

# Generation Symmetry and E<sub>6</sub> Unification

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# Outline

- **Some Motivations: Observed Hierarchies  
Neutrino Data**
- **E6 GUT and Generation symmetry –  $SO(3)_g$**
- **My focus and aim:**
  - Relate fermion masses & mixings**
  - Including (new) heavy GUT states;**
  - Explore the role of the *symmetries***

- Charged fermion masses & mixings

**Observed Noticeable Hierarchies:**

$$\lambda_t \sim 1, \quad \lambda_u : \lambda_c : \lambda_t \sim \lambda^8 : \lambda^4 : 1$$

$$\lambda_b \sim \lambda_\tau \sim \frac{m_b}{m_t} \tan \beta, \quad \lambda_d : \lambda_s : \lambda_b \sim \lambda^4 : \lambda^2$$

**With  $\lambda=0.2$**

$$\lambda_e : \lambda_\mu : \lambda_\tau \sim \lambda^5 : \lambda^2 : 1$$

$$V_{us} \approx \lambda, \quad V_{cb} \approx \lambda^2, \quad V_{ub} = \lambda^4 - \lambda^3$$

**What is origin of these hierarchies?**

**Is there any relation or sum rule?**

**Why three families?**

**Within SM no answer to these questions...**

# Atmospheric & Solar Neutrino Data

$$\Delta m_{\text{atm}}^2 = 2.4 \cdot 10^{-3} \text{eV}^2$$

$$\Delta m_{\text{sol}}^2 = 7.9 \cdot 10^{-5} \text{eV}^2$$

$$\sin^2 \theta_{23} = 0.44$$

$$\sin^2 \theta_{12} = 0.314$$

**Third mixing angle:**  $\theta_{13} \leq 0.2$  (CHOOZ bound)

**Unknown phase:**  $\delta_{\text{lept}}$

are of great importance for leptonic CP viol.

- **Origin of these scales and mixings?**

**Unexplained in SM/MSSM**

# Grand Unification

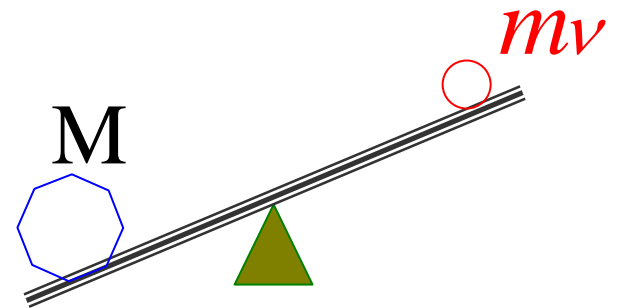
Answers to some of those questions & offers solutions to some problems/puzzles

- **Matter Unification**

In  $SO(10)$ :  $(q, u^c, e^c, d^c, l, \nu_R) = 16$

In  $E_6$ :  $(\nu^c + \text{all matter} + \text{new states}) = 27$

$SO(10), E_6 \rightarrow \mathbf{V}_R$  Neutrino masses via see-saw  
 $\rightarrow$  Oscillations



And interesting asymptotic relations:

$$\lambda_t = \lambda_b = \lambda_\tau, \dots$$
$$m_{\nu D} = m_t$$

## Interesting Properties of $E_6$

$$SU(2)_L \times U(1)_Y \times SU(3)_C \rightarrow SU(3)_L \times SU(3)_R \times SU(3)_C \equiv SU(3)^3$$

$$Q_L(x) = (3, 1, \bar{3}), \quad L(x) = (\bar{3}, 3, 1), \quad Q_R(x) = (1, \bar{3}, 3)$$

