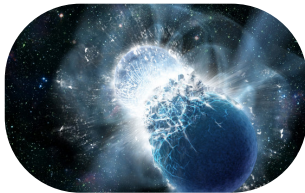


Flavor Swapping and Non-forward Scattering in the Neutrino-driven Wind

Michael J. Cervia

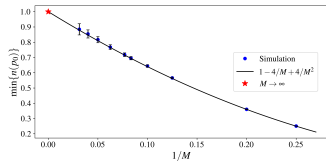
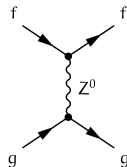
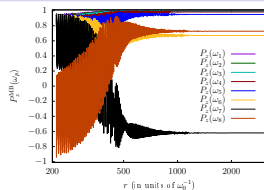
Department of Physics
University of Washington, Seattle

Thursday, June 12, 2025



Roadmap

- Introduction to Collective Neutrino Oscillations
- Revisiting how neutrinos exchange momentum & flavor
- Results from full quantum evolution in a simple case



Supernovae: Large ν Sources



- Neutrino luminosity $L_\nu \sim 10^{53}$ ergs/s
- Neutron star temperature $k_B T \sim 10$ MeV
 $\Rightarrow \sim 10^{58}$ neutrinos
- SN envelope: $\ell_{\text{MFP}} \gg \ell_{\text{osc}}$ neutrinos evolving coherently

Large ν Sources & Nucleosynthesis Sites



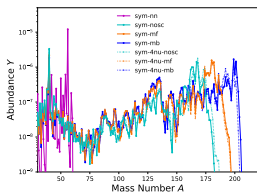
- Core-collapse SNe, Binary neutron star mergers: sites for nucleosynthesis beyond Fe-56

- Without collective oscillations, expect:

$$\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau} \rangle$$

- With collective oscillations:

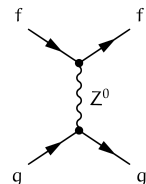
Higher energy $\nu_{\mu, \tau} \rightarrow \nu_e \implies$ change n/p
 $\implies$ affect elemental abundances produced

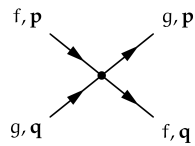


Neutrino-neutrino interactions

A Standard Model Prediction

- $T \ll m_Z$: Z -boson exchange $\rightarrow$ Fermi 4-point interaction

$$\frac{g^2}{m_Z^2 - p^2} \sim$$


$$\Rightarrow$$


$$\sim \frac{g^2}{m_Z^2} \sim G_F$$

- Interaction potential strength $\sim G_F \rho_\nu$
- Coherent, forward scattering $\iff$ flavor swapping:

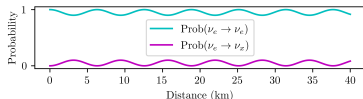
$$H_{\text{swap}} = \sqrt{2} G_F \sum_{f,g} \int (1 - \cos \theta_{\mathbf{p}\mathbf{q}}) a_g^\dagger(\mathbf{p}) a_f^\dagger(\mathbf{q}) a_g(\mathbf{q}) a_f(\mathbf{p}) d\mathbf{p} d\mathbf{q}$$

- Conserved quantities:
 - total occupancy of a momentum state, $n(\mathbf{p}) = \sum_f n_f(\mathbf{p})$ ✓
 - total flavor occupation numbers, $n_f = \int n_f(\mathbf{p}) d\mathbf{p}$ ✓

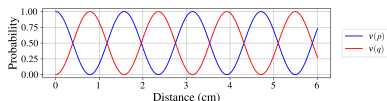
Collective Neutrino Oscillations

Interesting Phenomena

- Fast oscillations: $\text{freq} \sim G_F E^3$
- Bipolar oscillations:



vacuum oscillations

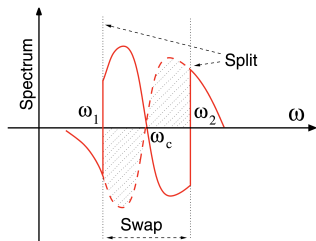


$\nu\nu$ interaction

- Spectral splits:

Linear stability analysis
with flavor mean field:

