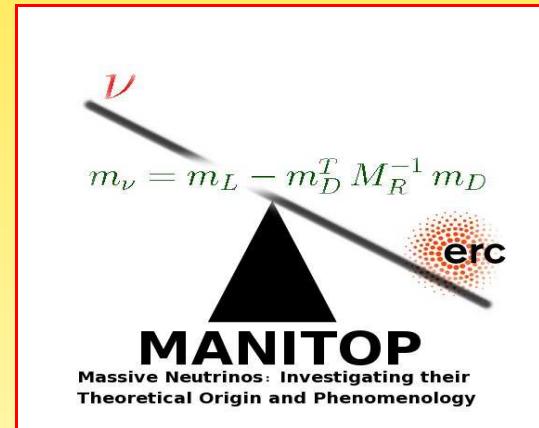
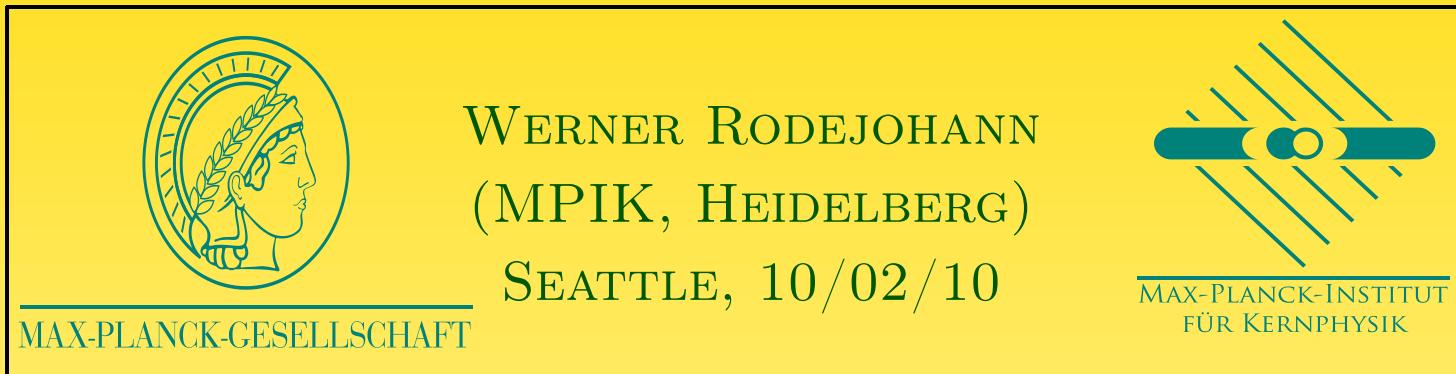
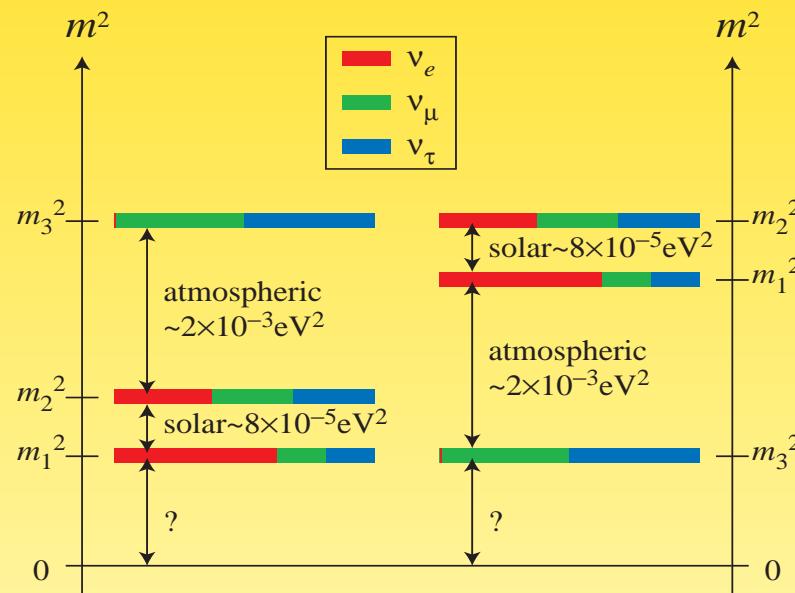


Statistical Analysis of future Neutrino Mass Experiments including Neutrino-less Double Beta Decay



W. Maneschg, A. Merle, W.R., *Europhys. Lett.* **85**, 51002 (2009)
[arXiv:0812.0479 [hep-ph]]

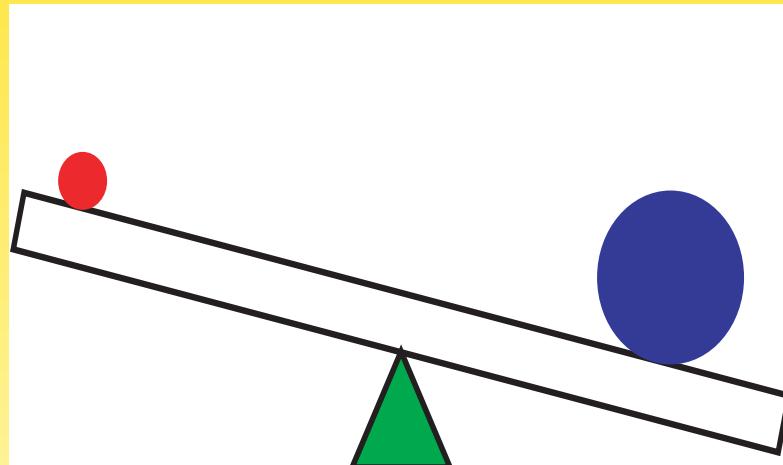
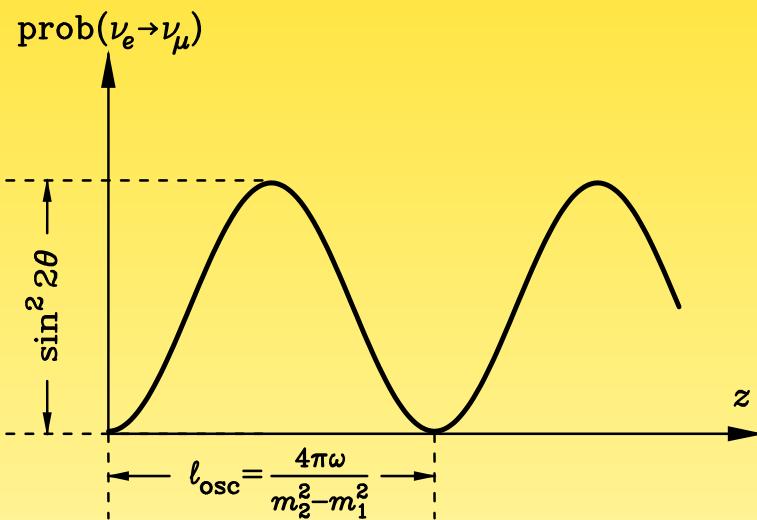
Before I forget...



Status and goal of neutrino physics

understand form and origin of
fundamental object in low energy Lagrangian:

$$\mathcal{L} = \frac{1}{2} \overline{\nu_\alpha^c} (m_\nu)_{\alpha\beta} \nu_\beta + h.c. \text{ with } m_\nu = U^* \text{ diag}(m_1, m_2, m_3) U^\dagger$$



$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta \sin^2 \frac{\Delta m^2}{4E} L$$

Oscillations!

Masses?

$$m_\nu = -m_D^T M_R^{-1} m_D$$

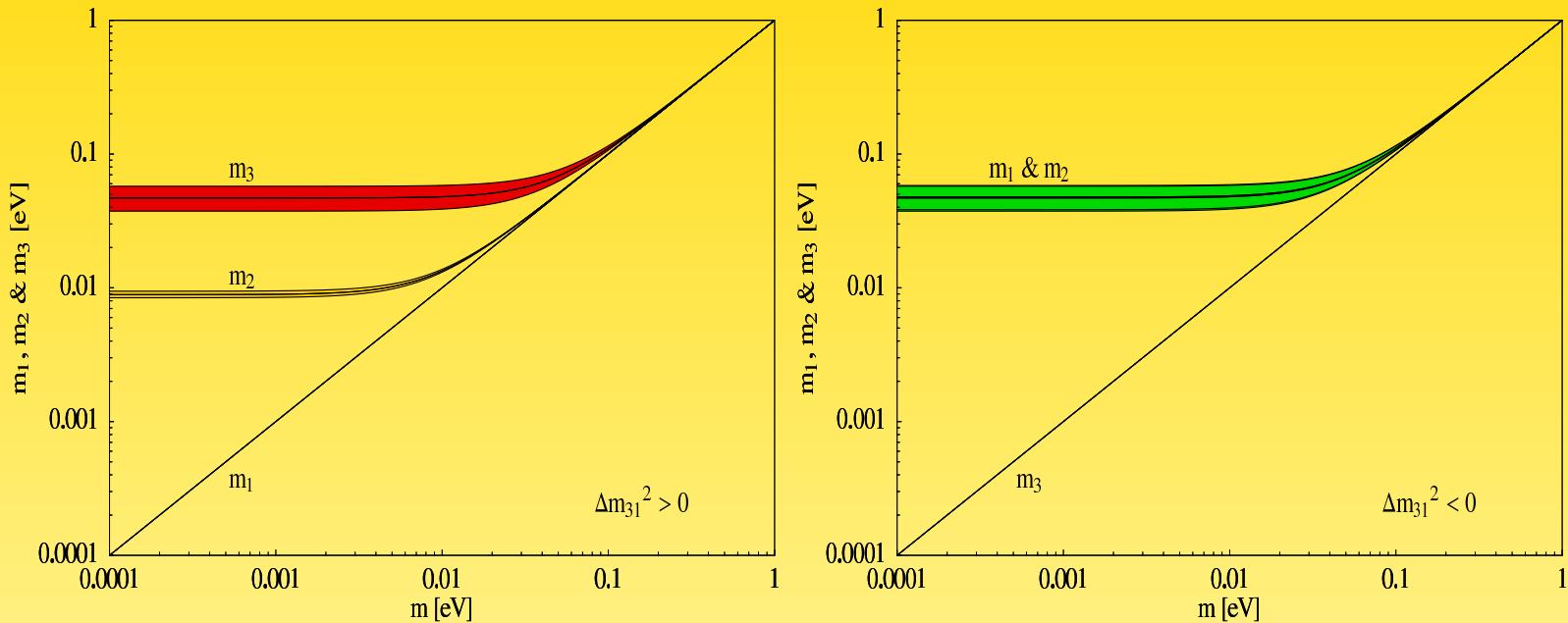
See-saw!

Lepton Number Violation?

Why do we need neutrino mass?

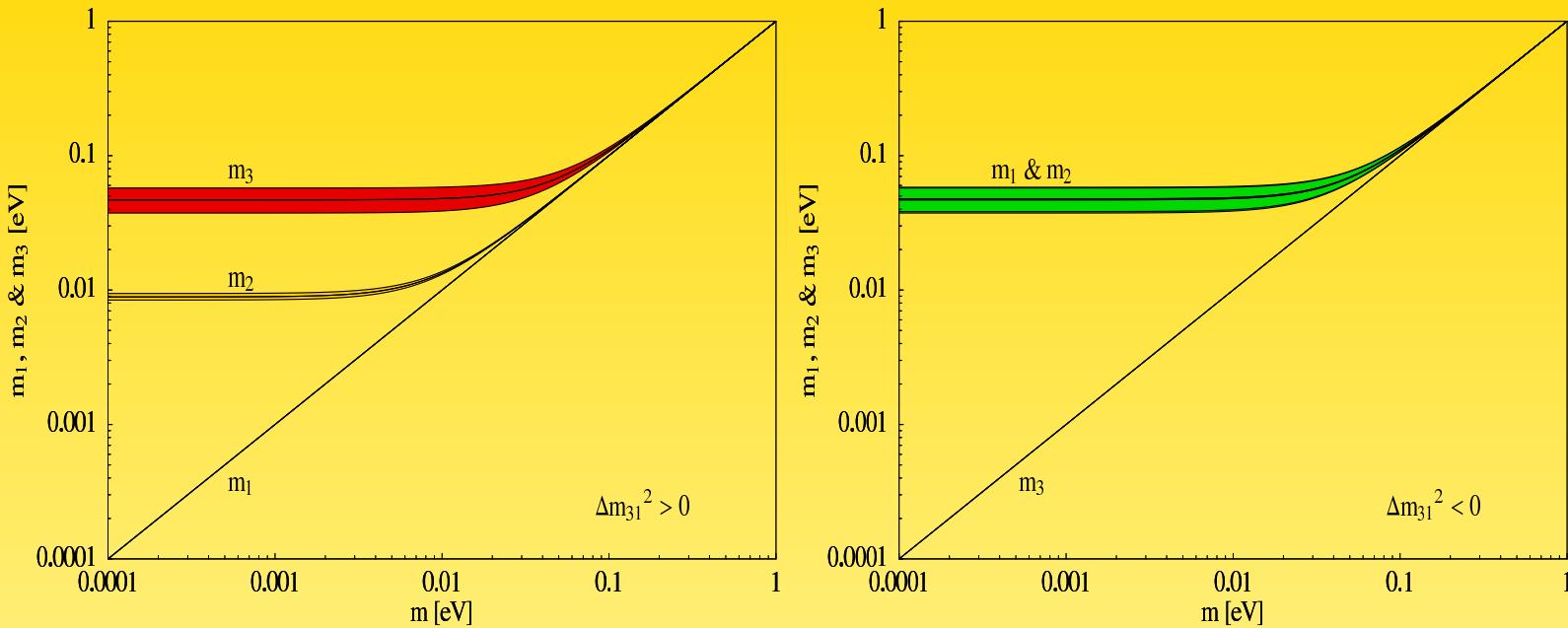
- in all seesaws (type I, II, III): neutrino mass inverse proportional to its origin
- GUTs: normal hierarchy...
- IH and QD neutrinos: special flavor symmetries required...
- QD: HDM, strong RG effects, leptogenesis,...