$\cup$   
 $V_R, N$

**Right handed neutrinos**

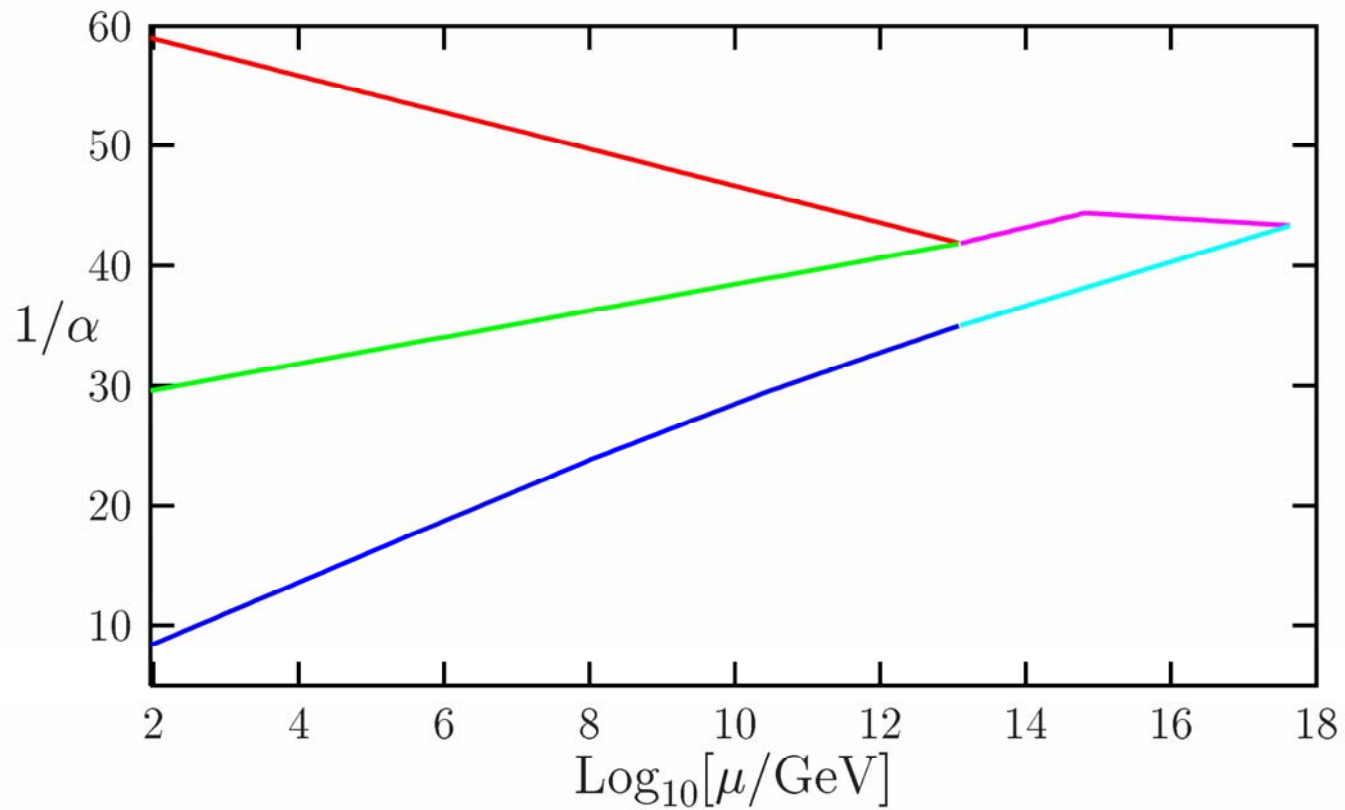
$$SU(3)^3 \subset E_6 \quad \text{-- minimal exceptional group}$$

$$Q_L + Q_R + L \rightarrow \psi(27)$$

**And intermediate  $SU(3)^3$  for coupling unification**

# Unification with $E_6$

**'Concorde'**



# $E_6 \rightarrow SU(3)_L \times SU(3)_R \times SU(3)_C$

$$27 = L(3^*, 3, 1) + Q_L(3, 1, 3^*) + Q_R(1, 3^*, 3)$$

single generation for fermions  $\psi(27)$

$$(Q_L)_i^a = \begin{pmatrix} u^a \\ d^a \\ D^a \end{pmatrix}, \quad L_k^i = \begin{pmatrix} L_1^1 & E^- & e^- \\ E^+ & L_2^2 & \nu \\ e^+ & \hat{\nu} & L_3^3 \end{pmatrix}, \quad (Q_R)_a^k = (\hat{u}_a, \hat{d}_a, \hat{D}_a)$$

$$Q_L(x) = (3, 1, \bar{3}), \quad L(x) = (\bar{3}, 3, 1), \quad Q_R(x) = (1, \bar{3}, 3)$$