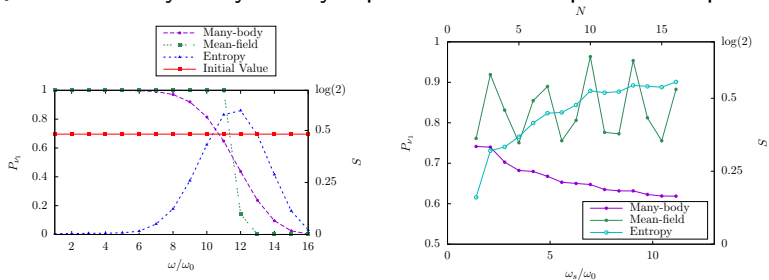
$$i\partial_t \rho(\omega) = \sqrt{2} G_F [\rho_{MF}, \rho(\omega)]$$



Flavor correlations

Importance of multi-body correlations

- Quantum many-body theory reproduces flavor spectral swap



AVP, **MJC**, ABB (2021)

- Neutrino gas with randomized angles: flavor entanglement across the spectrum [Martin, Neill, Roggero, Duan, Carlson (2024)]
- Quantum kinetics: highlight importance of collision terms [Froustey (2022), Johns (2023)]

See: whole Particle & Nuclear Astrophysics session, an hour ago...

Momentum correlations

Non-forward scattering: A Lattice Problem

More general LEFT interaction Hamiltonian:

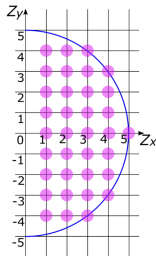
$$H_{\nu\nu} = \frac{G_F}{\sqrt{2}} \sum_{f,g} \int_{\{\mathbf{p}+\mathbf{q}=\mathbf{p}'+\mathbf{q}'\}} a_f^\dagger(\mathbf{p}') a_g^\dagger(\mathbf{q}') a_f(\mathbf{p}) a_g(\mathbf{q}) F(\mathbf{p}, \mathbf{q}) F(\mathbf{p}', \mathbf{q}')^* \\ \sim H_{\text{flav}} \otimes H_{\text{mom}}$$

where $|F(\mathbf{p}, \mathbf{q})|^2 = 1 - \cos \theta_{\mathbf{p}, \mathbf{q}} + \mathcal{O}(m/E)$ [from Weyl spinors].

Symmetries?

- Conserve total flavor occupancy, n_f ✓
- Change momentum occupancy, $n(\mathbf{p})$ ✗
- NB: still elastic scattering

⇒ Task: take infinite-volume & continuum limits



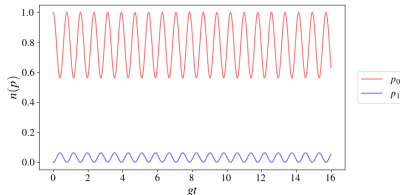
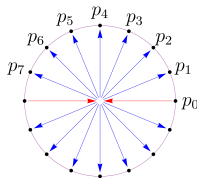
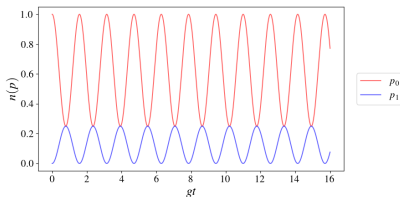
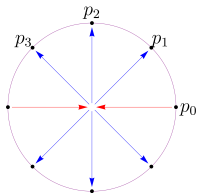
New Analysis in the Center of Momentum

An Easier Lattice Problem

Tricky to take infinite-volume and continuum limits in $\mathbf{p}$ space...