Neutrino masses



- $m_3^2 \simeq \Delta m_A^2 \gg m_2^2 \simeq \Delta m_\odot^2 \gg m_1^2$: normal hierarchy (NH)
- $m_2^2 \simeq |\Delta m_A^2| \simeq m_1^2 \gg m_3^2$: inverted hierarchy (IH)
- $m_3^2 \simeq m_2^2 \simeq m_1^2 \equiv m_0^2 \gg \Delta m_A^2$: quasi-degeneracy (QD)

Neutrino masses



Neutrino mass hierarchy is moderate!

$$\text{NH : } \frac{m_2}{m_3} \geq \sqrt{\frac{\Delta m_\odot^2}{\Delta m_A^2}} \gtrsim \frac{1}{5}$$

$$\text{IH : } \frac{m_1}{m_2} \gtrsim 1 - \frac{1}{2} \frac{\Delta m_\odot^2}{\Delta m_A^2} \simeq 0.98$$

Small and Large Neutrino Masses

$SO(10)$ -like relation ($\mathbf{16} \times \mathbf{16} = \mathbf{10} + \mathbf{126} + \mathbf{120}$)

symmetric $m_D = m_{\text{up}}$ $\Rightarrow m_\nu = m_D^T M_R^{-1} m_D$ gives $M_R = -m_{\text{up}} m_\nu^{-1} m_{\text{up}}$

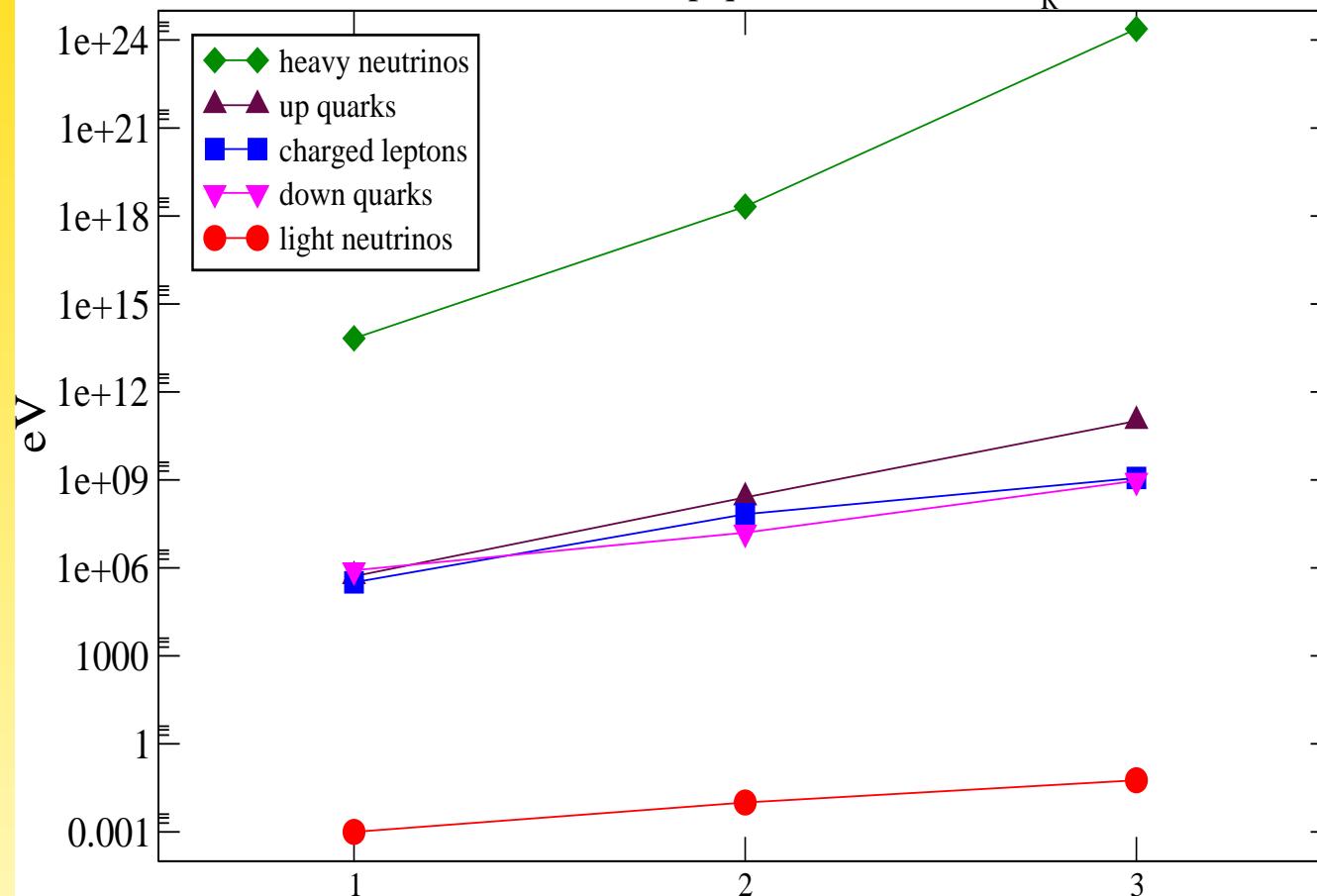
if diagonal, tri-bimaximal neutrino mixing and NH ($m_3 \gg m_2 \gg m_1$)

$$M_1 \simeq 3 \frac{2m_u^2}{m_2}, \quad M_2 \simeq \frac{2m_c^2}{m_3}, \quad M_3 \simeq \frac{1}{3} \frac{m_t^2}{2m_1}$$

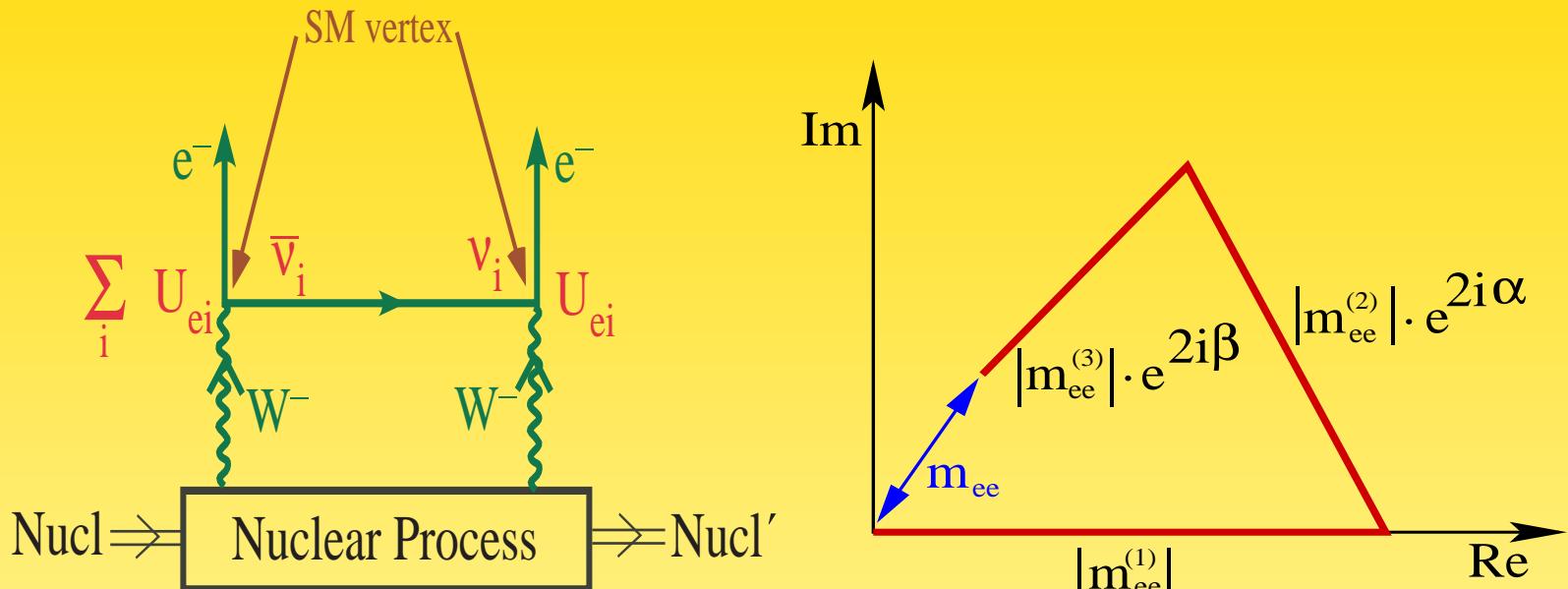
- $m_1 \propto m_t^2$, $m_2 \propto m_u^2$, $m_3 \propto m_c^2$ due to large neutrino mixing
- $M_3 : M_2 : M_1 = m_t^2 : m_c^2 : m_u^2$ due to moderate light neutrino mass hierarchy \Rightarrow stronger hierarchy in M_R
- $M_1 \simeq 10^5$ GeV, $M_2 \simeq 10^9$ GeV, $M_3 \simeq 10^{15}$ GeV

Masses of Particles

Dirac masses = up quarks; TBM from M_R



$\Delta L \neq 0$: Neutrinoless Double Beta Decay

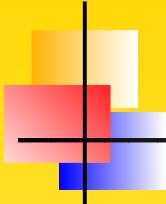


Amplitude proportional to coherent sum

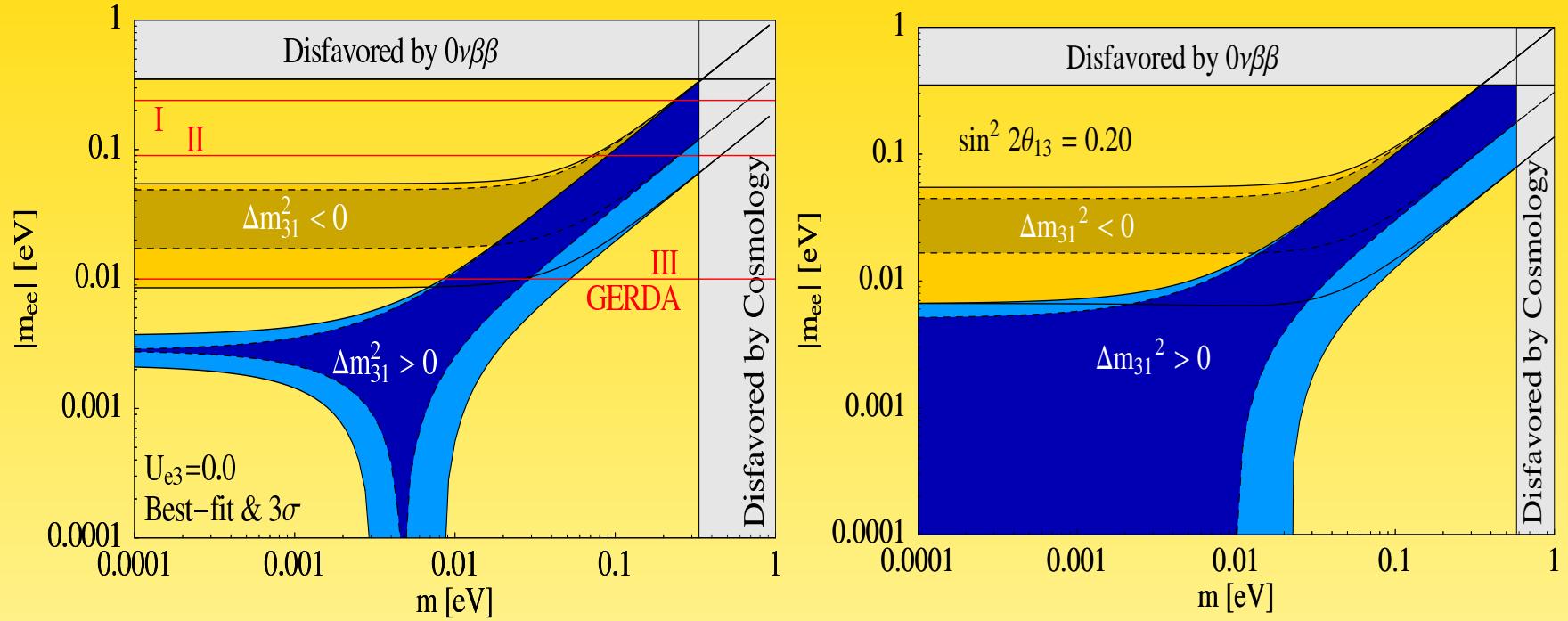
(“Effective mass”):

$$|m_{ee}| \equiv \left| \sum U_{ei}^2 m_i \right| = f(\theta_{12}, m_i, |U_{e3}|, \text{sgn}(\Delta m_A^2), \alpha, \beta)$$

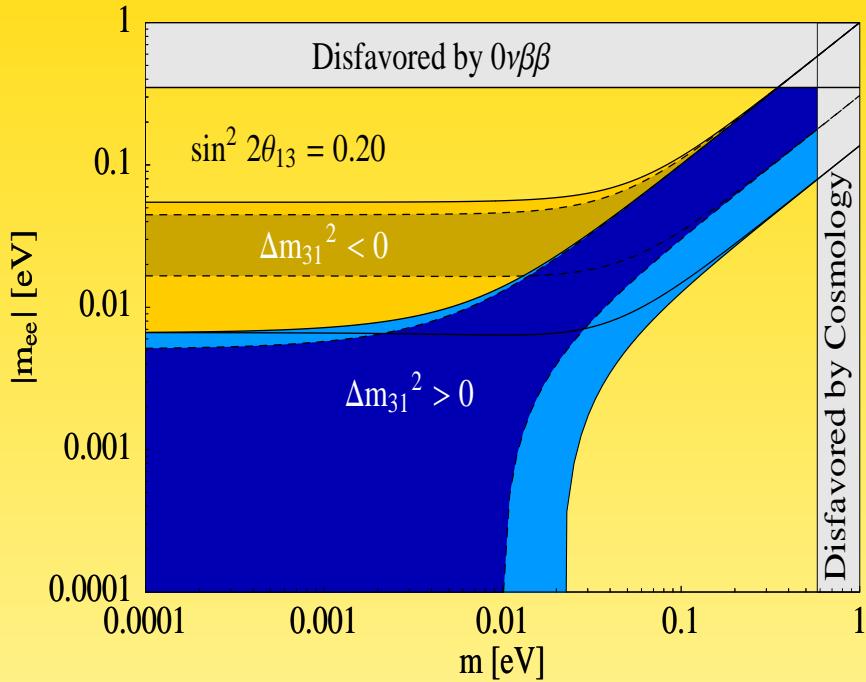
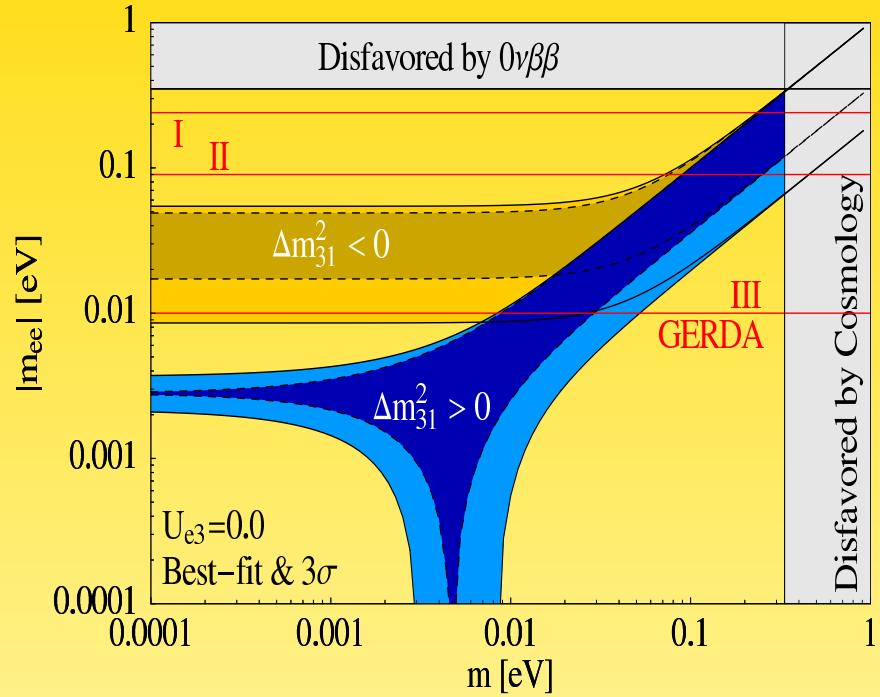
7 out of 9 parameters of m_ν ...



