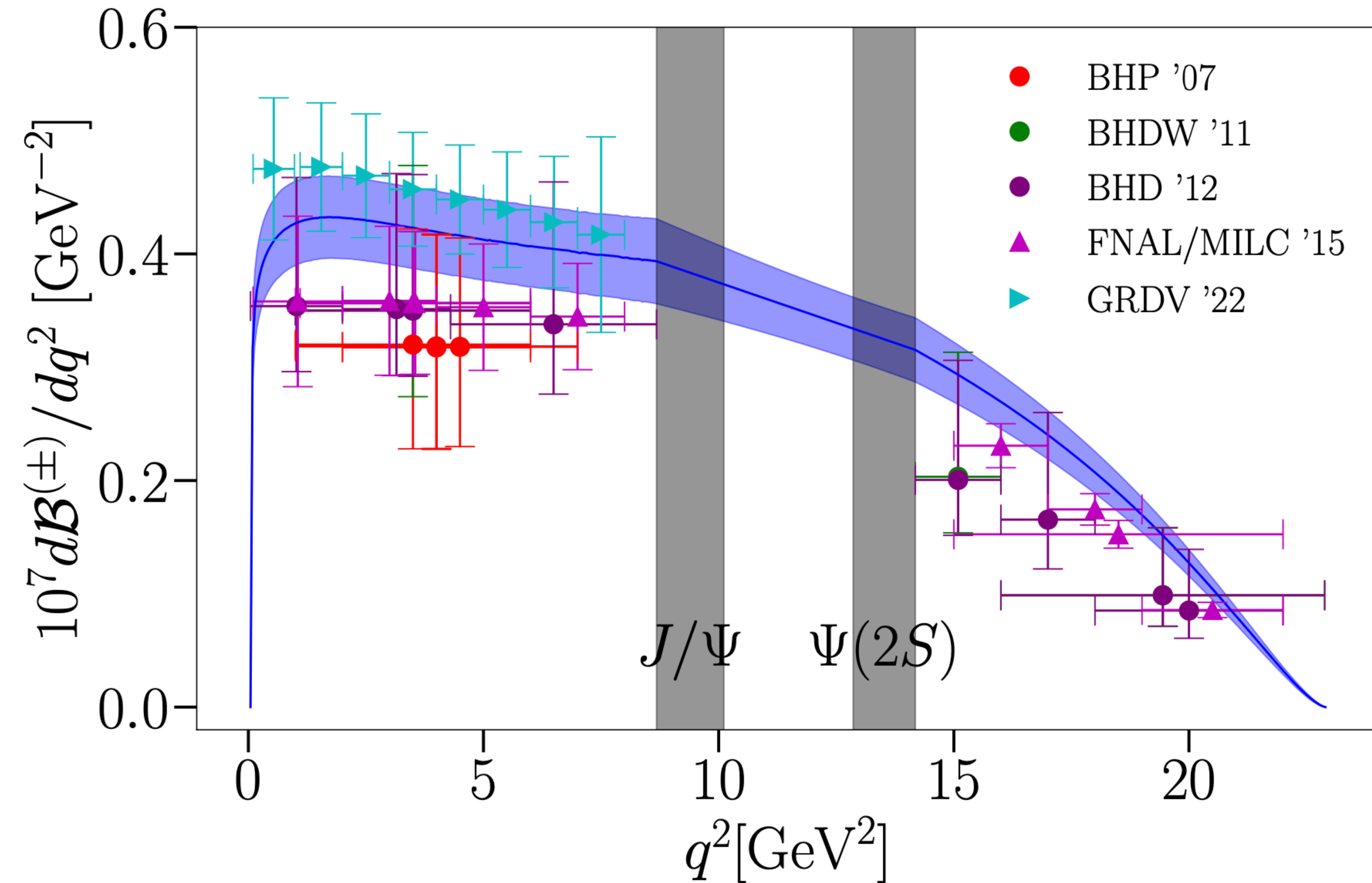
- LQCD calculation omits QED and in isospin limit, with  $m_l = (m_u + m_d)/2$
- Differentiate between charged and neutral cases
  - 0.5% for form factor  $m_l$
  - Missing final state radiation in experiment and no QED in form factors:
    - 5% (2%) for  $e$  ( $\mu$ ) decay rates;
    - 1% for  $R_K$

# Phenomenology: $B \rightarrow K\ell^+\ell^-$

Channel	Result	$q^2/\text{GeV}^2$ range	$\mathcal{B} \times 10^7$	Tension with HPQCD '22
$B^+ \rightarrow K^+ e^+ e^-$	LHCb '21	(1.1, 6)	$1.401_{-0.069}^{+0.074} \pm 0.064$	$-3.3\sigma$ ( $-3.0\sigma$ )
$B^+ \rightarrow K^+ e^+ e^-$	HPQCD '22	(1.1, 6)	$2.07 \pm 0.17(\pm 0.10)_{\text{QED}}$	-
$B^+ \rightarrow K^+ e^+ e^-$	Belle '19	(1, 6)	$1.66_{-0.29}^{+0.32} \pm 0.04$	$-1.2\sigma$ ( $-1.2\sigma$ )
$B^+ \rightarrow K^+ e^+ e^-$	HPQCD '22	(1, 6)	$2.11 \pm 0.18(\pm 0.11)_{\text{QED}}$	-
$B^0 \rightarrow K^0 \mu^+ \mu^-$	LHCb '14A	(1.1, 6)	$0.92_{-0.15}^{+0.17} \pm 0.044$	$-3.6\sigma$ ( $-3.5\sigma$ )
$B^0 \rightarrow K^0 \mu^+ \mu^-$	HPQCD '22	(1.1, 6)	$1.74 \pm 0.15(\pm 0.04)_{\text{QED}}$	-
$B^0 \rightarrow K^0 \mu^+ \mu^-$	LHCb '14A	(15, 22)	$0.67_{-0.11}^{+0.11} \pm 0.035$	$-3.2\sigma$ ( $-3.1\sigma$ )
$B^0 \rightarrow K^0 \mu^+ \mu^-$	HPQCD '22	(15, 22)	$1.16 \pm 0.10(\pm 0.02)_{\text{QED}}$	-
$B^+ \rightarrow K^+ \mu^+ \mu^-$	Belle '19	(1, 6)	$2.30_{-0.38}^{+0.41} \pm 0.05$	$+0.4\sigma$ ( $+0.4\sigma$ )
$B^+ \rightarrow K^+ \mu^+ \mu^-$	HPQCD '22	(1, 6)	$2.11 \pm 0.18(\pm 0.04)_{\text{QED}}$	-
$B^+ \rightarrow K^+ \mu^+ \mu^-$	LHCb '14A	(1.1, 6)	$1.186 \pm 0.034 \pm 0.059$	$-4.7\sigma$ ( $-4.6\sigma$ )
$B^+ \rightarrow K^+ \mu^+ \mu^-$	HPQCD '22	(1.1, 6)	$2.07 \pm 0.17(\pm 0.04)_{\text{QED}}$	-
$B^+ \rightarrow K^+ \mu^+ \mu^-$	LHCb '14A	(15, 22)	$0.847 \pm 0.028 \pm 0.042$	$-3.4\sigma$ ( $-3.3\sigma$ )
$B^+ \rightarrow K^+ \mu^+ \mu^-$	HPQCD '22	(15, 22)	$1.26 \pm 0.11(\pm 0.03)_{\text{QED}}$	-

- consistent tension with LHCb
- single experiment (LHCb '14A,  $B^+ \rightarrow K^+ \mu^+ \mu^-$ ,  $1.1 \leq q^2/\text{GeV}^2 \leq 6$ ) approaching  $5\sigma$