mixing  $d \leftrightarrow D$   $\mathcal{U}_L$ -spin       $\hat{d} \leftrightarrow \hat{D}$  right index  $\mathcal{U}_R$ -spin

$$\langle H(27) \rangle = \text{Diag} (e_1^1, e_2^2, e_3^3) \quad \text{choice of basis : } e_3^2 = e_2^3 = 0$$



$$\mathbf{27} \times \mathbf{27} = \overline{\mathbf{27}} + \overline{\mathbf{351}}_A + \overline{\mathbf{351}}_S$$

$$H = H(27) , \quad H_A = H(351_A) , \quad H_S = H(351_S)$$

$$\mathcal{L}_Y = \left( (\Psi_r^\alpha)^T i\sigma_2 \Psi_s^\beta \right) \left[ G_{\alpha\beta} H_{rs} + A_{\alpha\beta} (H_A)_{rs} \right. \\ \left. S_{\alpha\beta} (H_S)_{rs} \right] + \text{h.c.}$$

$$H \rightarrow (\bar{\mathbf{3}}, \mathbf{3}, 1) ,$$

$$H_A \rightarrow (\bar{\mathbf{3}}, \mathbf{3}, 1) + (\bar{\mathbf{3}}, \bar{\mathbf{6}}, 1) + (\mathbf{6}, \mathbf{3}, 1)$$

$$H_S \rightarrow (\bar{\mathbf{3}}, \mathbf{3}, 1) + (\mathbf{6}, \bar{\mathbf{6}}, 1) .$$

$$G \Psi \Psi H \rightarrow G \left( u \hat{u} e_1^1 + d \hat{d} e_2^2 - e \hat{e} e_2^2 - \nu \hat{\nu} e_1^1 + \dots \right)$$

$$A \Psi \Psi H_A \rightarrow$$

**Dirac couplings**

$$S \Psi \Psi H_S \rightarrow S L L H_S (6, \bar{6}, 1) \rightarrow S \left( \hat{\nu} \hat{\nu} F^{\{2,2\}} + N N F^{\{3,3\}} + \hat{\nu} N F^{\{2,3\}} \dots \right)$$

**Needed for Majorana masses**

- **G & A** can be fixed from quark/lepton masses & mixings (some predictions).  
**S**-matrix is free in general...
- **Aim:** Relate **S** with **G & A** → more predictions
- **Way:** Avoid **Hs** & derive **S<sub>eff</sub>**.  
Possible by generation symmetry **SO(3)<sub>g</sub>**

# Generation (flavor) symmetry $SO(3)_g \times P_g$

**Chiral**

- Promote **G** & **A** to the field operators

$$G \rightarrow \frac{\chi}{M} \quad A \rightarrow \frac{\xi}{M'}$$

$$SO(3)_g: \quad \psi \sim 3, \quad \chi \sim 1+5, \quad \xi \sim 3$$

- Do not introduce **Hs(351)**.