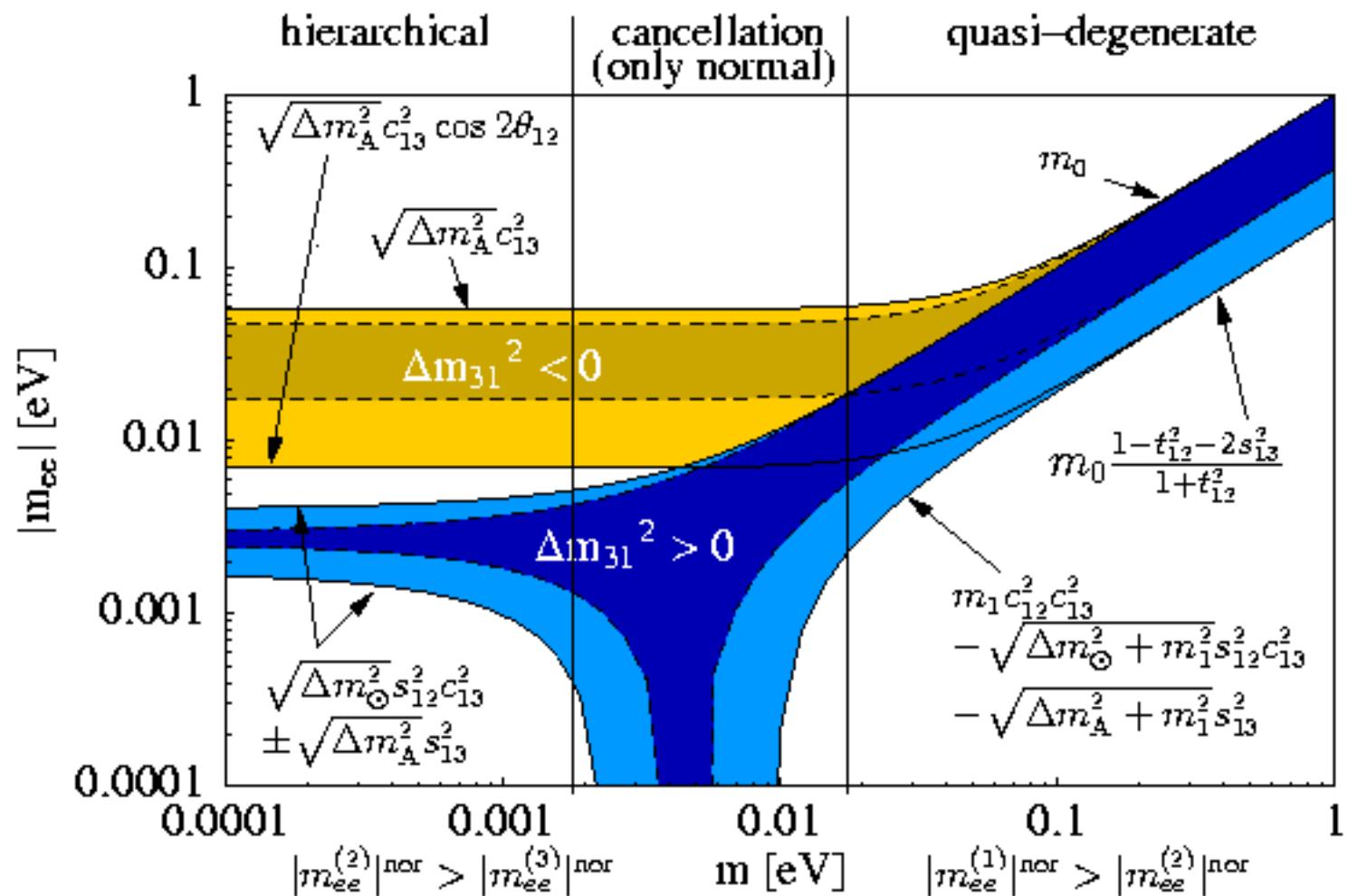
Kim, 1996; Minakata & Yasuda, 1996; Hirsch & Klapdor-Kleingrothaus, 1997; Bilenky, Giunti & Monteno, 1997; Fukuyama, Matsuda & Nishiura, 1997; Bilenky, Giunti, Kim & Monteno, 1998; Fukuyama, Matsuda & Nishiura, 1998; Vissani, 1999; Giunti, 1999; Bilenky, Giunti, Grimus, Kayser & Petcov, 1999; Ma, 1999; Wodecki & Kaminsky, 2000; Kalliomaki & Maalampi, 2000; Rodejohann, 2000; Matsuda, Takeda, Fukuyama & Nishiura, 2000; Klapdor-Kleingrothaus, Päs & Smirnov, 2001; Falcone & Tramontano, 2001; Bilenky, Pascoli & Petcov, 2001; Xing, 2001; Osland & Vigdel, 2001; Pascoli & Petcov, 2001; Barger, Glashow, Marfatia & Whisnant, 2002; Hambye, 2002; Minakata & Sugiyama, 2002; Klapdor-Kleingrothaus & Sarkar, 2002; Xing, 2002; Haba & Suzuki, 2002; Pakvasa & Roy, 2002; Rodejohann, 2002; Haba, Nakamura & Suzuki, 2002; Päs & Weiler, 2002; Barger, Glashow , Langacker, Marfatia, 2002; Civitarese & Suhonen, 2002; Pascoli, Petcov & Rodejohann, 2002; Sugiyama, 2002; Avignone & King, 2002; Minakata & Sugiyama, 2002; Cheung, 2003; Abada & Bhattacharyya, 2003; Giunti, 2003; Pascoli & Petcov, 2003; Elliott, 2003; Stoica, 2004; Brahmachari, 2004; Bilenky, Fäßler & Simkovic, 2004; Pascoli & Petcov, 2004; Deppisch, Päs & Suhonen, 2004; Joniec & Zralek, 2004; Pascoli & Petcov, 2005; Pascoli, Petcov & Schwetz, 2005; Goswami & Rodejohann, 2005; Choubey & Rodejohann, 2005; Bilenky, Fäßler, Gutsche, & Simkovic, 2005; Lindner, Merle & Rodejohann, 2005;



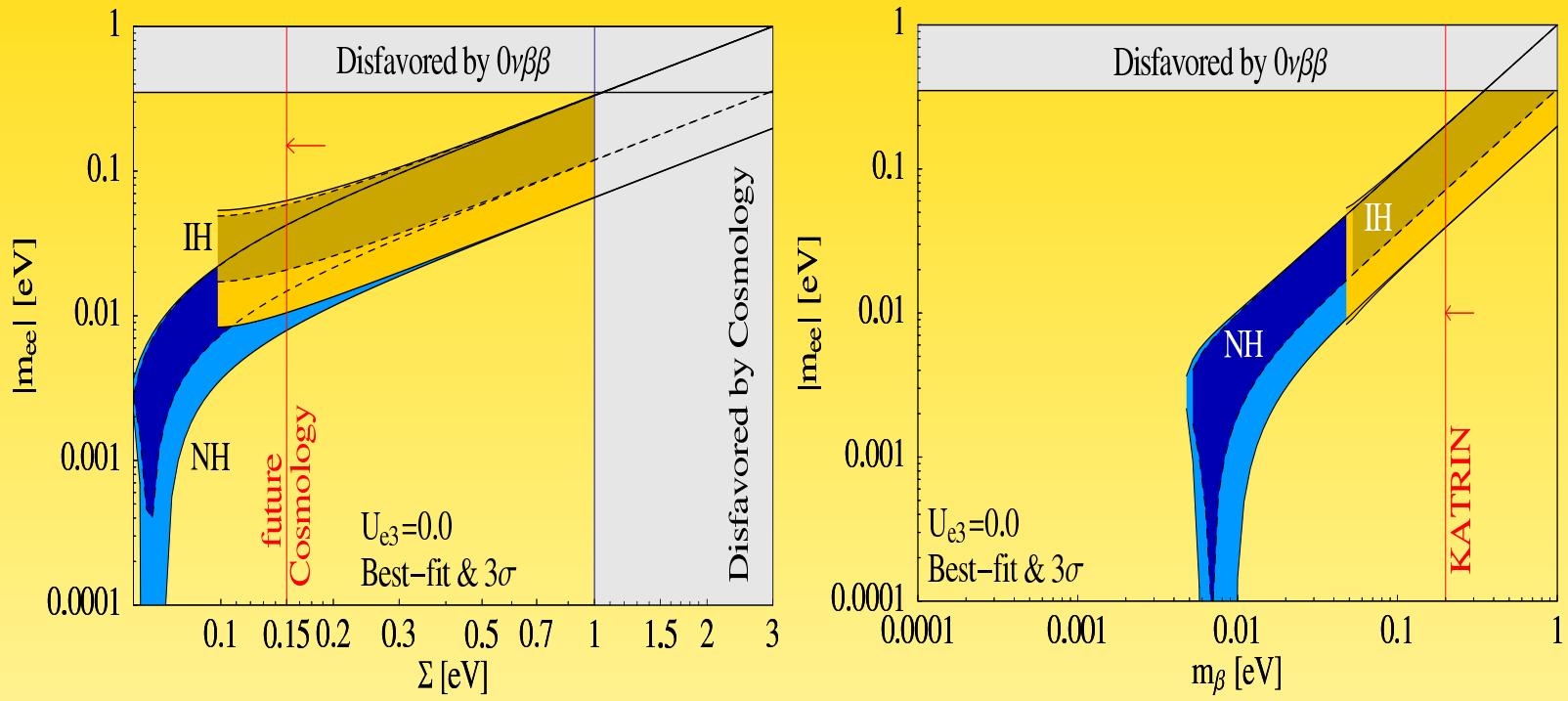
Our plots are blue and yellow...



Note: importance of U_{e3}

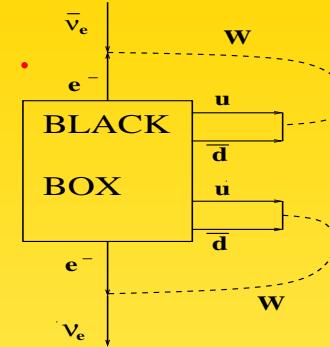


Lindner, Merle, W.R., Phys. Rev. D 73, 053005 (2006)



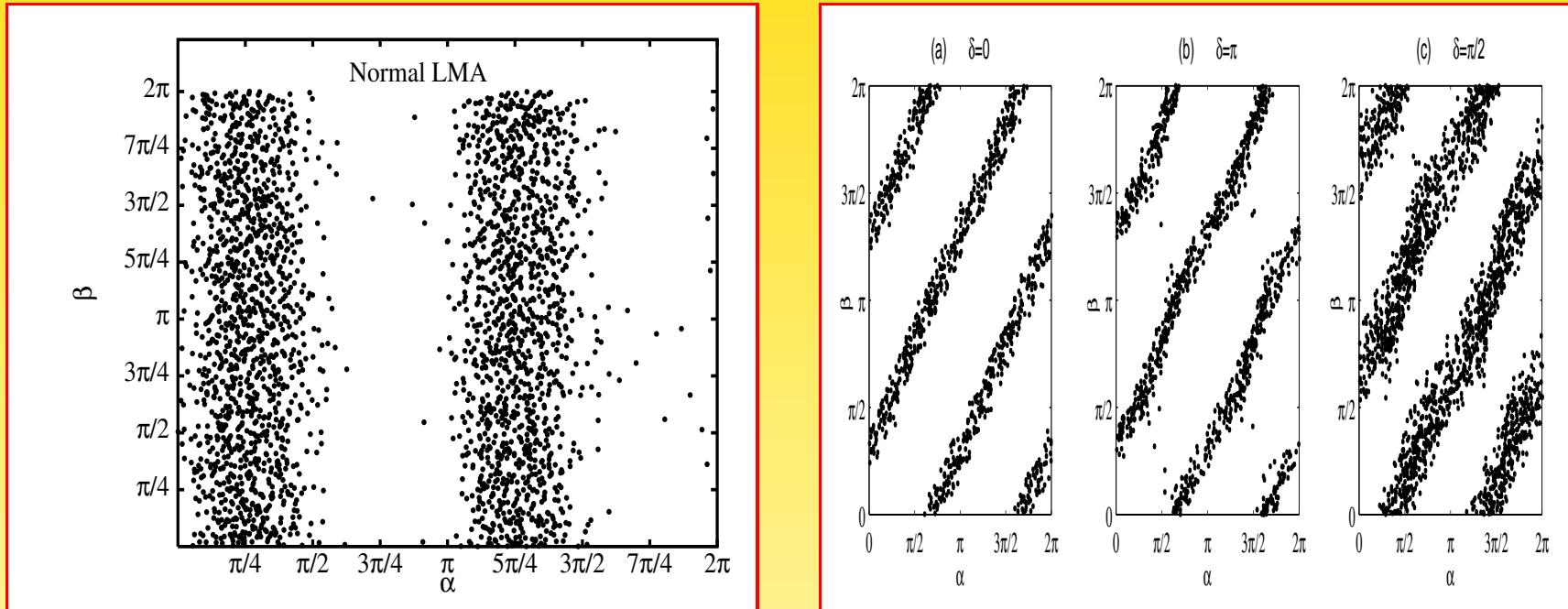
If we observe $0\nu\beta\beta\dots$

- Neutrinos are Majorana (Schechter-Valle)



- we still need to identify the mechanism of $0\nu\beta\beta$: SUSY, RH currents, heavy Majorana neutrinos, . . .
solution: . . .
- reduce/check NME uncertainty: same solution
- $|m_{ee}|$ can rule out models
- we have tested a prediction of the see-saw mechanism(s)!
- GUTs predict LNV!
- we will believe much more firmly in leptogenesis!

Leptogenesis



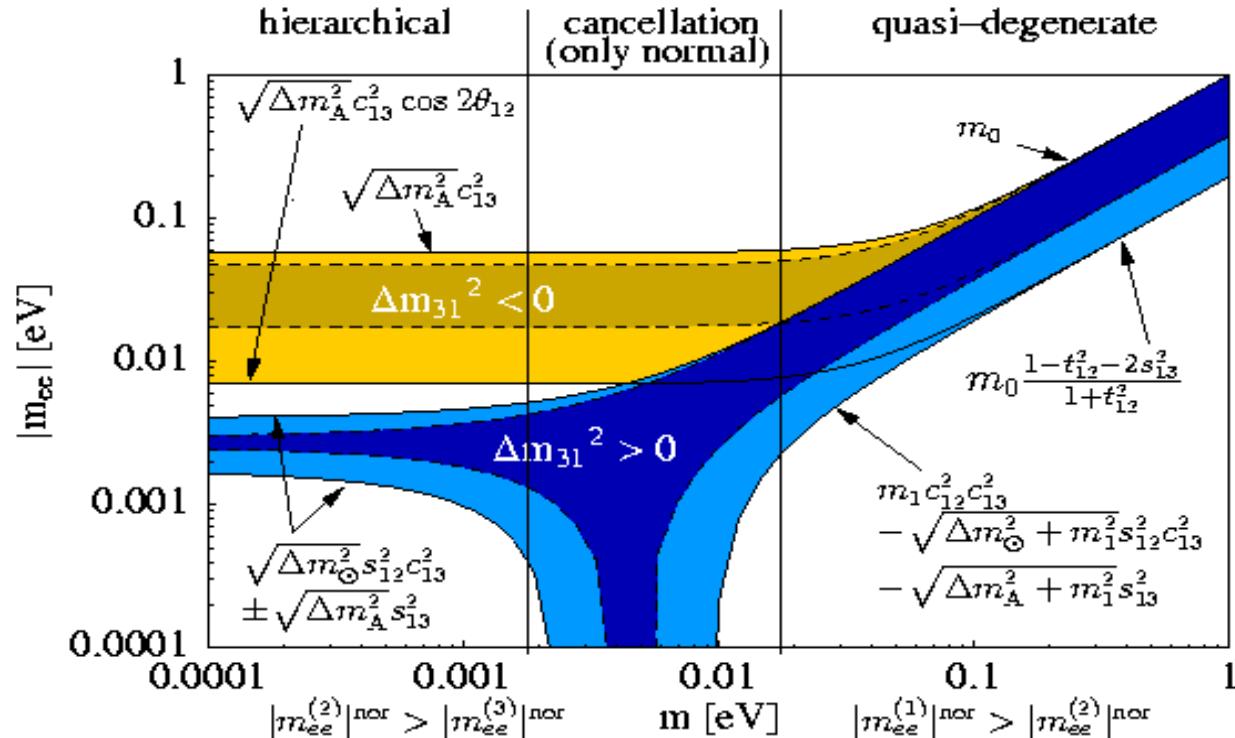
Paschos, Joshipura, W.R.,

JHEP 01, 029 (2001)

Branco *et al.*,

Nucl. Phys. B 640, 202 (2002)

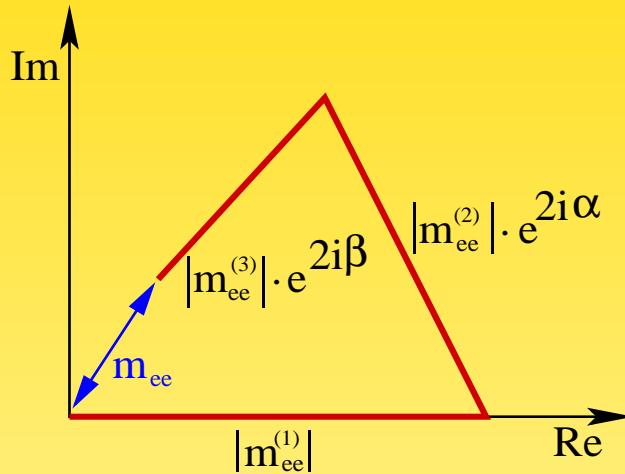
What if $|m_{ee}| = 0$?