# Phenomenology: $B \rightarrow K\ell^+\ell^-$ vs other theory



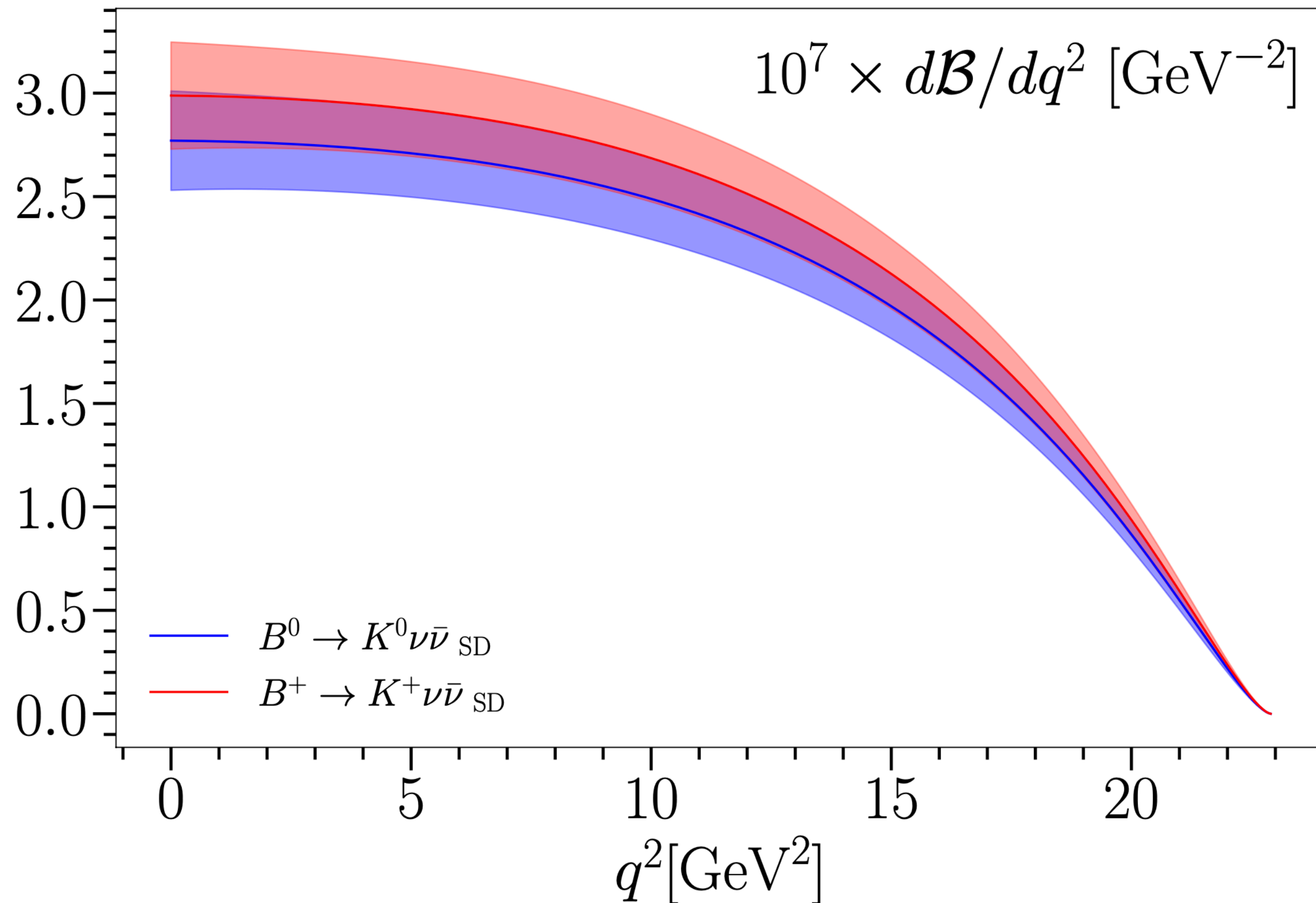
C. Bobeth, G. Hiller, and G. Piranishvili

C. Bobeth, G. Hiller, D. van Dyk, and C. Wacker

C. Bobeth, G. Hiller, and D. van Dyk

N. Gubernari, M. Reboud, D. van Dyk, and J. Virto

# Phenomenology: $B \rightarrow K\nu\bar{\nu}$

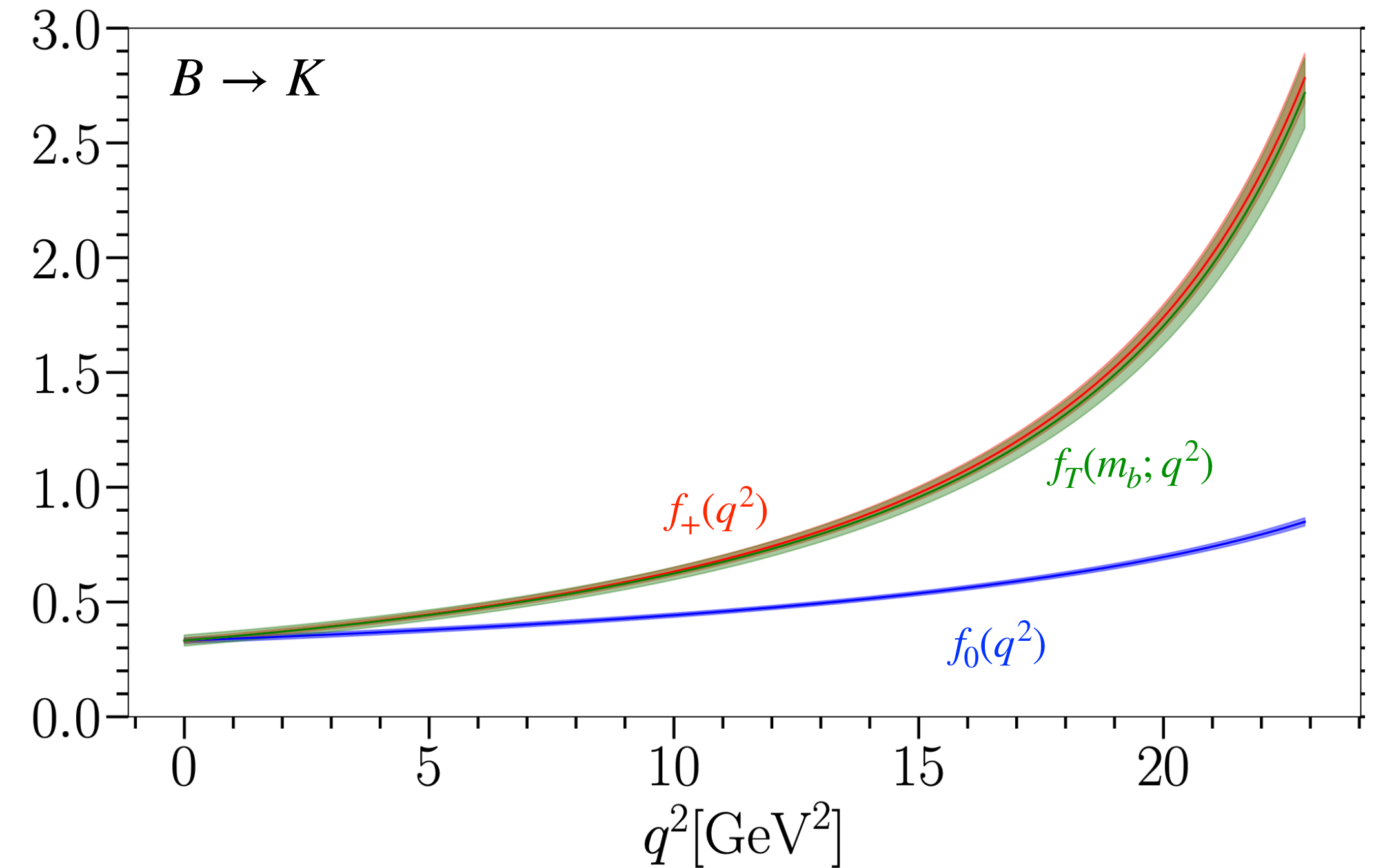


Decay	$\mathcal{B} \times 10^6$	Reference
$B^0 \rightarrow K_S^0 \nu \bar{\nu}$	$< 13$ (90% CL) Exp.	[32] Belle '17
	$< 49$ (90% CL) Exp.	[34] BaBar '13
$B^0 \rightarrow K^0 \nu \bar{\nu}$	4.01(49)	[9] FNAL '16
	$4.1^{+1.3}_{-1.0}$	[37] Wang, Xiao '12
	4.67(35)	HPQCD '22
$B^+ \rightarrow K^+ \nu \bar{\nu}$	$< 16$ (90% CL) Exp.	[34]
	$< 19$ (90% CL) Exp.	[32]
	$< 41$ (90% CL) Exp.	[33] Belle II '21
	5.10(80)	[75, 78] Altmanshoffer et al '09; Kamenik, Smith '09
	$4.4^{+1.4}_{-1.1}$	[37]
	3.98(47)	[76] Buras et al '14
	4.94(52)	[9]
	4.53(64)	[83] Buras, Venturini '21
	4.65(62)	[84] Buras, Venturini '22
	5.67(38)	HPQCD '22

- modest improvement in precision
- matches expected Belle-II precision at  $50 \text{ ab}^{-1}$