⇒ Consider scattering in the Center of Momentum frame

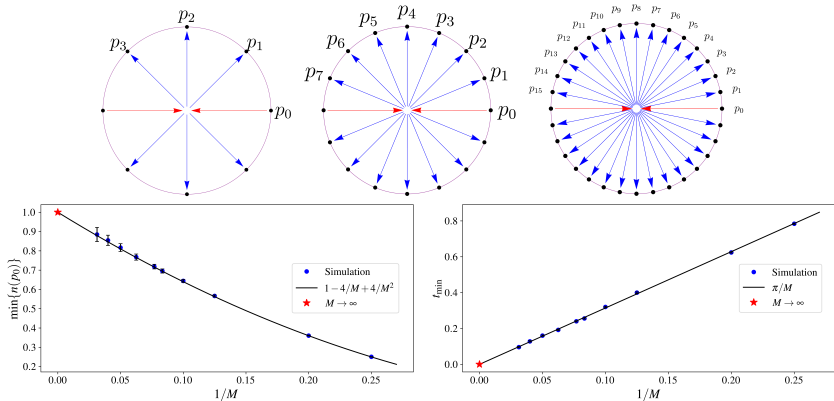
⇒ Isotropic coupling strength $1 - \cos \theta_{\mathbf{pq}} = 2$



Center of Momentum Results

A Lattice Problem with a Continuum Limit

Trends in CoM scattering of two neutrinos as allowed angles $M \rightarrow \infty$



$\Rightarrow n(p_0) \rightarrow 1, \sim \text{constant in time... but how?!}$

Thanks, Yukari Yamauchi (GitHub)!

Center of Momentum $\nu\nu$ Scattering

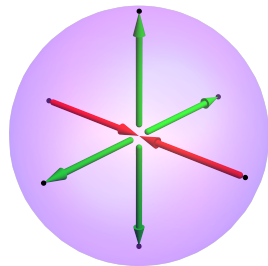
A Solvable Lattice Model

- Consider basis of states [in 3 dim now]
 $\{|p_1, -p_1\rangle, \dots, |p_M, -p_M\rangle\}$
- Non-forward scattering Hamiltonian is

$$H \doteq g \begin{pmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 1 \end{pmatrix}$$

$$\implies H^n = (gM)^{n-1} H$$

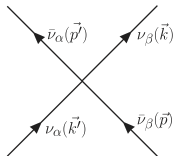
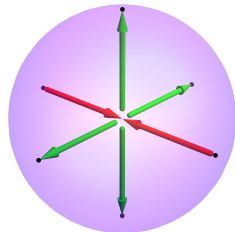
$$\implies e^{-itH} = \mathbb{1} + (e^{-itgM} - 1)H/gM$$



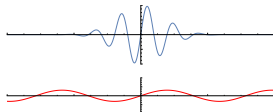
- Continuum limit ($M \rightarrow \infty$): non-forward scattering diminishing
- Contrast with flavor swapping: Hilbert space is discrete, finite

Summary

- Interacting neutrino problem cast in a many-body perspective
- Direct calculation of non-forward scattering in a simple model
- Further analysis, considering more general conditions:
 - More incoming neutrinos
 - Antineutrino interactions
 - Three-flavor simulations
 - Neutrino wave packets



$<0.8 \text{ eV}c^2$ 0 % ν_e electron neutrino	$<0.17 \text{ MeV}c^2$ 0 % ν_μ muon neutrino	$<18.2 \text{ MeV}c^2$ 0 % ν_τ tau neutrino
--	--	--



- THANK YOU -



Astrophysical Setting

- “Separation of scales” - Neutrinos evolve differently in varying densities of media
 - High Density: Neutrinos evolving in thermal equilibrium (e.g., SN core, after weak-decoupling in EU)

$$\ell_{\text{MFP}} \ll L_{\text{osc,medium}}$$

- Low Density: Neutrinos evolving coherently, thermally decoupled (e.g., SN envelope)

$$\ell_{\text{MFP}} \gg L_{\text{osc,medium}}$$

Forward scattering neutrinos, coherent flavor states oscillating

- For an environment with low matter density, high neutrino flux
 $\implies$ important to consider collective neutrino oscillations

Neutrino Flavor/Mass Isospin

SU(2) Notation

- Fermionic ops for ν flavor and mass states $a_f(\mathbf{p})$ and $a_j(\mathbf{p})$, respectively, for $f = e, x$ and $j = 1, 2$ with mixing angle θ

$$\begin{pmatrix} a_e(\mathbf{p}) \\ a_x(\mathbf{p}) \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} a_1(\mathbf{p}) \\ a_2(\mathbf{p}) \end{pmatrix}$$