The “chimney”

if we don't observe $0\nu\beta\beta$: vanishing $|m_{ee}|$

- a triangle can be formed! $\left| |m_{ee}^{(1)}| + |m_{ee}^{(2)}| e^{2i\alpha} + |m_{ee}^{(3)}| e^{2i\beta} \right| = 0$

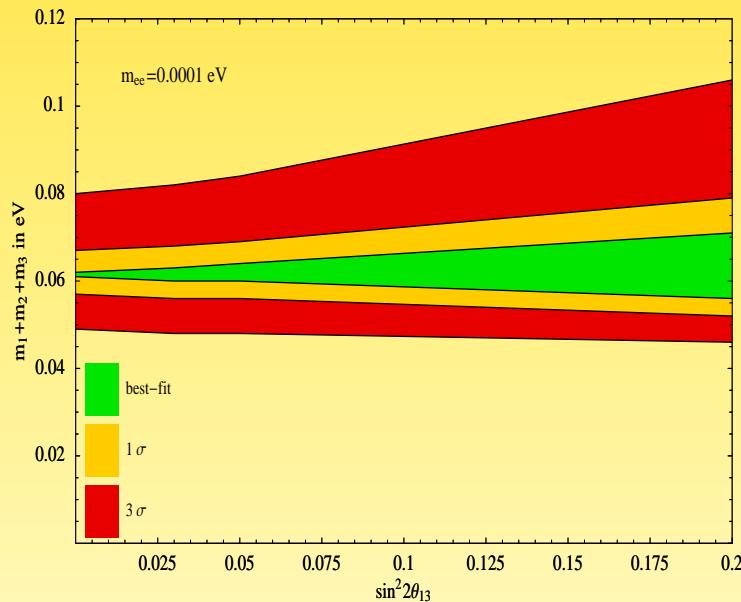
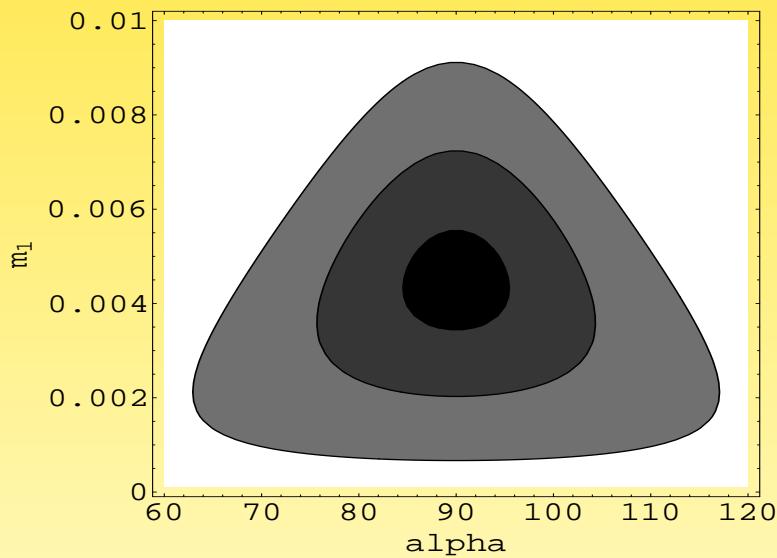


- texture zero in charged lepton basis! (only $m_{e\mu}$ or $m_{e\tau}$ can in addition be zero)

$$\cos 2\alpha = \frac{|m_{ee}^{(1)}|^2 + |m_{ee}^{(2)}|^2 - |m_{ee}^{(3)}|^2}{2|m_{ee}^{(1)}||m_{ee}^{(2)}|} =$$

$$\frac{m_1^2 (c_{13}^4 (s_{12}^4 + c_{12}^4) - s_{13}^4) + \Delta m_\odot^2 s_{12}^4 c_{13}^4 - \Delta m_A^2 s_{13}^4}{2m_1 \sqrt{m_1^2 + \Delta m_\odot^2} s_{12}^2 c_{12}^2 c_{13}^4}$$

- only possible for normal ordering
- if $\theta_{13} = 0$: $m_1 = \sin^2 \theta_{12} \sqrt{\frac{\Delta m_\odot^2}{\cos 2\theta_{12}}} \simeq 4.5$ ($2.8 \div 8.4$) meV
- if $m_1 = 0$: $\sin^2 2\theta_{13} \simeq 4 \sin^2 \theta_{12} \sqrt{\frac{\Delta m_\odot^2}{\Delta m_A^2}} \simeq 0.24$ ($0.14 \div 0.40$)



Dev, Kumar, hep-ph/0607048

Lindner, Merle, W.R., PRD **73**, 053005 (2006)

Does it stay zero?

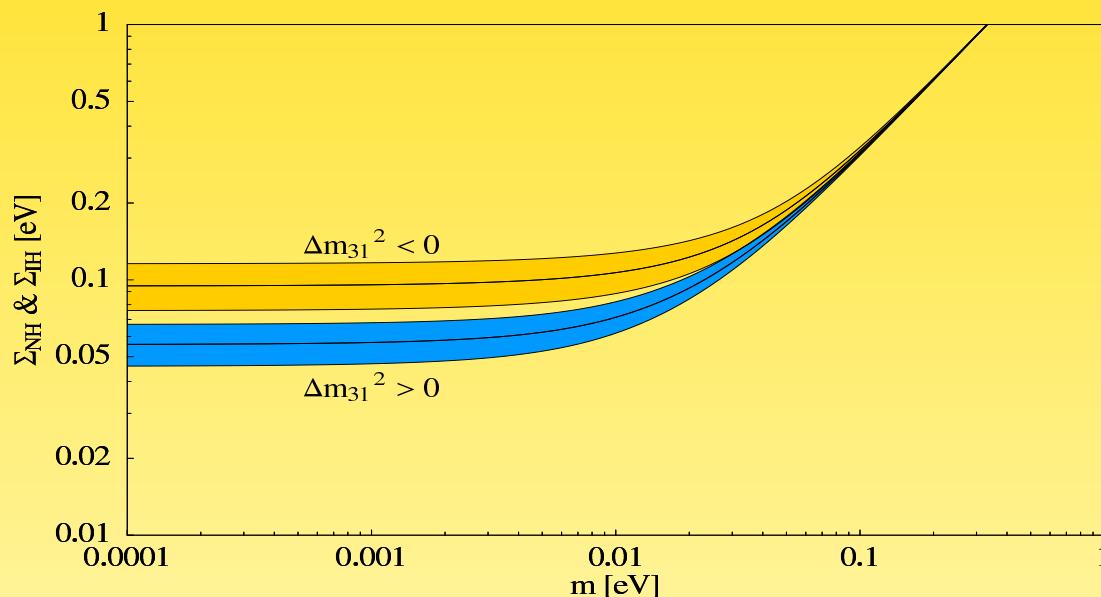
- RG effects!
- actually:

$$\mathcal{M} \propto \frac{U_{ei}^2 m_i}{q^2 - m_i^2} \simeq \frac{|m_{ee}|}{q^2} + \mathcal{O}(m_i^3/q^4)$$

Other (non-oscillation) probes

1) Cosmology: $\Sigma = \sum m_i$

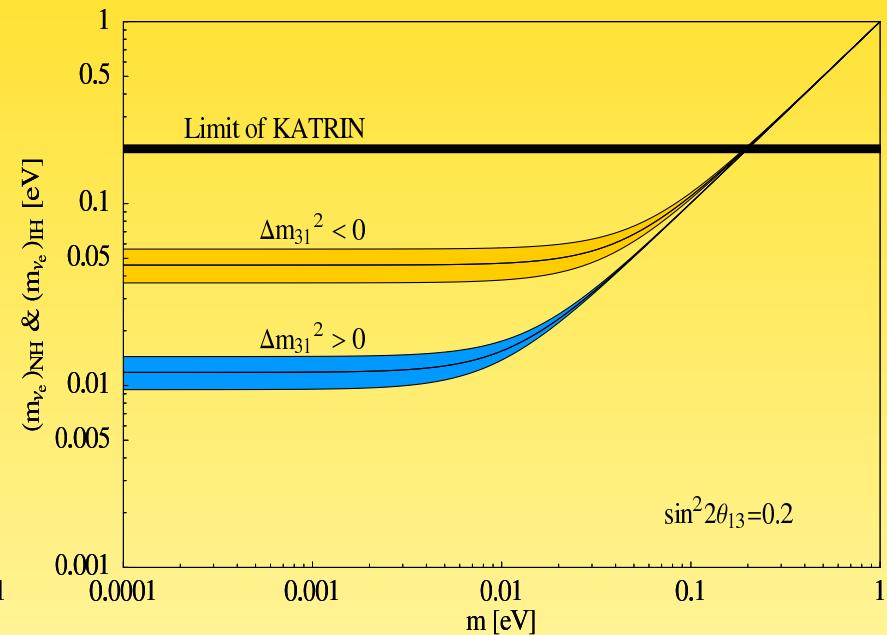
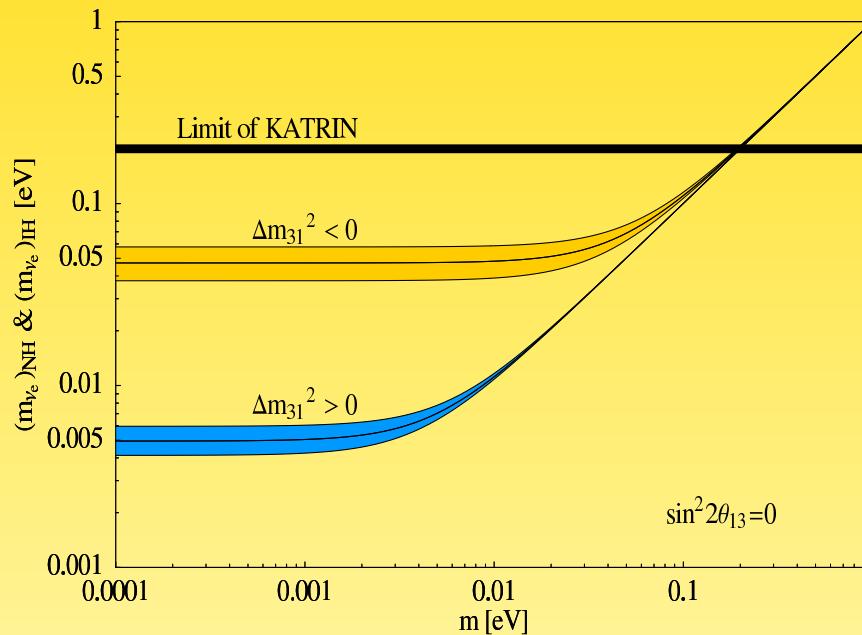
$$\Sigma^{\text{NH}} \simeq \sqrt{\Delta m_A^2} < \Sigma^{\text{IH}} \simeq 2\sqrt{\Delta m_A^2}$$



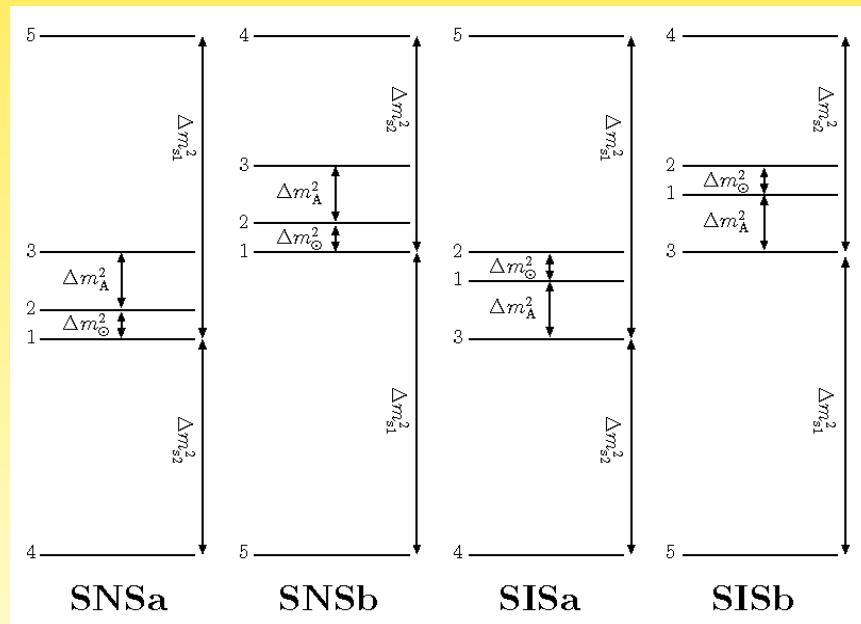
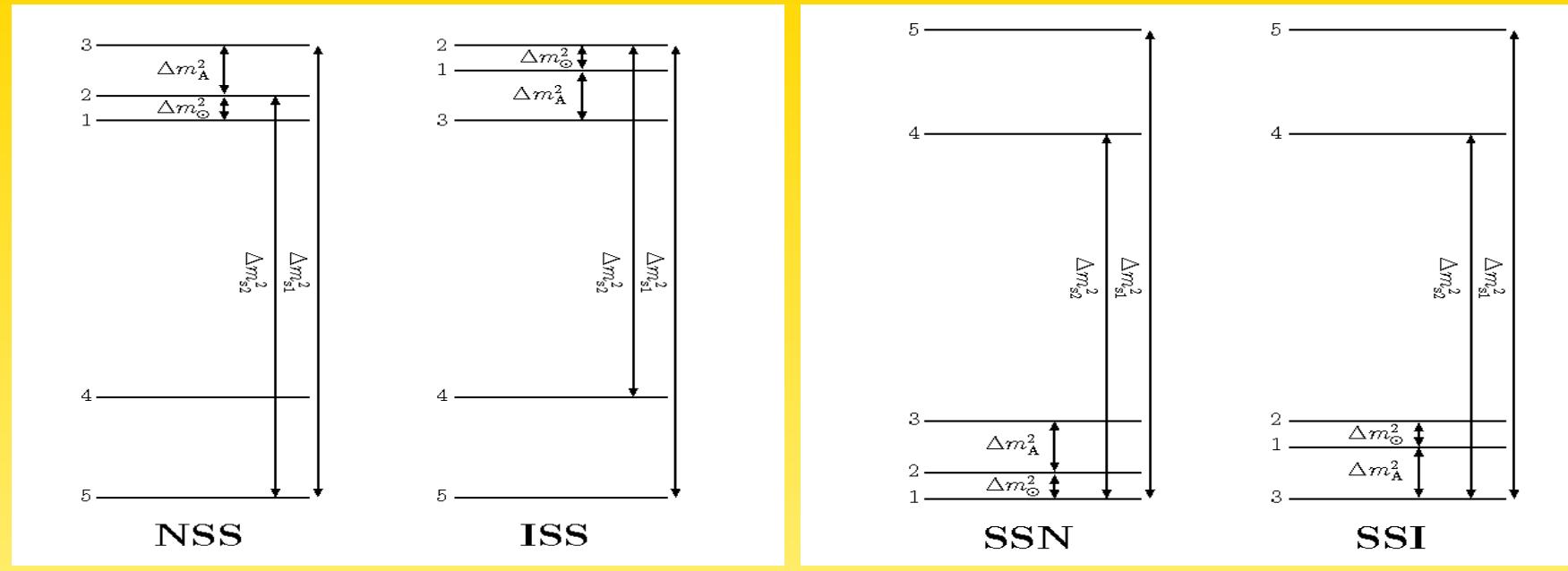
- independent on mixing angles
- requires $\sigma(\Sigma) \lesssim 0.05$ eV

$$2) \beta\text{-decay: } m_\beta = \sqrt{\sum |U_{ei}|^2 m_i^2}$$

$$m_\beta^{\text{NH}} \simeq \sqrt{s_{12}^2 c_{13}^2 \Delta m_\odot^2 + s_{13}^2 \Delta m_A^2} \ll m_\beta^{\text{IH}} \simeq \sqrt{c_{13}^2 \Delta m_A^2}$$