# Conclusions and Outlook

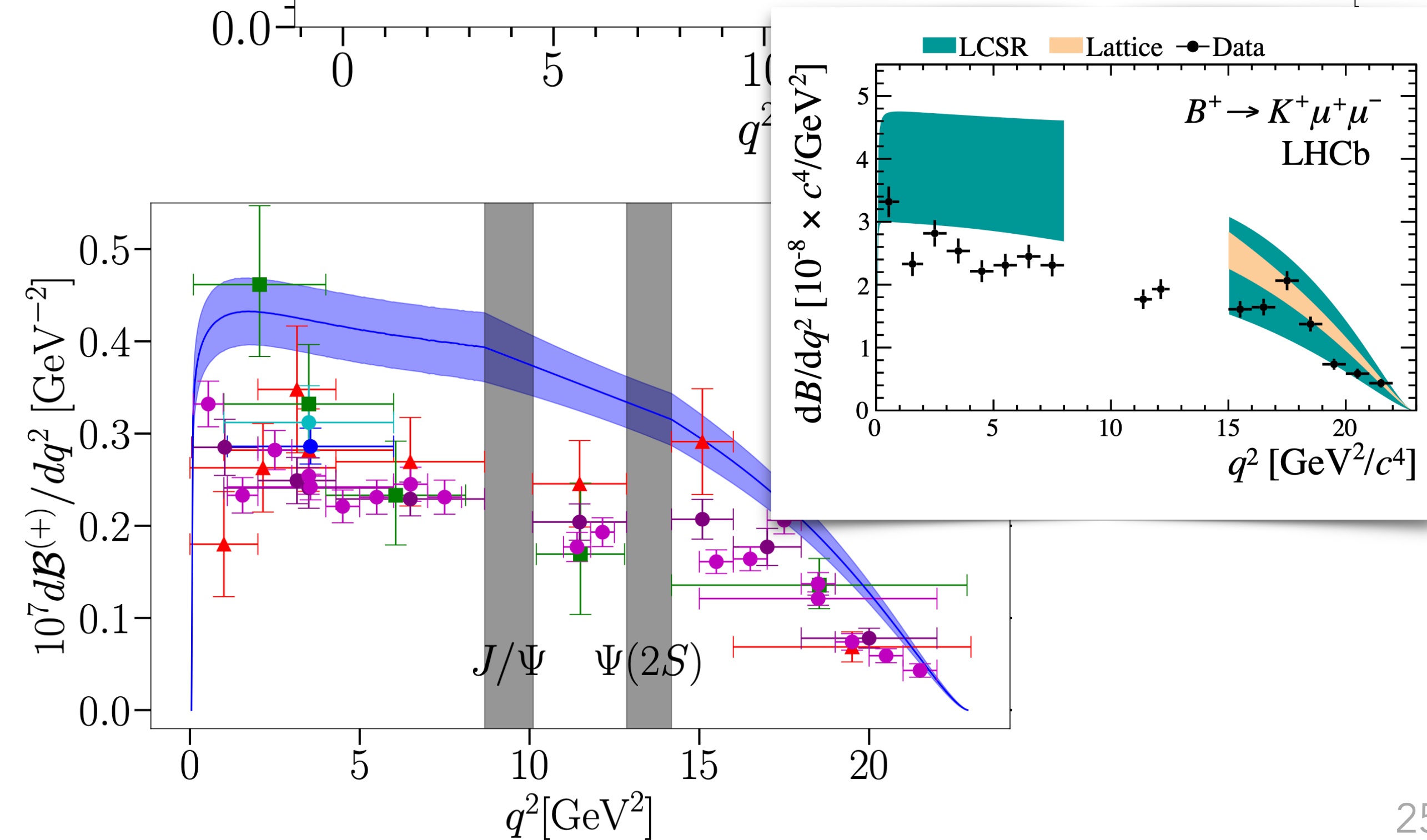
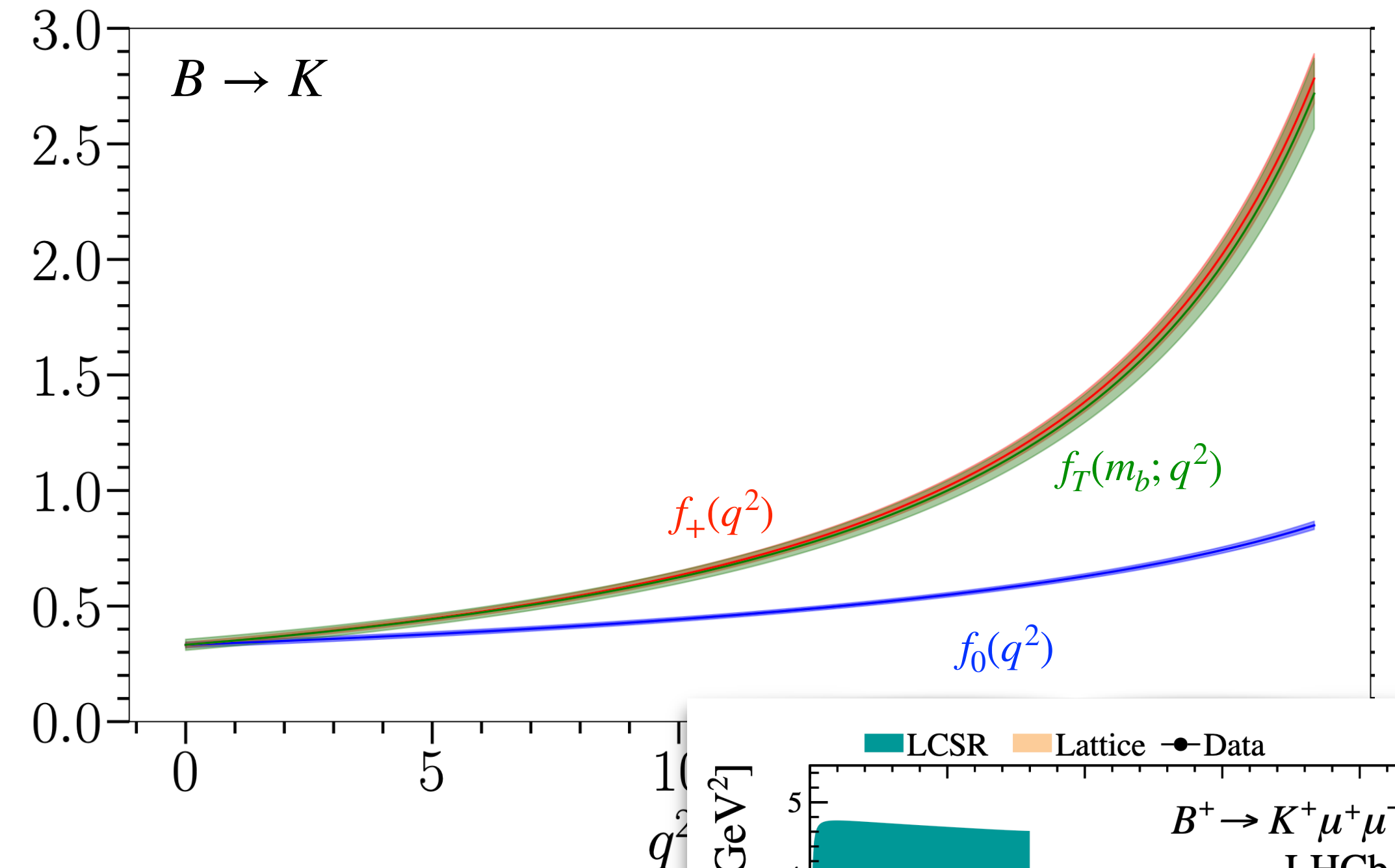
- 'Heavy HISQ' form factors most precise to date at low  $q^2$  Parrot, Bouchard, and Davies, 2207.12468
  - statistics limited
  - other groups (e.g. FNAL/MILC) have calculations underway





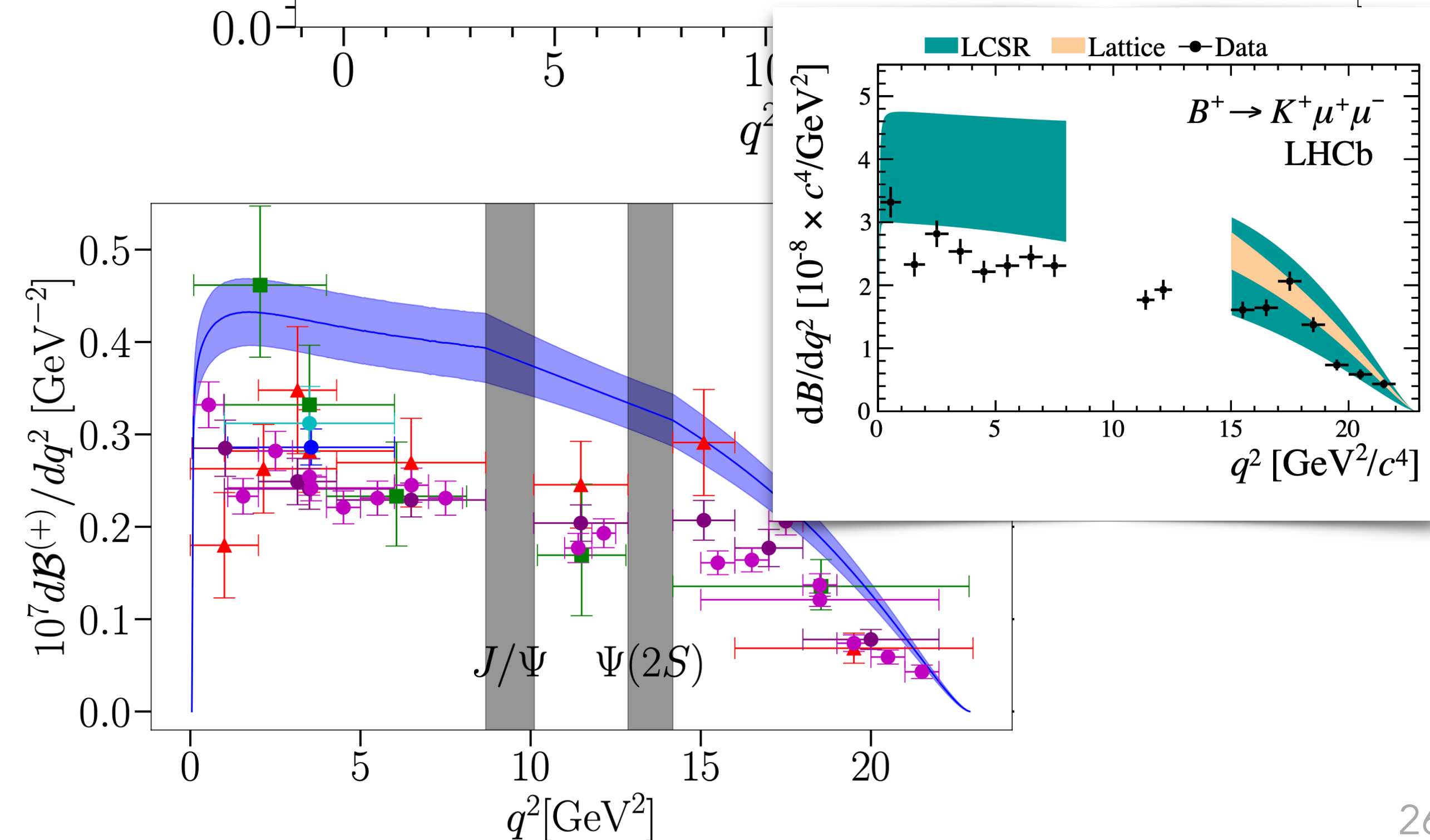
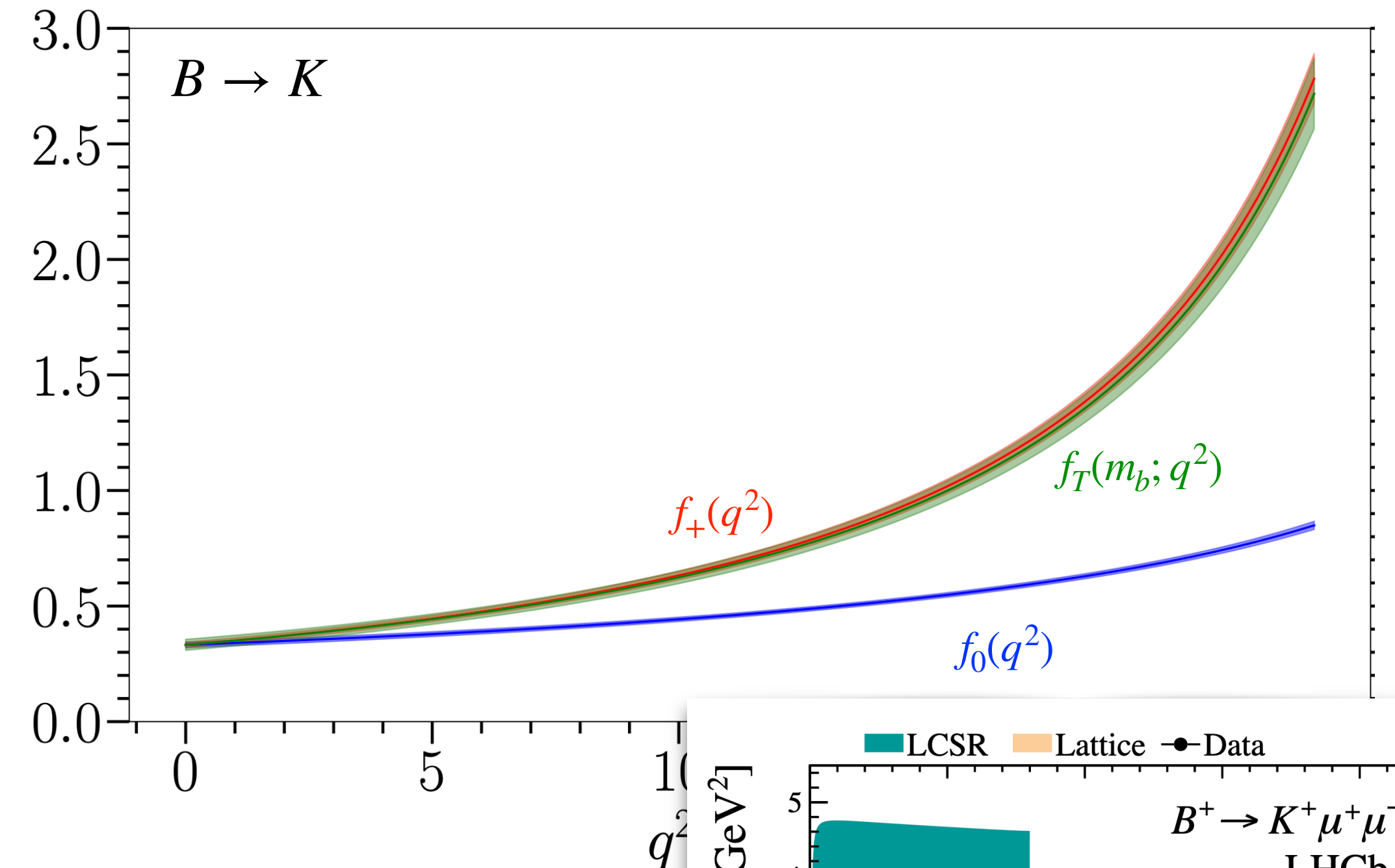
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- increased precision for phenomenology [Parrot, Bouchard, and Davies, 2207.1337](#)
  - approaching  $5\sigma$  for single experiment