- Introduce $\mathfrak{su}(2)$ ops in flavor & mass bases (aka “isospin”):

$$J_{\mathbf{p}}^+ = a_1^\dagger(\mathbf{p})a_2(\mathbf{p}), \quad \text{mass 1 : } |\nu_1\rangle \longleftrightarrow |\uparrow\rangle$$

$$J_{\mathbf{p}}^- = a_2^\dagger(\mathbf{p})a_1(\mathbf{p}), \quad \text{mass 2 : } |\nu_2\rangle \longleftrightarrow |\downarrow\rangle$$

$$J_{\mathbf{p}}^z = \frac{1}{2}[a_1^\dagger(\mathbf{p})a_1(\mathbf{p}) - a_2^\dagger(\mathbf{p})a_2(\mathbf{p})]$$

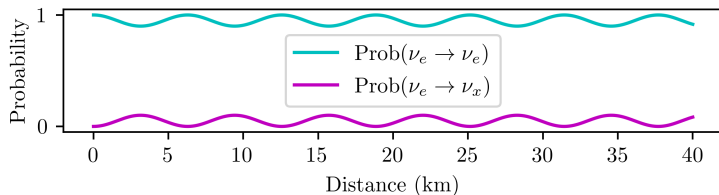
Vacuum Flavor Oscillations

An 1-body Hamiltonian

- Relativistic energy of massive particles:

$$\begin{aligned} H_\nu &= \sum_{\mathbf{p}} (|\mathbf{p}|^2 + m_1^2)^{1/2} a_1^\dagger(\mathbf{p}) a_1(\mathbf{p}) + (|\mathbf{p}|^2 + m_2^2)^{1/2} a_2^\dagger(\mathbf{p}) a_2(\mathbf{p}) \\ &= \sum_{\mathbf{p}} \omega_{\mathbf{p}} \vec{B} \cdot \vec{J}_{\mathbf{p}} + \text{const}, \end{aligned}$$

where $\omega_{\mathbf{p}} = \frac{\Delta m_{21}^2}{2|\mathbf{p}|}$ and $\vec{B} = (0, 0, -1)_{\mathcal{M}} = (\sin 2\theta, 0, -\cos 2\theta)_{\mathcal{F}}$



Geometric Interpretation

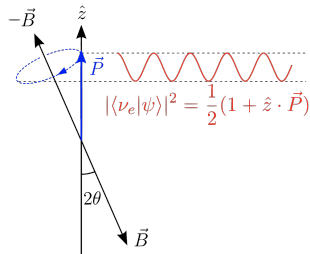
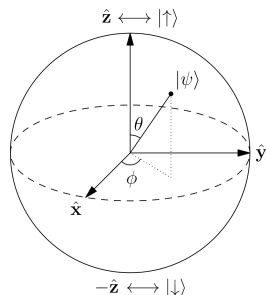
"Polarization" vectors

- Define polarization $\vec{P}_{\mathbf{p}} = 2 \langle \Psi | \vec{J}_{\mathbf{p}} | \Psi \rangle$
- $\vec{P}_{\mathbf{p}}$: Bloch vector of one neutrino's density
 $\rho_{\mathbf{p}} = \text{Tr}_{\mathbf{q}(\neq \mathbf{p})} [|\Psi\rangle\langle\Psi|] = \frac{1}{2}(1 + \vec{\sigma} \cdot \vec{P}_{\mathbf{p}})$.
- Non-interacting system: for each ω ,

$$\frac{d}{dt} \vec{P}_{\mathbf{p}} = \omega_{\mathbf{p}} \vec{B} \times \vec{P}_{\mathbf{p}}$$

Entanglement entropy: $S = -\text{Tr}[\rho \ln \rho]$
 inversely related to P

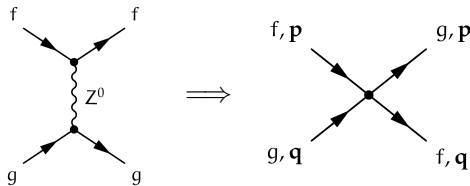
- $P = 1 \iff S = 0$ (Unentangled)
- $P = 0 \iff S = \ln(2)$ (Maximally)