- almost independent on mixing angles
- difference of normal and inverted shows up well below KATRIN limit
- different for sterile (LSND/MiniBooNE!!) neutrinos



KATRIN and $0\nu\beta\beta$

$0\nu\beta\beta$	Δm_A^2	KATRIN	Conclusion
yes	> 0	yes	QD, Majorana
yes	> 0	no	QD, Majorana or NH, Majorana + heavy particles
yes	< 0	no	IH, Majorana
yes	< 0	yes	QD, Majorana
no	> 0	no	NH, Dirac or Majorana
no	< 0	no	Dirac
no	< 0	yes	Dirac
no	> 0	yes	Dirac

APS study, Mohapatra *et al.*, hep-ph/0510213

	Σ	m_β	$ m_{ee} $
NH	$\sqrt{\Delta m_A^2}$	$\sqrt{\Delta m_\odot^2 + U_{e3} ^2 \Delta m_A^2}$	$\left \sqrt{\Delta m_\odot^2 + U_{e3} ^2 \sqrt{\Delta m_A^2}} e^{i(\alpha - \beta)} \right $
IH	$2\sqrt{\Delta m_A^2}$	$\sqrt{\Delta m_A^2}$	$\sqrt{\Delta m_A^2} \sqrt{1 - \sin^2 2\theta_\odot \sin^2 \alpha}$
QD	$3m_0$	m_0	$m_0 \sqrt{1 - \sin^2 2\theta_\odot \sin^2 \alpha}$

corrections due to splitting:

$$\frac{1}{3} \Sigma - m_\beta \simeq \frac{1}{3} \Sigma - |m_{ee}|^{\max} \simeq \frac{m_0}{6} ((3 \cos 2\theta_{13} - 2) \eta_A + \eta_A^2 + (1 + 3 \cos 2\theta_{12}) \eta_\odot)$$

with $\eta_A = \Delta m_A^2 / (2 m_0^2) \simeq 0.013$ and $\eta_\odot = \Delta m_\odot^2 / (2 m_0^2) \simeq 0.0004$

(assuming unitarity of PMNS matrix)

Other (non-oscillation) probes

3) Other elements of m_ν : “the lobster”



Recall: $(A, Z) \rightarrow (A, Z + 2) + 2 e^-$ is proportional to

$$m_{ee} = \sum_i U_{ei}^2 m_i$$

is ee element of mass matrix

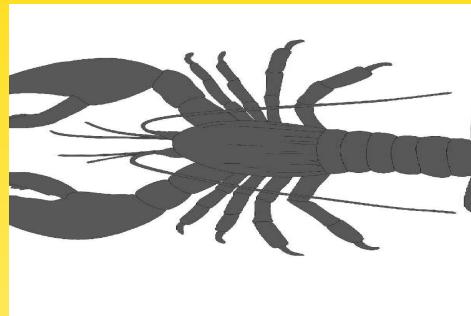
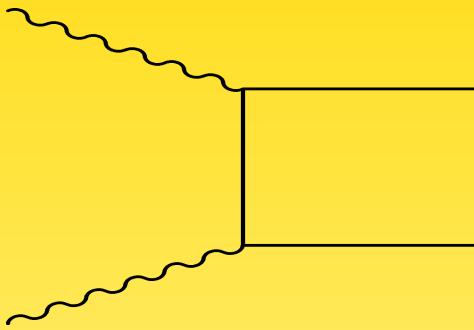
Therefore, $K^+ \rightarrow \pi^- e^+ \mu^+$ is proportional to

$$\sum_i U_{ei} U_{\mu i} m_i$$

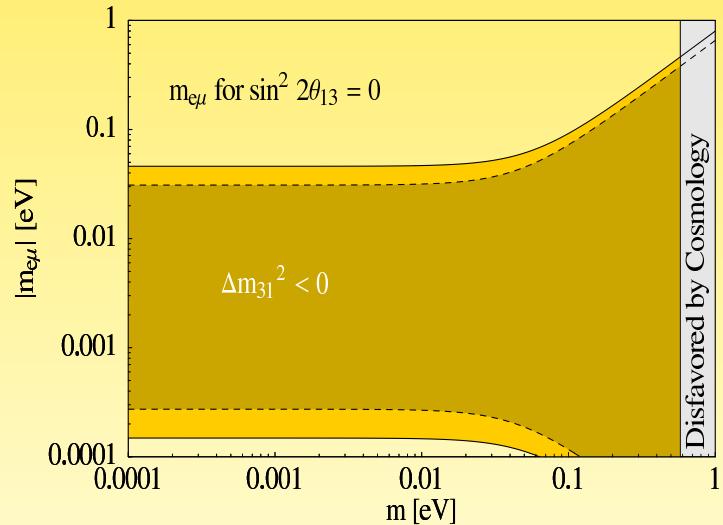
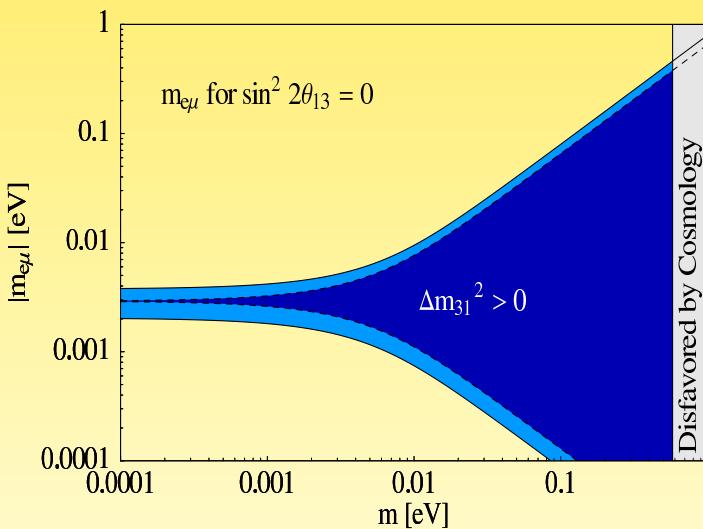
$e\mu$ element of mass matrix

Other (non-oscillation) probes

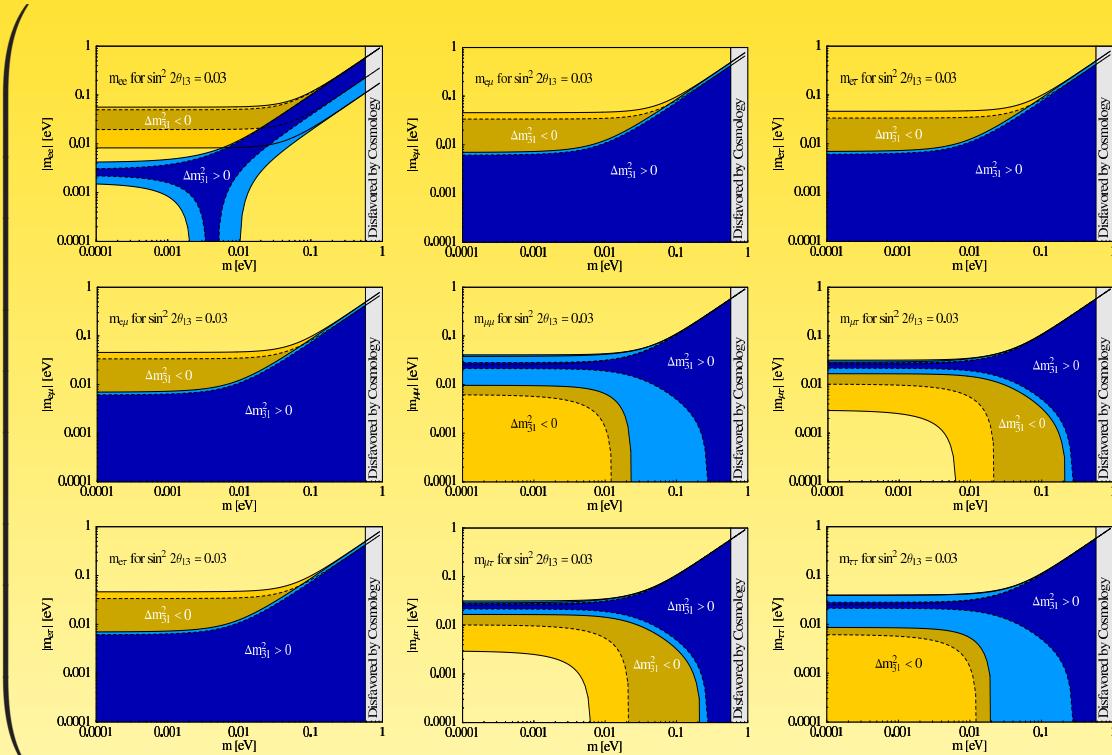
3) Other elements of m_ν : “the lobster”



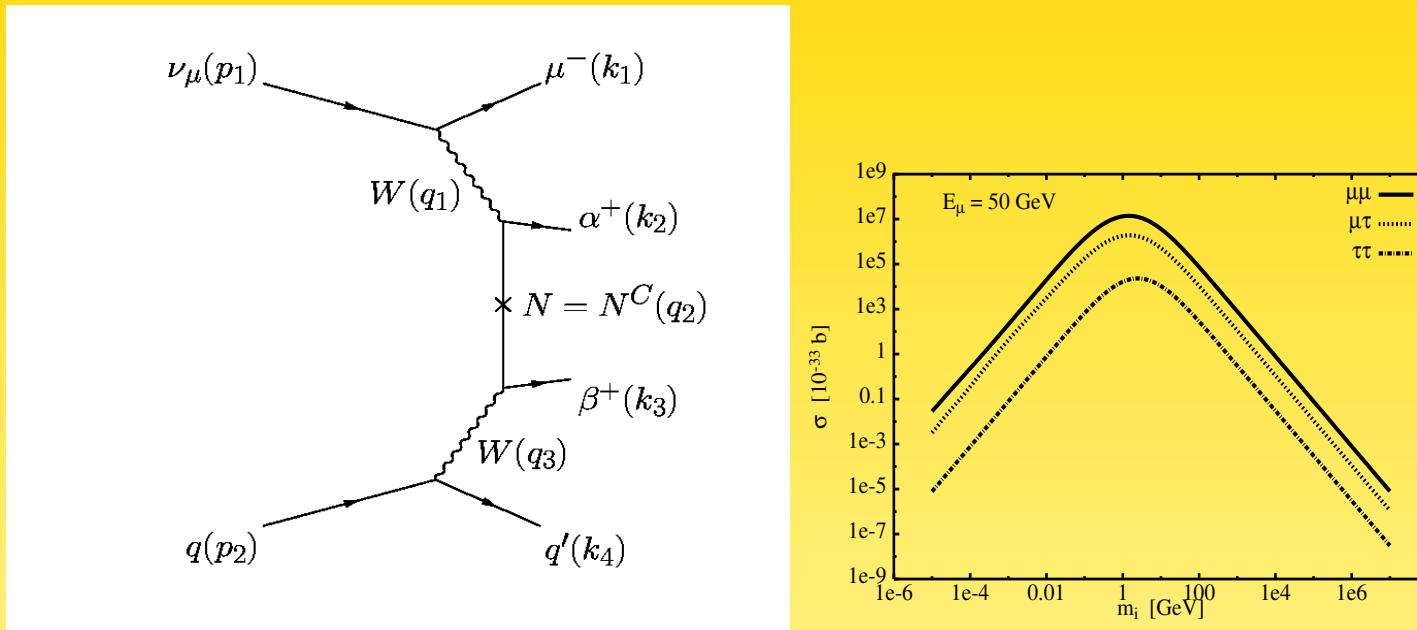
$$\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \propto |m_{e\mu}|^2 = \left| \sum U_{ei} U_{\mu i} m_i \right|^2 \sim 10^{-30} \left(\frac{|m_{e\mu}|}{\text{eV}} \right)^2$$



$$(m_\nu)_{\alpha\beta} =$$



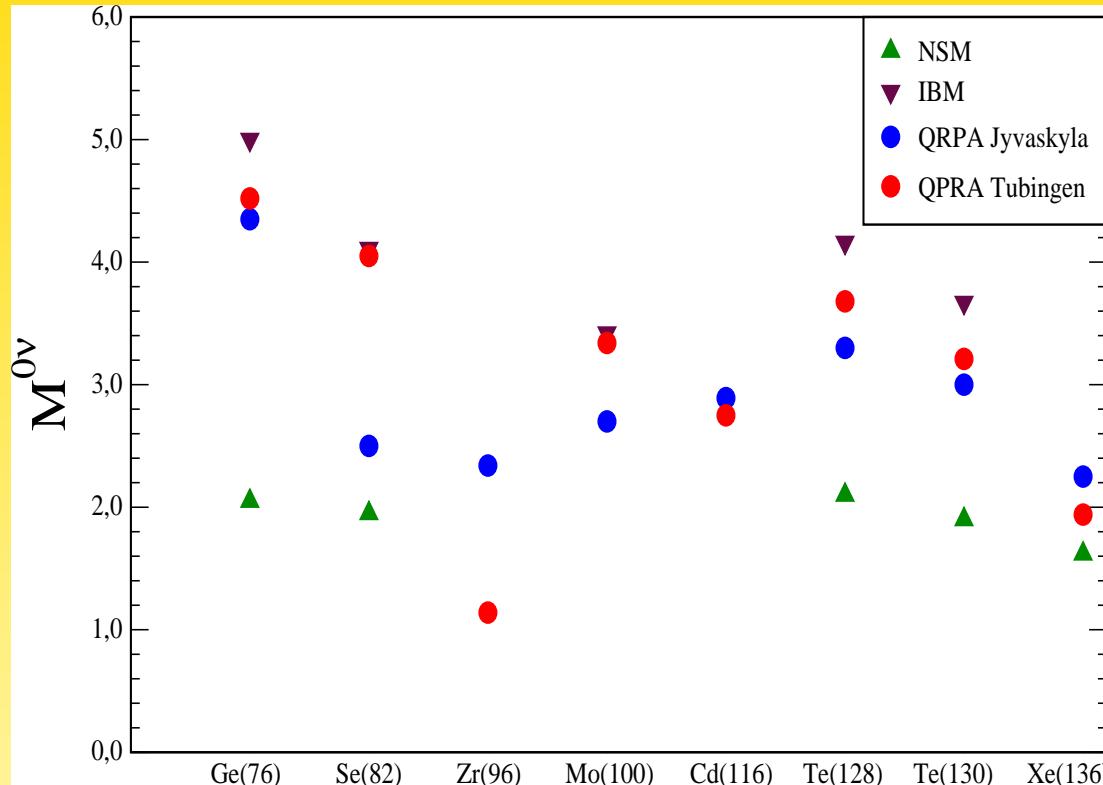
3) Other elements of m_ν : “the lobster”