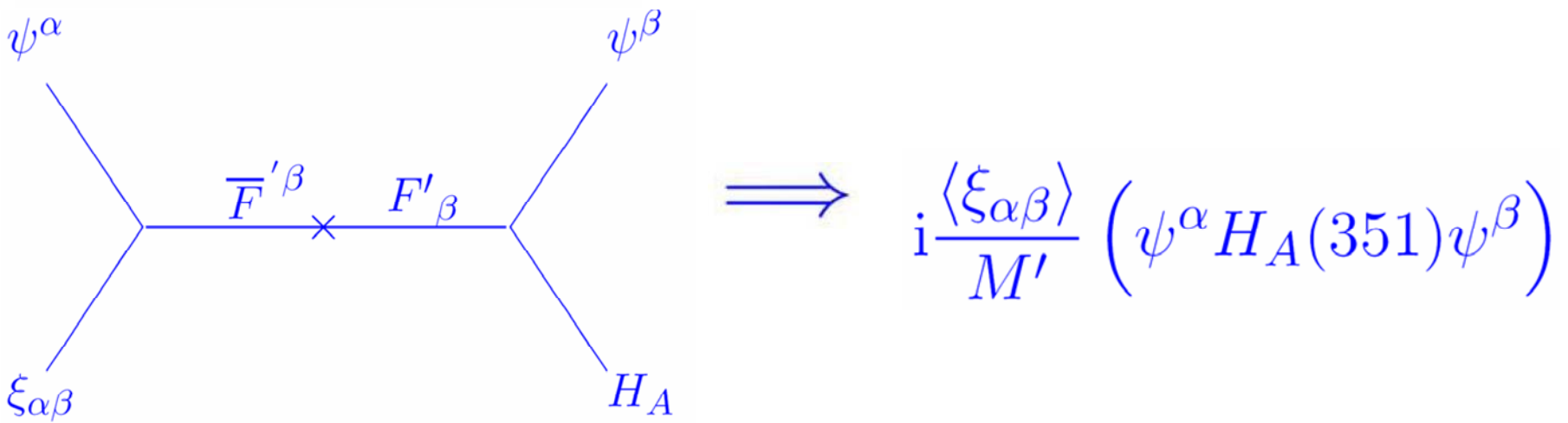
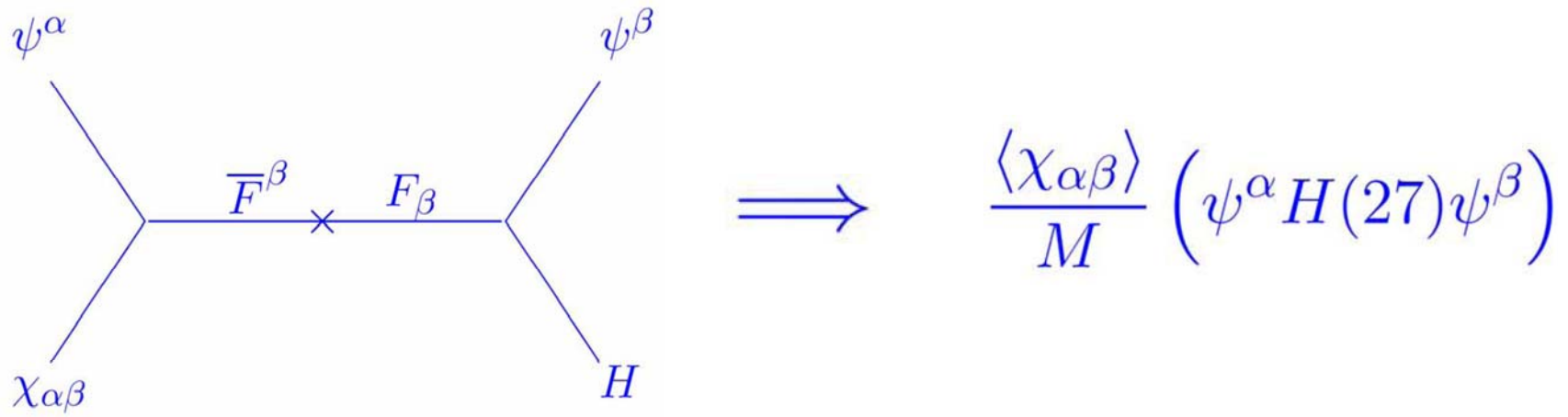
**`G' & `A' operators :**  $\frac{\chi}{M} \psi \psi H(27) + \frac{\xi}{M'} \psi \psi H_A(351)$