# Conclusions and Outlook

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  - statistics limited
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- increased precision for phenomenology [Parrot, Bouchard, and Davies, 2207.1337](#)
  - approaching  $5\sigma$  for single experiment
- fully relativistic b quark removes EFT matching and improves  $q^2$  coverage
  - form factor precision to match Belle-II expectations



# Backup Slides

$$H \rightarrow K \ell^+ \ell^-$$

- MILC HISQ  $n_f = 2 + 1 + 1$  gauge field configurations; all HISQ valence quarks

- $am_b$  generates large discretization effects unless  $a \lesssim 0.04$  fm

- Instead, simulate over range of  $m_h$ , then extrapolate to  $m_b$  using HQET

- “Heavy HISQ” method

Set	$a$ (fm)	$N_x^3 \times N_t$	$n_{\text{cfg}} \times n_{\text{src}}$	$am_l^{\text{sea/val}}$	$am_h^{\text{val}}$
1	0.15	$32^3 \times 48$	$998 \times 16$	0.00235	0.8605
2	0.12	$48^3 \times 64$	$985 \times 16$	0.00184	0.643
3	0.09	$64^3 \times 96$	$620 \times 8$	0.00120	0.433, 0.683, 0.8
4	0.15	$16^3 \times 48$	$1020 \times 16$	0.013	0.888
5	0.12	$24^3 \times 64$	$1053 \times 16$	0.0102	0.664, 0.8, 0.9
6	0.09	$32^3 \times 96$	$499 \times 16$	0.0074	0.449, 0.566, 0.683, 0.8
7	0.06	$48^3 \times 144$	$415 \times 8$	0.0048	0.274, 0.45, 0.6, 0.8
8	0.044	$64^3 \times 192$	$375 \times 4$	0.00316	0.194, 0.45, 0.6, 0.8

Bazavov et al., PRD 82, 074501 (2010); Bazavov et al., PRD 87, 054505 (2012)

# Form Factor calculation: correlator fit stability

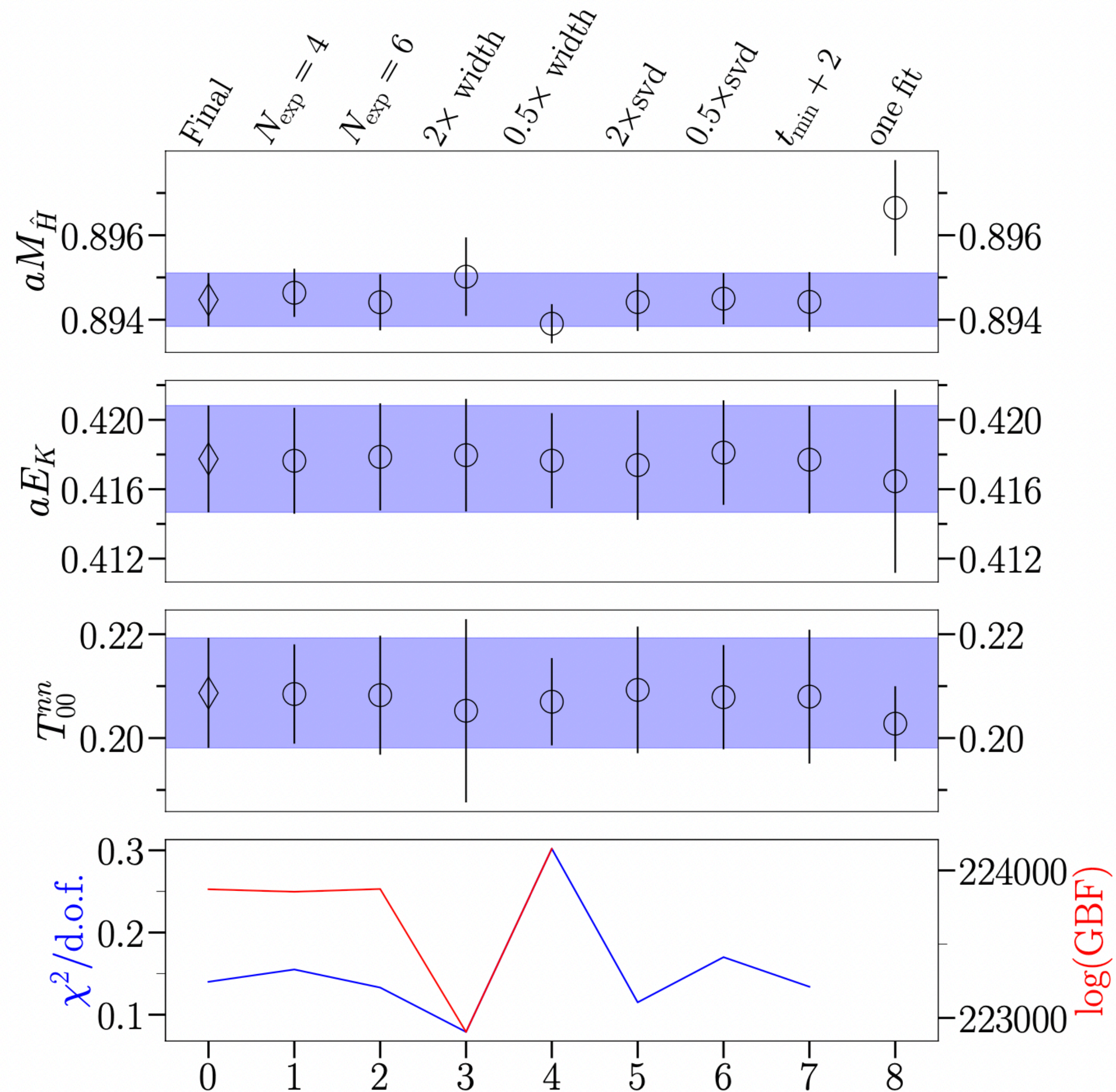


FIG. 2. Stability plot for different correlator fit choices on set 8, showing the mass of the ground-state non-goldstone  $\hat{H}$  meson for  $am_h = 0.6$ , the ground-state energy of the  $K$  with twist  $\theta = 4.705$  and  $T_{00}^{nn}$  for  $am_h = 0.45$ ,  $\theta = 2.235$ . Test 0 is the final result, corresponding to  $N_{\text{exp}} = 5$  exponentials.