$\nu_\mu N \rightarrow \mu^- \alpha^+ \beta^+ X$
 $(\nu N \text{ scattering, } \nu\text{-fac, HERA, ...})$

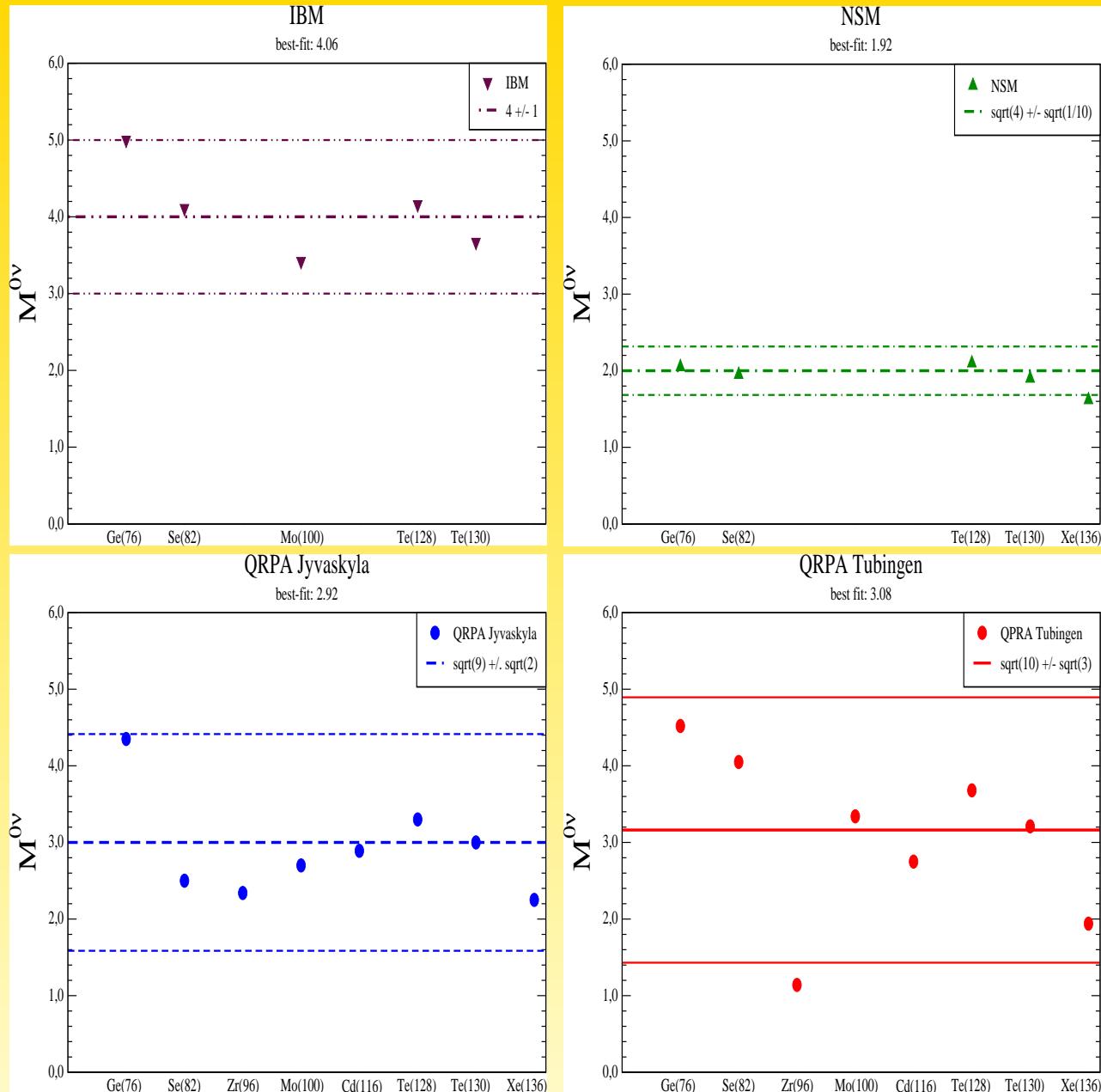
$$\text{BR, } \Gamma, \sigma \propto \frac{m_i^2}{(q^2 - m_i^2)^2} \simeq \begin{cases} m_i^2 & q^2 \gg m_i^2 \\ \frac{1}{m_i^2} & q^2 \ll m_i^2 \end{cases}$$

Nuclear Matrix Elements



Rodin, arXiv:0910.5866 [nucl-th]

without NSM: 50 % for $\Gamma(0\nu\beta\beta)$



Towards Statistics with NMEs and $0\nu\beta\beta$

Majorana phases: consider IH spectrum

$$\sin^2 \alpha = \left(1 - \frac{|m_{ee}|}{\sqrt{|\Delta m_A^2|} (1 - |U_{e3}|^2)} \right)^2 \frac{1}{\sin^2 2\theta_{12}}$$

- uncertainties on $|m_{ee}|$ from NME smaller than 2
- $\sigma(|m_{ee}|) \lesssim 15\%$
- $\sigma(\Delta m_A^2) \lesssim 10\%$ (IH) or $\sigma(m_0) \lesssim 10\%$ (QD)
- $\sin^2 \theta_{12} \gtrsim 0.29$
- $2\alpha \in [\pi/4, 3\pi/4]$ or $[5\pi/4, 7\pi/4]$

Pascoli, Petcov, W.R., Phys. Lett. B **549**, 177 (2002)

No to “no-go” from Barger *et al.*, Phys. Lett. B **540**, 247 (2002)

What's more to $0\nu\beta\beta$?

Pascoli, Petcov, W.R., Phys. Lett. B **549**, 177 (2002)

used simple error multiplication on

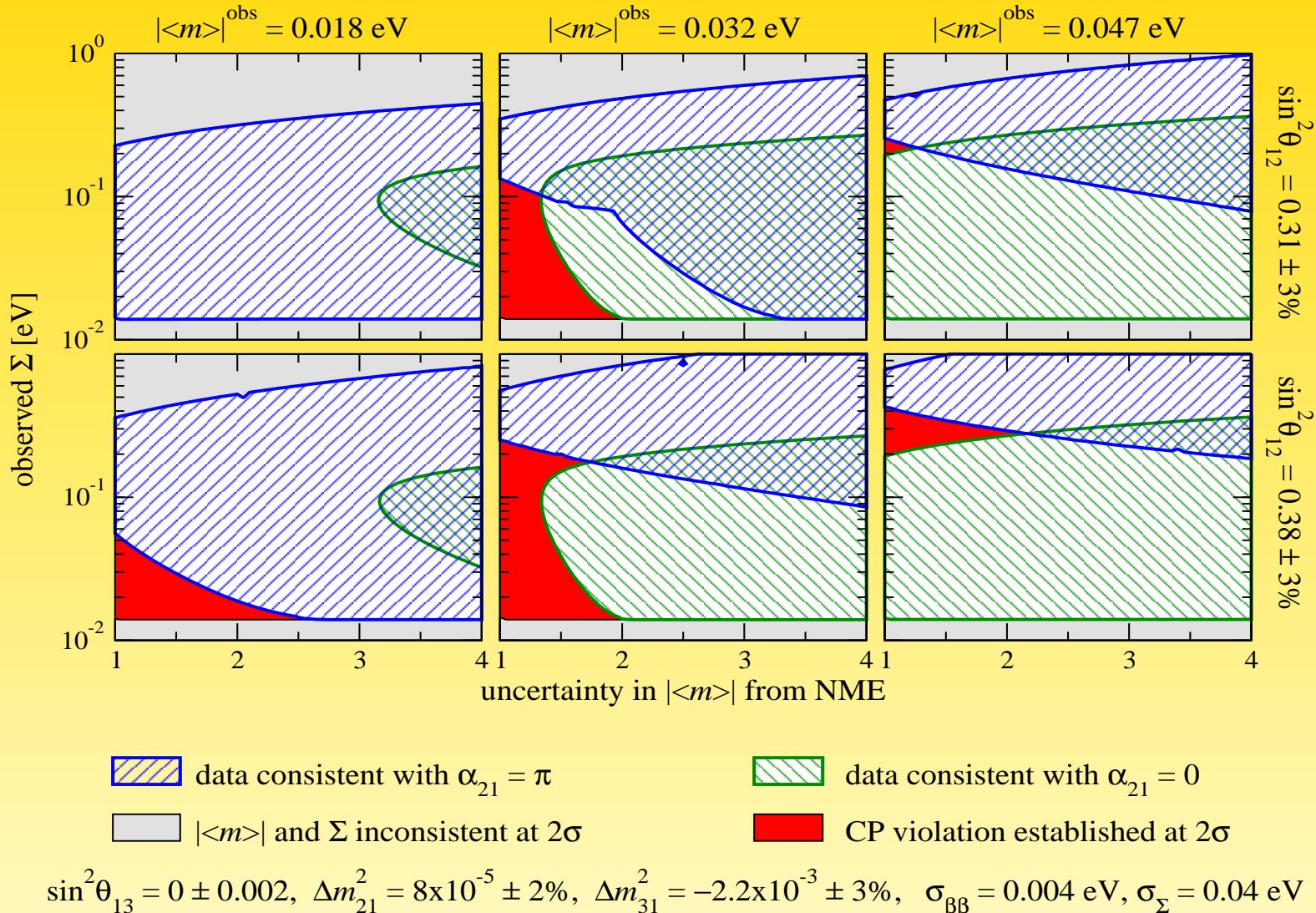
$$\sin^2 \alpha = \left(1 - \frac{|m_{ee}|}{\sqrt{|\Delta m_A^2|} (1 - |U_{e3}|^2)} \right)^2 \frac{1}{\sin^2 2\theta_{12}}$$

with $|m_{ee}| = \zeta(|m_{ee}|_{\min} \pm \sigma(|m_{ee}|))$ and

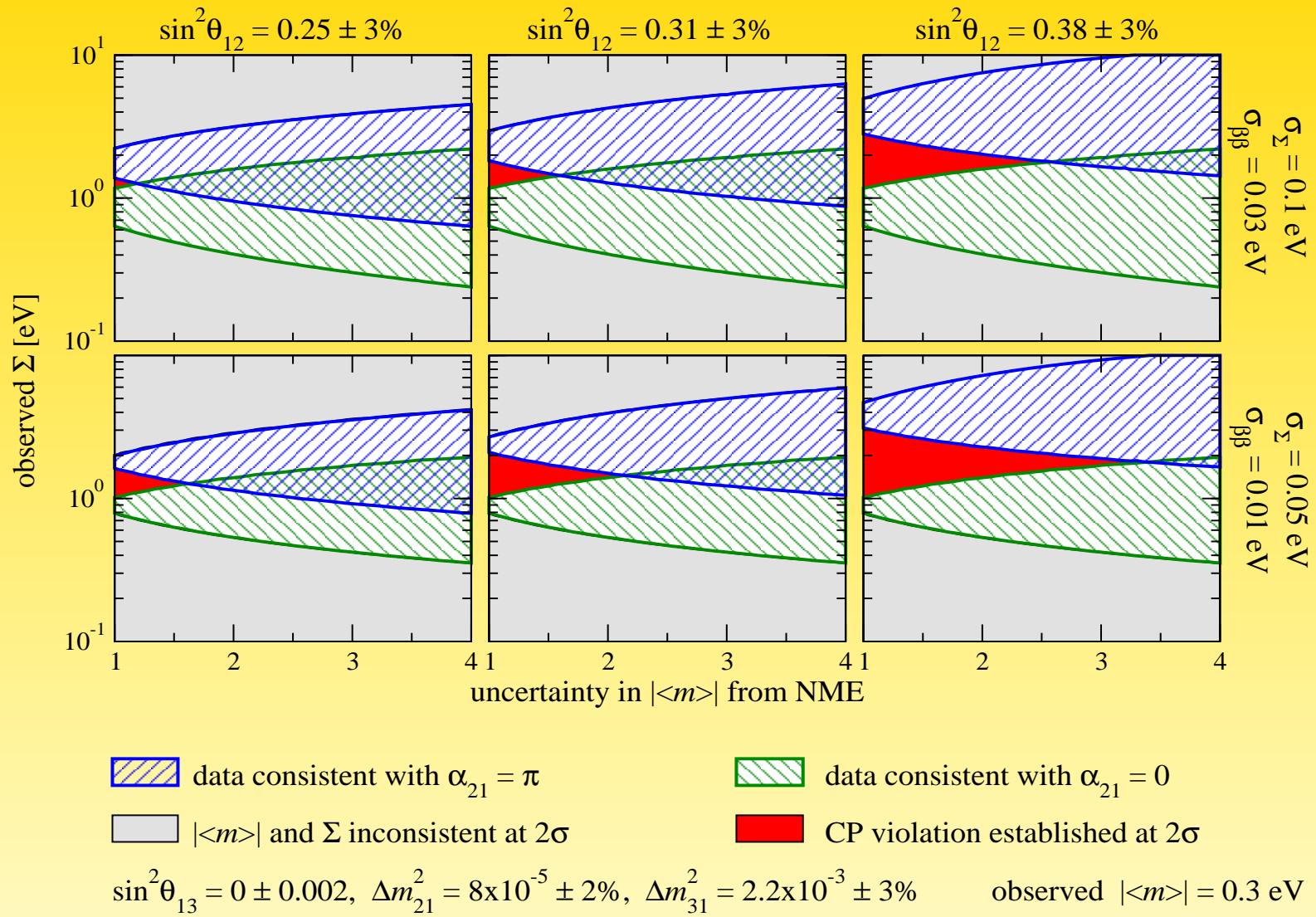
$$\sigma(|m_{ee}|) = |m_{ee}| \sqrt{\text{stat}^2 + \text{sys}^2}$$

- statistical error: $0.028 \text{ eV}/|m_{ee}|$
- systematical error: 0.05

which gives in total 15 % at $|m_{ee}| = 0.2 \text{ eV}$



Pascoli, Petcov, Schwetz, Nucl. Phys. B 734, 24 (2006)



What's more to $0\nu\beta\beta$?