- If no other flavor structure present  $\rightarrow$

**All derived matrices, including **S**, in effective theory will be functions of **G** & **A** ( $\chi$  &  $\xi$ )**

**Great reduction of parameters**

# Effective operators through renorm. Terms by integrating massive spinors



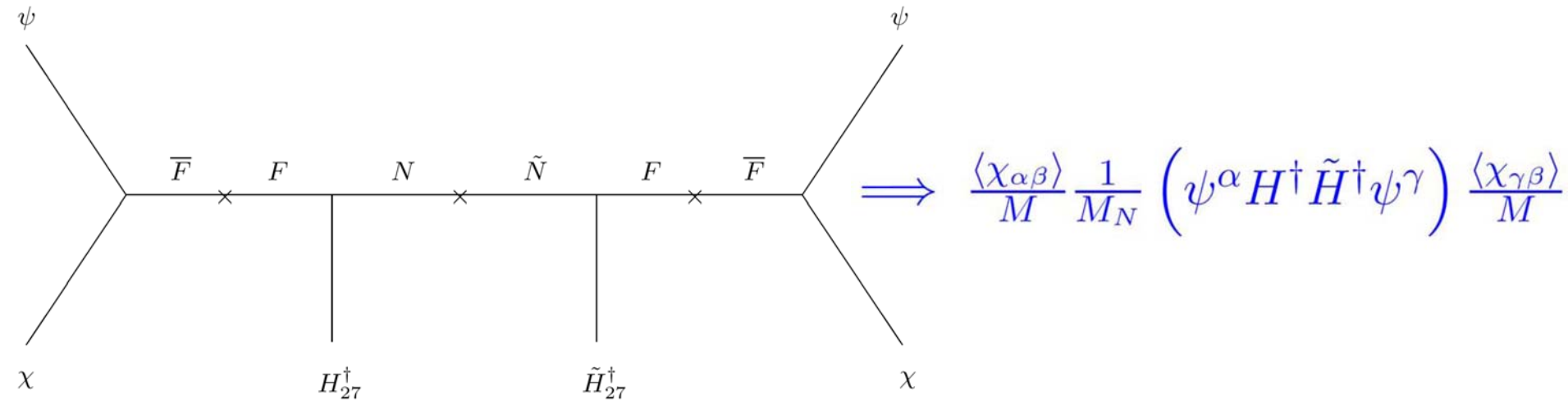
**These induce masses of all fermions**

**except**  $L^3_2 = \hat{V}$  and  $L^3_3$

$L_2^3 L_3^3 \sim (\bar{6}, 6, 1)$  not contained in  $H(27)$

However  $H^+ \times H^+ \supset (6, \bar{6}, 1)$

Generate this by decoupling of heavy E6 singlets:  $N(1, 3), \bar{N}(1, 3)$



$L_2^3, L_3^3$  's masses can be generated now

## Effective Yukawa Couplings

- $\mathcal{L}_Y^{\text{eff}} = G_{\alpha\beta} \left( \psi^\alpha H \psi^\beta \right) + A_{\alpha\beta} \left( \psi^\alpha H_A \psi^\beta \right) + \frac{1}{M_N} (G^2)_{\alpha\beta} \left( \psi^\alpha H^\dagger \tilde{H}^\dagger \psi^\beta \right)$

Thanks to  $E_6 \times SO(3)_g$  the Generation structure is fixed

$$m_U \propto G = (\sigma^4, \sigma^2, 1) \quad \sigma \simeq 0.05$$

$$A_{\alpha\beta} = i \begin{pmatrix} 0 & \sigma & -\sigma \\ -\sigma & 0 & \frac{1}{2} \\ \sigma & -\frac{1}{2} & 0 \end{pmatrix} \quad \text{From CKM fit} \quad (\text{B.S, Z.T'03})$$

$$M(L_2^3, L_3^3) \propto G^2 \simeq (\sigma^8, \sigma^4, 1) \quad \text{Superstrong hierarchy}$$

# Mass Matrices

## • Down Quarks

$$M_{d,D} = \begin{array}{c} \hat{d} \\ D \end{array} \begin{array}{cc} \hat{D} & \\ \left( \begin{array}{cc} m_b^0 G + h_2^2 A, & h_3^2 A \\ h_2^3 A, & e_3^3 G \end{array} \right) & \end{array}$$

## • Charged Leptons

$$M_{e,E} = \begin{array}{c} e^- \\ E^- \end{array} \begin{array}{cc} \left( \begin{array}{cc} -m_\tau^0 G - g_2^2 A, & g_2^3 A \\ -g_3^2 A, & -e_3^3 G \end{array} \right) & \end{array}$$

**6x6 To 3x3 reduction**

$$M_d = m_b^0 + h_2^2 A - h\sigma^3 A G^{-1} A \quad M_e = -m_\tau^0 - g_2^2 A - g_0\sigma^3 A G^{-1} A$$

**(4 parameters vs  
7 observables)**

**(3 params. → 3 mass, 3 angles)**

\*  $h_2^2, h_3^2, h_2^3$  VEVs  $\subset \langle H_A \rangle \sim (\bar{3}, 3, 1)$ ;