# Form Factor calculation: variation with $m_h$

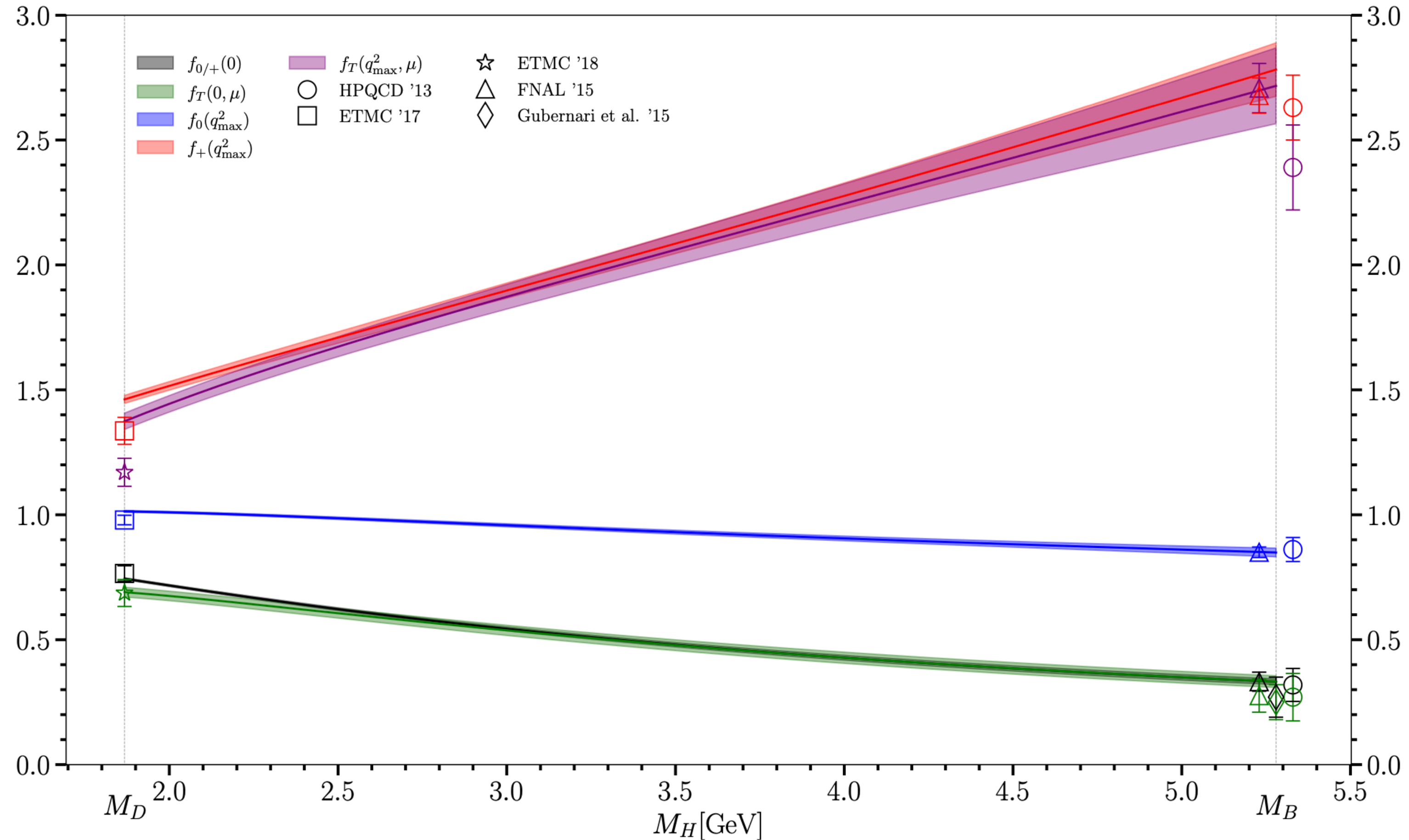
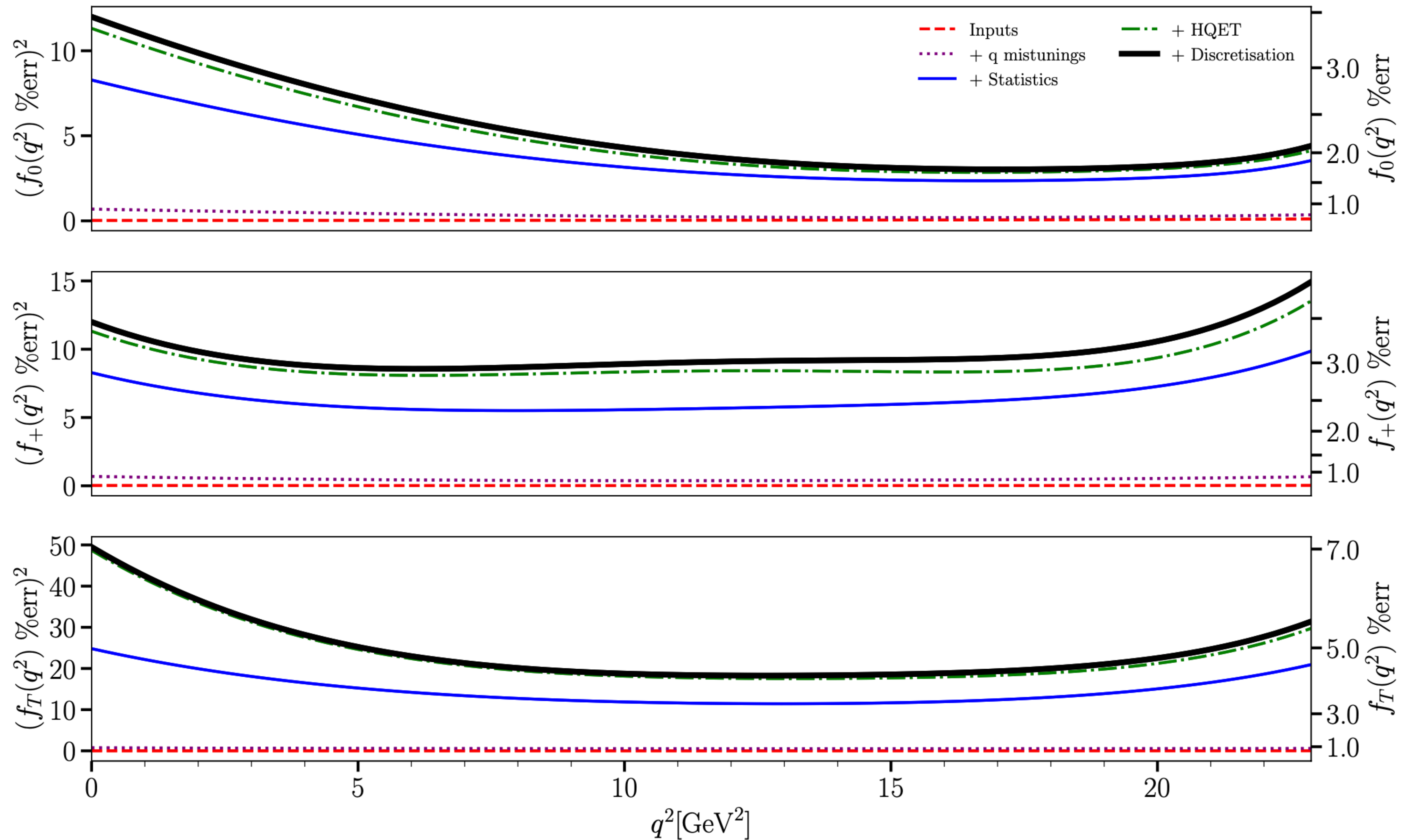