Two-body Hamiltonian

Neutrino-neutrino Interactions

- Low-energy EFT: Z-boson exchange $\rightarrow$ Fermi 4-point interaction

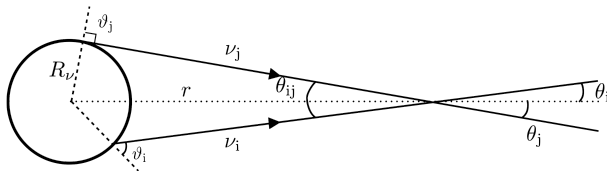


$$\begin{aligned} H_{\nu\nu} &= \frac{\sqrt{2}G_F}{V} \sum_{\mathbf{p}, \mathbf{q}} (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{q}}) \sum_{f,g=e,x} a_f^\dagger(\mathbf{p}) a_g(\mathbf{p}) a_g^\dagger(\mathbf{q}) a_f(\mathbf{q}) \\ &= \frac{\sqrt{2}G_F}{V} \sum_{\mathbf{p}, \mathbf{q}} (1 - \cos \vartheta_{\mathbf{p}\mathbf{q}}) \vec{J}_{\mathbf{p}} \cdot \vec{J}_{\mathbf{q}} + \text{const} \end{aligned}$$

Reducing the Two-body Hamiltonian

The “Bulb Model”

- Definite-flavor ν s emitted isotropically from spherical surface:



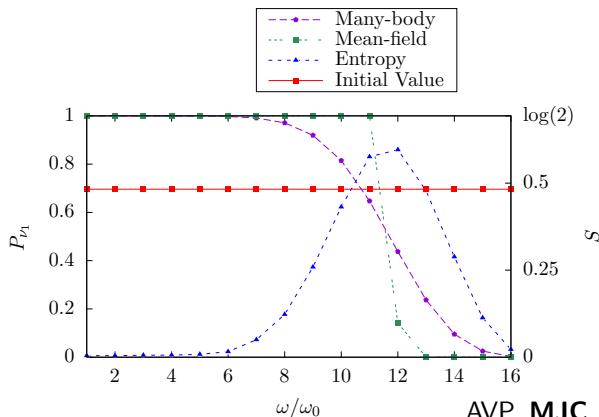
- Make the problem more tractable by averaging over $\theta_{\mathbf{p}\mathbf{q}}$;

$$\begin{aligned}
 H_{\nu\nu} &\approx \frac{\sqrt{2}G_F}{V} \langle 1 - \cos \vartheta_{\mathbf{p}\mathbf{q}} \rangle \sum_{\mathbf{p} \neq \mathbf{q}} \vec{J}_{\mathbf{p}} \cdot \vec{J}_{\mathbf{q}} \\
 &= \mu(r) \sum_{\omega, \omega'} \vec{J}_{\omega} \cdot \vec{J}_{\omega'}, \quad \text{where} \quad \vec{J}_{\omega} = \sum_{\{\mathbf{p}: \omega = \frac{\Delta m^2}{2|\mathbf{p}|}\}} \vec{J}_{\mathbf{p}}
 \end{aligned}$$

Final Data of All-Electron Flavor Initial State

$N = 16$ results across the spectrum

- Evolve $|\Psi_0\rangle = |\nu_e\rangle^{\otimes 16}$ to $r \gg R_\nu$ with $\theta = 0.584$
- Compare final P_{ν_1} and S at each ω

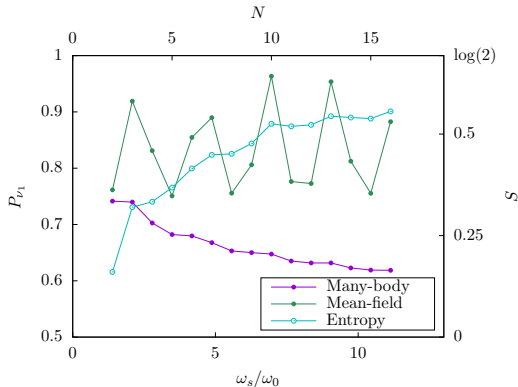


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Pinpointing Entanglement

Honing on the spectral split

- Evolve $|\Psi_0\rangle = |\nu_e\rangle^{\otimes N}$ to $r \gg R_\nu$ with $\theta = 0.584$
- Here, spectral split frequency: $\omega_s = \omega_0 N \cos^2(\theta)$
- $P_{\nu_1}(\omega_s)$ & $S(\omega_s)$ vs. N