\* \*  $g_2^2, g_3^2, g_2^3$  VEVs  $\subset \langle H_A \rangle \sim (\bar{3}, 6, 1)$

• **Neutral Leptons → neutrino matrix**

$$M_L = \begin{matrix} L_3^2 \\ L_2^3 \\ L_3^3 \\ L_1^1 \\ L_2^2 \end{matrix} \begin{pmatrix} L_3^2 & L_2^3 & L_3^3 & L_1^1 & L_2^2 \\ 0 & -e_1^1 G & 0 & -g_2^3 A & 0 \\ -e_1^1 G & 0 & M_1 & 0 & 0 \\ 0 & M_1^T & M_2 & 0 & e_1^1 G \\ -g_2^3 A^T & 0 & 0 & 0 & M_0 \\ 0 & 0 & e_1^1 G & M_0^T & 0 \end{pmatrix}$$

$$M_0 = e_3^3 G, \quad M_1 = F^{\{2,3\}} G^2 + F_A A, \quad M_2 = F^{\{3,3\}} G^2$$

**Reduction by 'multiple'  
see-saw: 15x15 to 3x3**



$$m_\nu \simeq -\frac{(e_1^1)^2}{(F^{\{2,3\}})^2} \left( F^{\{3,3\}} \mathbf{1} + F^{\{2,3\}} \frac{g_2^3}{e_3^3} \left( A \frac{1}{G} + \frac{1}{G} A^T \right) \right)$$

+corrections( $F_A, x_g^2$ )

**Composed by  
known G & A**

⇒ bimaximal neutrino mixing + important corrections



## Results: Neutrinos

- i) Inverted hierarchy:  $m_{\nu 1,2} \approx 0.06 \text{ eV}$ ,  $m_{\nu 3} \approx 0.037 \text{ eV}$
- ii)  $F^{\{2,3\}} \approx 2 \cdot 10^{13} \text{ GeV} \rightarrow \Delta m_{\text{atm}}^2 \simeq 0.0024 \text{ (eV)}^2$   
suggests  $e_3^3 \simeq M_I \simeq F^{\{2,3\}} \quad g_1(M_I) = g_2(M_I) \quad (SU(3))^3$
- Heavy fermion masses are fixed

$L_2^3, L_3^3$ - pair masses:  $\approx (700, 10^8, 10^{13}) \text{ GeV}$ .

- $\langle m_{\beta\beta} \rangle \simeq 0.046 \text{ eV}$
- $\theta_{12} \simeq 34^\circ$ ,  $\theta_{23} \simeq 43^\circ$ ,  $\theta_{13} \simeq 6.3^\circ$ ,  $\delta_l \simeq 67^\circ$

## Results: Charged leptons

Fit mass  $m_\tau$ ,  $g_2^2 = 0.166$  GeV  $g_0 = 1.87$  GeV

$$m_\tau = 1.75 \text{ GeV} \quad m_\mu = 103 \text{ MeV} \quad m_e = 0.44 \text{ MeV}$$

$$|V_{ij}^{\text{ch}}| - \text{small}$$

## Results: Quarks

Fit mass  $m_b$ ,  $h_2^2 \leq -0.214$  GeV  $h = 0.97$  GeV

• Masses:  $m_b = 2.89$  GeV  $m_s = 50$  MeV  $m_d = 2.6$  MeV

• CKM Angles:

$$|V_{us}| = 0.228 \quad |V_{cb}| = 0.042 \quad |V_{ub}| = 0.0039$$

$$\alpha_q = 97^\circ \quad \beta_q = 23^\circ \quad \gamma_q = 60^\circ$$

• Lightest  $D$  quark:  $m_{D_1} \approx 10^5$  TeV

# Summary

With  $E_6$  GUT &  $SO(3)_g$  we obtained:

- Close connection between Quarks, Ch. Leptons and neutrinos;
- Model determines all (GUT) heavy fermion masses

$$M(\nu^c) , M(D) , M(L) \subset 27 \text{ of } E_6$$

- Model Predicts:

Inverted hierarchical neutrinos;

$$\theta_{13} \approx 6^\circ , \quad \delta_1 \approx 67^\circ , \quad \langle m_{\beta\beta} \rangle \approx 0.046 \text{ eV}$$



**Can be tested  
In future !**

**Thank You**