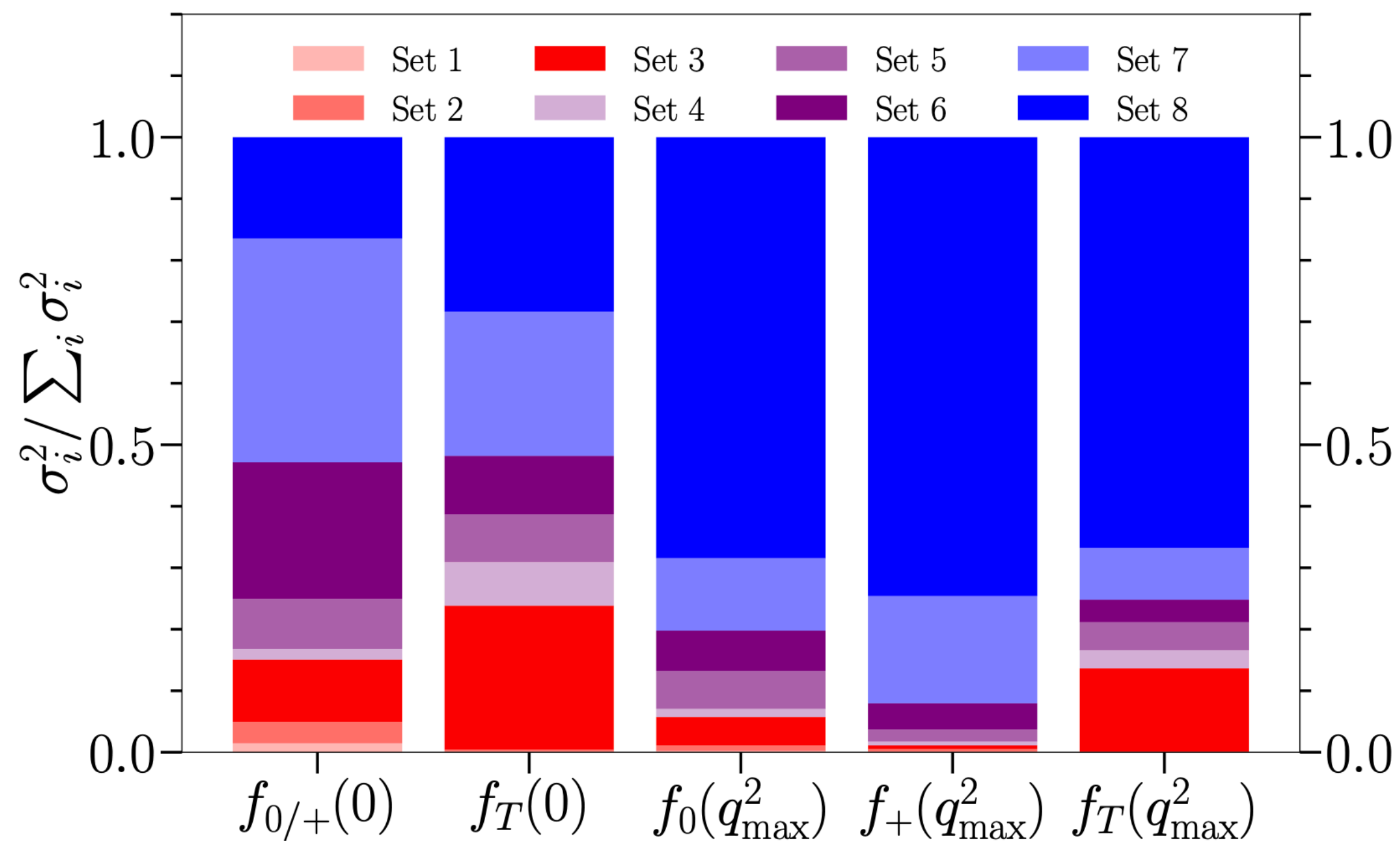
FIG. 10. The form factors at  $q_{\max}^2$  and  $q^2 = 0$  evaluated across the range of physical heavy masses from the  $D$  to the  $B$ . Other lattice studies [25, 28, 68, 69] of both  $D \rightarrow K$  and  $B \rightarrow K$  are shown for comparison. We also include some  $B \rightarrow K$  results at  $q^2 = 0$  from Gubernari et al. [70], a calculation using light cone sum rules. We do not include HPQCD's  $D \rightarrow K$  results that share data with our calculation here [36]; see text for a discussion of that comparison. At the  $B$  end, data points are offset from  $M_B$  for clarity. Note that we have run  $Z_T$  to scale  $\mu$  in this plot, where  $\mu$  is defined linearly between 2 GeV and  $m_b = 4.8$  GeV, according to Equation (26). The full running to 2 GeV from  $m_b$  results in a factor of 1.0773(17), applied to  $f_T^{D \rightarrow K}$ .

# Form Factor calculation: error budget vs. $q^2$



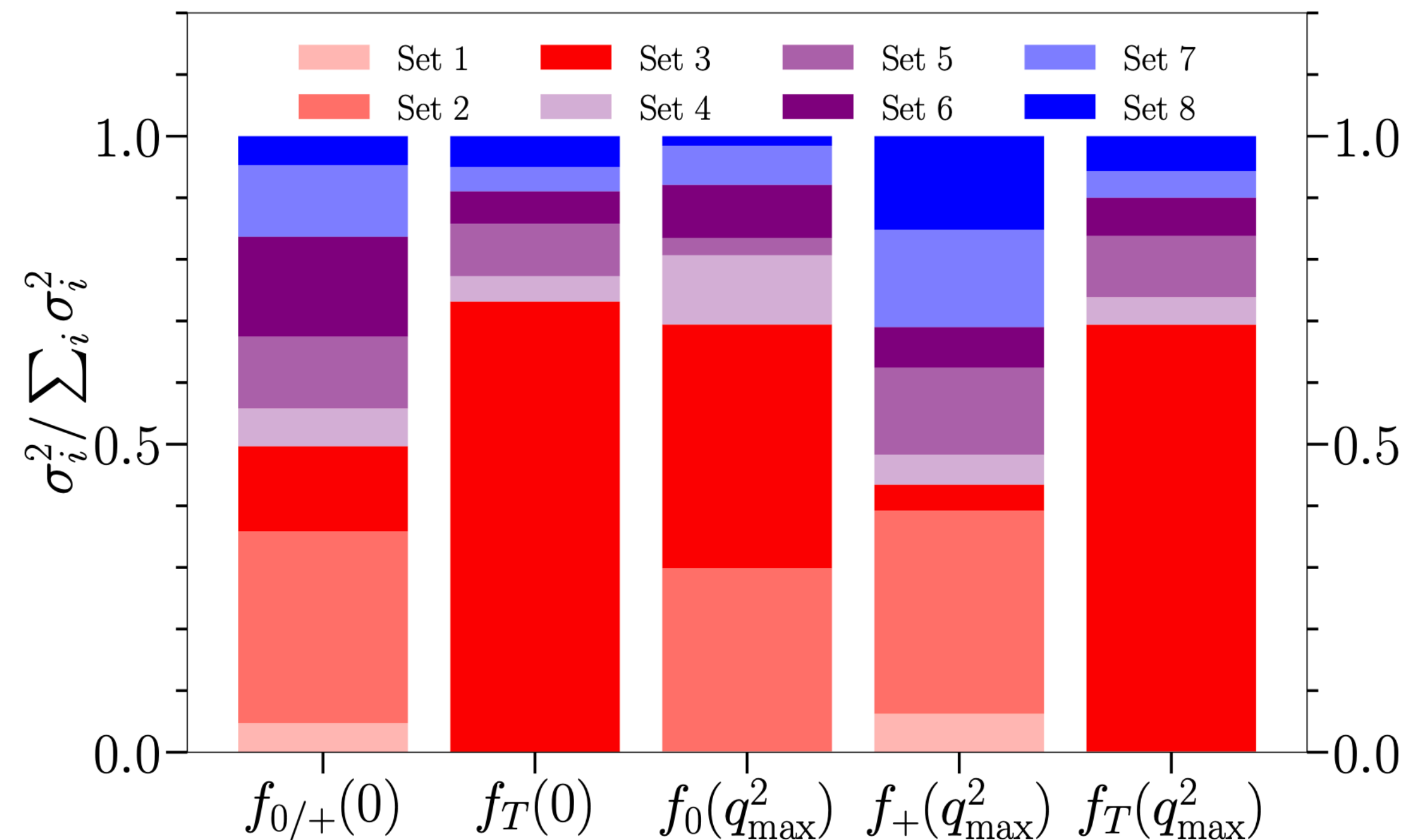
# Form Factor calculation: error budget by ensemble

$B \rightarrow K$



- Blue are lattices with finest lattice spacing, needed to reach  $m_b$

$D \rightarrow K$



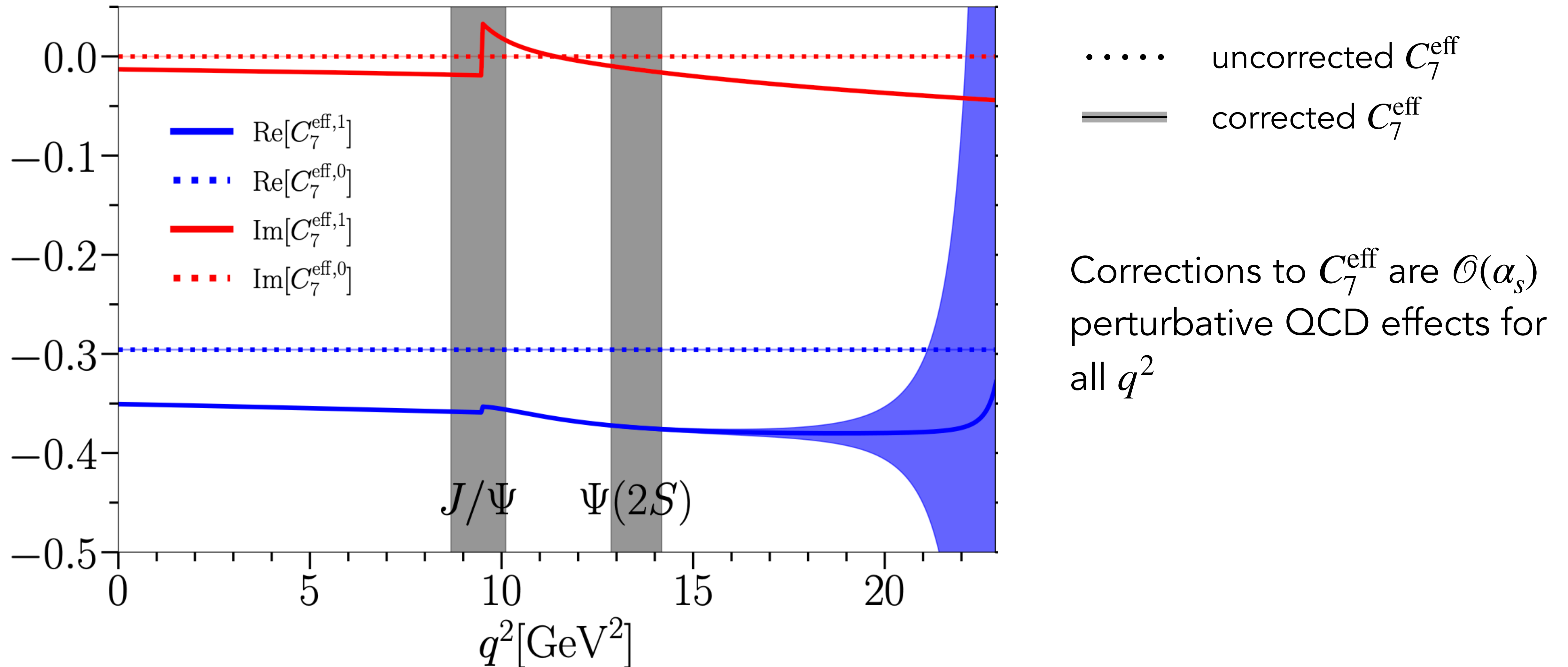
- Red are lattices with physical light quark mass