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Neutrino flavor evolution: matter effects

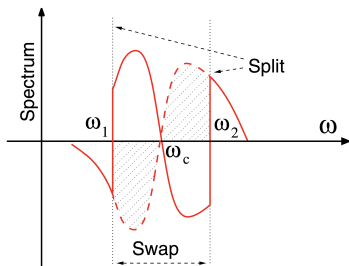
- Matter backgrounds (electrons, nucleons, etc.) modify flavor evolution: neutrinos acquire “effective mass” through forward scattering (like photons in medium, but via weak interactions)
- In typical environments ($T \lesssim 10$ MeV), ν_e experience charged- and neutral-current interactions, unlike ν_μ and ν_τ (only NC)
- In such a medium, ν_e acquires additional effective mass compared to ν_μ, ν_τ

$$i \frac{d}{dt} \begin{pmatrix} \psi_e(\omega) \\ \psi_x(\omega) \end{pmatrix} = \left[U \frac{1}{2E} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} U^\dagger + \begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \psi_e(\omega) \\ \psi_x(\omega) \end{pmatrix}$$

where $V_{CC} = \sqrt{2}G_F n_B Y_e$.

Mean-field Effective Hilbert Space

Analyzing its Scaling



(Dasgupta et al., 2009)

- Separated Hilbert spaces for each ω :

$$i \frac{d}{dt} \begin{pmatrix} \psi_1(\omega) \\ \psi_2(\omega) \end{pmatrix} = \begin{pmatrix} -\omega + \mu P^z & P^+ \\ P^- & +\omega - \mu P^z \end{pmatrix} \begin{pmatrix} \psi_1(\omega) \\ \psi_2(\omega) \end{pmatrix}$$

- MFT Collective Oscillations:

$$\frac{d}{dt} \vec{P}_\omega = (\omega \vec{B} + \mu \vec{P}) \times \vec{P}_\omega$$

- “Many-body” wave function simply: $|\Psi\rangle = \bigotimes_\omega |\psi(\omega)\rangle$, $2N$ -dim

Mean-field Theory

Random Phase Approximation

- Ansatz that relative phases for different ω are random (RPA)
 $\implies$ Mean-field approximation of our Hamiltonian:

$$H_{\nu\nu} = \mu \vec{J} \cdot \vec{J} \underset{MFT}{\approx} \mu \vec{P} \cdot \vec{J} - \frac{1}{4} \mu P^2$$

where $\vec{P} = 2 \langle \vec{J} \rangle$ is the “mean field” with state $|\psi\rangle$ satisfying

$$\langle \vec{J}_1 \cdot \vec{J}_2 \rangle = \langle \vec{J}_1 \rangle \cdot \langle \vec{J}_2 \rangle$$

- “Many-body” wave function simply: $|\Psi\rangle = \bigotimes_{\omega} |\psi(\omega)\rangle$, $2N$ -dim
- ... But can we neglect the other dimensions?!

How do we study larger N systems?

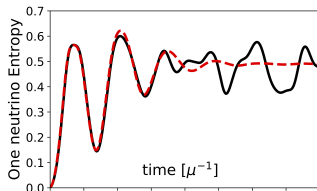
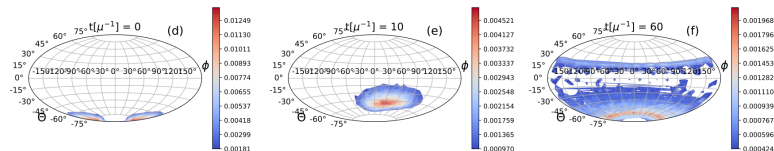
2: Stochastic Mean-field: improving the mean-field approximation

Consider an uniform neutrino beam

SMF: random distribution around initial flavor state

→ evolve each sample via ordinary mean field (easy!)

→ average over trajectories (reproduce entanglement!)



DL, ABB, **MJC**, AVP, PS (2022)