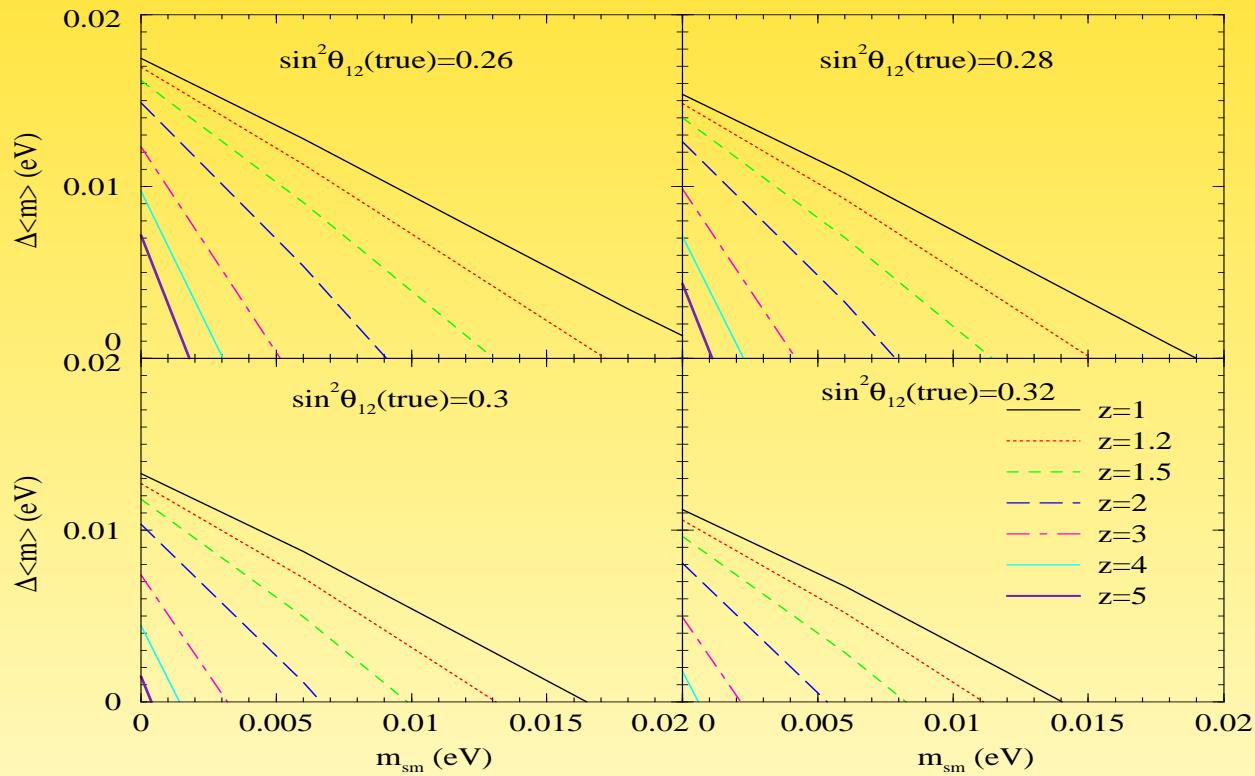
Mass scale: consider QD spectrum

$$m_0 \leq \frac{1 + \tan^2 \theta_{12}}{1 - \tan^2 \theta_{12} - 2|U_{e3}|^2} |m_{ee}|_{\text{lim}} \simeq \frac{1}{\cos 2\theta_{12}} |m_{ee}|_{\text{lim}} \simeq 3 |m_{ee}|_{\text{lim}}$$

Spectrum

$$\zeta |m_{ee}|_{\max}^{\text{NH}} \stackrel{!}{<} |m_{ee}|_{\min}^{\text{IH}}$$

gives



Statistical Analysis

- how well can we reconstruct possible scenarios?
- focus on “near future”, i.e. no normal hierarchy
- what if cosmology gives wrong/inconsistent result?

We consider 3 scenarios with “true values”:

Scenario	m_3 [eV]	$ m_{ee} $ [eV]	m_β [eV]	Σ [eV]
QD	0.3	0.11 – 0.30	0.30	0.91
INT	0.1	0.04 – 0.11	(0.11)	0.32
IH	0.003	0.02 – 0.05	(0.05)	(0.10)

W. Maneschg, A. Merle, W.R., *Europhys. Lett.* **85**, 51002 (2009)

[arXiv:0812.0479 [hep-ph]]

Statistical Analysis

- $|m_{ee}|$
 - “experimental error”

$$\sigma(|m_{ee}|_{\text{exp}}) = \frac{|m_{ee}|_{\text{exp}}}{2} \frac{\sigma(\Gamma_{\text{obs}})}{\Gamma_{\text{obs}}}$$

GERDA: $\sigma(\Gamma_{\text{obs}})/\Gamma_{\text{obs}} \simeq 23.3\%$

(Phase I: 6 ± 1.4 events if Klapdor is right)

- “theoretical error” NMEs

$$\sigma(|m_{ee}|) = (1 + \zeta) \left(|m_{ee}| + \sigma(|m_{ee}|_{\text{exp}}) \right) - |m_{ee}|$$

(\leftrightarrow subtract $\simeq 1$ from uncertainty in PPS, sorry...)

- $\sigma(m_{\beta}^2) = 0.025 \text{ eV}^2$
- $\sigma(\Sigma) = 0.05 \text{ eV}$
- future 3σ ranges of oscillation parameters: current 1σ ranges (not important)

Statistical Analysis

covariance matrix:

$$S_{ab} \equiv \delta_{ab} \sigma^2(a) + \sum_i \frac{\partial T_a}{\partial x_i} \frac{\partial T_b}{\partial x_i} \sigma_i^2 ,$$

where $T_1 = |m_{ee}|$, $T_2 = \Sigma$ and $T_3 = m_\beta^2$

$v_a = T_a - (T_a)_{\text{exp}}$, where $(T_a)_{\text{exp}}$ is experimental value of T_a

$$\chi^2 = v^T S^{-1} v$$

minimize χ^2 with respect to Majorana phases (χ^2_{res}) and then

$\Delta\chi^2 = \chi^2_{\text{res}} - \chi^2_{\text{res,min}} = 1, 4, 9$ is $1, 2, 3\sigma$ range for m_3 (only free parameter)

($\Delta\chi^2 = 0$ for true region)

- Pascoli, Petcov, Schwetz have defined

$$\chi^2(\mathbf{x}_{\beta\beta}^{0\nu}, F) = \min_{\xi \in [1/\sqrt{F}, \sqrt{F}]} \frac{\left[\xi |m_{ee}|(\mathbf{x}) - |m_{ee}|^{\text{obs}} \right]^2}{\sigma_{\beta\beta}^2 + \xi^2 \sigma_{\text{th}}^2} \text{ with } \xi = \frac{|\mathcal{M}|}{|\mathcal{M}_0|}$$

where \mathcal{M}_0 is NME to obtain $|m_{ee}|^{\text{obs}}$ and \mathcal{M} is true NME

no weight on any NME \leftrightarrow flat priors for theoretical errors in CKM fits

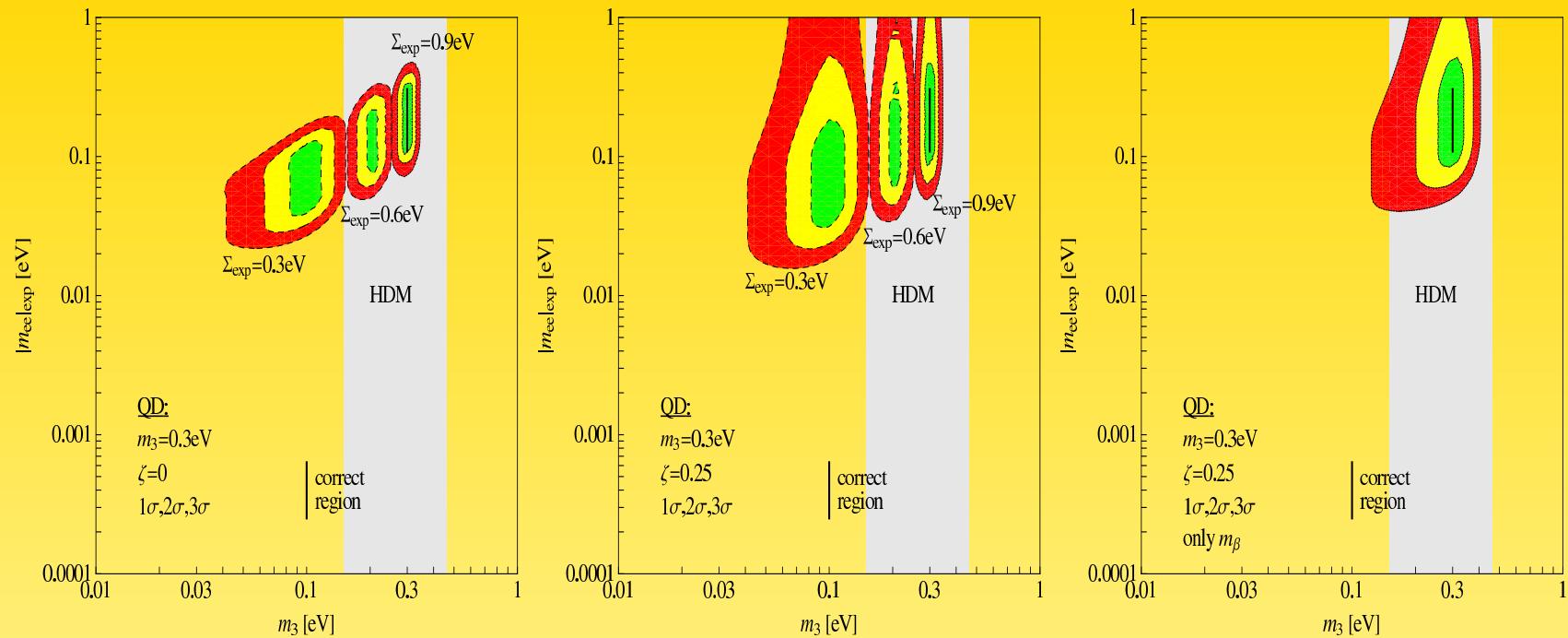
- Fogli *et al.* linearize $|m_{ee}|^2 = m_e^2 \Gamma / |C_{mm}|^2$

$$2 \log_{10} \left(\frac{m_{\beta\beta}}{\text{eV}} \right) = 2 \log_{10} \left(\frac{m_e}{\text{eV}} \right) - \log_{10} \left(\frac{|C_{mm}|^2}{\text{yr}^{-1}} \right) - \log_{10} \left(\frac{T_{1/2}^{0\nu}}{\text{yr}} \right)$$

because non-linear expression and large uncertainties

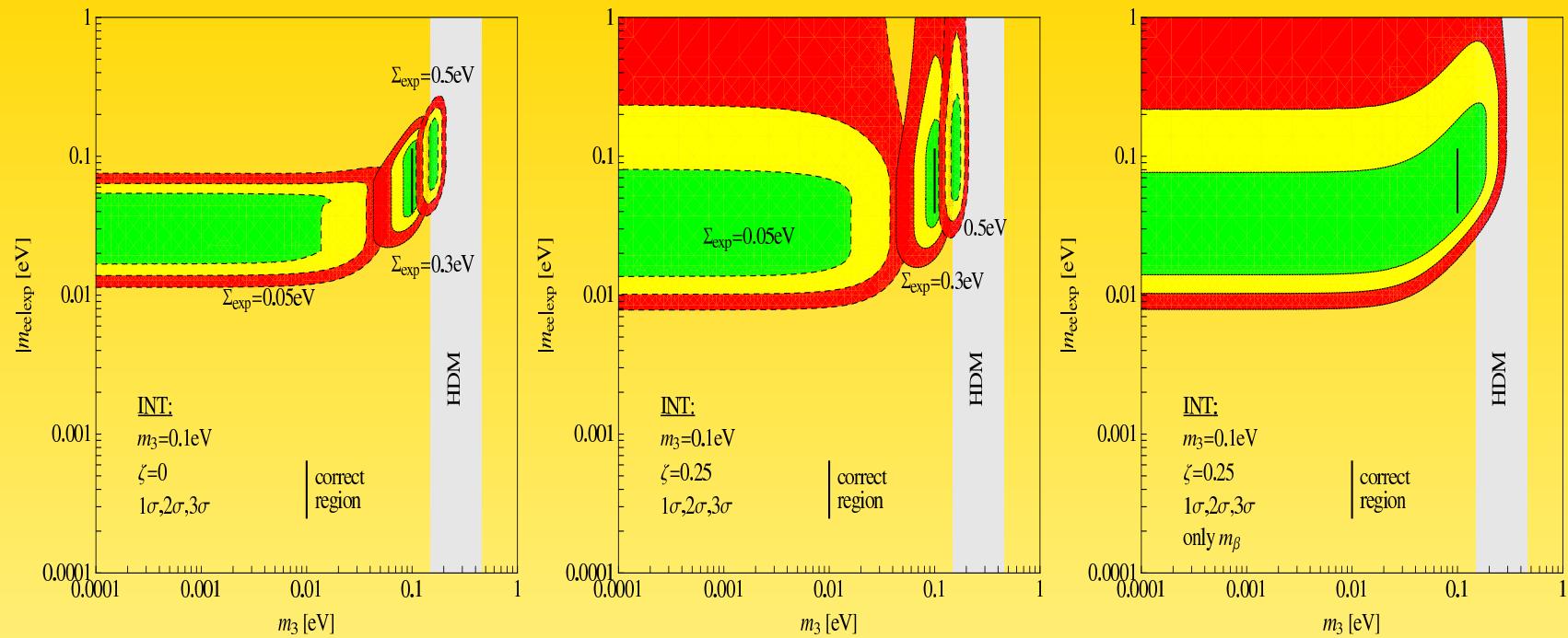
linear error propagation for $\log |\mathcal{M}|^2$ rather than $|\mathcal{M}|^2$ ("tractable uncertainties")

take minimal and maximal $|\mathcal{M}|^2$ and from $(\max \pm \min)$ get 1σ range



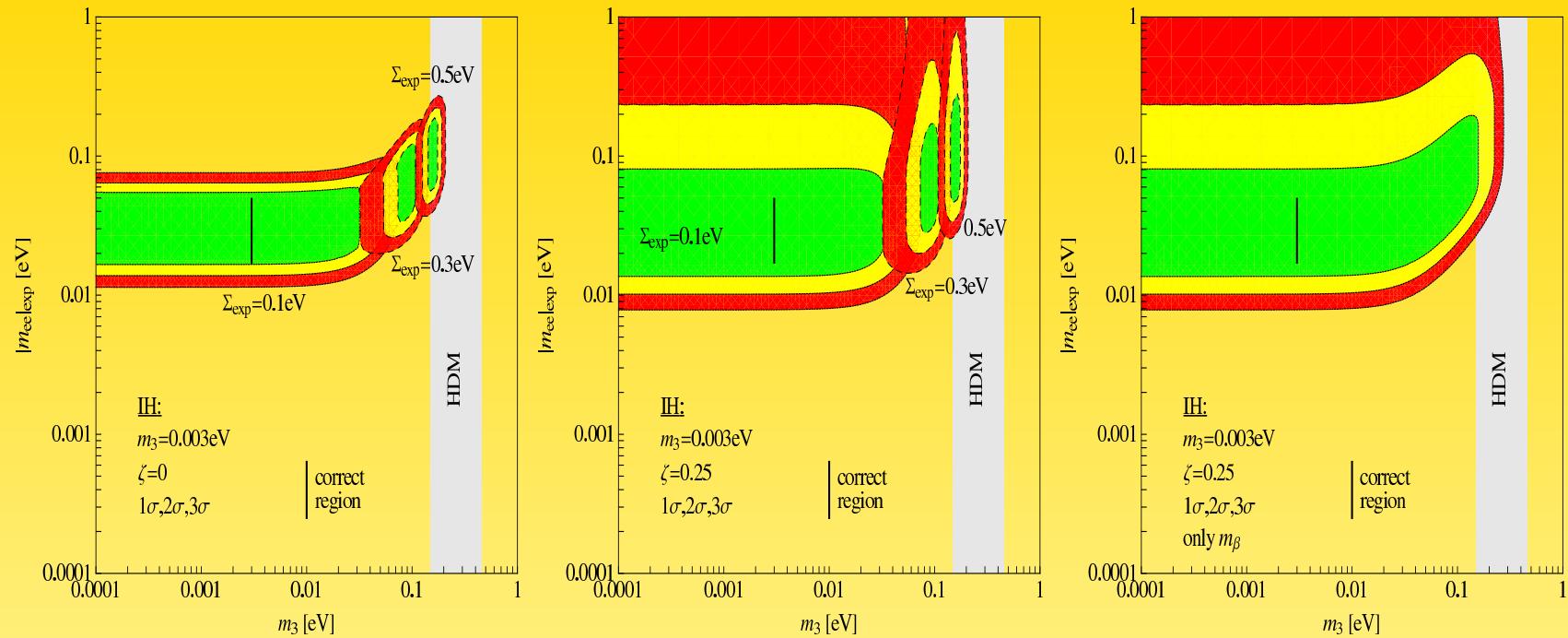
\mathcal{QD} with $|m_{ee}|_{\text{exp}} = 0.20 \text{ eV}$

- if $\zeta = 0$: $\sigma(m_3) \simeq 15\%$ at 3σ
- if $\zeta = 0.25$: $\sigma(m_3) \simeq 25\%$
- if Σ wrong: reconstruction of m_3 wrong by one order of magnitude
- leave Σ out: $\sigma(m_3) \simeq 50\%$