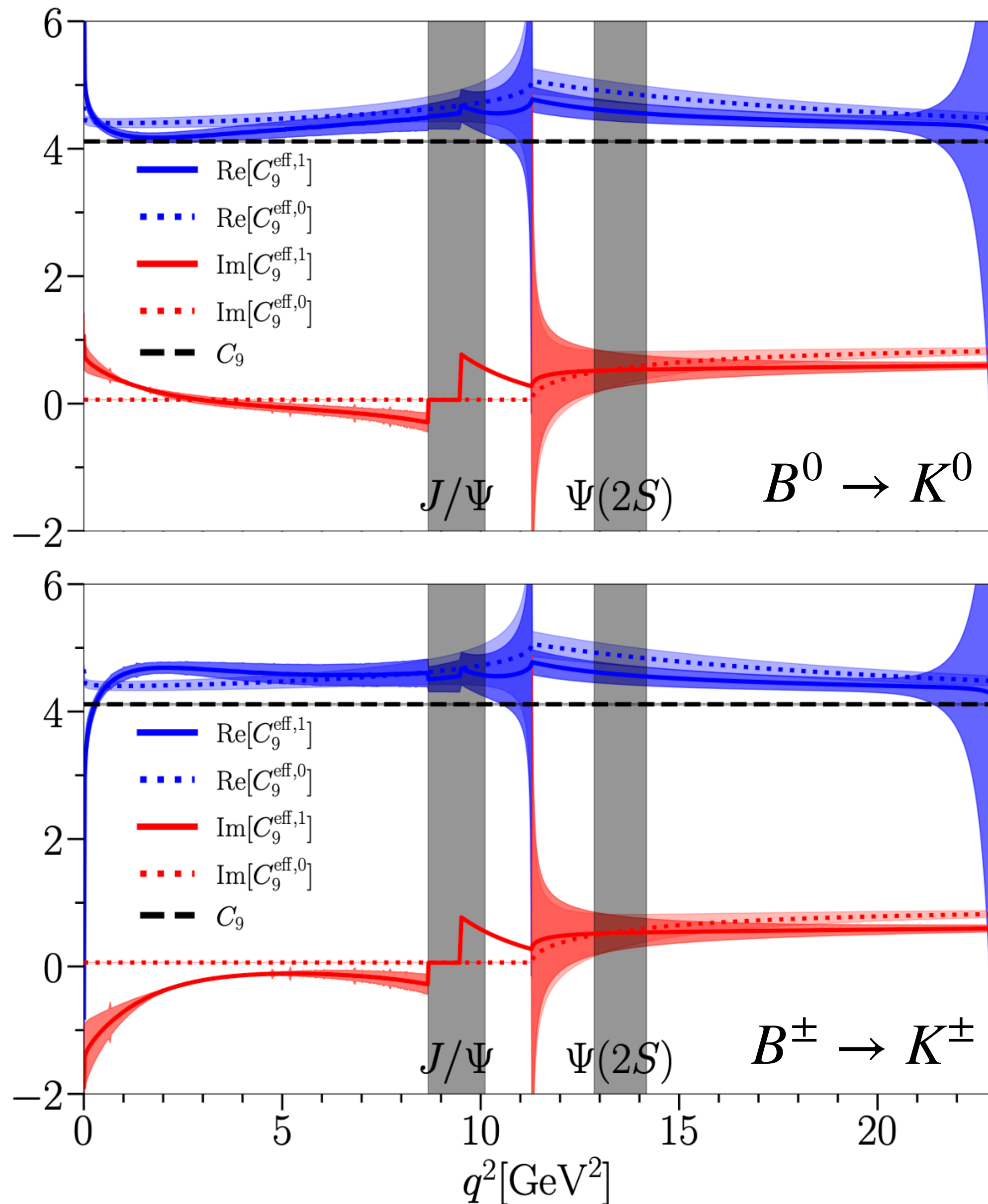
# Phenomenology: inputs

Parameter	Value	Reference
$\eta_{EW} G_F$	$1.1745(23) \times 10^{-5} \text{ GeV}^{-2}$	[45], Eq. (7)
$m_c^{\overline{\text{MS}}}(m_c^{\overline{\text{MS}}})$	1.2719(78) GeV	See caption
$m_b^{\overline{\text{MS}}}(\mu_b)$	4.209(21) GeV	[46]
$m_c$	1.68(20) GeV	-
$m_b$	4.87(20) GeV	-
$f_{K^+}$	0.1557(3) GeV	[47–50]
$f_{B^+}$	0.1894(14) GeV	[51]
$\tau_{B^0}$	1.519(4) ps	[52]
$\tau_{B^\pm}$	1.638(4) ps	[52]
$1/\alpha_{EW}(M_Z)$	127.952(9)	[45]
$\sin^2 \theta_W$	0.23124(4)	[45]
$ V_{tb} V_{ts}^* $	0.04185(93)	[53]
$C_1(\mu_b)$	-0.294(9)	[54]
$C_2(\mu_b)$	1.017(1)	[54]
$C_3(\mu_b)$	-0.0059(2)	[54]
$C_4(\mu_b)$	-0.087(1)	[54]
$C_5(\mu_b)$	0.0004	[54]
$C_6(\mu_b)$	0.0011(1)	[54]
$C_7^{\text{eff},0}(\mu_b)$	-0.2957(5)	[54]
$C_8^{\text{eff}}(\mu_b)$	-0.1630(6)	[54]
$C_9(\mu_b)$	4.114(14)	[54]
$C_9^{\text{eff},0}(\mu_b)$	$C_9(\mu_b) + Y(q^2)$	-
$C_{10}(\mu_b)$	-4.193(33)	[54]

# Phenomenology: $B \rightarrow K \ell^+ \ell^-$ corrections



# Phenomenology: $B \rightarrow K \ell^+ \ell^-$ corrections



..... uncorrected  $C_9^{\text{eff}}$   
 ————— corrected  $C_9^{\text{eff}}$

corrections to  $C_9^{\text{eff}}$  include:

- $\mathcal{O}(\alpha_s)$  perturbative QCD effects for all  $q^2$
- non-factorizable corrections at low  $q^2$

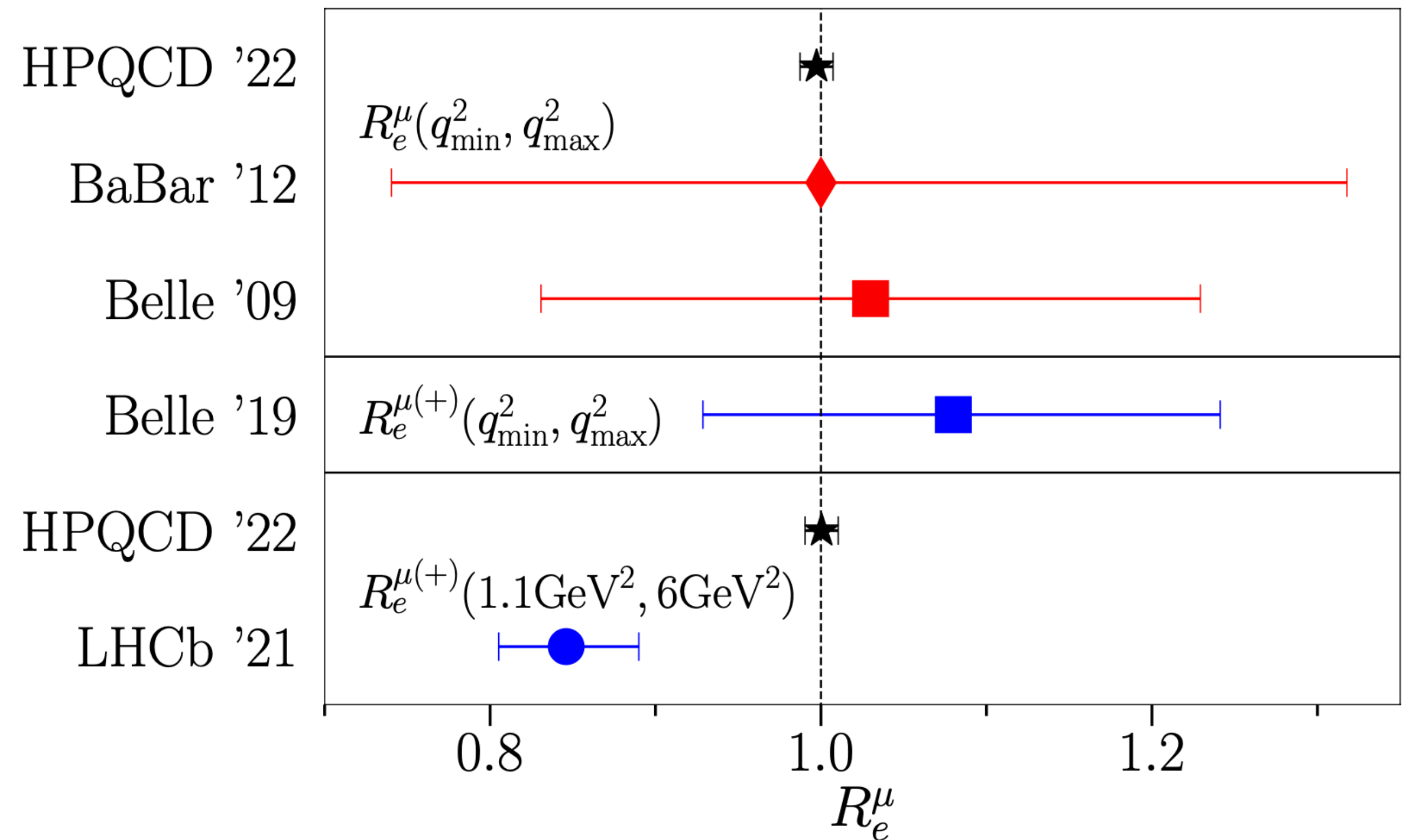
Beneke, Feldmann, Seidel, NPB 612, 25-58 (2001)

# Phenomenology: $R_K$

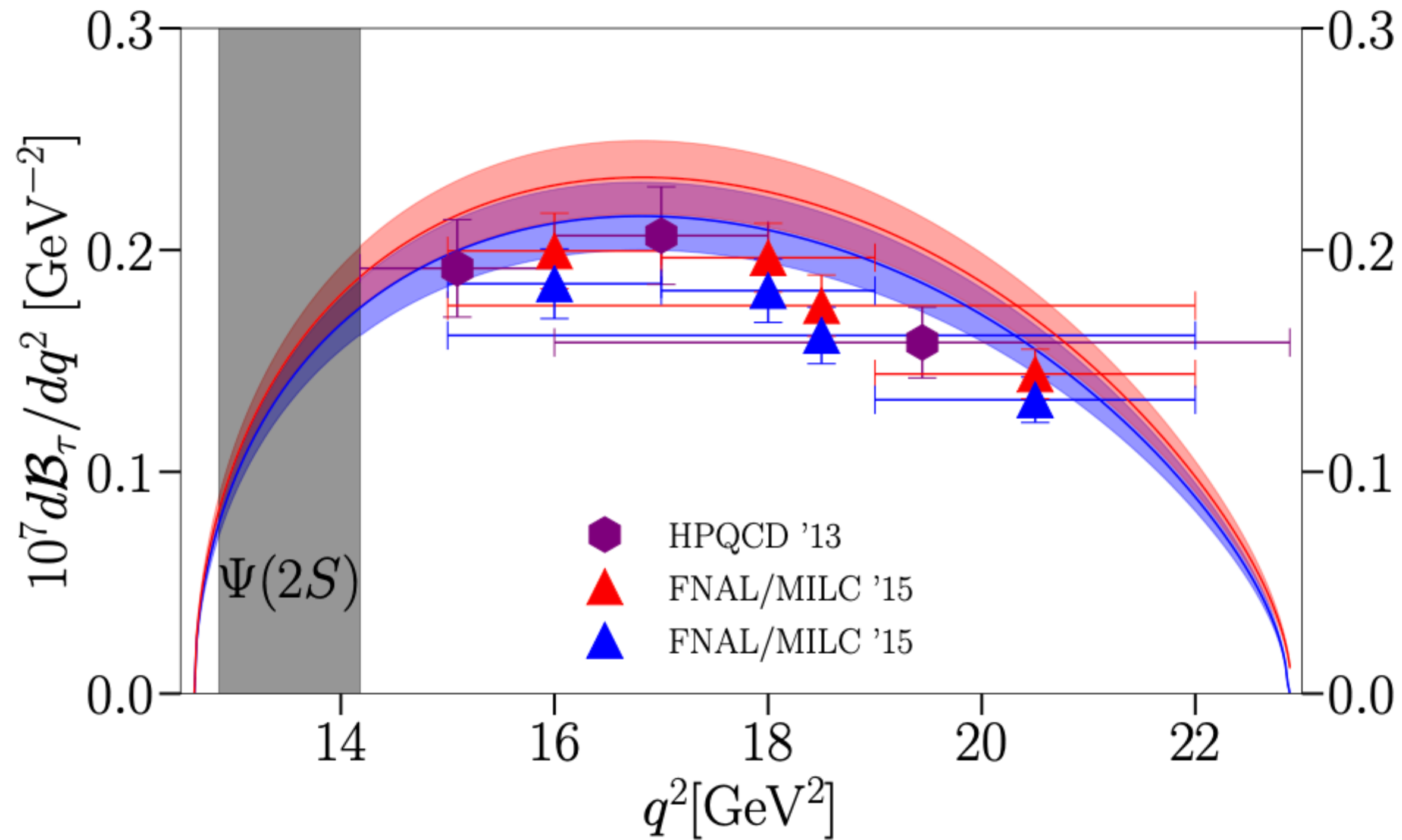
- Ratio of differential branching fractions

$$R_{\ell_2}^{\ell_1}(q_{\text{low}}^2, q_{\text{upp}}^2) = \frac{\int_{q_{\text{low}}^2}^{q_{\text{upp}}^2} \frac{d\mathcal{B}_{\ell_1}}{dq^2} dq^2}{\int_{q_{\text{low}}^2}^{q_{\text{upp}}^2} \frac{d\mathcal{B}_{\ell_2}}{dq^2} dq^2}$$

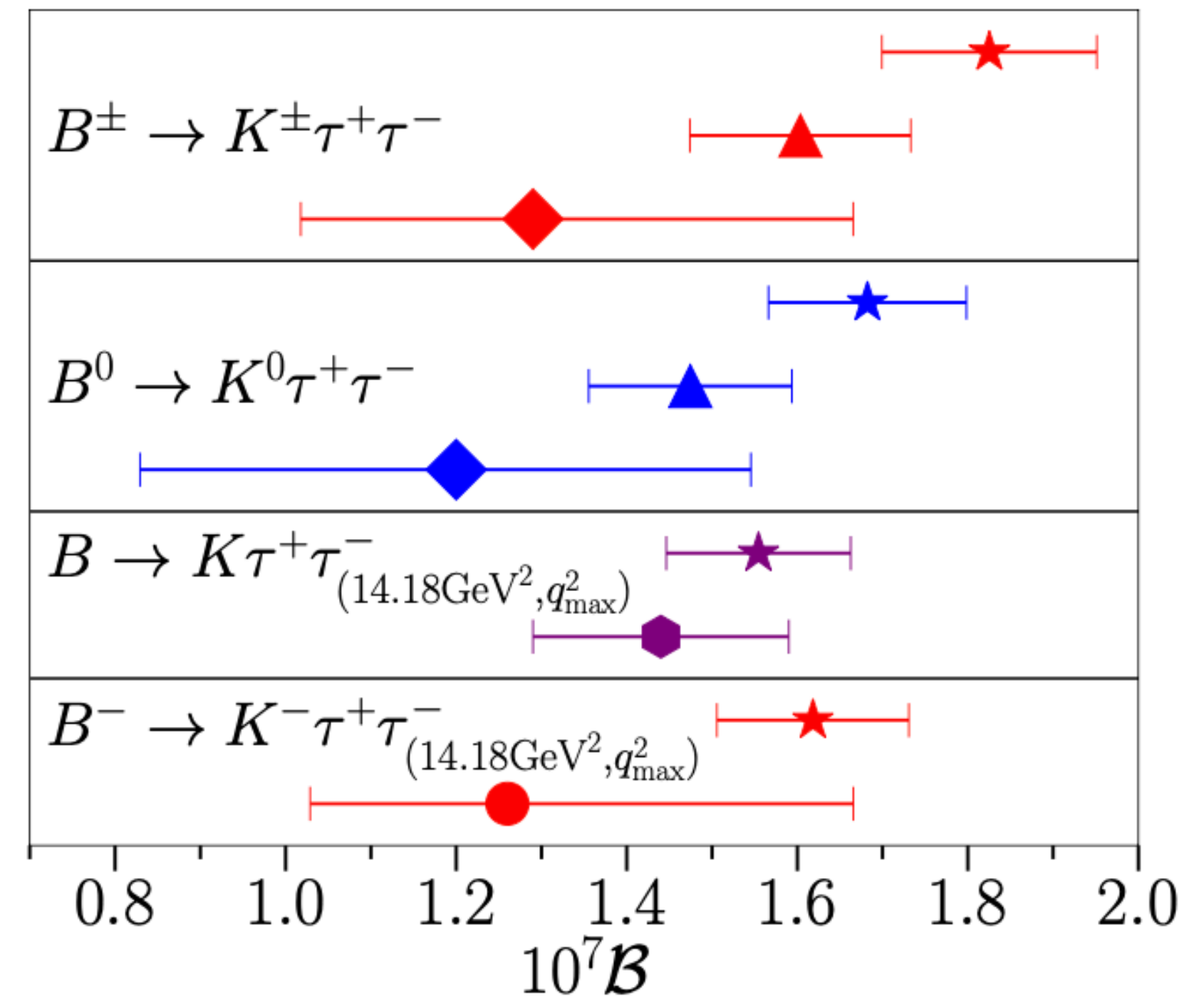
- Hadronic uncertainties largely cancel
- LHCb '21 is  $3.1\sigma$  from SM



# Phenomenology: $B \rightarrow K\tau^+\tau^-$



HPQCD '22  
 FNAL/MILC '15  
 WX '12  
 HPQCD '22  
 FNAL/MILC '15  
 WX '12  
 HPQCD '22  
 HPQCD '13  
 HPQCD '22  
 BHDW '11



- improved precision over previous predictions