\mathcal{INT} with $|m_{ee}|_{\text{exp}} = 0.08 \text{ eV}$

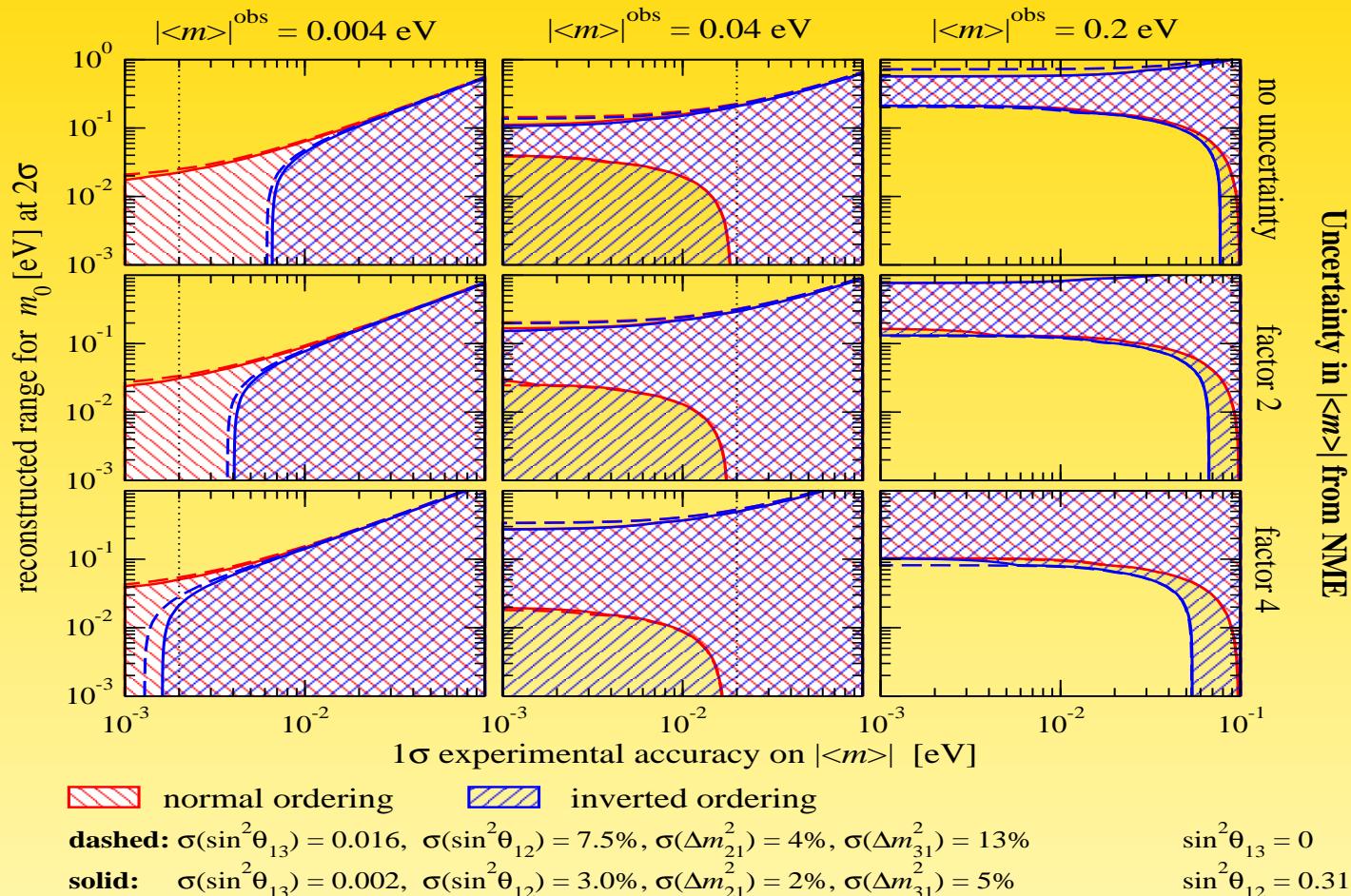
- if $\zeta = 0$: $\sigma(m_3) \simeq 50\%$ at 3σ
- if $\zeta = 0.25$: $\sigma(m_3) \simeq 60\%$
- if Σ wrong: no lower limit
- leave Σ out: no lower limit



\mathcal{IH} with $|m_{ee}|_{\text{exp}} = 0.04 \text{ eV}$

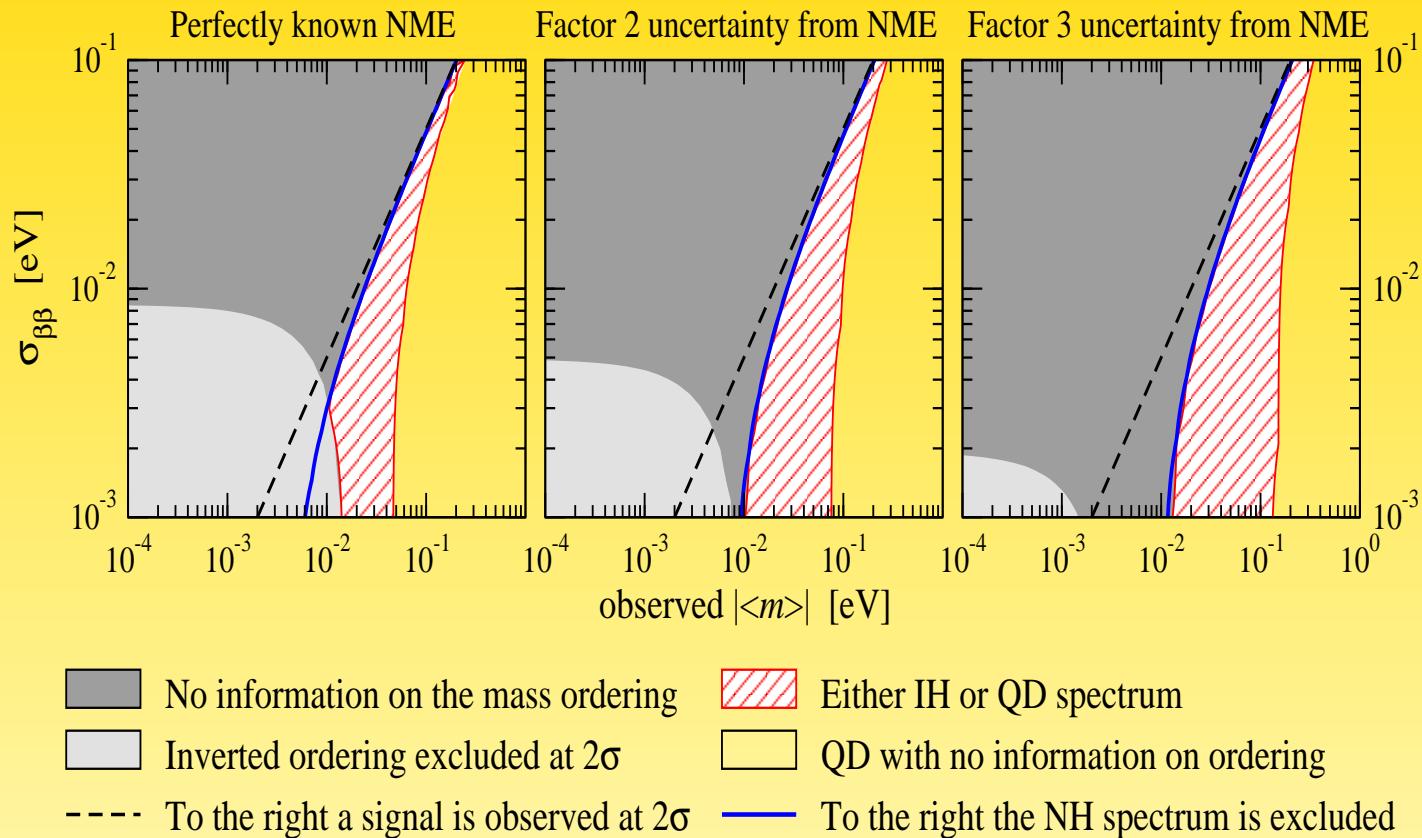
- $m_3 \leq 0.07$ at 3σ
- if Σ wrong: reconstruction of m_3 wrong by two orders of magnitude
- leave Σ out: not much difference to true case

Pascoli, Petcov, Schwetz, Nucl. Phys. B 734, 24 (2006)



QD corresponds to $\sigma(|m_{ee}|) \simeq 0.023 \text{ eV} \Rightarrow \sigma(m_3) \simeq 50\%$ at 2σ for $\zeta = 0$

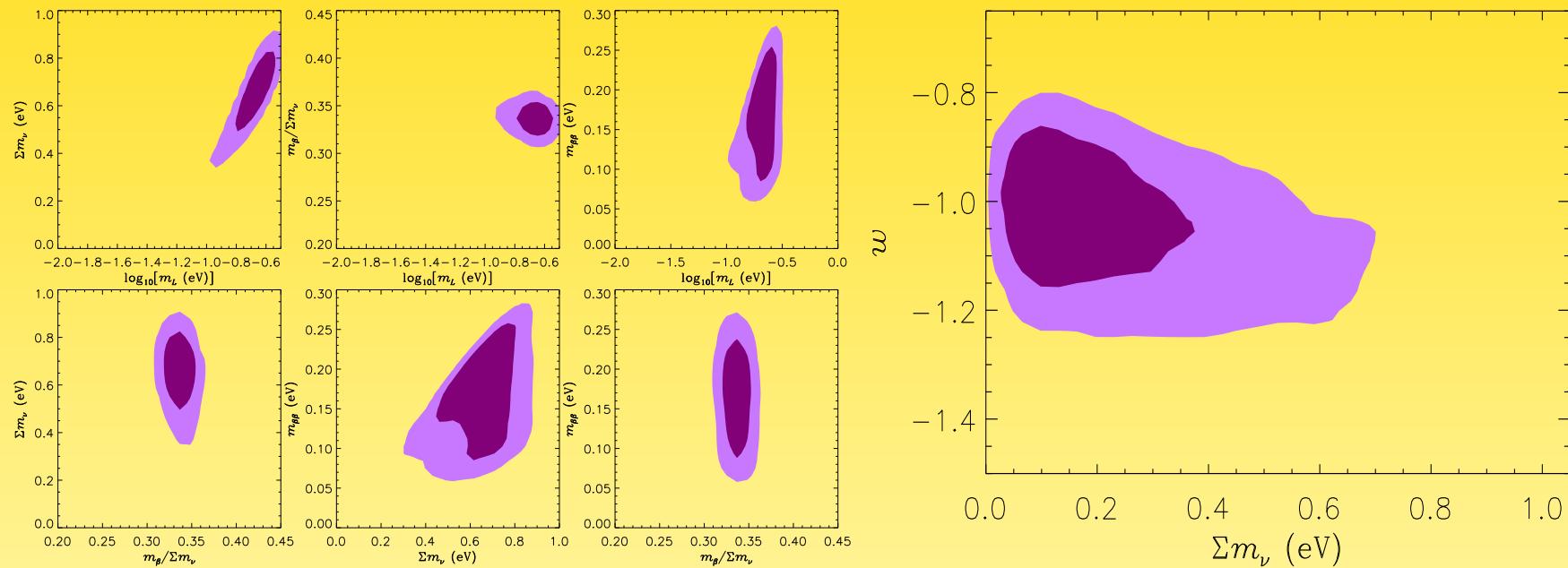
Pascoli, Petcov, Schwetz, Nucl. Phys. B 734, 24 (2006)



$$\sin^2 \theta_{13} = 0.03 \pm 0.006, \sin^2 \theta_{12} = 0.31 \pm 3\%, \Delta m_{21}^2 = 8 \times 10^{-5} \pm 2\%, |\Delta m_{31}^2| = 2.2 \times 10^{-3} \pm 3\%$$

Hannestad, arXiv:0710.1952 [hep-ph]

example $m_\beta = 0.28$ eV and $|m_{ee}| = 0.18$ eV with $\sigma(|m_{ee}|^2) = 0.01$ eV²



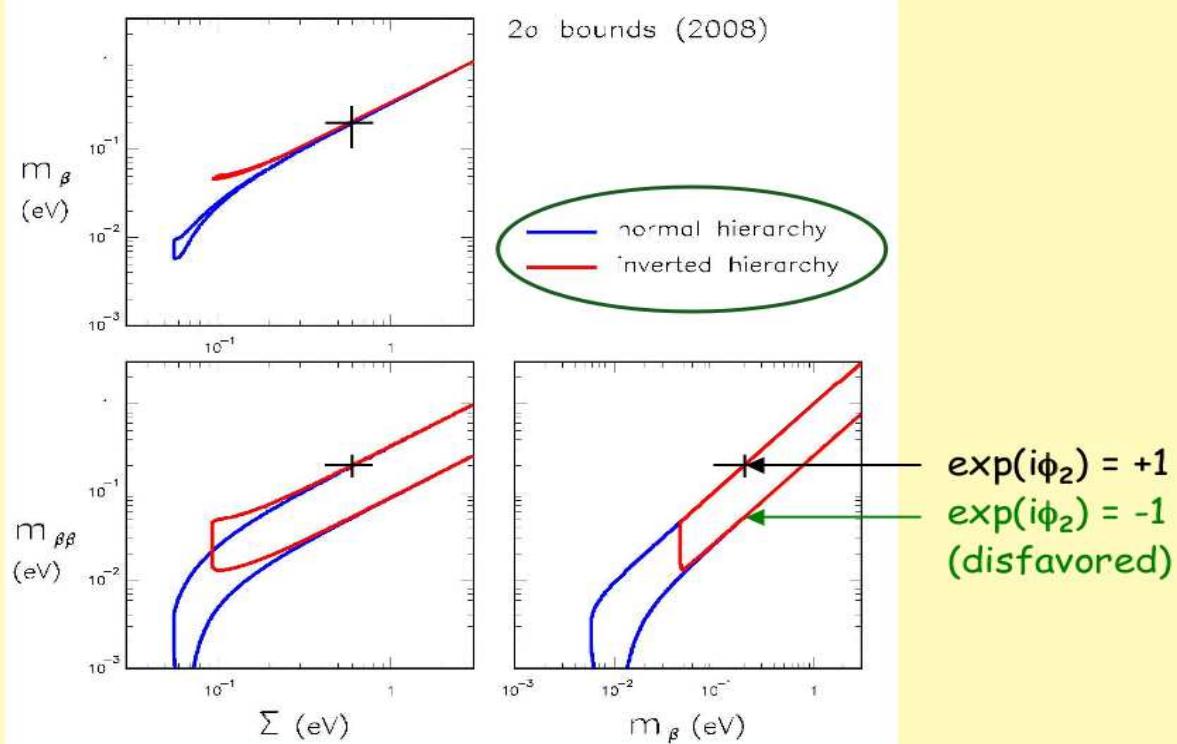
gives $m_{\text{sm}} = 0.2 (0.035 \dots 0.789)$ eV, or without cosmology

$m_{\text{sm}} = 0.28 (0.057 \dots 0.836)$ eV from $(\text{MC})^2$

assume $m_1 = m_2 = m_3 = 0.2$ eV and measurements with 1σ errors

$$m_\beta = 0.2(1 \pm 0.5) \text{ eV}, \quad |m_{ee}| \simeq 0.2(1 \pm 0.3) \text{ eV}, \quad \Sigma \simeq 0.6(1 \pm 0.3) \text{ eV}$$

...The absolute neutrino mass would be reconstructed within $\sim 25\%$ uncertainty, and one Majorana phase (ϕ_2) might be constrained...



Lisi, talk at Erice 09

Summary

- reconstruction of m_0 possible, best case 20 %
- QD neutrinos would be great
- the smaller m_0 , the less observables, the less we can say
- inconsistencies could lead to wrong results